INVITATION FOR BID (IFB)
Speciﬁcation No. 17-11091
For
Dust and Odor Control System for City of Berkeley’s
Solid Waste and Transfer Station Tipping Floor

Dear Bidder:

The City of Berkeley is soliciting invitations for bid for the purchase of a Dust and Odor Control System. The specifications and selection process are summarized in this invitation for bid (IFB). Bids must be received no later than 2:00 PM on Tuesday October 18, 2016. All responses must be in a sealed envelope and have “Dust Control System” and “Speciﬁcation No. 17-11091” clearly marked on the outer most mailing envelope. Please submit one (1) unbound original and one (1) copy of the bid as follows:

Mail or Hand Deliver To:
City of Berkeley
Finance/Purchasing
2180 Milvia Street, 3rd floor
Berkeley, CA 94704

No bids will be accepted after the date and time stated above. Submittal of Response by fax is NOT acceptable. Incomplete bids and bids which do not conform to the requirements speciﬁed herein, will not be considered. Issuance of the IFB does not obligate the City to award a contract, nor is the City liable for any costs incurred by the bidder in the preparation and submittal of bids for the subject work. The City retains the right to award all or parts of this contract to several bidders, to not select any bidders, and/or to re-solicit proposals. The act of submitting a bid is a declaration that the bidder has read the IFB and understands all the requirements and conditions.

Applicants may attend a Pre-Bid site visit conducted by Project Manager Bill Ivie, Equipment Maintenance Superintendent. This visit is scheduled from 10:00am to 12:00pm, Wednesday October 12, 2016 at the City of Berkeley’s Solid Waste and Transfer Station located at 1201 Second Street (north of Gilman Street) Berkeley, CA 94710. For questions concerning the site visit, listing of items, the bid form, anticipated work, or scope of the project, please contact Bill Ivie via email at B Ivie@ci.berkeley.ca.us no later than Thursday, October 13, 2016. Answers to questions will not be provided by telephone. Rather, answers to all questions or any addenda will be posted on the City of Berkeley’s site at http://www.cityofberkeley.info/ContentDisplay.aspx?id=7128. It is the vendor’s responsibility to check this site. For general questions concerning the submittal process, contact purchasing at 510-981-7320.

Sincerely,

Shari Hamilton
General Services Manager
General Provisions

To the City of Berkeley, California:

1. In submitting this Bid as herein described, the bidder agrees that:
   a. He/she has, or they have, carefully examined the specifications, and all provisions relating to the items to be furnished or the work to be done attached herewith and made a part of this bid, and understand the meaning, intent and requirements of and agree to the same.
   b. He/she, or they, will enter into a written contract and furnish the item(s) or complete the work in the time specified, and in strict conformity with the City of Berkeley specifications therefore, for the prices quoted.
   c. Transmittal of bid: The "Offer/Bid Form" must be signed, sealed, marked with the specification number and delivered to the City of Berkeley as described herein prior to the time set for the opening of bids.
   d. Opening of bids: Bids will be opened publicly at the place, on the date and at or after the time set forth herein. The time for opening bids, as set forth, is strictly observed AND YOU ARE INVITED TO BE PRESENT IF YOU SO DESIRE.

2. Action by the Council:
   a. Award of contract may be made by the City Council within 30 calendar days from the date of opening of bids.
   b. The City of Berkeley, by action of the City Council reserves the right to accept or reject any bid or any part thereof or any combination of bids.

3. Additions and Alterations:
   a. No additions, alterations or conditions other than requested herein will be permitted. This bid form, the general provisions and the specifications have been approved by the City of Berkeley, and the making of additions, alterations, or conditions on this bid form may void the bid. Additional copies are available in Finance/Purchasing or on our website at: http://www.cityofberkeley.info/ContentDisplay.aspx?id=7128
   b. Bids must be made on this form and letters of transmittal cannot be considered a part of the bid.
   c. In order to be considered, bids must conform to the specifications. Deviations from specifications must be stated and may result in rejection of the bid. Attach additional sheets as necessary.

4. Governmental Regulations:
   a. Bid prices shall not be in excess of maximum prices permitted by the Federal or State Government.
b. All orders are subject to ability to obtain and use materials and deliver finished products under Federal and State regulations and orders. If shipping dates are subject to delays resulting from preference ratings or priority shipments ordered or requested by the United States Government or by any department, commissions, or agency thereof, then the Contractor shall not be held liable for such delays.

