



Finance Department
General Services Division

FOR PROPOSALS (RFP)
Specification No. 21-11415-C Re-Issued II
FOR
Mental Health Counseling Services for Older Adults
PROPOSALS WILL NOT BE OPENED AND READ PUBLICLY

Dear Proposer:

The City of Berkeley is soliciting written proposals from qualified firms or individuals *to provide both individual and group mental health counseling services to older adults who are clients of the Aging Services Division*. As a Request for Proposal (RFP) this is not an invitation to bid and although price is very important, other factors will be taken into consideration.

The project scope, content of proposal, and vendor selection process are summarized in the RFP (attached). **Proposals must be received no later than 2:00 pm, on Thursday, February 11, 2021.** Proposals are to be sent via email with the title “**Mental Health Counseling Services for Older Adults**” and **Specification No. 21-11415-C Re-Issued II** clearly indicated in the subject line of the email. Please submit one (1) PDF of the technical proposal. Corresponding pricing proposal shall be submitted via email as a separate document.

Email Proposals to:

City of Berkeley
Finance Department/General Services Division
purchasing@cityofberkeley.info

Proposals will not be accepted after the date and time stated above. Incomplete proposal or proposals that do not conform to the requirements specified herein will not be considered. Issuance of the RFP does not obligate the City to award a contract, nor is the City liable for any costs incurred by the proposer in the preparation and submittal of proposals for the subject work. The City retains the right to award all or parts of this contract to several bidders, to not select any bidders, and/or to re-solicit proposals. The act of submitting a proposal is a declaration that the proposer has read the RFP and understands all the requirements and conditions.

For questions concerning the anticipated work, or scope of the project, please **contact Abraxas Seale, Assistant Management Analyst**, via email at ASEale@ci.berkeley.ca.us no later than **5:00 pm, on Friday, January 26, 2020**. Answers to questions will **not** be provided by telephone or email. Answers to all questions or any addenda will be **posted** on the City of Berkeley’s site at <http://www.cityofberkeley.info/ContentDisplay.aspx?id=7128>. It is the vendor’s responsibility to check this site. For general questions concerning the submittal process, contact purchasing at 510-981-7320.

We look forward to receiving and reviewing your proposal.

Sincerely,

Darryl Sweet
General Services Manager

I. INTRODUCTION

The Aging Services Division (ASD) is dedicated to promoting a dignified, healthful quality of life for older adults by offering connections to services and resources to learn, grow, and discover new ways to be actively engaged in living. ASD serves as a resource for recreation, increased food security, transportation assistance, health & wellness education and other supportive services for adults who are 55 and older. Services are provided via two senior community centers, the Meals on Wheels program, and the Social Services Unit Case Management program.

Due to the COVID-19 pandemic and subsequent shelter in place order imposed by the City of Berkeley Health Officer, ASD facilities have closed to the public making it necessary to reevaluate service delivery, and reassess how we respond to the changing needs of the community. It is important that we enhance our system of accessible social supports to promote the social and mental health of the older adults in our community. The goal of this program is to lessen barriers to mental health treatment, both perceived and actual, so that people who otherwise could not or would not access these services might do so. To that end, and to promote equity in our programming, any client should be able to be seen, and insurance will not be taken or billed. This program is meant to offer short-term counseling to individual clients, in addition to therapy groups, and workshops. To be able to offer services to a larger number of community members, the proposed service delivery model should be designed in such a way as to achieve that objective.

The City of Berkeley Health, Housing, and Community Services (HHCS), Aging Services Division (ASD) is seeking culturally diverse mental health service providers with a unique understanding of the Berkeley community, to provide individual and group mental health counseling services, and workshops, both in person and “Telehealth” sessions via phone or internet, to older adults in the Berkeley Community who are clients of the ASD.

Program implementation activities should commence within 30 days after the selected contractor has entered into a contract with the City of Berkeley, while actual service delivery must begin no more than 60 days after the contract has been awarded. Implementation and services must be completed within 12 months, and cost no more than \$100,000. The proposed contract will be for a one (1)-year term with two (2) additional one (1)-year renewal options at the discretion of the City.

II. Position Description

The team of service providers would consist of a minimum of two (2) Mental Health Counselors (MHC): one (1) licensed, lead MHC who will be responsible for providing program administration, supervision of program providers, mental health counseling and support to Aging Services clients, and one (1) (licensed or unlicensed) MHC responsible for mental health counseling and providing support to clients. Providers versed in techniques such as trauma informed care, narrative and cognitive therapy, motivational interviewing, and other evidence based, time-limited treatments are highly desirable. MHCs will perform a range of services, including individual counseling services geared toward skill building and cultivating resiliency; facilitation of weekly group therapy sessions; and eight (8) annual workshops. The MHCs will maintain client records, and collect and periodically report required data which is described herein. There is flexibility within the proposed program to utilize qualified interns and/or peer support staff to facilitate groups and workshops under the supervision of the MHCs.

a. Duties & Tasks

1. Individual Mental Health Counseling
 - Provide one-on-one, time-limited, short-term counseling to clients
 - Provide referrals/linkages to appropriate community supports and services
2. Group Therapy Sessions
 - Develop and facilitate weekly, topic based, group therapy sessions for clients

