



Fair Campaign Practices Commission

AGENDA FOR FAIR CAMPAIGN PRACTICES COMMISSION

This meeting is being held in a wheelchair accessible location. To request a disability-related accommodation(s) to participate in the meeting, including auxiliary aids or services, please contact the Disability Services specialist at 981-6418 (V) or 981-6347 (TDD) at least three business days before the meeting date. Please refrain from wearing scented products to this meeting.

Civic Center
2180 Milvia St.
Cypress Room (1st Floor)

Regular Meeting
September 19, 2019
7:00 p.m.

Secretary: Emmanuelle Soichet, Deputy City Attorney

The Commission may act on any item on this agenda

1. Call to Order 7:00 p.m.
2. Roll Call.
3. Public Comment. *Comments on subjects not on the agenda that are within the Commission's purview are heard at the beginning of meeting. Speakers may comment on agenda items when the Commission hears those items.*
4. Reports.
 - a. Report from Chair.
 - b. Report from Subcommittee on Officeholder Accounts.
 - c. Report from Staff.
5. Approval of minutes for the June 20, 2019 regular meeting.
6. Approval of Cost of Living Adjustment ("COLA") to Contribution Limits for Fair Elections Funding; discussion and possible action.
7. Staff report regarding possible BERA violation by Candidate-Controlled Committee Lacey for City Council 2018; discussion and possible action.
8. Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by the Commission on March 21, 2019; discussion and possible action.
9. Adjournment.

Communications

- Email from Russ Tilleman.

- Email from Mary-Claire Katz
- Email from City Clerk re: Final Reminder - Semi-Annual Campaign Statements
- Notices from City Clerk re: July 31, 2019 Campaign Statement Filing Deadline and Form 470 Deadline
- Notices from City Clerk re: Amended Campaign Statement Required
- Notices from City Clerk re: Non-filing of Campaign Statement

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Fair Campaign Practices Commission

AGENDA ITEM 4B

MINUTES OFFICEHOLDER ACCOUNT SUBCOMMITTEE MEETING

2180 Milvia Street
1st Floor
Laurel Room

Special Meeting
July 2, 2019
10:00 a.m.

1. Meeting was called to order at 10:09 a.m.
2. No members of the public were present.
3. Brad Smith was selected unanimously as Chair of the Subcommittee.
4. It was agreed unanimously that the goal of the Subcommittee was to examine the options of (1) eliminating, (2) restricting or (3) doing nothing regarding officeholder accounts and to make a recommendation to the full FCPC regarding which course of action to take.
5. The November meeting (11/21) of the FCPC was selected as the target date to report back to the full FCPC.
6. Tasks for the next meeting were agreed upon.
7. The next meeting if the Officeholder Accounts Subcommittee will be on July 17 from 11:00 a.m. to noon. Future meetings will be scheduled in September.
8. Meeting adjourned at 11:30 a.m.



Fair Campaign Practices Commission

AGENDA ITEM 4B

MINUTES OFFICEHOLDER ACCOUNT SUBCOMMITTEE MEETING

2180 Milvia Street
1st Floor
Cottonwood Room

Special Meeting
July 17, 2019
11:00 a.m.

1. Meeting called to order at 11:05 a.m.
2. Emmanuelle Soichet, Secretary of the Fair Campaign Practices Commission answered several questions the Subcommittee asked of her.
3. There was discussion of whether to eliminate, regulate, or do nothing about Officeholder Accounts. It was moved by Janis Ching, seconded by Patrick O'Donnell to recommend against doing nothing and report to the full Commission that the option was considered. Motion was adopted unanimously.
4. The next two meetings of the Subcommittee were adopted:

Wednesday, September 11 from 11:00 a.m. to 12:00 p.m.
Tuesday, September 24 from 10:00 to 11:00 a.m.
5. Meeting adjourned at 12:45 p.m.