5. Taxes:

A. When offer/bid is for materials and equipment.

The following provisions relating to taxes are applicable when furnishing materials or equipment only:

a. The City of Berkeley is liable for the State Sales Tax and where the County of purchase has adopted the Uniform Sales Tax Law and a City and/or County Tax is collected by the State, the City of Berkeley will be liable for this tax also. Do not include this tax in the amount bid. If applicable, it is to be added to the net amount invoiced by the successful bidder.

b. The City of Berkeley is exempt from the Federal Excise Tax and exemption certificates will be furnished. In certain instances the bidder and subcontractor may be liable for Federal Excise Taxes. Bidder must determine whether Federal Excise Tax is chargeable to him/her and if so the amount of tax should be included in the amount bid.

c. Any new or additional taxes levied after the adoption of these specifications that are payable by the City of Berkeley are not to be included in the price bid, but added thereto when invoiced.

B. When offer/bid requires labor to be furnished in addition to materials and equipment.

The following provisions relating to taxes are applicable when Offer/Bid Form and Specifications require that labor be furnished in addition to materials and equipment, and in such cases, the following provisions supercede and make void those provisions under paragraph 5a relating to taxes.

a. The City of Berkeley is liable for the State Sales Tax and where the County of purchase has adopted the Uniform Sales Tax law and a City and/or County Tax is collected by the State, the City of Berkeley will be liable for this tax also. Include this tax in the amount bid.

b. The City of Berkeley is exempt from the Federal Excise Tax and exemption certificates will be furnished. In certain instances the bidder and subcontractor may be liable for Federal Excise Tax. Bidder must determine whether Federal Excise is chargeable to him/her and if so, the amount of the tax should be included in the amount bid.

6. Price Protection:

In the event of a decline in market price(s) below the price(s) bid, the City of Berkeley shall automatically receive the benefit of such decline.

7. Royalties and Patents:

The Contractor shall pay all royalties and patent fees. He/she shall defend all suits and claims for infringements of any patent rights and shall save the City of Berkeley harmless from loss on account
thereof, except that the City of Berkeley shall be responsible for all such loss when a particular process or the product of a particular manufacturer or manufacturers is specified. If, however, the Contractor has information that the procedures or articles specified is an infringement of a patent he/she shall be responsible for any loss unless he/she promptly gives said information to the City of Berkeley.

8. Delivery:

   a. Delivery shall be made within the time set forth on the "Offer/Bid Form".

   b. Contractor will not be held liable for failure to make delivery because of strikes, conscription of property, governmental regulations, acts of God, or any other causes beyond his/her control; provided a written extension of time is obtained from the City Manager.

9. Payment:

   Invoices: Invoices must be fully itemized, and provide sufficient information for approving payment and audit. Invoices should be mailed to:

   City of Berkeley  
   Accounts Payable  
   P.O. Box 700  
   Berkeley, CA  94701  
   Attn: Bill Ivie/Public Works /Zero Waste

   Payments: Will be made in the manner described and within the time(s) specified on the "Offer/Bid Form".

10. Penalties and Termination of Contract:

   a. In the event the Contractor fails or refuses to deliver or provide the supplies, materials, equipment or services within the time(s) specified herein, or fails or refuses to comply with any requirement of the General Provisions or of the Specifications, the City of Berkeley may serve notice in writing upon him/her of its intention to purchase said supplies, or equipment or obtain said services from another source.

      Such notice shall contain the reasons for the City's intention to purchase from another source, and unless within 10 days after the serving of such notice, Contractor shall make complete delivery and/or comply with all requirements of the Specifications and General Provisions, said purchase will be made.

   b. In the event said purchase is made at a price higher than the contract price, the Contractor shall be liable to the City for an amount not to exceed the difference between the purchase price and the contract price.

      Payment shall be made to the City upon demand, and if any payments are owing to the Contractor by the City, such payments will be withheld and set off in an amount not to exceed the claim of the City against the Contractor.
c. In the event any provision of the contract, including the General Provisions and Specifications, is violated, and the Contractor fails or refuses to comply after 10 days written notice is given by the City, the City shall have the additional right, without further notice to cancel the contract and/or declare such Contractor to be an irresponsible bidder, in which case no contract shall be awarded him by the City for a period of at least three (3) years from the date of violation, and then only after satisfactory evidence that he/she will comply with City Specification and contract provisions.

11. Assignment of Contract:

Neither the City nor the Contractor shall assign the contract without the written consent of the other party; nor shall the Contractor assign any monies due or to become due to him/her hereunder without the written consent of the City Council of the City of Berkeley.

12. Conflict in Specifications:

The detailed requirements of the specifications shall supercede any requirement of these General Provisions that are in conflict therewith.
Offer/Bid Form
Specification No. 17-11091
For
Dust and Odor Control System for City of Berkeley’s
Solid Waste and Transfer Station Tipping Floor

Bids will be opened on October 18, 2016 at 2:00 pm.