3. Supportive Workshops
 - Develop and facilitate eight (8) topic- based workshops annually
4. Lead Mental Health Counselor will provide supervision to other mental health counselor(s), interns and/or peer support staff
5. Record Maintenance, Data Collection and Reporting
 - Maintain client records
 - Collect predetermined sets of data and provide quarterly reports to the Berkeley Mental Health Division
6. Invoicing
 - Generate and distribute a monthly invoice detailing all client services rendered during the invoicing period.

b. Qualifications

1. Knowledge
 - Demonstrated understanding of techniques such as cognitive behavioral therapy, narrative therapy, trauma informed care, motivational interviewing, and other evidence-based time limited treatments.
 - Demonstrated understanding of differences in mental health services and concepts across cultures and age groups.
 - Demonstrated experience with successful clinical documentation and data collection.
 - Ability to illustrate an understanding of the local Berkeley community.
2. Skills
 - Communicate effectively with diverse groups both verbally and in writing.
 - Ability to effectively lead and facilitate therapy groups.
 - Partner and collaborate with Aging Services staff as appropriate.
 - Ability to organize tasks and manage time effectively.
 - Ability to organize and document individual and group sessions and outcomes to use for ongoing results based accountability measures and quality improvement efforts.
 - Ability to analyze and build a service plan within the confines of the given budget
 - Bi- and/or Multi-lingual is highly desirable.

c. Education & Experience

1. Licensed Provider(s)
 - Equivalent to possession of a related Master's degree.
 - Should have three (3) years of increasingly responsible clinical experience as a clinician. Experience must have included clinical supervision and consultation in a mental health setting with a multi-disciplinary staff.
 - Must possess a valid California license as a Clinical Psychologist, Clinical Social Worker, Psychiatric Nurse, Physician, Licensed Professional Clinical Counselor, or a Marriage, Family and Child Counselor.
 - Must be registered with the Board of Behavioral Sciences and/or Board of Psychology.
 - Must possess a minimum of 2 years direct experience in a mental health setting providing services and mental health therapy to adults.
 - Must have experience working with the broader community that includes high-risk individuals.
 - Demonstrated experience with older adults is highly desirable and sought after.

2. Unlicensed Provider(s)

- Equivalent to possession of four (4) years of college with major coursework in social work, psychology, community health or a closely related field from an accredited college or university.
- Two years of experience in community organization, social services, health or a related field, with demonstrated experience in providing individualized client service coordination. Progressively responsible related experience may be substituted for the college coursework on a year for year basis.
- Must have experience working with the broader community that includes high-risk individuals.

Potential mental health providers for this program shall submit proposals, which include, but are not limited to, the following services outlined in Section II: Scope of Services.

II. SCOPE OF SERVICES

The proposed contract will begin in May 2021 for a one (1)-year term, with two (2) additional one (1)-year renewal options at the discretion of the City.

Services can be provided in person at either Senior Center location; at the provider's office site or clinic; or virtually via the internet or telephone.

III. Service Description

The selected contractor shall provide the following services:

1. One-on-one, time-limited, short-term, individual counseling provided to clients either in person at a senior center location, at the provider's office site or clinic, or virtually via the phone or internet conferencing application.
2. Facilitate* a minimum of one (1), one-hour, ongoing group counseling session per week that addresses specific topics. Some *suggested* topics may include, but are not limited to:
 - a) Grief and Loss
 - b) Decreasing Isolation
 - c) Hoarding
 - d) Legal and Illegal Substance Use/Abuse
 - e) LGBT / Gender Identity
 - f) Depression and Anxiety

*Group counseling sessions may be intern or peer facilitated while under the supervision of a licensed mental health provider.

* Group counseling sessions may be provided in person at a senior center location, and/or virtually via internet conferencing application.

3. Facilitate no less than eight (8)* workshops per year, addressing specific topics*. Some *suggested* topics may include, but are not limited to:
 - a) Grief and Loss
 - b) Decreasing Isolation
 - c) Hoarding
 - d) Legal and Illegal Substance Use/Abuse
 - e) LGBT / Gender Identity
 - f) Depression and Anxiety

*During the first year of the contract, at least four (4) workshops must be delivered. Upon contract renewal, every year thereafter, eight (8) workshops must be delivered annually.

*Workshop topics may also be determined by the provider based on client data and feedback after a period of time.

*Workshops may be repeated and/or broken up into multiple parts based on participant demand and/or need, and at the discretion of the provider with feedback from Aging Services staff.

*Workshops may be intern or peer facilitated while under the supervision of a licensed mental health provider.

*Workshops may be provided in person at a senior center location, and/or virtually via internet conferencing application.

Program Implementation

Contractor shall commence with the program implementation phase within 30 days of the contract execution, with actual service delivery of one-on-one counseling to begin within 60 days of the contract execution. During the first year of the contract, therapy groups may be developed within six (6) months of the beginning of the contract to allow for topics to be determined based on client data and feedback. During the first year of the contract, at least four (4) workshops must be delivered. Upon contract renewal, every year thereafter, eight (8) workshops must be delivered annually.

1. Contractor shall design and implement an evaluation of the current client situation. This evaluation will be used to determine the course of implementing the program.
2. Contractor shall periodically provide to ASD staff, electronic flyers and/or other marketing materials for purposes of informing potential participants about specific groups, specific workshops, and the availability of one-on-one counseling sessions.
3. Contractor shall inform designated ASD staff of specific upcoming workshops and groups at least 30 days in advance for purposes of including the specific information in the monthly ASD newsletter publication to inform clients of these events and services.

