REQUEST FOR PROPOSALS (RFP)  
Specification No. 18-11189-C  
FOR  
2018 Community Survey  

PROPOSALS WILL NOT BE OPENED AND READ PUBLICLY

Dear Proposer:

The City of Berkeley is soliciting written proposals from qualified firms or individuals to develop and perform one (1) or two (2) telephone surveys of registered voters and also provide the associated analysis and reports. As a Request for Proposal (RFP) this is not an invitation to bid and although price is very important, other factors will be taken into consideration.

The project scope, content of proposal, and vendor selection process are summarized in the RFP (attached). Proposals must be received no later than 2:00 pm, on Thursday, January 18, 2018. All responses must be in a sealed envelope and have “2018 Community Survey” and Specification No.18-11189-C clearly marked on the outer most mailing envelope. Please submit one (1) unbound original and four (4) unbound copies of the proposal as follows:

Mail or Hand Deliver To:  
City of Berkeley  
Finance Department/General Services Division  
2180 Milvia Street, 3rd Floor  
Berkeley, CA 94704

Proposals will not be accepted after the date and time stated above. Incomplete proposal or proposals that do not conform to the requirements specified herein will not be considered. Issuance of the RFP does not obligate the City to award a contract, nor is the City liable for any costs incurred by the proposer in the preparation and submittal of proposals for the subject work. The City retains the right to award all or parts of this contract to several bidders, to not select any bidders, and/or to re-solicit proposals. The act of submitting a proposal is a declaration that the proposer has read the RFP and understands all the requirements and conditions.

For questions concerning the anticipated work, or scope of the project, please contact Matthai Chakko, Assistant to the City Manager/Public Information Officer, via email at Mchakko@cityofberkeley.info no later than January 5, 2018. Answers to questions will not be provided by telephone or email. Rather, answers to all questions or any addenda will be posted on the City of Berkeley’s site at http://www.cityofberkeley.info/ContentDisplay.aspx?id=7128. It is the vendor’s responsibility to check this site. For general questions concerning the submittal process, contact purchasing at 510-981-7320.

We look forward to receiving and reviewing your proposal.

Sincerely,

Shari Hamilton  
General Services Manager
I. BACKGROUND

On June 27, 2017, the City Council adopted the FY 2018 & FY 2019 Biennial Budget and Capital Improvement Program. The City has used a number of measures to resolve budget shortfalls. However, these balancing measures do not resolve future projected General Fund deficits. We are still facing budgetary challenges in FY 2020 and beyond, since our projected expenditures continue to outpace our projected revenues. Over the next fiscal year, staff will work with Council, labor, and the community to address the ongoing structural deficits and bring projected expenditures in line with projected revenues. This may involve a combination of expenditure reductions, identification of new revenues, or realignment of current services or programs.

Voters, in recent years, have approved a $30 million infrastructure bond in 2012, an increase in the Parks Tax in 2014, and, in 2016, the passage of a $100 million infrastructure bond. Areas of discussion involve needs to create more affordable housing as well as transitional housing and services to address homelessness. These issues, while receiving some resources, do not have the funding to match the need.

Should the City Council wish to place one or more revenue measures on the November 2018 ballot, the Council may also wish to direct staff to undertake one (1) or two (2) community surveys to assess the community’s support for various types of projects.

II. SCOPE OF SERVICES

The purpose of this RFP is to select a research firm to conduct up to two (2) community surveys of eligible registered voters to determine public opinions regarding revenue measures that may be considered for the November 2018 ballot.

Based on the ballot-measure development process in previous years, the City anticipates that two (2) polls may be necessary. The first poll assesses voter satisfaction with City of Berkeley services in general; general support for additional investments in specific project areas; and preferences for financing mechanism (i.e., bond or special tax). The second poll, if deemed necessary assesses whether the specific projects under consideration adequately address the community’s interests.

For each survey, the selected firm will work with City staff and the Council to develop a survey approach and questions. The firm will then conduct a pre-test, conduct the survey, prepare a comprehensive report analyzing and interpreting the results, and present the results to the City Council and public. Both a written report and live presentation will be required, and a question and answer session should be expected.

A detailed calendar appears below, but the first Council meeting on the Community Survey will be in mid-February. We expect primary survey development to be done during March and final Council presentations and reports to be done by mid-July 2018.

Background and Research Goals

Over the course of both surveys, the City desires to:
- Measure general attitudes of Berkeley voters towards City services;
- Determine preferences and priorities for future City services;
- Test willingness to pay to maintain and/or enhance City services;
- Determine what service components generate the most support and the most opposition;
- Examine how voters react to different cost levels and structure;
- Assess the impact of voter support if multiple revenue-generating measures appear individually or together as a single measure.
Deliverables

1. **Research and Methodology**
   The selected firm will research prior Berkeley ballot measures, including previous polls and ballot measure results, and recommend an appropriate methodology for accurately assessing voter interests and support. The City will provide necessary historical documents, as well as information relevant to the current considerations. The RFP response and survey results should demonstrate an understanding of the above, as well as an understanding of various funding mechanisms.