TO THE CITY OF BERKELEY, CALIFORNIA:
In submitting this bid (offer) as herein described, the bidder (offerer) agrees that (a) he/she, or they, have carefully examined the specifications, and all provisions relating to the items to be furnished attached herewith and made a part of this proposal, and understand(s) the meaning, intent and requirements of and agree to the same. (b) He/she, or they, agree(s), that if his/her or their bid is accepted, he/she, or they will enter into a written contract and furnish the item(s) and complete the work in the time specified, and in strict conformity with the City of Berkeley specifications therefore, for the prices bid.

Name of Firm or Person submitting this offer: ____________________________________________

Street Address/City/State/Zip __________________________________________________________

Signed by (written signature) __________________________________________________________

Name and Title (type or print) __________________________________________________________

Date ___________________________ Federal Tax ID ______________________________

Phone ___________________________ FAX _____________________________________________

E-mail ___________________________ Web site __________________________________________

Discounted Payment Terms: __________________________________________________________

Delivery Date: ______________________

ALL BIDS MUST BE SIGNED

Please bid all items as listed on the following page.
Background and Other Information

1. **Background** - At the City of Berkeley’s Solid Waste and Transfer Station (Transfer Station), the tipping floor provides a temporary intermediate storage position for waste collected from residential/commercial customers until that waste is further hauled to different processors. This includes green waste (processed by composter), recyclables (materials recovery facility), construction and demolition materials (C&D recycler) and refuse (landfill). Multiple City-owned, franchise hauler and private vehicles make daily trips to the transfer station at the end of their routes where they “tip-out” the garbage onto the floor. Such waste is then moved around multiple times by hand tools and heavy equipment during further processing, and that action dissipates micronized waste particulate material into the air. The City of Berkeley Transfer Station’s nonfunctioning dust and odor control system installed above the tipping floor is a key piece of equipment designed to control such airborne particulate matter using ordinary tap water. Such “mister systems” atomize water into the tip floor environment where breathable dry escaped refuse dust and odor-causing particles absorb water droplets, increase in weight and cohesiveness (stickiness). This causes the dust particles to settle out of the air and fall/float back into the materials being processed, or settle to the ground. Micronized water droplets suppress dust without saturating processed materials, tip floor staff, equipment, and the tip floor facility.

2. **Dimensions** - The City’s tip floor and garbage chute leading to a platform scale total 21,000 square feet (140’x150’). Thus, the dust control and suppression system must be able to service this entire area simultaneously on a daily basis. Durability, ease of operation and ability to maintain and service the unit’s mechanical components are key. Such a system must be affixed to the building and permanently connected to the plumbing and water supply. No portable mist machines or blower systems will be considered.

a. **Response to Specifications** - Proposals must include an organized narrative response to all elements in the below listed specifications and a proposed project timeline.

b. **System** - Bidder to supply and install a high pressure water atomizing system to provide a means to control airborne dust, odors and particulate matter generated by processing Solid Waste on the tipping floor of the Transfer Station. Please submit Working Drawings for the proposed location and installation with the proposal. Final plans shall be approved by the City prior to work commencing. System controls must be mounted inside a dust proof moisture proof enclosure.

c. **Equipment Location** - Installation of the misting system will be in the Transfer Station Tip Floor Building. This facility is open year round and system must function in inclement weather, and varying temperatures. The design and location of the system must not interfere with Transfer Station operations.

d. **Build/Installation Schedule** – Time is of the essence for purchase and installation of this essential equipment. Provide detail on time needed to build the system to accommodate the specific dimensions and area of the City’s Tip Floor, Garbage Chute and Platform Scale. Provide detail on schedule and access needed for on-site installation.

e. **Compliance with Law** - The successful Bidder shall comply with all federal, state and local laws, rules and regulations including, but not limited to, any State of California Department of Environmental Conservation permitting requirements for the installation of dust and odor control systems.
f. **Price** - Total Misting System charges shall include all materials, labor, and equipment needed to purchase, deliver, and install system to fully operational status, including all necessary parts.

g. **Background and Experience** - Provide a brief history of your business and experience with similar projects. Identify proposed project staffing and include copies of any applicable certifications, licenses, etc.

h. **References** - Verify past experience with misting/odor control systems installed at other Transfer Stations. Include names and contact information for at least three (3) clients wherein similar projects have been completed.

i. **Guarantee/Warranty** - The successful Bidder shall provide a minimum one (1) year warranty onto all parts and workmanship with no portal-to-portal charges for any warranty work performed. Also provide information on extended warranty if available. Detail if any service/maintenance agreement is offered.

j. **Maintenance** - Price shall include travel and labor charges, and any +

k. System parts, and chemicals needed for regular scheduled maintenance for one (1) year from completion of installation of the system. Separate portal-to-portal charges will not be honored. Please provide separate pricing for maintenance costs for three (3) years beyond the initial warranty period (years two through four).

l. **Hourly fee** will be established for any work determined to be necessary for the completion but outside the scope of the project. Such work will only be performed as authorized in writing by the City.
**Bid Form**