Program Administration

1. Contractor shall collect on an ongoing basis, and submit the following de-identified data on a quarterly basis regarding the population served: demographics on race/ethnicity, gender/gender identity, age, and sexual orientation; types of services implemented and numbers of participants served; outcomes and program challenges.
2. Contractor shall provide effective supervision to the other MHC, interns and/or peer counselors enlisted to deliver program services.
3. The City may administer a results-based accountability survey to program clients to obtain a 360 degree picture of outcomes and program challenges. Contractor shall assist in facilitating this process with clients which may include distributing the survey and collecting the results.
4. Contractor shall provide to the City detailed monthly invoices within 10 days after the last day of the month. Invoices must include the following information at minimum:
 1. Individual Counseling: Client name; date of service
 2. Groups: Group name; date of service; names of attendees
 3. Workshops: Workshop name; date of service; names of attendees

III. SUBMISSION REQUIREMENTS

All proposals shall include the following information, **organized as separate sections of the proposal**. The proposal should be concise and to the point.

1. Contractor Identification:

Provide the name of the firm, the firm's principal place of business, the name and telephone number of the contact person and company tax identification number.

2. References:

Provide three (3) professional references that include at least one reference from a community- based organization where mental health services were provided. For each reference, provide the designated person's name, title, organization, address, telephone number, and a brief description of the project(s) that were completed under their direction. All references must be verifiable.

3. Price Proposal:

The proposal shall include pricing for all services. Pricing shall be all inclusive unless indicated otherwise. Pricing proposals shall be a separate document. The Proposal shall itemize all services, including hourly rates for all professional, technical and support personnel, and all other charges related to completion of the work shall be itemized.

4. Contract Terminations:

If your organization has had a contract terminated in the last five (5) years, describe such incident. Termination for default is defined as notice to stop performance due to the vendor's non-performance or poor performance and the issue of performance was either (a) not litigated due to inaction on the part of the vendor, or (b) litigated and such litigation determined that the vendor was in default.

Submit full details of the terms for default including the other party's name, address, and phone number. Present the vendor's position on the matter. The City will evaluate the facts and may, at its sole discretion, reject the proposal on the grounds of the past experience.

If the firm has not experienced any such termination for default or early termination in the past five (5) years, so indicate.

5. Other submission requirements:

If a proposed licensed provider was subject to license suspension, please provide an explanation of the circumstances surrounding the suspension. Provide Live Scan results for all proposed licensed providers.

IV. SELECTION CRITERIA

The following criteria will be considered, although not exclusively, in determining which firm is hired. A selection panel will be convened of staff from both the Aging Services and the Berkeley Mental Health Divisions to conduct the review.

Service Approach (15 points)

Include a description of how you would provide the services described in the Position Description and the Scope of Services within the context outlined in the Program Description, specifically addressing (a) prior relevant experience, including experience working with older adults, and (b) prior experience supervising interns and peer staff and/or volunteers if such staff are included in the program delivery model.

Program Design and Implementation (30 points)

Include a detailed timeline and program design which includes a plan for implementation, and on-going services including, but not limited to, individual counseling service delivery; specific group counseling sessions, and specific workshops that include a plan for intern or peer-led facilitation if such staff are included in the program delivery model. Provide specific details including use of software programs, about how you would implement a

virtual version of this program should we continue to be required to adhere to guidelines outlined in the City / County's shelter in place order.

Method for Data Collection & Reporting (10 points)

Please describe how you would go about collecting and reporting required program data described in the Program Administration section. Include specific software programs that may be used for this purpose.

Cultural Responsiveness & Understanding of the Local Berkeley Community (30 points)

Using specific examples, please describe your experience working with culturally, socially, and economically diverse groups. Include your approach to working with clients who may be culturally, socially, and economically different than you. What worked and what did not work; what did you learn from it; and how did you apply what you learned to your work?

Using specific examples, please describe your experience working with the local Berkeley community.

References (10 points)

Provide three (3) professional references that include at least one reference from a community based organization where mental health services were provided. For each reference, provide the designated person's name, title, organization, address, telephone number, and the project(s) that were completed under their direction. All references must be verifiable.

Costs/Price Proposal (5 points)

Pricing proposals shall be a separate document. Using the maximum allocation amount of \$100,000, please submit a program budget, which covers one year of direct costs for the services outlined above, and any associated indirect costs. The proposal shall include pricing for all services. Pricing shall be all inclusive unless indicated otherwise. The proposal shall itemize all services, including hourly rates for all professional, technical and support personnel, and all other charges related to completion of the work.

V. PAYMENT

Invoices: Invoices must be fully itemized as described in the *Program Administration* section, and provide sufficient information for approving payment and audit. Mail invoices to the Project Manager and reference the contract number.

City of Berkeley
Aging Services Division
1900 Sixth Street
Berkeley, CA 94710
Attn: **Tanya Bustamante / Abraxas Seale**

Payments: The City will make payment to the vendor within 30 days of receipt of a correct and complete invoice.