2. **Survey Development**
   The surveys will be developed in conjunction with City staff and City staff will approve a final version of the survey prior to the pre-test.

   For each survey, the selected firm will provide a top-line report, cross-tabulation tables, a written analysis and a presentation to the City Council.

3. **Top-Line Report**
   This report will provide the percentages of respondents who answered each question and will otherwise summarize the survey results.

4. **Survey Analysis**
   The survey analysis should include:
   a. Tabulation of each call made during the course of the survey and its outcome on each question;
   b. Cross-tab results, which will provide the ability to determine how opinion on a question may be divided by demographics such as gender, age, ethnicity, and income, as well as combinations of some categories.

5. **Results Report and Presentation**
   In order to assist the City Council in its policy-making capacity, the selected firm will provide top-line results and analysis after each survey. The report and the presentation will be provided to the Council at Work Sessions or regular Council meetings. A representative of the firm will attend the Council meetings in order to present the findings and answer questions about methodology, results and analysis. A PowerPoint presentation to assist in the presentation of results data is expected.

**Schedule**

The timeline below has been developed to allow sufficient time for development and consideration of both surveys. The calendar below includes deadlines for reports and City Council meetings at which a representative of the firm will be needed. This calendar may be adjusted by the City and in consultation with the selected firm.

<table>
<thead>
<tr>
<th>Council Meeting Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>Feb. 20: Council Worksession</td>
<td>City Council to discuss possible revenue measures questions to be included</td>
</tr>
<tr>
<td>Feb. 27: Council Meeting</td>
<td>Council gives direction on revenue measures and questions</td>
</tr>
<tr>
<td>Month of March</td>
<td>Survey development, including meetings with staff, survey to field</td>
</tr>
<tr>
<td>March 27: Council Meeting</td>
<td>Survey results presented at work session: Council provides direction on second survey</td>
</tr>
<tr>
<td>Month of April</td>
<td>In field with survey</td>
</tr>
<tr>
<td>May 15: Council Work Session</td>
<td>Survey results presented at work session</td>
</tr>
<tr>
<td>May 15: Council Meeting</td>
<td>Council to provide direction on ballot language</td>
</tr>
</tbody>
</table>

Revised June 2017
III. SUBMISSION REQUIREMENTS

All proposals shall include the following information, organized as separate sections of the proposal. The proposal should be concise and to the point.

1. Contractor Identification:

   Provide the name of the firm, the firm's principal place of business, the name and telephone number of the contact person and company tax identification number.

2. Client References:

   Provide a minimum of three (3) to five (5) client references. References should be California cities or other large public sector entities. Provide the designated person's name, title, organization, address, telephone number, and the project(s) that were completed under that client’s direction.

3. Demonstrated Understanding of Scope of Services and Approach:

   Provide a narrative that demonstrates understanding of the City’s needs, as described in the Scope of Services, and elaborate on respondent’s recommended approach to the work. Respondents are encouraged to demonstrate insight into the City of Berkeley’s unique qualities and how that relates to the required work.

4. Qualifications and Previous Experience of Firm and Key Staff:

   Describe the qualifications of the firm or entity and key staff engaged in community survey and analysis work within the past five (5) years under contracts similar in size and scope to demonstrate competence to perform the Scope of Services. Information to include:

   a. A summary of capability, including the breadth of issues addressed by previous survey and analysis work, and examples of any complex circumstances that necessitated or impacted the work.

   b. Provide resumes for the key staff responsible for the delivery of the Scope of Services, including past projects, roles, and areas of expertise.

5. Price Proposal:

   The two (2) polls should be priced separately. The proposal shall include pricing for all services.

   Pricing shall be all inclusive unless indicated otherwise on a separate pricing sheet. The Proposal shall itemize all services, including hourly rates for all professional, technical and support personnel, and all other charges related to completion of the work shall be itemized.

   The firm will, if requested, provide 15 printed, bound copies of the Top-Line Report and cross-tabulation tables for each survey to the City for distribution to elected officials and key staff. The price of the bound copies should be included in the price proposal and deducted from the final invoiced amounts if the bound copies are not requested by the City.

6. Contract Terminations:

   **If your organization has had a contract terminated in the last five (5) years, describe such incident.** Termination for default is defined as notice to stop performance due to the vendor’s non-performance or poor
performance and the issue of performance was either (a) not litigated due to inaction on the part of the vendor, or (b) litigated and such litigation determined that the vendor was in default.

Submit full details of the terms for default including the other party’s name, address, and phone number. Present the vendor’s position on the matter. The City will evaluate the facts and may, at its sole discretion, reject the proposal on the grounds of the past experience.