Fair Campaign Practices Commission

AGENDA ITEM 5

DRAFT MINUTES

Civic Center
2180 Milvia Street
Cypress Room (1st Floor)

Regular Meeting
June 20, 2019

Members Present: Jessica Blome (Chair), Janis Ching, Patrick O'Donnell, Daniel Saver, Jedidiah Tsang, Brad Smith

Members Absent: Mark McLean (excused), Dean Metzger (excused)

Also Present: Emmanuelle Soichet, Staff Secretary/Deputy City Attorney

1. **Call to Order**

Chair called the meeting to order at 7:00 p.m.

2. **Roll Call**

Roll call taken.

3. **Public Comment (items not on agenda)**

Two speakers for public comment on matters not on agenda.

4. **Reports**

- a. Report from Chair.
- b. Report from Staff.

Staff notified Commissions of number of pending complaints and forthcoming response to Commission's request regarding loan disclosures adopted at its March 21, 2019 meeting.

5. **Approval of Minutes for the May 16, 2019 Regular Meeting**

- a. Public comment: 1 speaker.
- b. Commission discussion and action.

Motion to approve minutes (M/S/C: Saver/O'Donnell; Ayes: Blome, Ching, O'Donnell, Saver, Smith, Tsang; Noes: None; Abstain: None; Absent: McLean (excused), Metzger (excused)).

6. **Draft negotiated stipulation with Greg Magofna for City Council 2018; discussion and possible action.**

- a. Public comment: 1 speaker.
- b. Commission discussion and action.

Motion to adopt stipulation (M/S/C: Smith/Tsang; Ayes: Blome, Ching, O'Donnell, Saver, Smith, Tsang; Noes: None; Abstain: None; Absent: McLean (excused), Metzger (excused)).

7. **Amendments to the Berkeley Election Reform Act to prohibit Officeholder Accounts; discussion and possible action.**

- a. Public comment: 3 speakers.
- b. Commission discussion and action.

————— *Meeting temporarily adjourned at 8:00 p.m., reconvened at 8:42 p.m.* —————

Motion to create a subcommittee that will look into differences between regulation and abolishment of officeholder accounts. (M/S/C: Tsang/Smith; Ayes: Blome, Ching, O'Donnell, Saver, Smith, Tsang; Noes: None; Abstain: None; Absent: McLean (excused), Metzger (excused)).

8. **Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by the Commission on March 21, 2019; discussion and possible action.**

- a. Public comment: No speakers.
- b. Commission discussion and action.

Motion to postpone item to a future meeting when Commissioner Metzger will be in attendance (M/S/C: Smith/Saver; Ayes: Blome, Ching, O'Donnell, Saver, Smith, Tsang; Noes: None; Abstain: None; Absent: McLean (excused), Metzger (excused)).

9. **Adjournment**

Motion to adjourn (M/S/C: Saver/O'Donnell; Ayes: Blome, Ching, O'Donnell, Saver, Smith, Tsang; Noes: None; Abstain: None; Absent: McLean (excused), Metzger (excused)).

The meeting adjourned at 9:10 p.m.



City Clerk Department

September 12, 2019

To: Fair Campaign Practices Commission

From: Mark Numainville, City Clerk

Subject: Mandated Cost of Living Adjustments for Public Financing Program

Under the Fair Elections Act of 2016 (Public Financing), certain data points and dollar amounts are required to be adjusted by the Commission in January of each odd-numbered year (BMC 2.12.545).

The adjustment for the dollar amounts is Consumer Price Index for the San Francisco Area. The formula established by the State Fair Political Practices Commission for adjusting contribution limits for state candidates is the formula that was used for the adjusting the limits for Berkeley’s Public Financing Program. The factors labeled “2016 Dollar Amounts” and “2016 Annual CPI” will remain constant when the formula is applied in all subsequent odd-numbered years because those reflect the original amounts in the ballot measure. The numerator will change to reflect the year in which the adjustment is calculated.

$$2016 \text{ Dollar Amounts} \times \frac{2019 \text{ Annual CPI}}{2016 \text{ Annual CPI}} = 2019 \text{ Dollar Amounts}$$

The CPI numbers used are the December 2016 number (269.5) and the December 2018 number (289.9). The December number is used because the State Department of Finance does not calculate the standard CPI-U in January.