VI. CITY REQUIREMENTS

A. Non-Discrimination Requirements:

Ordinance No. 5876-N.S. codified in B.M.C. Chapter 13.26 states that, for contracts worth more than \$3,000 bids for supplies or bids or proposals for services shall include a completed Workforce Composition Form. Businesses with fewer than five employees are exempt from submitting this form. (See B.M.C. 13.26.030)

Under B.M.C. section 13.26.060, the City may require any bidder or vendor it believes may have discriminated to submit a Non-Discrimination Program. The Contract Compliance Officer will make this determination. This applies to all contracts and all consultants (contractors). Berkeley Municipal Code section 13.26.070 requires that all contracts with the City contain a non-discrimination clause, in which the contractor agrees not to discriminate and allows the City access to records necessary to monitor compliance. This section also applies to all contracts and all consultants. **Bidders must submit the attached Non-Discrimination Disclosure Form with their proposal.**

B. Nuclear Free Berkeley Disclosure Form:

Berkeley Municipal Code section 12.90.070 prohibits the City from granting contracts to companies that knowingly engage in work for nuclear weapons. This contracting prohibition may be waived if the City Council determines that no reasonable alternative exists to doing business with a company that engages in nuclear weapons work. If your company engages in work for nuclear weapons, explain on the Disclosure Form the nature of such work. **Bidders must submit the attached Nuclear Free Disclosure Form with their proposal.**

C. Oppressive States:

The City of Berkeley prohibits granting of contracts to firms that knowingly provide personal services to specified Countries. This contracting prohibition may be waived if the City Council determines that no reasonable alternative exists to doing business with a company that is covered by City Council Resolution No. 59,853-N.S. If your company or any subsidiary is covered, explain on the Disclosure Form the nature of such work. **Bidders must submit the attached Oppressive States Disclosure Form with their proposal.**

D. Sanctuary City Contracting Ordinance:

Chapter 13.105 of the Berkeley Municipal Code prohibits the City from granting and or retaining contracts with any person or entity that provides Data Broker or Extreme Vetting services to the U.S. Immigration and Customs Enforcement Division of the United States Department of Homeland Security ("ICE"). **Bidders must submit the attached Sanctuary City Compliance Statement with their proposal.**

E. Conflict of Interest:

In the sole judgment of the City, any and all proposals are subject to disqualification on the basis of a conflict of interest. The City may not contract with a vendor if the vendor or an employee, officer or director of the proposer's firm, or any immediate family member of the preceding, has served as an elected official, employee, board or commission member of the City who influences the making of the contract or has a direct or indirect interest in the contract.

Furthermore, the City may not contract with any vendor whose income, investment, or real property interest may be affected by the contract. The City, at its sole option, may disqualify any proposal on the basis of such a conflict of interest. **Please identify any person associated with the firm that has a potential conflict of interest.**

F. Berkeley Living Wage Ordinance:

Chapter 13.27 of the Berkeley Municipal Code requires that contractors offer all eligible employees with City mandated minimum compensation during the term of any contract that may be awarded by the City. If the Contractor is not currently subject to the Living Wage Ordinance, cumulative contracts with the City within a one-year period may subject Contractor to the requirements under B.M.C. Chapter 13.27. A certification of compliance with this ordinance will be required upon execution of a contract. The current Living Wage rate can be found here: https://www.cityofberkeley.info/Finance/Home/Vendors_Living_Wage_Ordinance.aspx. The Living Wage rate is adjusted automatically effective June 30th of each year commensurate with the corresponding increase in the Consumer Price Index published in April of each year. If the Living Wage rate is adjusted during

the term of your agreement, you must pay the new adjusted rate to all eligible employees, regardless of what the rate was when the contract was executed.

G. Berkeley Equal Benefits Ordinance:

Chapter 13.29 of the Berkeley Municipal Code requires that contractors offer domestic partners the same access to benefits that are available to spouses. A certification of compliance with this ordinance will be required upon execution of a contract.

H. Statement of Economic Interest:

The City's Conflict of Interest Code designates "consultants" as a category of persons who must complete Form 700, Statement of Economic Interest, at the beginning of the contract period and again at the termination of the contract. The selected contractor will be required to complete the Form 700 before work may begin.

VII. OTHER REQUIREMENTS

A. Insurance

The selected contractor will be required to maintain general liability insurance in the minimum amount of \$2,000,000, automobile liability insurance in the minimum amount of \$1,000,000 and a professional liability insurance policy in the amount of \$2,000,000 to cover any claims arising out of the performance of the contract. The general liability and automobile insurance must name the City, its officers, agents, volunteers and employees as additional insureds.

B. Worker's Compensation Insurance:

A selected contractor who employs any person shall maintain workers' compensation insurance in accordance with state requirements. Sole proprietors with no employees are not required to carry Worker's Compensation Insurance.

C. Business License

Virtually every contractor that does business with the City must obtain a City business license as mandated by B.M.C. Ch. 9.04. The business license requirement applies whether or not the contractor has an office within the City limits. However, a "casual" or "isolated" business transaction (B.M.C. section 9.04.010) does not subject the contractor to the license tax. Warehousing businesses and charitable organizations are the only entities specifically exempted in the code from the license requirement (see B.M.C. sections, 9.04.295 and 9.04.300). Non-profit organizations are granted partial exemptions (see B.M.C. section 9.04.305). Persons who, by reason of physical infirmity, unavoidable misfortune, or unavoidable poverty, may be granted an exemption of one annual free license at the discretion of the Director of Finance. (see B.M.C. sections 9.04.290).

Vendor must apply for a City business license and show proof of application to Purchasing Manager within seven days of being selected as intended contractor.