If the firm has not experienced any such termination for default or early termination in the past five (5) years, so indicate.

7. (Other submission requirements needed to evaluate proposals and determine if contractor is qualified to do project.)

IV. SELECTION CRITERIA

The following criteria will be considered, although not exclusively, in determining which firm is hired.

1. References 10 percent
2. Costs 15 percent
3. Demonstrated Understanding of Scope of Services and Approach: 45 percent
4. Qualifications and Previous Experience of Key Staff: 30 percent

A selection panel will be convened of staff to interview firms

V. PAYMENT

Invoices: Invoices must be fully itemized, and provide sufficient information for approving payment and audit. Invoices must be accompanied by receipt for services in order for payment to be processed. Mail invoices to the Project Manager and reference the contract number.

City of Berkeley
Accounts Payable
PO Box 700
Berkeley, CA 94701
Attn: Matthai Chakko

Payments: The City will make payment to the vendor within 30- days of receipt of a correct and complete invoice.

VI. CITY REQUIREMENTS

A. Non-Discrimination Requirements:

Ordinance No. 5876-N.S. codified in B.M.C. Chapter 13.26 states that, for contracts worth more than $3,000 bids for supplies or bids or proposals for services shall include a completed Workforce Composition Form. Businesses with fewer than five employees are exempt from submitting this form. (See B.M.C. 13.26.030)

Under B.M.C. section 13.26.060, the City may require any bidder or vendor it believes may have discriminated to submit a Non-Discrimination Program. The Contract Compliance Officer will make this determination. This applies to all contracts and all consultants (contractors). Berkeley Municipal Code section 13.26.070 requires
that all contracts with the City contain a non-discrimination clause, in which the contractor agrees not to discriminate and allows the City access to records necessary to monitor compliance. This section also applies to all contracts and all consultants. **Bidders must submit the attached Non-Discrimination Disclosure Form with their proposal**

**B. Nuclear Free Berkeley Disclosure Form:**

Berkeley Municipal Code section 12.90.070 prohibits the City from granting contracts to companies that knowingly engage in work for nuclear weapons. This contracting prohibition may be waived if the City Council determines that no reasonable alternative exists to doing business with a company that engages in nuclear weapons work. If your company engages in work for nuclear weapons, explain on the Disclosure Form the nature of such work. **Bidders must submit the attached Nuclear Free Disclosure Form with their proposal.**

**C. Oppressive States:**

The City of Berkeley prohibits granting of contracts to firms that knowingly provide personal services to specified Countries. This contracting prohibition may be waived if the City Council determines that no reasonable alternative exists to doing business with a company that is covered by City Council Resolution No. 59,853-N.S. If your company or any subsidiary is covered, explain on the Disclosure Form the nature of such work. **Bidders must submit the attached Oppressive States Disclosure Form with their proposal.**

**D. Conflict of Interest:**

In the sole judgment of the City, any and all proposals are subject to disqualification on the basis of a conflict of interest. The City may not contract with a vendor if the vendor or an employee, officer or director of the proposer's firm, or any immediate family member of the preceding, has served as an elected official, employee, board or commission member of the City who influences the making of the contract or has a direct or indirect interest in the contract. Furthermore, the City may not contract with any vendor whose income, investment, or real property interest may be affected by the contract. The City, at its sole option, may disqualify any proposal on the basis of such a conflict of interest. **Please identify any person associated with the firm that has a potential conflict of interest.**

**E. Berkeley Living Wage Ordinance:**

Chapter 13.27 of the Berkeley Municipal Code requires that contractors offer all eligible employees with City mandated minimum compensation during the term of any contract that may be awarded by the City. If the Contractor is not currently subject to the Living Wage Ordinance, cumulative contracts with the City within a one-year period may subject Contractor to the requirements under B.M.C. Chapter 13.27. A certification of compliance with this ordinance will be required upon execution of a contract. The Living Wage rate is currently $14.97 (if medical benefits are provided) or $17.45 (if medical benefits are not provided). The Living Wage rate is adjusted automatically effective June 30th of each year commensurate with the corresponding increase in the Consumer Price Index published in April of each year. If the Living Wage rate is adjusted during the term of your agreement, you must pay the new adjusted rate to all eligible employees, regardless of what the rate was when the contract was executed.

**F. Berkeley Equal Benefits Ordinance:**

Chapter 13.29 of the Berkeley Municipal Code requires that contractors offer domestic partners the same access to benefits that are available to spouses. A certification of compliance with this ordinance will be required upon execution of a contract.
G. Statement of Economic Interest:

The City’s Conflict of Interest Code designates “consultants” as a category of persons who must complete Form 700, Statement of Economic Interest, at the beginning of the contract period and again at the termination of the contract. The selected contractor will be required to complete the Form 700 before work may begin.