The chart in Attachment 1 shows all of the amounts that are subject to the inflator, the original amount, the new amount (raw and rounded), and the code reference.

Upon approval by the Commission, all manuals and materials related to Public Financing will be updated to reflect the new dollar amounts.

Attachment 1 - Public Financing Adjusted Amounts

Item Subject to Inflator	Starting Amount (Dec 2016)	Inflator	Adjusted Amount 2019	Rounding	Rounded Amount 2019	Timing of Adjustment	Amount Reference	Inflator Reference
Population	120,972	Census Data	122,324	Up to nearest whole number	122,324	Not stated	Art. III, Sec. 6.2 (2)(a)	Art. III, Sec. 6.2 (3)
Admin Costs (four year cycle)	\$250,000	289.9/269.5	\$268,923.93	None	\$268,923.93	Not stated	Art. III, Sec. 6.2 (1)(b)	Art. III, Sec. 6.2 (3)
Per Resident Allocation	\$4.00	289.9/269.5	\$4.30	None	\$4.30	Not stated	Art. III, Sec. 6.2 (1)(b)	Art. III, Sec. 6.2 (3)
Cap in Fund Balance	\$2,000,000	289.9/269.5	\$2,151,391.47	None	\$2,151,391.47	Not stated	Art. III, Sec. 6.2 (1)(b)	Art. III, Sec. 6.2 (3)
Qualified Contribution	\$50.00	289.9/269.5	\$53.78	Nearest \$10	\$50.00	January of odd-year	2.12.167	2.12.545
Initial Qualified Contributions	\$10.00	289.9/269.5	\$10.76	Nearest \$10	\$10.00	January of odd-year	2.12.500.A.3.	2.12.545
Initial Qualified Contributions - Total	\$500.00	289.9/269.5	\$537.85	Nearest \$10	\$540.00	January of odd-year	2.12.500.A.3.	2.12.545
Aggregate Totals for Fund Payments - Mayor	\$120,000	289.9/269.5	\$129,083.49	Nearest \$1,000	\$129,000.00	January of odd-year	2.12.505.B.	2.12.545
Aggregate Totals for Fund Payments - Council	\$40,000	289.9/269.5	\$43,027.83	Nearest \$1,000	\$43,000.00	January of odd-year	2.12.505.B.	2.12.545
Capital Asset Cap	\$500.00	289.9/269.5	\$537.85	Nearest \$10	\$540.00	January of odd-year	2.12.530.B.2.c.	2.12.545



Fair Campaign Practices Commission

DATE: September 19, 2019

TO: FAIR CAMPAIGN PRACTICES COMMISSION

FROM: EMMANUELLE SOICHET, Commission Secretary

SUBJECT: Staff report regarding possible BERA violation by Committee *Lacey for City Council 2018*

This matter relates to a \$4,000 payment made by the candidate-controlled committee *Lacey for City Council 2018* to the candidate's daughter. The candidate, Mary Kay Lacey, participated in the City's public-financing program. Under Berkeley Municipal Code section 2.12.530, public-financing candidates may not use public funds or contributions to compensate family members.

For the reasons stated below, the staff secretary recommends that the Commission find probable cause that the committee *Lacey for City Council 2018* violated Berkeley Municipal Code section 2.12.530. Staff further recommends the Commission request staff to engage in settlement discussions with the Committee and to enter into a stipulation in which the Committee admits the violation and returns the \$4,000 payment to the City's Fair Elections Fund.

I. BACKGROUND

Mary Kay Lacey was a candidate for City Council in 2018 who participated in the public-financing system created by the City's Fair Elections Act of 2016. Under the program, her committee, *Lacey for City Council 2018* (the "Committee"), received \$39,450 in public matching funds. (Attachment 1, at p. 2.)