**Part A. Total Misting System Price**

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Furnish, Deliver &amp; Install System to Operational Status:</td>
<td>$ ___________</td>
</tr>
<tr>
<td>2. One year manufacture warranty:</td>
<td>$ __ included</td>
</tr>
<tr>
<td>3. Service/Maintenance Agreement:</td>
<td>$ ___________</td>
</tr>
<tr>
<td><strong>Total:</strong></td>
<td>$ ___________</td>
</tr>
</tbody>
</table>

**Part B. Additional Fees**

For Informational purposes only

<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Extended Warranty:</td>
<td>$ ___________</td>
</tr>
<tr>
<td>2. Labor Rates:</td>
<td>$ ___________ /hr.</td>
</tr>
</tbody>
</table>

Printed Name: ____________________________________  Title: ____________________________________

Signature: ___________________________ Date: ___________________________
SPECIFICATIONS

A. SCOPE OF CONTRACT:

The contract will be a requirements contract that will provide for the City of Berkeley's normal supply requirements during the period specified elsewhere in this Invitation for Bids. The City of Berkeley may purchase such quantities as listed herein or as may be needed from time to time during the life of the contract. There is no guarantee of quantity. Except as otherwise provided herein, the Contractor will be obligated to deliver all such quantities as may be ordered from time to time.

B. TERM OF CONTRACT AND PAYMENT PROCEDURES:

1. Term of Contract - The contract shall be effective from the date of award through the receipt of goods and final payment or Fiscal Year period beginning with the date of award with an option to extend for an additional period up to a maximum of five (5) years. The options to extend will be exercised only if the Acting Finance Director determines:
   a. that contract prices will remain the same, and
   b. that contract prices if increased, will be increased by a percentage not exceeding the percentage of increase in the Consumer's Price Index, and
   c. extension of the contract will be affected by issuance of a letter of extension to the Contractor by the City Manager, and
   d. that Contractor has performed satisfactorily, and
   e. that the City of Berkeley specifications/requirements have not significantly changed.

2. Payment - Payments will be made in accordance with discounted payment terms or within 30 days after receipt and acceptance of goods and/or services provided the Finance Accounts Payable Division has received correct invoices.

3. Invoices – Invoices shall be in a format acceptable to the City. Invoices must be forwarded in triplicate and shall be fully itemized and have sufficient description to permit audit.

4. Placing of Orders – Orders will be placed as required by authorized City employees for the length of the contract.

C. BID EVALUATION FACTORS AND METHOD OF AWARD:

1. Acceptance/Rejection of Bids - The City of Berkeley reserves the right to accept or reject any bid, or any part thereof or any combination of bids.

2. Method of Award - Award will be made to the lowest responsible bidder on an item-by-item basis to one or more bidders or in an aggregate to one bidder, whichever best meets the needs of the City.

3. Discrepancy in Unit Pricing - In the event of a discrepancy occurs between the unit price and the total lump sum price, the unit price shall prevail and the total lump sum price shall be corrected accordingly.
4. **Deviations from Specifications** - The City reserves the right to accept minor deviations from the specifications.

5. **Estimated Quantities** - The quantities shown on the Offer/Bid Form are estimates only, and are subject to increase or decrease as demands may require. The City does not guarantee any quantities.
D. DELIVERY TERMS:

1. **Delivery F.O.B.** - Delivery of all goods shall be F.O.B. Destination at the City of Berkeley.

2. **Time of Delivery** - Delivery of all items ordered shall be made after each request is made by authorized City employees in a timely manner, and as stated on Offer/Bid Form. Contractor shall maintain sufficiently large and varied stock as to insure deliveries with a minimum of delay. Deliveries will be accepted Monday through Friday at the Purchasing Division, 2180 Milvia Street, 3rd floor, Berkeley, California 94704.

3. **Method of Delivery** - Items should be delivered by truck, or by an acceptable shipping company on an as needed basis as required by authorized City employees. The ability to track items is obligatory.

   Under this Invitation for Bid, the City of Berkeley will not negotiate. Quoting Firms responding to this Invitation for Bid must offer their best and final price.

E. INFORMATION TO BE FURNISHED BY BIDDERS:

Failure to provide the following information with your bid may be cause for rejection of your bid.

1. **Non-Discrimination/Workforce Composition Form** - Pursuant to City of Berkeley Ordinance No. 5876, (B.M.C. Ch. 13.26), any person or firm (unless specifically exempted by the ordinance), who submits a bid or offer on a City contract shall be required to have a Work Force Composition Form reviewed by the Contract Compliance Officer of the City prior to award of such contract. **This Work Force Composition Form must be submitted with your bid.**

   Businesses with fewer than five employees are exempt from submitting this form. Also, the City Manager may exempt other bidders in "exigent circumstances". (See B.M.C. secs. 13.26.030 and 13.26.050.)