VII. OTHER REQUIREMENTS

A. Insurance

The selected contractor will be required to maintain general liability insurance in the minimum amount of $2,000,000, automobile liability insurance in the minimum amount of $1,000,000 and a professional liability insurance policy in the amount of $2,000,000 to cover any claims arising out of the performance of the contract. The general liability and automobile insurance must name the City, its officers, agents, volunteers and employees as additional insureds.

B. Worker’s Compensation Insurance:

A selected contractor who employs any person shall maintain workers' compensation insurance in accordance with state requirements. Sole proprietors with no employees are not required to carry Worker’s Compensation Insurance.

C. Business License

Virtually every contractor that does business with the City must obtain a City business license as mandated by B.M.C. Ch. 9.04. The business license requirement applies whether or not the contractor has an office within the City limits. However, a "casual" or "isolated" business transaction (B.M.C. section 9.04.010) does not subject the contractor to the license tax. Warehousing businesses and charitable organizations are the only entities specifically exempted in the code from the license requirement (see B.M.C. sections, 9.04.295 and 9.04.300). Non-profit organizations are granted partial exemptions (see B.M.C. section 9.04.305). Persons who, by reason of physical infirmity, unavoidable misfortune, or unavoidable poverty, may be granted an exemption of one annual free license at the discretion of the Director of Finance. (see B.M.C. sections 9.04.290).

Vendor must apply for a City business license and show proof of application to Purchasing Manager within seven days of being selected as intended contractor.

The Customer Service Division of the Finance Department located at 1947 Center Street, Berkeley, CA 94704, issues business licenses. Contractors should contact this division for questions and/or information on obtaining a City business license, in person, or by calling 510-981-7200.

D. Recycled Paper

All reports to the City shall be on recycled paper that contains at least 50% recycled product when such paper is available at a cost of not greater than ten percent more than the cost of virgin paper, and when such paper is available at the time it is required. If recycled paper is not available the Contractor shall use white paper. Written reports or studies shall be printed on both sides of the page whenever practical.

E. State Prevailing Wage:

Certain labor categories under this project may be subject to prevailing wages as identified in the State of California Labor Code commencing in Section 1770 et. seq. These labor categories, when employed for any “work performed during the design and preconstruction phases of construction including, but not limited to,
inspection and land surveying work,” constitute a “Public Work” within the definition of Section 1720(a)(1) of the California Labor Code requiring payment of prevailing wages.

Wage information is available through the California Division of Industrial Relations web site at: http://www.dir.ca.gov/OPRL/statistics_and_databases.html

VIII. SCHEDULE (dates are subject to change)

- Issue RFP to potential bidders: 12/20/17
- Questions Due: 1/5/18
- Proposals due from potential bidders: 1/18/18
- Interviews Scheduled with Finalists: 1/19/18
- Finalists Interviewed: 1/22/18
- Complete Selection Process: 1/25/18
- Council Approval of Contract (over $50k): 2/13/18
- Award of Contract: 2/14/18
- Sign and Process Contract: 2/14/18
- Notice to proceed: 2/15/18

Thank you for your interest in working with the City of Berkeley. We look forward to receiving your proposal.

Attachments:

- Check List of Required items for Submittal Attachment A
- Non-Discrimination/Workforce Composition Form Attachment B
- Nuclear Free Disclosure Form Attachment C
- Oppressive States Form Attachment D
- Living Wage Form Attachment E
- Equal Benefits Certification of Compliance Attachment F
- Right to Audit Form Attachment G
- Insurance Endorsement Attachment H
ATTACHMENT A

CHECKLIST

☑ Proposal describing service (one (1) unbound original and 4 copies)

☑ Contractor Identification and Company Information

☑ Client References

☑ Costs proposal by task, type of service & personnel

☑ The following forms, completed and signed in blue ink (attached):
  
  ☐ Non-Discrimination/Workforce Composition Form Attachment B
  
  ☐ Nuclear Free Disclosure Form Attachment C
  
  ☐ Oppressive States Form Attachment D
  
  ☐ Living Wage Form (may be optional) Attachment E
  
  ☐ Equal Benefits Ordinance Certification of Compliance (EBO-1) Attachment F

ADDITIONAL SUBMITTALS REQUIRED FROM SELECTED VENDOR AFTER COUNCIL APPROVAL TO AWARD CONTRACT.