On July 17, 2019, the City Clerk referred to the Commission for further investigation a potential violation of the Berkeley Election Reform Act (BERA) by the Committee. (Attachment 2.)¹ The referral regarded the Committee's Form 460 termination

¹ Under FCPC procedures, the Commission's staff secretary "shall report as soon as feasible all possible violations of BERA to the Commission, along with a written preliminary investigative report if the matter involves complex factual or legal issues." (Procedures for the FCPC, § IV.C.1.)

campaign statement filed on July 8, 2019, covering the period from January 1, 2018 through July 6, 2019 (Attachment 3), as well as the Committee’s amended Form 460 semi-annual campaign statement filed February 14, 2019, covering the period from October 21, 2018 to December 31, 2018 (Attachment 4).

Both campaign statements list in Schedule E a payment of \$4,000.00 to Leah Henry. (Attachment 3 at p. 55; Attachment 4 at p. 10.) The payment is identified by code as relating to “campaign workers’ salaries” and is described as “Field manager, photographer, and volunteer coordinator from June 2018 through Election Day.” (*Ibid.*)

These and other filings show that Leah Henry, Stephen Henry (the Committee treasurer), and Ms. Lacey (the candidate) all reside at the same address, and that Leah and Stephen Henry share a joint bank account. (See, e.g., Attachment 3 at p. 12; Attachment 5.) Staff’s review of archived campaign materials online confirmed that Leah Henry is Ms. Lacey’s daughter and that Mr. Henry is Ms. Lacey’s husband. At staff’s request, the candidate also confirmed their identity.

After staff notified the Committee of the referral to the Commission, Ms. Lacey provided an initial written response, setting forth her argument for why the \$4,000 payment to her daughter does not violate BERA. (Attachment 6.) On September 9, 2019, she submitted a supplemental letter. (Attachment 7.)

II. APPLICABLE LAW

A. Prohibited Uses of Fair Elections Act Funds

The Fair Elections Act of 2016 restricts how public-financing candidates may use the public funds and contributions they receive, and states that it is unlawful for candidates to “misuse” these funds. (Berkeley Municipal Code (BMC), § 2.12.550.B.)

Public funds and contributions may be used “only for direct campaign purposes” and not for “indirect campaign purposes” or the costs of legal defense. (*Id.*, § 2.12.530.A & B.) The Act provides specific examples of prohibited “indirect campaign purposes.” One of these enumerated, prohibited purposes is “compensation to the candidate or the candidate’s family.” (*Id.*, § 2.12.530.B.2.a.)

This is set forth in BMC section 2.12.530, which provides in full:

- A. A participating candidate shall use Fair Elections funds and contributions only for direct campaign purposes.
- B. A participating candidate shall not use Fair Elections funds or contributions for:
 - 1) Costs of legal defense in any campaign law enforcement proceeding under this Act;
 - 2) Indirect campaign purposes, including but not limited to:

- a) The candidate’s personal support or compensation to the candidate or the candidate’s family;
 - b) Clothing and other items related to the candidate’s personal appearance;
 - c) Capital assets having a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period determined in accordance with generally accepted accounting principles;
 - d) A contribution or loan to the campaign committee of another candidate or to a party committee or other political committee;
 - e) An independent expenditure;
 - f) Any payment or transfer for which compensating value is not received.
- C. The term “Contribution” is defined in 2.12.100 and includes “Qualified Contributions” as defined in 2.12.167 and contributions from non-residents of Berkeley as described in 2.12.500.A.7.

B. FCPC Procedures

After presentation of this report, the Commission may either dismiss the matter by majority vote and proceed no further, or find that probable cause exists to believe that BERA has been violated. (Procedures for the FCPC § VI.B.1 & 2.)

The Commission should dismiss the matter “if evidence of any violation is insufficient or unreliable or if the possible violation has only a slight impact on the administration of BERA and/or the outcome of any election that further proceeding would be an inefficient use of resources.” (*Id.* § VI.B.1.) By contrast, it should find probable cause of a violation if, “based on the circumstances presented[,] there is a reasonable basis on which to believe that a violation of the BERA has occurred.” (*Id.* § VI.B.2.)