The Customer Service Division of the Finance Department located at 1947 Center Street, Berkeley, CA 94704, issues business licenses. Contractors should contact this division for questions and/or information on obtaining a City business license, in person, or by calling 510-981-7200.

D. Recycled Paper

Any printed reports for the City required during the performance of the work shall be on 100% recycled paper, and shall be *printed on both sides of the page* whenever practical.

E. State Prevailing Wage:

Certain labor categories under this project may be subject to prevailing wages as identified in the State of California Labor Code commencing in Section 1770 et. seq. These labor categories, when employed for any “work performed during the design and preconstruction phases of construction including, but not limited to, inspection and land surveying work,” constitute a “Public Work” within the definition of Section 1720(a)(1) of the California Labor Code requiring payment of prevailing wages.

Wage information is available through the California Division of Industrial Relations web site at: http://www.dir.ca.gov/OPRL/statistics_and_databases.html

VIII. SCHEDULE (dates are subject to change)

- | | |
|--|------------|
| <input type="checkbox"/> Issue RFP to Potential Bidders | 1/21/2021 |
| <input type="checkbox"/> Questions Due from Potential Bidders | 1/29/2021 |
| <input type="checkbox"/> Proposals Due from Potential Bidders | 2/11/2021 |
| <input type="checkbox"/> Complete Selection Process | 2/26/2021 |
| <input type="checkbox"/> Council Approval of Contract (over \$50k) | 04/20/2021 |
| <input type="checkbox"/> Award of Contract | 04/21/2021 |
| <input type="checkbox"/> Sign and Process Contract | April 2021 |
| <input type="checkbox"/> Notice to Proceed | May 2021 |

Thank you for your interest in working with the City of Berkeley for this service. We look forward to receiving your proposal.

Attachments:

- | | |
|---|--------------|
| • Check List of Required items for Submittal | Attachment A |
| • Non-Discrimination/Workforce Composition Form | Attachment B |
| • Nuclear Free Disclosure Form | Attachment C |
| • Oppressive States Form | Attachment D |
| • Sanctuary City Compliance Statement | Attachment E |
| • Living Wage Form | Attachment F |
| • Equal Benefits Certification of Compliance | Attachment G |
| • Right to Audit Form | Attachment H |
| • Insurance Endorsement | Attachment I |

ATTACHMENT A

CHECKLIST

- Proposal describing service (one (1) PDF of proposal)
- Contractor Identification and Company Information
- Professional References
- Costs proposal by task, type of service & personnel (as a separate document from the proposal)
- Explanation of Previous License Suspension (if applicable)
- Description of How Unlicensed Providers Will Be Supervised
- Live Scan Results of All Proposed Licensed Providers
- The following forms, completed and **signed in blue ink** (attached):
 - Non-Discrimination/Workforce Composition Form Attachment B
 - Nuclear Free Disclosure Form Attachment C
 - Oppressive States Form Attachment D
 - Sanctuary City Compliance Statement Attachment E
 - Living Wage Form (*may be optional*) Attachment F
 - Equal Benefits Certification (EBO-1) (*may be optional*) Attachment G

ADDITIONAL SUBMITTALS REQUIRED FROM SELECTED VENDOR AFTER COUNCIL APPROVAL TO AWARD CONTRACT.

- Provide **original-signed in blue ink** Evidence of Insurance
 - Auto
 - Liability
 - Worker's Compensation
- Right to Audit Form Attachment H
- Commercial General & Automobile Liability Endorsement Form Attachment I
- Berkeley Business License

For informational purposes only: Sample of Personal Services Contract can be found on the City's website on the current bid and proposal page at the top of the page.

NON-DISCRIMINATION/WORKFORCE COMPOSITION FORM FOR NON-CONSTRUCTION CONTRACTS

To assist the City of Berkeley in implementing its Non-Discrimination policy, it is requested that you furnish information regarding your personnel as requested below and return it to the City Department handling your contract:

Organization: _____

Address: _____

Business Lic. #: _____

Occupational Category: (See reverse side for explanation of terms)	Total Employees		White Employees		Black Employees		Asian Employees		Hispanic Employees		Other Employees	
	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male	Female	Male
Official/Administrators												
Professionals												
Technicians												
Protective Service Workers												
Para-Professionals												
Office/Clerical												
Skilled Craft Workers												
Service/Maintenance												
Other (specify)												
Totals:												

Is your business MBE/WBE/DBE certified? Yes _____ No _____ If yes, by what agency? _____

If yes, please specify: Male: _____ Female: _____ Indicate ethnic identifications: _____

Do you have a Non-Discrimination policy? Yes: _____ No: _____

Signed: _____ Date: _____

Verified by: _____ Date: _____

City of Berkeley Contract Compliance Officer

Occupational Categories

Officials and Administrators - Occupations in which employees set broad policies, exercise overall responsibility for execution of these policies, or provide specialized consultation on a regional, district or area basis. Includes: department heads, bureau chiefs, division chiefs, directors, deputy superintendents, unit supervisors and kindred workers.

Professionals - Occupations that require specialized and theoretical knowledge that is usually acquired through college training or through work experience and other training that provides comparable knowledge. Includes: personnel and labor relations workers, social workers, doctors, psychologists, registered nurses, economists, dietitians, lawyers, systems analysts, accountants, engineers, employment and vocational rehabilitation counselors, teachers or instructors, and kindred workers.