☐ Provide original-signed in blue ink Evidence of Insurance
  
  ☐ Auto
  
  ☐ Liability
  
  ☐ Worker’s Compensation

☐ Right to Audit Form Attachment G

☐ Commercial General & Automobile Liability Endorsement Form Attachment H

☐ Berkeley Business License

For informational purposes only: Sample of Personal Services Contract can be found on the City’s website on the current bid and proposal page at the top of the page.
NON-DISCRIMINATION/WORKFORCE COMPOSITION FORM FOR NON-CONSTRUCTION CONTRACTS

To assist the City of Berkeley in implementing its Non-Discrimination policy, it is requested that you furnish information regarding your personnel as requested below and return it to the City Department handling your contract:

Organization: ________________________________________________________

Address: __________________________________________________________________

Business Lic. #: ___________

Occupational Category: ________________________________

(See reverse side for explanation of terms)

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<thead>
<tr>
<th>Occupational Category</th>
<th>Total Employees</th>
<th>White Employees</th>
<th>Black Employees</th>
<th>Asian Employees</th>
<th>Hispanic Employees</th>
<th>Other Employees</th>
</tr>
</thead>
<tbody>
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<td></td>
<td>Female</td>
<td>Male</td>
<td>Female</td>
<td>Male</td>
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<tr>
<td>Official/Administrators</td>
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<td>Professionals</td>
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<td>Technicians</td>
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<td>Protective Service Workers</td>
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<td>Para-Professionals</td>
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<td>Office/Clerical</td>
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<td>Skilled Craft Workers</td>
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<td>Service/Maintenance</td>
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<td>Other (specify)</td>
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<td>Totals:-----------------</td>
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Is your business MBE/WBE/DBE certified?  Yes _____  No _____   If yes, by what agency? _____________________________

If yes, please specify: Male: _____  Female: _____  Indicate ethnic identifications: _____________________________

Do you have a Non-Discrimination policy?  Yes: _____  No: _____

Signed: ________________________________ Date: __________________

Verified by: ________________________________ Date: __________________

City of Berkeley Contract Compliance Officer

Attachment B
Occupational Categories

**Officials and Administrators** - Occupations in which employees set broad policies, exercise overall responsibility for execution of these policies, or provide specialized consultation on a regional, district or area basis. Includes: department heads, bureau chiefs, division chiefs, directors, deputy superintendents, unit supervisors and kindred workers.

**Professionals** - Occupations that require specialized and theoretical knowledge that is usually acquired through college training or through work experience and other training that provides comparable knowledge. Includes: personnel and labor relations workers, social workers, doctors, psychologists, registered nurses, economists, dietitians, lawyers, systems analysts, accountants, engineers, employment and vocational rehabilitation counselors, teachers or instructors, and kindred workers.

**Technicians** - Occupations that require a combination of basic scientific or technical knowledge and manual skill that can be obtained through specialized post-secondary school education or through equivalent on-the-job training. Includes: computer programmers and operators, technical illustrators, highway technicians, technicians (medical, dental, electronic, physical sciences) and kindred workers.

**Protective Service Workers** - Occupations in which workers are entrusted with public safety, security and protection from destructive forces. Includes: police officers, fire fighters, guards, sheriffs, bailiffs, correctional officers, detectives, marshals, harbor patrol officers, and kindred workers.

**Para-Professionals** - Occupations in which workers perform some of the duties of a professional or technician in a supportive role, which usually requires less formal training and/or experience normally required for professional or technical status. Such positions may fall within an identified pattern of a staff development and promotion under a "New Transporters" concept. Includes: library assistants, research assistants, medical aides, child support workers, police auxiliary, welfare service aides, recreation assistants, homemaker aides, home health aides, and kindred workers.

**Office and Clerical** - Occupations in which workers are responsible for internal and external communication, recording and retrieval of data and/or information and other paperwork required in an office. Includes: bookkeepers, messengers, office machine operators, clerk-typists, stenographers, court transcribers, hearings reporters, statistical clerks, dispatchers, license distributors, payroll clerks, and kindred workers.

**Skilled Craft Workers** - Occupations in which workers perform jobs which require special manual skill and a thorough and comprehensive knowledge of the processes involved in the work which is acquired through on-the-job training and experience or through apprenticeship or other formal training programs. Includes: mechanics and repairpersons, electricians, heavy equipment operators, stationary engineers, skilled machining occupations, carpenters, compositors and typesetters, and kindred workers.

**Service/Maintenance** - Occupations in which workers perform duties which result in or contribute to the comfort, convenience, hygiene or safety of the general public or which contribute to the upkeep and care of buildings, facilities or grounds of public property. Workers in this group may operate machinery. Includes: chauffeurs, laundry and dry cleaning operatives, truck drivers, bus drivers, garage laborers, custodial personnel, gardeners and groundskeepers, refuse collectors, and construction laborers.
CITY OF BERKELEY
Nuclear Free Zone Disclosure Form

I (we) certify that:

1. I am (we are) fully cognizant of any and all contracts held, products made or otherwise handled by this business entity, and of any such that are anticipated to be entered into, produced or handled for the duration of its contract(s) with the City of Berkeley. (To this end, more than one individual may sign this disclosure form, if a description of which type of contracts each individual is cognizant is attached.)

2. I (we) understand that Section 12.90.070 of the Nuclear Free Berkeley Act (Berkeley Municipal Code Ch. 12.90; Ordinance No. 5784-N.S.) prohibits the City of Berkeley from contracting with any person or business that knowingly engages in work for nuclear weapons.