III. STAFF ANALYSIS OF PROBABLE CAUSE

The Committee made a \$4,000 payment to Leah Henry, the candidate’s daughter. Because this was a payment made to a family member of the candidate, it violates BMC section 2.12.530.B.2, which expressly prohibits a public-financing candidate from using public funds or contributions to compensate the candidate’s family members.

The candidate does not deny that Leah Henry is her daughter or that the \$4,000 payment was made. Rather, in a letter to staff, she argues that it was not unlawful. (See Attachment 6.) The thrust of the candidate’s argument is that, because the payment was for “direct campaign purpose” (payment to a campaign field manager), it was permissible under section 2.12.530.A. Section 2.12.530.B.2, she argues, should be limited to prohibit compensation to family members only when the compensation is for “indirect campaign purposes.”

It is staff's position that the candidate's interpretation of section 2.12.530.B.2 conflicts with the plain reading of the ordinance. As written, section 2.12.530.B.2.a states that prohibited "indirect campaign purposes" specifically "includ[e]" compensation to a candidate's family member. There is no carve out or exception for campaign work.

In other words, the Act's drafters chose to define "indirect campaign purposes" in part by using specific examples of prohibited acts. In some instances, though, some of these enumerated examples could actually have a direct campaign purpose. But because the Act's drafters specifically included them in the list of "indirect campaign purposes" without any caveats or exceptions, they are always "indirect" for purposes of the Act and prohibited. For instance, section 2.12.530.B.2.c prohibits as an "indirect campaign purpose" any capital asset that has "a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period." A campaign may consider purchase of its only printer as a direct campaign purpose, since it uses the printer to generate campaign-related materials for volunteers, staff, and voters. Even so, under the statute, the printer is not considered to have a direct campaign purpose and cannot be purchased with campaign funds if it cost \$500 or more and can be used after the election. Ultimately, it was the prerogative of the drafters to define "indirect campaign purpose" in this way and the will of the voters to adopt it as law.

More broadly, the candidate argues the Commission should not read section 2.12.530 to "turn [a direct campaign purpose] into an 'indirect campaign purpose' based on *who* performed the service." Doing so, she argues, would lead to an absurdity in the law.

It is, however, a standard feature of campaign finance law that individuals (and entities) are treated differently based on their identity or status. Even state law governing permissible uses of campaign funds—the issue here—varies depending on the recipient's identity. For instance, under state law, neither a candidate nor her spouse can receive any compensation from the candidate's campaign for services rendered, even if the services are direct campaign services and even if they would be compensable if performed by someone else. (See [Govt. Code, §§ 84307.5, 89518](#).)² There is good reason for this different treatment. The Legislature has determined that it is important to prevent candidates (and their families) from personally benefiting from contributions the candidates receive. (See, e.g., [AB 2320 Senate Floor Analysis](#).)³

² It is worth noting that the candidate's reading of the statute would necessarily require *all* of section 2.12.530.B.2.a to allow compensation for direct campaign work. This would mean that candidates, who are included in that provision, would also be allowed to receive public funds and contributions for campaign work under BERA—in direct violation of state law. (See Govt. Code, § 89518.)

³ These state laws would prohibit Leah Henry from depositing the \$4,000 in campaign funds she received into the joint account that she shares with her father, the candidate's spouse, and that she used to make a \$50 contribution to the campaign. If it occurred, such a deposit would likely be considered an unlawful compensation of campaign funds to the candidate's spouse (Govt. Code, § 84307.5) and—because California is a

Further, when public funds are at issue, there is the added concern that professional services be conducted through arm's length transactions. This concern animates a number of government procurement rules. (See, e.g., Govt. Code, § 1090 [public officers "shall not be financially interested in any contract made by them in their official capacity"]; Near Relatives Policy for Community Agencies Contracting with the City of Berkeley, Reso. No. 63,124-N.S.) The Fair Elections Act provides participating candidates with taxpayer funds amounting to six times as much as what they raise privately. Given the risk of abuse and the fact that state law already prohibits candidates and their spouses from personally receiving campaign funds, it is not unreasonable for Berkeley voters to have extended these restrictions to other family members when adopting the City's public-financing program.