Technicians - Occupations that require a combination of basic scientific or technical knowledge and manual skill that can be obtained through specialized post-secondary school education or through equivalent on-the-job training. Includes: computer programmers and operators, technical illustrators, highway technicians, technicians (medical, dental, electronic, physical sciences) and kindred workers.

Protective Service Workers - Occupations in which workers are entrusted with public safety, security and protection from destructive forces. Includes: police officers, fire fighters, guards, sheriffs, bailiffs, correctional officers, detectives, marshals, harbor patrol officers, and kindred workers.

Para-Professionals - Occupations in which workers perform some of the duties of a professional or technician in a supportive role, which usually requires less formal training and/or experience normally required for professional or technical status. Such positions may fall within an identified pattern of a staff development and promotion under a "New Transporters" concept. Includes: library assistants, research assistants, medical aides, child support workers, police auxiliary, welfare service aides, recreation assistants, homemaker aides, home health aides, and kindred workers.

Office and Clerical - Occupations in which workers are responsible for internal and external communication, recording and retrieval of data and/or information and other paperwork required in an office. Includes: bookkeepers, messengers, office machine operators, clerk-typists, stenographers, court transcribers, hearings reporters, statistical clerks, dispatchers, license distributors, payroll clerks, and kindred workers.

Skilled Craft Workers - Occupations in which workers perform jobs which require special manual skill and a thorough and comprehensive knowledge of the processes involved in the work which is acquired through on-the-job training and experience or through apprenticeship or other formal training programs. Includes: mechanics and repairpersons, electricians, heavy equipment operators, stationary engineers, skilled machining occupations, carpenters, compositors and typesetters, and kindred workers.

Service/Maintenance - Occupations in which workers perform duties which result in or contribute to the comfort, convenience, hygiene or safety of the general public or which contribute to the upkeep and care of buildings, facilities or grounds of public property. Workers in this group may operate machinery. Includes: chauffeurs, laundry and dry cleaning operatives, truck drivers, bus drivers, garage laborers, custodial personnel, gardeners and groundskeepers, refuse collectors, and construction laborers.

CITY OF BERKELEY
Nuclear Free Zone Disclosure Form

I (we) certify that:

1. I am (we are) fully cognizant of any and all contracts held, products made or otherwise handled by this business entity, and of any such that are anticipated to be entered into, produced or handled for the duration of its contract(s) with the City of Berkeley. (To this end, more than one individual may sign this disclosure form, if a description of which type of contracts each individual is cognizant is attached.)
2. I (we) understand that Section 12.90.070 of the Nuclear Free Berkeley Act (Berkeley Municipal Code Ch. 12.90; Ordinance No. 5784-N.S.) prohibits the City of Berkeley from contracting with any person or business that knowingly engages in work for nuclear weapons.
3. I (we) understand the meaning of the following terms as set forth in Berkeley Municipal Code Section 12.90.130:

"Work for nuclear weapons" is any work the purpose of which is the development, testing, production, maintenance or storage of nuclear weapons or the components of nuclear weapons; or any secret or classified research or evaluation of nuclear weapons; or any operation, management or administration of such work.

"Nuclear weapon" is any device, the intended explosion of which results from the energy released by reactions involving atomic nuclei, either fission or fusion or both. This definition of nuclear weapons includes the means of transporting, guiding, propelling or triggering the weapon if and only if such means is destroyed or rendered useless in the normal propelling, triggering, or detonation of the weapon.

"Component of a nuclear weapon" is any device, radioactive or non-radioactive, the primary intended function of which is to contribute to the operation of a nuclear weapon (or be a part of a nuclear weapon).

4. Neither this business entity nor its parent nor any of its subsidiaries engages in work for nuclear weapons or anticipates entering into such work for the duration of its contract(s) with the City of Berkeley.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: _____ Title: _____

Signature: _____ Date: _____

Business Entity: _____

Contract Description/Specification No: **Mental Health Counseling Services for Older Adults/21-11415-C Re-Issued 2**

CITY OF BERKELEY
Oppressive States Compliance Statement

The undersigned, an authorized agent of _____ (hereafter "Vendor"), has had an opportunity to review the requirements of Berkeley City Council Resolution No. 59,853-N.S. (hereafter "Resolution"). Vendor understands and agrees that the City may choose with whom it will maintain business relations and may refrain from contracting with those Business Entities which maintain business relationships with morally repugnant regimes. Vendor understands the meaning of the following terms used in the Resolution:

"Business Entity" means "any individual, firm, partnership, corporation, association or any other commercial organization, including parent-entities and wholly-owned subsidiaries" (to the extent that their operations are related to the purpose of the contract with the City).

"Oppressive State" means: **Tibet Autonomous Region and the Provinces of Abo, Kham and U-Tsang**

"Personal Services" means "the performance of any work or labor and shall also include acting as an independent contractor or providing any consulting advice or assistance, or otherwise acting as an agent pursuant to a contractual relationship."

Contractor understands that it is not eligible to receive or retain a City contract if at the time the contract is executed, or at any time during the term of the contract it provides Personal Services to:

- a. The governing regime in any Oppressive State.
- b. Any business or corporation organized under the authority of the governing regime of any Oppressive State.
- c. Any person for the express purpose of assisting in business operations or trading with any public or private entity located in any Oppressive State.