3. I (we) understand the meaning of the following terms as set forth in Berkeley Municipal Code Section 12.90.130:

"Work for nuclear weapons" is any work the purpose of which is the development, testing, production, maintenance or storage of nuclear weapons or the components of nuclear weapons; or any secret or classified research or evaluation of nuclear weapons; or any operation, management or administration of such work.

"Nuclear weapon" is any device, the intended explosion of which results from the energy released by reactions involving atomic nuclei, either fission or fusion or both. This definition of nuclear weapons includes the means of transporting, guiding, propelling or triggering the weapon if and only if such means is destroyed or rendered useless in the normal propelling, triggering, or detonation of the weapon.

"Component of a nuclear weapon" is any device, radioactive or non-radioactive, the primary intended function of which is to contribute to the operation of a nuclear weapon (or be a part of a nuclear weapon).

4. Neither this business entity nor its parent nor any of its subsidiaries engages in work for nuclear weapons or anticipates entering into such work for the duration of its contract(s) with the City of Berkeley.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: ___________________________ Title: ___________________________

Signature: ___________________________ Date: ___________________________

Business Entity: _______________________________________________________


Attachment C
CITY OF BERKELEY
Oppressive States Compliance Statement

The undersigned, an authorized agent of ______________________________________ (hereafter “Vendor”), has had an opportunity to review the requirements of Berkeley City Council Resolution No. 59,853-N.S. (hereafter "Resolution"). Vendor understands and agrees that the City may choose with whom it will maintain business relations and may refrain from contracting with those Business Entities which maintain business relationships with morally repugnant regimes. Vendor understands the meaning of the following terms used in the Resolution:

"Business Entity” means "any individual, firm, partnership, corporation, association or any other commercial organization, including parent-entities and wholly-owned subsidiaries” (to the extent that their operations are related to the purpose of the contract with the City).

"Oppressive State” means: Tibet Autonomous Region and the Provinces of Ado, Kham and U-Tsang

“Personal Services” means “the performance of any work or labor and shall also include acting as an independent contractor or providing any consulting advice or assistance, or otherwise acting as an agent pursuant to a contractual relationship.”

Contractor understands that it is not eligible to receive or retain a City contract if at the time the contract is executed, or at any time during the term of the contract it provides Personal Services to:

a. The governing regime in any Oppressive State.
b. Any business or corporation organized under the authority of the governing regime of any Oppressive State.
c. Any person for the express purpose of assisting in business operations or trading with any public or private entity located in any Oppressive State.

Vendor further understands and agrees that Vendor's failure to comply with the Resolution shall constitute a default of the contract and the City Manager may terminate the contract and bar Vendor from bidding on future contracts with the City for five (5) years from the effective date of the contract termination.

The undersigned is familiar with, or has made a reasonable effort to become familiar with, Vendor's business structure and the geographic extent of its operations. By executing the Statement, Vendor certifies that it complies with the requirements of the Resolution and that if any time during the term of the contract it ceases to comply, Vendor will promptly notify the City Manager in writing.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: ___________________________________ Title: __________________________________________

Signature: ____________________________________ Date: ________________________________

Business Entity: ___________________________________________________________________________

Contract Description/Specification No.: 2018 Community Survey/ 18-11189-C

I am unable to execute this Statement; however, Vendor is exempt under Section VII of the Resolution. I have attached a separate statement explaining the reason(s) Vendor cannot comply and the basis for any requested exemption.

Signature: ____________________________________ Date: ________________________________

Attachment D
CITY OF BERKELEY
Living Wage Certification for Providers of Services

TO BE COMPLETED BY ALL PERSONS OR ENTITIES ENGAGING IN A CONTRACT FOR PERSONAL SERVICES WITH THE CITY OF BERKELEY.

The Berkeley Municipal Code Chapter 13.27, Berkeley’s Living Wage Ordinance (LWO), provides that contractors who engage in a specified amount of business with the City (except where specifically exempted) under contracts which furnish services to or for the City in any twelve (12) month period of time shall comply with all provisions of this Ordinance. The LWO requires a City contractor to provide City mandated minimum compensation to all eligible employees, as defined in the Ordinance. In order to determine whether this contract is subject to the terms of the LWO, please respond to the questions below. Please note that the LWO applies to those contracts where the contractor has achieved a cumulative dollar contracting amount with the City. Therefore, even if the LWO is inapplicable to this contract, subsequent contracts may be subject to compliance with the LWO. Furthermore, the contract may become subject to the LWO if the status of the Contractor’s employees change (i.e. additional employees are hired) so that Contractor falls within the scope of the Ordinance.

Section I.

1. **IF YOU ARE A FOR-PROFIT BUSINESS, PLEASE ANSWER THE FOLLOWING QUESTIONS**

   a. During the previous twelve (12) months, have you entered into contracts, including the present contract, bid, or proposal, with the City of Berkeley for a cumulative amount of $25,000.00 or more?
      