The candidate also argues that the legislative history of Measure X1, which created the Fair Elections Act, indicates that "compensating a family member for providing direct campaign purposes—such as acting as a field manager—was never intended to be precluded from public financing." The candidate implies that this understanding of the legislative history comes from an inquiry she made to a member of City Council, though no details are provided.

As a preliminary matter, legislative history is used as a guide to interpret an ordinance only when the ordinance is ambiguous. (See *Kaufman & Broad Communities, Inc. v. Performance Plastering, Inc.* (2005) 133 Cal.App.4th 26, 29.) Here, the ordinance is not ambiguous, as it expressly prohibits compensation to a candidate's family members. But, even if legislative history were relevant, post-hoc opinions of councilmembers are not proper legislative history—not for a piece of legislation passed by Council, nor for a ballot measure passed by voters. (*Id.*, at pp. 37-38.) Rather, legislative history of ballot measures is found in the ballot and voter guide materials. (*Id.* at p. 31 [ballot pamphlets, summaries, and arguments constitute cognizable legislative history for ballot measures].)

The ballot materials for Measure X1 only once mention the limits on the permitted use of campaign funds under the Act. Specifically, the City Attorney's Impartial Analysis identified compensation to family members as an example of a prohibited indirect campaign purpose:

Payments from the Fund would be limited to direct campaign purposes, and could not be used for costs of legal defense in any enforcement proceeding under the Berkeley Election Reform Act (BERA), or indirect campaign purposes (*e.g., personal support or compensation to the candidate or his or her family*, clothing or related items, capital assets of more than \$500 with a useful life beyond the end of the election, contributions or loans to another candidate, a party committee, or another

community property state—to the candidate herself (Govt. Code, § 89518).

political committee, independent expenditures, or payments or transfers for which compensating value is not received).

(Attachment 8, at p. 1 [emphasis added].) This does not support the candidate's reading of the ordinance.⁴

For the reasons above, the staff secretary believes there is sufficient evidence for the Commission to find probable cause of a violation of BMC section 2.12.530 and recommends the Commission make such a finding.

IV. FURTHER ACTION, IF PROBABLE CAUSE IS FOUND

If the Commission finds probable cause that a violation has occurred, it will then need to reach a second determination of what further action to take.

A. Legal Standards

The FCPC procedures outline the following possible actions for the Commission after a probable cause finding:

- a. Dismiss the complaint, where appropriate, and take no further action.
- b. Request the Secretary conduct further investigation, including an audit of campaign records;
- c. Require the timely filing of campaign statements and/or amendments to campaign statements if the probable violation involves an error and/or an omission on a campaign statement that has been filed, or if it involves the failure to file a campaign statement as required;
- d. Invite the respondents to participate in settlement negotiations. The Commission may request that the Secretary participate in negotiations on behalf of the Commission. Alternatively, the Chairperson may appoint a negotiating committee, which shall be guided by the Commission regarding the desired outcome of the settlement. Any settlement reached by the Secretary or negotiating committee and respondent(s) shall be

⁴ The candidate's assertion that Council has intended to allow some payments of public funds to family members is also questionable. Within the BERA amendments adopted by the Commission in May 2019 was an amendment to section 2.12.530 that separates the prohibition on compensation of family members from the prohibition on "indirect campaign purposes." (See [FCPC Packet for Meeting of May 16, 2019](#).) This change eliminates any doubt that compensation of family members is always unlawful under the public-financing program. If, as the candidate argues, Council had intended to allow some payments to family members, Council would have rejected the Commission's proposed amendment. Instead, it adopted this amendment at its September 10, 2019 meeting (after a first reading on July 23, 2019) without any discussion.

presented to the commission for approval. Negotiations between the designated negotiator or negotiating committee and the respondent shall be confidential. Only the negotiated draft settlement agreement, or the fact of an impasse, shall be shared with the Commission.