Vendor further understands and agrees that Vendor's failure to comply with the Resolution shall constitute a default of the contract and the City Manager may terminate the contract and bar Vendor from bidding on future contracts with the City for five (5) years from the effective date of the contract termination.

The undersigned is familiar with, or has made a reasonable effort to become familiar with, Vendor's business structure and the geographic extent of its operations. By executing the Statement, Vendor certifies that it complies with the requirements of the Resolution and that if any time during the term of the contract it ceases to comply, Vendor will promptly notify the City Manager in writing.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: _____ Title: _____

Signature: _____ Date: _____

Business Entity: _____

Contract Description/Specification No: **Mental Health Counseling Services for Older Adults/21-11415-C Re-Issued 2**

I am unable to execute this Statement; however, Vendor is exempt under Section VII of the Resolution. I have attached a separate statement explaining the reason(s) Vendor cannot comply and the basis for any requested exemption.

Signature: _____ Date: _____

CITY OF BERKELEY
Sanctuary City Compliance Statement

The undersigned, an authorized agent of _____ (hereafter "Contractor"), has had an opportunity to review the requirements of Berkeley Code Chapter 13.105 (hereafter "Sanctuary City Contracting Ordinance" or "SCCO"). Contractor understands and agrees that the City may choose with whom it will maintain business relations and may refrain from contracting with any person or entity that provides Data Broker or Extreme Vetting services to the U.S. Immigration and Customs Enforcement Division of the United States Department of Homeland Security ("ICE"). Contractor understands the meaning of the following terms used in the SCCO:

- a. "Data Broker" means either of the following:
 - i. The collection of information, including personal information about consumers, from a wide variety of sources for the purposes of reselling such information to their customers, which include both private-sector business and government agencies;
 - ii. The aggregation of data that was collected for another purpose from that for which it is ultimately used.
- b. "Extreme Vetting" means data mining, threat modeling, predictive risk analysis, or other similar services." Extreme Vetting does not include:
 - i. The City's computer-network health and performance tools;
 - ii. Cybersecurity capabilities, technologies and systems used by the City of Berkeley Department of Information Technology to predict, monitor for, prevent, and protect technology infrastructure and systems owned and operated by the City of Berkeley from potential cybersecurity events and cyber-forensic based investigations and prosecutions of illegal computer based activity.

Contractor understands that it is not eligible to receive or retain a City contract if at the time the Contract is executed, or at any time during the term of the Contract, it provides Data Broker or Extreme Vetting services to ICE.

Contractor further understands and agrees that Contractor's failure to comply with the SCCO shall constitute a material default of the Contract and the City Manager may terminate the Contract and bar Contractor from bidding on future contracts with the City for five (5) years from the effective date of the contract termination.

By executing this Statement, Contractor certifies that it complies with the requirements of the SCCO and that if any time during the term of the Contract it ceases to comply, Contractor will promptly notify the City Manager in writing. Any person or entity who knowingly or willingly supplies false information in violation of the SCCO shall be guilty of a misdemeanor and up to a \$1,000 fine.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed this _____ day of _____, 20__, at _____, California.

Printed Name: _____ Title: _____

Signed: _____ Date: _____

Business Entity: _____

Contract Description/Specification No: **Mental Health Counseling Services for Older Adults/21-11415-C Re-Issued 2**

SCCO CompStmt (10/2019)

Attachment E

The undersigned, on behalf of himself or herself individually and on behalf of his or her business or organization, hereby certifies that he or she is fully aware of Berkeley's Living Wage Ordinance, and the applicability of the Living Wage Ordinance, and the applicability of the subject contract, as determined herein. The undersigned further agrees to be bound by all of the terms of the Living Wage Ordinance, as mandated in the Berkeley Municipal Code, Chapter 13.27. If, at any time during the term of the contract, the answers to the questions posed herein change so that Contractor would be subject to the LWO, Contractor will promptly notify the City Manager in writing. Contractor further understands and agrees that the failure to comply with the LWO, this certification, or the terms of the Contract as it applies to the LWO, shall constitute a default of the Contract and the City Manager may terminate the contract and bar Contractor from future contracts with the City for five (5) years from the effective date of the Contract termination. If the contractor is a for-profit business and the LWO is applicable to this contract, the contractor must pay a living wage to all employees who spend 25% or more of their compensated time engaged in work directly related to the contract with the City. If the contractor is a non-profit business and the LWO is applicable to this contract, the contractor must pay a living wage to all employees who spend 50% or more of their compensated time engaged in work directly related to the contract with the City.

These statements are made under penalty of perjury under the laws of the state of California.

Printed Name: _____ Title: _____

Signature: _____ Date: _____

Business Entity: _____

Contract Description/Specification No: **Mental Health Counseling Services for Older Adults/21-11415-C Re-Issued**

Section III

- **** FOR ADMINISTRATIVE USE ONLY -- PLEASE PRINT CLEARLY ****

I have reviewed this Living Wage Certification form, in addition to verifying Contractor's total dollar amount contract commitments with the City in the past twelve (12) months, and determined that this Contract **IS / IS NOT** (circle one) subject to Berkeley's Living Wage Ordinance.