      YES ___    NO ___

      If **no**, this contract is **NOT** subject to the requirements of the LWO, and you may continue to Section II. If **yes**, please continue to question 1(b).

   b. Do you have six (6) or more employees, including part-time and stipend workers?
      
      YES ___    NO ___

      If you have answered, “**YES**” to questions 1(a) and 1(b) this contract **IS** subject to the LWO. If you responded "**NO**" to 1(b) this contract **IS NOT** subject to the LWO. Please **continue to Section II**.

2. **IF YOU ARE A NON-PROFIT BUSINESS, AS DEFINED BY SECTION 501(C) OF THE INTERNAL REVENUE CODE OF 1954, PLEASE ANSWER THE FOLLOWING QUESTIONS.**

   a. During the previous twelve (12) months, have you entered into contracts, including the present contract, bid or proposal, with the City of Berkeley for a cumulative amount of $100,000.00 or more?
      
      YES ___    NO ___

      If no, this Contract is **NOT** subject to the requirements of the LWO, and you may continue to Section II. If **yes**, please continue to question 2(b).

   b. Do you have six (6) or more employees, including part-time and stipend workers?
      
      YES ___    NO ___

      If you have answered, “**YES**” to questions 2(a) and 2(b) this contract **IS** subject to the LWO. If you responded "**NO**" to 2(b) this contract **IS NOT** subject to the LWO. Please **continue to Section II**.

Section II

Please read, complete, and sign the following:

THIS CONTRACT **IS SUBJECT TO THE LIVING WAGE ORDINANCE.**

THIS CONTRACT **IS NOT SUBJECT TO THE LIVING WAGE ORDINANCE.**

Attachment E
The undersigned, on behalf of himself or herself individually and on behalf of his or her business or organization, hereby certifies that he or she is fully aware of Berkeley's Living Wage Ordinance, and the applicability of the Living Wage Ordinance, and the applicability of the subject contract, as determined herein. The undersigned further agrees to be bound by all of the terms of the Living Wage Ordinance, as mandated in the Berkeley Municipal Code, Chapter 13.27. If, at any time during the term of the contract, the answers to the questions posed herein change so that Contractor would be subject to the LWO, Contractor will promptly notify the City Manager in writing. Contractor further understands and agrees that the failure to comply with the LWO, this certification, or the terms of the Contract as it applies to the LWO, shall constitute a default of the Contract and the City Manager may terminate the contract and bar Contractor from future contracts with the City for five (5) years from the effective date of the Contract termination. If the contractor is a for-profit business and the LWO is applicable to this contract, the contractor must pay a living wage to all employees who spend 25% or more or their compensated time engaged in work directly related to the contract with the City. If the contractor is a non-profit business and the LWO is applicable to this contract, the contractor must pay a living wage to all employees who spend 50% or more or their compensated time engaged in work directly related to the contract with the City.

These statements are made under penalty of perjury under the laws of the state of California.

Printed Name: ___________________________________ Title: _______________________________________

Signature: ______________________________________ Date: ____________________________

Business Entity: ______________________________________________________________________________


Section III

• "FOR ADMINISTRATIVE USE ONLY -- PLEASE PRINT CLEARLY * * *

I have reviewed this Living Wage Certification form, in addition to verifying Contractor's total dollar amount contract commitments with the City in the past twelve (12) months, and determined that this Contract IS / IS NOT (circle one) subject to Berkeley's Living Wage Ordinance.

_________________________________________________  ____________________________________________
Department Name                                      Department Representative
Form EBO-1
CITY OF BERKELEY
CERTIFICATION OF COMPLIANCE WITH EQUAL BENEFITS ORDINANCE
If you are a contractor, return this form to the originating department/project manager. If you are a vendor (supplier of goods), return this form to the Purchasing Division of the Finance Dept.

SECTION 1. CONTRACTOR/VENDOR INFORMATION

<table>
<thead>
<tr>
<th>Name:</th>
<th>Vendor No.:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Address:</td>
<td>City:</td>
</tr>
<tr>
<td>Contact Person:</td>
<td>State:</td>
</tr>
<tr>
<td>E-mail Address:</td>
<td>ZIP:</td>
</tr>
<tr>
<td>Telephone:</td>
<td>Fax No.:</td>
</tr>
</tbody>
</table>

SECTION 2. COMPLIANCE QUESTIONS

A. The EBO is inapplicable to this contract because the contractor/vendor has no employees.
   Yes ☐ No ☐ (If “Yes,” proceed to Section 5; if “No”, continue to the next question.)

B. Does your company provide (or make available at the employees’ expense) any employee benefits?
   Yes ☐ No ☐
   If “Yes,” continue to Question C.
   If “No,” proceed to Section 5. (The EBO is not applicable to you.)