2. **Nuclear Free Berkeley Disclosure Form** - Berkeley Municipal Code section 12.90.070 prohibits the City from granting contracts to companies that knowingly engage in work for nuclear weapons. This contracting prohibition may be waived if the City Council determines that no reasonable alternative exists to doing business with a company that engages in nuclear weapons work. If your company engages in work for nuclear weapons, explain on the Disclosure Form the nature of such work. **Bidders must submit the attached Nuclear Free Disclosure Form with their proposal.**

3. **Oppressive States Disclosure Form** - The City of Berkeley prohibits granting of contracts to firms that knowingly provide personal services to a number of Countries. This contracting prohibition may be waived if the City Council determines that no reasonable alternative exists to doing business with a company who is located in one of these areas. If your company or any subsidiary is located in one of the areas, explain on the Disclosure Form the nature of such work. **Bidders must submit the attached Oppressive States Disclosure Form with their proposal.**

4. **City of Berkeley Business License** - Virtually every contractor that does business with the City must obtain a City business license as mandated by B.M.C. Ch. 9.04. The business license requirement applies whether or not the contractor has an office within the City limits. However, a "casual" or "isolated" business transaction (B.M.C. section 9.04.010) does not subject the contractor to the license tax. The infirm, warehousing businesses and charitable organizations are the only entities specifically
exempted in the code from the license requirement (see B.M.C. sections 9.04.290, 9.04.295 and 9.04.300). Non-profit organizations are granted partial exemptions (see B.M.C. section 9.04.305).

Vendor must apply for a City business license and show proof of application to Purchasing Manager within 7 days of being selected as intended awardee.

The Customer Service Division of the Finance Department located at 1947 Center Street, Berkeley, CA 94704, handles business licenses. Vendors should contact this division for questions and/or information about obtaining a City business license, in person, or by calling 510.981.7200.

5. **Equal Benefits Disclosure Form** - Chapter 13.29 of the Berkeley Municipal Code requires that contractors offer domestic partners the same access to benefits that are available to spouses. **Bidders must submit the attached Equal Benefits Disclosure Form with their bid.** A certification of compliance with this ordinance will be required upon execution of a contract.

**F. DATA REQUIRED FOR BID EVALUATION:**

1. Bidders are required, in submitting their bids, to examine the detailed specifications closely. The bidder must complete the detailed specifications and write in any differences in the item(s) being offered. Failure to state exceptions will be cause for rejection of the bid. If any item specified is not available write “N/A” in the appropriate space.

2. System Description
   - Working Drawings for installation
   - System controls should be mounted inside a moisture & dust proof enclosure

3. Build/Installation Schedule
   - Specify timeline for material delivery, complete system installation to reach operational status
   - Expedited installation available, if yes, additional fees

4. Guarantee/Warranty
   - Minimum one (1) year warranty onto all parts and workmanship
   - Specify warranty start date

5. Maintenance
   - Service/maintenance agreement (if any offered)

6. References
   - Specify past experience with misting/odor control systems installed at other Transfer Stations.

7. Background & Experience
   - Brief history of business and experience with similar projects

8. The following completed and signed
   a. Offer/Bid Form
   b. Nuclear Free Disclosure Form
   c. Oppressive States Compliance Statement for Commodities Form
   d. Equal Benefits Disclosure
   e. Workforce Composition Form
If Exceptions are taken, EXPLAIN EXCEPTIONS below: (attach additional sheets as necessary)

__________________________________
__________________________________
__________________________________
__________________________________
CITY OF BERKELEY

Nuclear Free Zone Disclosure Form

I (we) certify that:

1. I am (we are) fully cognizant of any and all contracts held, products made or otherwise handled by this business entity, and of any such that are anticipated to be entered into, produced or handled for the duration of its contract(s) with the City of Berkeley. (To this end, this disclosure form may be signed by more than one individual, if a description of which type of contracts each individual is cognizant is attached.)

2. I (we) understand that Section 12.90.070 of the Nuclear Free Berkeley Act (Berkeley Municipal Code Ch. 12.90; Ordinance No. 5784-N.S.) prohibits the City of Berkeley from contracting with any person or business that knowingly engages in work for nuclear weapons.

3. I (we) understand the meaning of the following terms as set forth in Berkeley Municipal Code Section 12.90.130:

   "Work for nuclear weapons" is any work the purpose of which is the development, testing, production, maintenance or storage of nuclear weapons or the components of nuclear weapons; or any secret or classified research or evaluation of nuclear weapons; or any operation, management or administration of such work.

   "Nuclear weapon" is any device, the intended explosion of which results from the energy released by reactions involving atomic nuclei, either fission or fusion or both. This definition of nuclear weapons includes the means of transporting, guiding, propelling or triggering the weapon if and only if such means is destroyed or rendered useless in the normal propelling, triggering, or detonation of the weapon.