Department Name

Department Representative

To be completed by
Contractor/Vendor



**Form EBO-1
CITY OF BERKELEY**

CERTIFICATION OF COMPLIANCE WITH EQUAL BENEFITS ORDINANCE

If you are a **contractor**, return this form to the originating department/project manager. If you are a **vendor** (supplier of goods), return this form to the Purchasing Division of the Finance Dept.

SECTION 1. CONTRACTOR/VENDOR INFORMATION

Name:		Vendor No.:	
Address:	City:	State:	ZIP:
Contact Person:		Telephone:	
E-mail Address:		Fax No.:	

SECTION 2. COMPLIANCE QUESTIONS

- A. The EBO is inapplicable to this contract because the contractor/vendor has no employees.
 Yes No *(If "Yes," proceed to Section 5; if "No", continue to the next question.)*
- B. Does your company provide (or make available at the employees' expense) any employee benefits?
 Yes No
If "Yes," continue to Question C.
If "No," proceed to Section 5. (The EBO is not applicable to you.)
- C. Does your company provide (or make available at the employees' expense) any benefits to the spouse of an employee? Yes No
- D. Does your company provide (or make available at the employees' expense) any benefits to the domestic partner of an employee? Yes No

If you answered "No" to both Questions C and D, proceed to Section 5. (The EBO is not applicable to this contract.) **If you answered "Yes" to both Questions C and D, please continue to Question E.**
If you answered "Yes" to Question C and "No" to Question D, please continue to Section 3.

- E. Are the benefits that are available to the spouse of an employee identical to the benefits that are available to the domestic partner of the employee? Yes No

If you answered "Yes," proceed to Section 4. (You are in compliance with the EBO.)
If you answered "No," continue to Section 3.

SECTION 3. PROVISIONAL COMPLIANCE

- A. Contractor/vendor is not in compliance with the EBO now but will comply by the following date:
 - By the first effective date after the first open enrollment process following the contract start date, not to exceed two years, if the Contractor submits evidence of taking reasonable measures to comply with the EBO; or
 - At such time that administrative steps can be taken to incorporate nondiscrimination in benefits in the Contractor's infrastructure, not to exceed three months; or
 - Upon expiration of the contractor's current collective bargaining agreement(s).

B. If you have taken all reasonable measures to comply with the EBO but are unable to do so, do you agree to provide employees with a cash equivalent?* Yes No

* The cash equivalent is the amount of money your company pays for spousal benefits that are unavailable for domestic partners.

SECTION 4. REQUIRED DOCUMENTATION

At time of issuance of purchase order or contract award, you may be required by the City to provide documentation (copy of employee handbook, eligibility statement from your plans, insurance provider statements, etc.) to verify that you do not discriminate in the provision of benefits.

SECTION 5. CERTIFICATION

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that I am authorized to bind this entity contractually. By signing this certification, I further agree to comply with all additional obligations of the Equal Benefits Ordinance that are set forth in the Berkeley Municipal Code and in the terms of the contract or purchase order with the City.

Executed this _____ day of _____, in the year _____, at _____, _____
(State) (City)

Name (please print)

Signature

Title

Federal ID or Social Security Number

FOR CITY OF BERKELEY USE ONLY

- Non-Compliant (The City may not do business with this contractor/vendor)
- One-Person Contractor/Vendor Full Compliance Reasonable Measures
- Provisional Compliance Category, Full Compliance by Date: _____
- Staff Name(*Sign and Print*): _____ Date: _____

CITY OF BERKELEY
Right to Audit Form

The contractor agrees that pursuant to Section 61 of the Berkeley City Charter, the City Auditor's office may conduct an audit of Contractor's financial, performance and compliance records maintained in connection with the operations and services performed under this contract.

In the event of such audit, Contractor agrees to provide the Auditor with reasonable access to Contractor's employees and make all such financial, performance and compliance records available to the Auditor's office. City agrees to provide Contractor an opportunity to discuss and respond to/any findings before a final audit report is filed.

Signed: _____ Date: _____

Print Name & Title: _____

Company: _____

Contract Description/Specification No: **Mental Health Counseling Services for Older Adults/21-11415-C Re-Issued 2**

Please direct questions regarding this form to the Auditor's Office, at (510) 981-6750.

Attachment H

CITY OF BERKELEY
Commercial General and Automobile Liability Endorsement

The attached Certificates of Insurance are hereby certified to be a part of the following policies having the following expiration dates:

Policy No.	Company Providing Policy	Expir. Date
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

The scope of the insurance afforded by the policies designated in the attached certificates is not less than that which is afforded by the Insurance Service Organization's or other "Standard Provisions" forms in use by the insurance company in the territory in which coverage is afforded.

Such Policies provide for or are hereby amended to provide for the following:

1. The named insured is _____.
2. CITY OF BERKELEY ("City") is hereby included as an additional insured with respect to liability arising out of the hazards or operations under or in connection with the following agreement:
_____.

The insurance provided applies as though separate policies are in effect for both the named insured and City, but does not increase the limits of liability set forth in said policies.

3. The limits of liability under the policies are not less than those shown on the certificate to which this endorsement is attached.
4. Cancellation or material reduction of this coverage will not be effective until thirty (30) days following written notice to _____, Department of _____, Berkeley, CA.
5. This insurance is primary and insurer is not entitled to any contribution from insurance in effect for City.

The term "City" includes successors and assigns of City and the officers, employees, agents and volunteers.

Insurance Company

Date: _____

By: _____

Signature of Underwriter's
Authorized Representative