- e. Schedule and conduct a hearing pursuant to Section 2.12.230 of BERA. The hearing shall be conducted in accordance with Part VII below after the Secretary has completed his or her report. However, the hearing must be conducted within a reasonable time after a determination of probable cause.
- f. Ask the City Attorney or the District Attorney to seek legal remedies.
- g. Seek any other remedy within its authority.

(FCPC Procedures, § VI.C.2.) When deciding the appropriate action after a probable cause finding, the Commission may take into consideration the following factors:

- a. Its policies, procedures, regulations, and past actions in similar situations.
- b. The amount of experience of the candidate, if any, and treasurer in campaign reporting, the history or absence of other campaign violations, and the degree to which these persons made good faith efforts to correct any relevant reporting deficiencies.
- c. The presence, if any, of an apparent intent to conceal, deceive or mislead.
- d. Whether the probable violation appears deliberate, negligent or inadvertent.
- e. The effect of the probable violation upon the election or upon the administration of BERA.

(*Id.*, § VI.C.1.)

If the Commission chooses to enter into settlement negotiations with the Committee, the Commission may want to consider what penalties the probable violation is potentially subject to under BERA. Fair Elections Act violations are subject to three overlapping penalties provisions:

- *First*, is the general penalty provision of BERA: “Any person who **knowingly or willfully** violates any provision of this chapter is guilty of a misdemeanor punishable by a fine for each violation of **three times the amount** the person failed to report properly, or unlawfully contributed, expended, gave or received.” (BMC, § 2.12.460 [emphasis added].)
- *Second*, is the general penalty provision applicable to violations following a full hearing: “[T]he Commission may order” the violating party to cease its violations, file any required reports under BERA, and “[p]ay a **monetary penalty of up to \$1,000 per violation, or up to the amount or value of the unlawful or**

undisclosed contribution or expenditure, whichever is greater, to the Fair Elections Fund of the City.” (*Id.*, § 2.12.231 [emphasis added].)

- *Third*, is the penalty provision applicable to violations of the Fair Elections Act found following a full hearing: “If a participating candidate **knowingly or willfully** accepts or spends Fair Elections funding in violation of this Act, then the candidate shall repay to the Fair Elections Fund an **amount equal to twice the value of Fair Elections funding** unlawfully accepted or spent.” (*Id.*, § 2.12.550.B-C [emphasis added].)

In addition, under section 2.12.555, the Commission may determine that the candidate is ineligible to receive public funds under the Act for a period of four years. To do so, the Commission must hold a public hearing (as laid out in the Act) and find by a two-thirds vote that the candidate committed a “substantial violation.” (BMC, § 2.12.555.)

Under FCPC regulations, a violation “is deemed to be substantial if the seriousness of the offense is severe and public harm is significant.” (FCPC Regulations, R2.12.555.) Severity and significance are assessed based on several factors: “(1) the dollar amount of the unreported or misreported violations; (2) the presence or lack of intent to deceive the voting public; (3) whether the violation appears deliberate, negligent, or inadvertent; (4) whether the Respondent demonstrated good faith in consulting with Commission staff during any investigation or made good faith efforts to correct any deficiencies, violations, or errors; (5) whether the violation was isolated or was part of a pattern of violations of this chapter by the candidate, either within the same election cycle or in past election cycles; (6) the effect of the violation upon the election or upon the administration of the Fair Elections Act.” (*Ibid.*)

B. Staff Recommendation

The staff secretary recommends the Commission request staff to engage in settlement discussions with the Committee and to enter into a stipulation in which the Committee admits the violation and returns the \$4,000 to the City’s Fair Elections Fund. (See BMC, § 2.12.550.B-C.) Staff does not recommend a penalty.