   "Component of a nuclear weapon" is any device, radioactive or non-radioactive, the primary intended function of which is to contribute to the operation of a nuclear weapon (or be a part of a nuclear weapon).

4. Neither this business entity nor its parent nor any of its subsidiaries engages in work for nuclear weapons or anticipates entering into such work for the duration of its contract(s) with the City of Berkeley.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: ____________________________________  Title: ___________________________

Signature: _________________________________Date: _________________

Business Entity:
____________________________________________________________________________________

Specification Name & No.: Spec. No. 17-11091-Dust and Odor Control System for City of Berkeley’s Solid Waste and Transfer Station Tipping Floor
CITY OF BERKELEY

A. Oppressive States Compliance Statement for Commodities

The undersigned, an authorized agent of __________________________________________________________________________________ (hereafter "Vendor"), has had an opportunity to review the requirements of Berkeley City Council Resolution No. 59,853-N.S. (hereafter "Resolution"). Vendor understands and agrees that the City may choose with whom it will maintain business relations and may refrain from contracting with those Business Entities which maintain business relationships with morally repugnant regimes. Vendor understands the meaning of the following terms used in the Resolution:

"Business Entity" means "any individual, firm, partnership, corporation, association or any other commercial organization, including parent-entities and wholly-owned subsidiaries" (to the extent that their operations are related to the purpose of the contract with the City).

"Commodities" includes, but is not limited to, any tangible supplies, goods, vehicles, machinery or equipment.

"Oppressive State" means: Tibet Autonomous Region and the Provinces of Ado, Kham and U-Tsang.

The Commodities, which Vendor proposes to supply to the City, are not manufactured, assembled, extracted, harvested or refined in any Oppressive State. Vendor understands that it is not eligible to receive or retain a City contract if at the time the contract is executed, or at anytime during the term of the contract, it buys, sells, leases or distributes Commodities in the conduct of business with, or provides Personal Services to:

a. The governing regime in any Oppressive State.
b. Any business or corporation organized under the authority of the governing regime of any Oppressive State.
c. Any person for the express purpose of assisting in business operations or trading with any public or private entity located in any Oppressive State.

Vendor further understands and agrees that Vendor's failure to comply with the Resolution shall constitute a default of the contract and the City Manager may terminate the contract and bar Vendor from bidding on future contracts with the City for five (5) years from the effective date of the contract termination.

The undersigned is familiar with, or has made a reasonable effort to become familiar with, Vendor's business structure and the geographic extent of its operations. By executing the Statement, Vendor certifies that it complies with the requirements of the Resolution and that if any time during the term of the contract it ceases to comply, Vendor will promptly notify the City Manager in writing.

Based on the foregoing, the undersigned declares under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Printed Name: ___________________________________________ Title: ________________________________

Signature: ___________________________________________________ Date: _______________________

Business Entity: ____________________________________________________________________________

Specification Name & No.: Spec. No. 17-11091-Dust and Odor Control System for City of Berkeley’s Solid Waste and Transfer Station Tipping Floor

I am unable to execute this Statement; however, Vendor is exempt under Section VII of the Resolution. I have attached a separate statement explaining the reason(s) Vendor cannot comply and the basis for any requested exemption.

Signature: ___________________________________________ Date: ________________________________
NON-DISCRIMINATION/WORKFORCE COMPOSITION FORM FOR NON-CONSTRUCTION CONTRACTS

To assist the City of Berkeley in implementing its Non-Discrimination policy, it is requested that you furnish information regarding your personnel as requested below and return it to the City Department handling your contract:

Organization: _____________________________________________________________________________________
Address: ________________________________________________________________________________________
Business Lic. #: __________________________

<table>
<thead>
<tr>
<th>Occupational Category</th>
<th>Total Employees</th>
<th>White Employees</th>
<th>Black Employees</th>
<th>Asian Employees</th>
<th>Hispanic Employees</th>
<th>Other Employees</th>
</tr>
</thead>
<tbody>
<tr>
<td>(See reverse side for explanation of terms)</td>
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<td>Official/Administrators</td>
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<tr>
<td>Protective Service Workers</td>
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<td>Service/Maintenance</td>
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Is your business MBE/WBE/DBE certified?  Yes _____  No _____  If yes, by what agency? ________________________________

If yes, please specify:  Male:  _____     Female:  _____     Indicate ethnic identifications: ________________________________

Do you have a Non-Discrimination policy?  Yes:  _____     No:  ______

Signed: ______________________________________________________________________________________________ Date: __________________

Verified by: ___________________________________________________________________________________________ Date: __________________
City of Berkeley Contract Compliance Officer

Attachment B

Revised
June 2016
Occupational Categories

Officials and Administrators - Occupations in which employees set broad policies, exercise overall responsibility for execution of these policies, or provide specialized consultation on a regional, district or area basis. Includes: department heads, bureau chiefs, division chiefs, directors, deputy superintendents, unit supervisors and kindred workers.