C. Does your company provide (or make available at the employees’ expense) any benefits to the spouse of an employee?
   ☐ Yes ☐ No

D. Does your company provide (or make available at the employees’ expense) any benefits to the domestic partner of an employee?
   ☐ Yes ☐ No

If you answered “No” to both Questions C and D, proceed to Section 5. (The EBO is not applicable to this contract.) If you answered “Yes” to both Questions C and D, please continue to Question E. If you answered “Yes” to Question C and “No” to Question D, please continue to Section 3.

E. Are the benefits that are available to the spouse of an employee identical to the benefits that are available to the domestic partner of the employee?
   ☐ Yes ☐ No

If you answered “Yes,” proceed to Section 4. (You are in compliance with the EBO.) If you answered “No,” continue to Section 3.

SECTION 3. PROVISIONAL COMPLIANCE

A. Contractor/vendor is not in compliance with the EBO now but will comply by the following date:
   ☐ By the first effective date after the first open enrollment process following the contract start date, not to exceed two years, if the Contractor submits evidence of taking reasonable measures to comply with the EBO; or
   ☐ At such time that administrative steps can be taken to incorporate nondiscrimination in benefits in the Contractor’s infrastructure, not to exceed three months; or
   ☐ Upon expiration of the contractor’s current collective bargaining agreement(s).
B. If you have taken all reasonable measures to comply with the EBO but are unable to do so, do you agree to provide employees with a cash equivalent?* Yes ☐ No ☐

* The cash equivalent is the amount of money your company pays for spousal benefits that are unavailable for domestic partners.

SECTION 4. REQUIRED DOCUMENTATION

At time of issuance of purchase order or contract award, you may be required by the City to provide documentation (copy of employee handbook, eligibility statement from your plans, insurance provider statements, etc.) to verify that you do not discriminate in the provision of benefits.

SECTION 5. CERTIFICATION

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that I am authorized to bind this entity contractually. By signing this certification, I further agree to comply with all additional obligations of the Equal Benefits Ordinance that are set forth in the Berkeley Municipal Code and in the terms of the contract or purchase order with the City.

Executed this _______ day of ______________, in the year ____________, at __________________, ____________ (City) (State)

Name (please print) ___________________________ Signature ___________________________

Title ___________________________ Federal ID or Social Security Number ___________________________

FOR CITY OF BERKELEY USE ONLY

☐ Non-Compliant (The City may not do business with this contractor/vendor)
☐ One-Person Contractor/Vendor ☐ Full Compliance ☐ Reasonable Measures
☐ Provisional Compliance Category, Full Compliance by Date: ___________________________

Staff Name(Sign and Print): ___________________________ Date: ___________________________

Attachment F
CITY OF BERKELEY
Right to Audit Form

The contractor agrees that pursuant to Section 61 of the Berkeley City Charter, the City Auditor’s office may conduct an audit of Contractor’s financial, performance and compliance records maintained in connection with the operations and services performed under this contract.

In the event of such audit, Contractor agrees to provide the Auditor with reasonable access to Contractor’s employees and make all such financial, performance and compliance records available to the Auditor’s office. City agrees to provide Contractor an opportunity to discuss and respond to any findings before a final audit report is filed.

Signed: _______________________________ Date: ________________

Print Name & Title: ________________________________________________

Company: _________________________________________________________


Please direct questions regarding this form to the Auditor's Office, at (510) 981-6750.

Attachment G
CITY OF BERKELEY
Commercial General and Automobile Liability Endorsement

The attached Certificates of Insurance are hereby certified to be a part of the following policies having the following expiration dates:

<table>
<thead>
<tr>
<th>Policy No.</th>
<th>Company Providing Policy</th>
<th>Expir. Date</th>
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</tbody>
</table>

The scope of the insurance afforded by the policies designated in the attached certificates is not less than that which is afforded by the Insurance Service Organization's or other "Standard Provisions" forms in use by the insurance company in the territory in which coverage is afforded.

Such Policies provide for or are hereby amended to provide for the following:

1. The named insured is ________________________________.

2. CITY OF BERKELEY ("City") is hereby included as an additional insured with respect to liability arising out of the hazards or operations under or in connection with the following agreement:
   ________________________________.

   The insurance provided applies as though separate policies are in effect for both the named insured and City, but does not increase the limits of liability set forth in said policies.

3. The limits of liability under the policies are not less than those shown on the certificate to which this endorsement is attached.

4. Cancellation or material reduction of this coverage will not be effective until thirty (30) days following written notice to ____________________________, Department of ____________________________, Berkeley, CA.

5. This insurance is primary and insurer is not entitled to any contribution from insurance in effect for City.

   The term "City" includes successors and assigns of City and the officers, employees, agents and volunteers.

   ________________________________
   Insurance Company

   Date: ____________            By: ________________________________
   Signature of Underwriter's
   Authorized Representative


Attachment H