Professionals - Occupations that require specialized and theoretical knowledge that is usually acquired through college training or through work experience and other training that provides comparable knowledge. Includes: personnel and labor relations workers, social workers, doctors, psychologists, registered nurses, economists, dietitians, lawyers, systems analysts, accountants, engineers, employment and vocational rehabilitation counselors, teachers or instructors, and kindred workers.

Technicians - Occupations that require a combination of basic scientific or technical knowledge and manual skill that can be obtained through specialized post-secondary school education or through equivalent on-the-job training. Includes: computer programmers and operators, technical illustrators, highway technicians, technicians (medical, dental, electronic, physical sciences) and kindred workers.

Protective Service Workers - Occupations in which workers are entrusted with public safety, security and protection from destructive forces. Includes: police officers, fire fighters, guards, sheriffs, bailiffs, correctional officers, detectives, marshals, harbor patrol officers, and kindred workers.

Para-Professionals - Occupations in which workers perform some of the duties of a professional or technician in a supportive role, which usually requires less formal training and/or experience normally required for professional or technical status. Such positions may fall within an identified pattern of a staff development and promotion under a "New Careers" concept. Includes: library assistants, research assistants, medical aides, child support workers, police auxiliary, welfare service aides, recreation assistants, homemaker aides, home health aides, and kindred workers.

Office and Clerical - Occupations in which workers are responsible for internal and external communication, recording and retrieval of data and/or information and other paperwork required in an office. Includes: bookkeepers, messengers, office machine operators, clerk-typists, stenographers, court transcribers, hearings reporters, statistical clerks, dispatchers, license distributors, payroll clerks, and kindred workers.

Skilled Craft Workers - Occupations in which workers perform jobs which require special manual skill and a thorough and comprehensive knowledge of the processes involved in the work which is acquired through on-the-job training and experience or through apprenticeship or other formal training programs. Includes: mechanics and repairpersons, electricians, heavy equipment operators, stationary engineers, skilled machining occupations, carpenters, compositors and typesetters, and kindred workers.

Service/Maintenance - Occupations in which workers perform duties which result in or contribute to the comfort, convenience, hygiene or safety of the general public or which contribute to the upkeep and care of buildings, facilities or grounds of public property. Workers in this group may operate machinery. Includes: chauffeurs, laundry and dry cleaning operatives, truck drivers, bus drivers, garage laborers, custodial personnel, gardeners and groundskeepers, refuse collectors, and construction laborers.
CITY OF BERKELEY
Equal Benefits Ordinance Disclosure Form

As a condition of being awarded a contract with the City of Berkeley, the selected Contractor/Vendor (“Contractor”) may be required, during the performance of the contract, to comply with the City’s non-discrimination provisions of the Equal Benefits Ordinance (“EBO”) as set forth in Berkeley Municipal Code, Chapter 13.29. The EBO requires that during the performance of a contract, the Contractor shall provide equal benefits to its employees with spouses and employees with domestic partners. Benefits include, but are not limited to, health benefits, bereavement leave, family medical leaves, membership and membership discounts, moving expenses, retirement benefits, and travel benefits. A cash equivalent payment is permitted if an employer has taken all reasonable efforts to provide domestic partner’s with access to benefits but is unable to do so. A situation in which a cash equivalent payment might be used is if the employer has difficulty finding an insurance provider that is willing to provide domestic partner benefits.

The EBO is applicable to the following employers:

- For-profit employers that have a contract with the City for the purchase of goods, services, public works or improvements, and other construction projects in the amount of $25,000 or more
- Non-profit employers that have a contract with the City for the purchase of goods, services, public works or improvements, and other construction projects in the amount of $100,000 or more
- Lessees of public property, licensees, concessionaires, and franchises that generate $350,000 or more in annual gross receipts
- Entities which receive a grant agreement of $100,000 or more

Contractors who are subject to the EBO must certify to the City before execution of the contract by completing form EBO-1 that they are in compliance with the EBO or have been issued a waiver by the City. Contractors must also allow authorized City representatives access to records so the City can verify compliance with the Ordinance.

The EBO includes provisions that address difficulties associated with implementing procedures to comply with the EBO. Contractors can delay implementation of procedures to comply with the EBO in the following situations:

1. until the first effective date after the first open enrollment process following the contract execution date, not to exceed two years if the Contractor submits evidence of engaging in reasonable efforts to comply with the EBO;
2. until administrative steps can be taken to incorporate nondiscrimination in benefits in the contractor’s infrastructure, not to exceed three months, unless extended at the discretion of the City Manager; and
3. until the expiration of a Contractor’s current collective bargaining agreement(s)

Compliance with the EBO

If a Contractor has not received a waiver from complying with the EBO and the timeframe within which it can delay implementation has expired but it has failed to comply with the EBO, the Contractor may be deemed to be in material breach of the City agreement. In the event of a material breach, the City may cancel, terminate or suspend the City agreement, in whole or in part. The City also may deem the Contractor an irresponsible bidder and disqualify the Contractor from contracting with the City for a period of five years. In addition, the City may assess liquidated damages against the Contractor which may be deducted from money otherwise due the Contractor, and pursue any other remedies available at law or in equity.

By my signature below, I acknowledge that the Contractor understands that to the extent it is subject to the provisions of B.M.C. Chapter 13.29, the Contractor shall comply with this provision.

Printed Name: ______________________________________ Title: _____________________________

Signature: __________________________________________ Date: _____________________________

Business Entity: ______________________________________________________________________

Specification Name & No.: Spec. No. 17-11091-Dust and Odor Control System for City of Berkeley’s Solid Waste and Transfer Station Tipping Floor
Form EBO-1

CITY OF BERKELEY
CERTIFICATION OF COMPLIANCE WITH EQUAL BENEFITS ORDINANCE

If you are a contractor, return this form to the originating department/project manager. If you are a vendor (supplier of goods), return this form to the Purchasing Division of the Finance Dept.

SECTION 1. CONTRACTOR/VENDOR INFORMATION

Name: ____________________________ Vendor No.: ____________________________
Address: __________________________ City: ____________________________ State: ____________________________ ZIP: ____________________________
Contact Person: ____________________ Telephone: ____________________________
E-mail Address: ____________________ Fax No.: ____________________________

SECTION 2. COMPLIANCE QUESTIONS

A. The EBO is inapplicable to this contract because the contractor/vendor has no employees.
   □ Yes □ No (If “Yes,” proceed to Section 5; if “No”, continue to the next question.)

B. Does your company provide (or make available at the employees’ expense) any employee benefits?
   □ Yes □ No
      If “Yes,” continue to Question C.
      If “No,” proceed to Section 5. (The EBO is not applicable to you.)

C. Does your company provide (or make available at the employees’ expense) any benefits to the spouse of an employee?
   ............................................................................................................................................................................ □ Yes □ No

D. Does your company provide (or make available at the employees’ expense) any benefits to the domestic partner of an employee?
   ............................................................................................................................................................................ □ Yes □ No
      If you answered “No” to both Questions C and D, proceed to Section 5. (The EBO is not applicable to this contract.) If you answered “Yes” to both Questions C and D, please continue to Question E.
      If you answered “Yes” to Question C and “No” to Question D, please continue to Section 3.

E. Are the benefits that are available to the spouse of an employee identical to the benefits that are available to the domestic partner of the employee?
   ............................................................................................................................................................................ □ Yes □ No
      If you answered “Yes,” proceed to Section 4. (You are in compliance with the EBO.)
      If you answered “No,” continue to Section 3.

SECTION 3. PROVISIONAL COMPLIANCE

A. Contractor/vendor is not in compliance with the EBO now but will comply by the following date:
   □ By the first effective date after the first open enrollment process following the contract start date, not to exceed two years, if the Contractor submits evidence of taking reasonable measures to comply with the EBO; or
   □ At such time that administrative steps can be taken to incorporate nondiscrimination in benefits in the Contractor’s infrastructure, not to exceed three months; or
   □ Upon expiration of the contractor’s current collective bargaining agreement(s).

B. If you have taken all reasonable measures to comply with the EBO but are unable to do so, do you agree to provide employees with a cash equivalent?* ............................................................................................................. □ Yes □ No

* The cash equivalent is the amount of money your company pays for spousal benefits that are unavailable for domestic partners.
SECTION 4. REQUIRED DOCUMENTATION

At time of issuance of purchase order or contract award, you may be required by the City to provide documentation (copy of employee handbook, eligibility statement from your plans, insurance provider statements, etc.) to verify that you do not discriminate in the provision of benefits.

SECTION 5. CERTIFICATION

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct and that I am authorized to bind this entity contractually. By signing this certification, I further agree to comply with all additional obligations of the Equal Benefits Ordinance that are set forth in the Berkeley Municipal Code and in the terms of the contract or purchase order with the City.

Executed this _______ day of __________________, in the year __________, at __________________, _____________.

(City) (State)

____________________________________
Name (please print)

____________________________________
Signature

____________________________________
Title

____________________________________
Federal ID or Social Security Number

FOR CITY OF BERKELEY USE ONLY

☐ Non-Compliant (The City may not do business with this contractor/vendor)

☐ One-Person Contractor/Vendor ☐ Full Compliance ☐ Reasonable Measures

☐ Provisional Compliance Category, Full Compliance by Date: ________________________________

Staff Name(Sign and Print): __________________________________ Date: ____________ ____________

Revised
June 2016