This recommendation is based on the following mitigating factors:

- 2018 was the first election cycle under the Fair Elections Act, and this is the first probable violation of section 2.12.530.B.2.a that the Commission has considered. While staff considers section 2.12.530.B.2 to be unambiguous, staff did recommend that the Commission adopt amendments to this prohibition to eliminate any possibility of confusion by candidates; and
- There does not appear to be any apparent intent to conceal, deceive or mislead, given that the payment was disclosed on the Committee’s Form 460.

On the other hand, there are aggravating factors. Namely, the payment is a significant sum. It amounts to more than 10 percent of the public funds the Committee received.

Violations of this size have a significant impact on the administration of BERA. And, while staff is unaware of the amount of experience the candidate and treasurer have in campaign reporting, both are experienced attorneys.

If the Commission were to adopt staff's recommendation, it would not be able to deem the candidate ineligible to receive public funds for a four-year period because it would not have held the required public hearing.

Attachments

1. Staff Report, Review of Public Financing Program in the 2018 Election Cycle, FCPC Meeting of April 18, 2019.
2. Letter of July 17, 2019 from City Clerk to *Lacey for City Council 2018*
3. Form 460 of *Lacey for City Council 2018* for period of Jan. 1, 2018, to July 6, 2019 (terminating statement)
4. Amended Form 460 of *Lacey for City Council 2018* for period of October 21 to Dec. 31, 2018
5. Redacted copy of Receipt – Qualified Contribution for Fair Election Funds and copy of check by Leah Henry to *Lacey for City Council 2018*
6. Letter of Aug. 3, 2019 from M. Lacey to E. Soichet
7. Letter of Sept. 9, 2019 from M. Lacey to Fair Campaign Practices Commission
8. Ballot materials for Measure X1 (2016)



City Clerk Department

April 11, 2019

To: Members, Fair Campaign Practices Commission
From: Mark Numainville, City Clerk
Subject: Review of Public Financing Program in the 2018 Election Cycle

In November 2016, Berkeley voters approved MeasureX1 to create the Fair Elections Fund and create a public financing program that provides public matching funds for candidates for Mayor and City Council who agree to abide by certain additional restrictions. The November 2018 election cycle was the initial election cycle for the Public Financing Program.

Participation

Ten candidates participated. Seven out of the ten were running for Council for the first time; one candidate had previously run for Mayor and for the same Council seat; two were sitting City Council members. One candidate filed an application for participation but did not submit a qualifying request.

The two incumbents were among the earliest to receive the maximum of \$40,000 in matching funds. Both continued to fundraise after receiving the maximum in matching public funds, albeit at the \$50 limit.

Committee (listed in order of votes received)	Office Sought	Matching Funds Received	Total Amount Raised	Amounts Returned
Kesarwani for City Council 2018^	District 1	\$ 40,000	\$ 54,972	\$ -
Igor Trgub for Berkeley City Council 2018	District 1	\$ -	\$ 50,520	\$ -
Schueler for City Council 2018	District 1	\$ 29,490	\$ 37,030	\$ 14,510.75
Mary Behm-Steinberg for Council District 1 2018	District 1	\$ -	\$ 530	\$ -
Kate Harrison for City Council 2018*^	District 4	\$ 40,000	\$ 55,537	\$ 3.00
Ben Gould for Council 2018	District 4	\$ 40,000	\$ 48,122	\$ 4,535.80
Greg Magofna for City Council 2018	District 4	\$ 30,269	\$ 38,397	\$ 706.88
Robinson for City Council 2018^	District 7	\$ 18,474	\$ 26,395	\$ 183.15
Committee to Elect Ces Rosales for Berkeley City Council 2018	District 7	\$ 22,068	\$ 27,882	\$ 1,077.00
Aidan Hill 4 Berkeley Council 2018	District 7	\$ -	\$ 1,138	\$ -
Droste for City Council 2018*^	District 8	\$ 40,000	\$ 63,958	\$ 0.95
Lacey for City Council 2018	District 8	\$ 39,450	\$ 49,654	\$ 609.13
Alfred Twu for Berkeley City Council 2018	District 8	\$ 17,164	\$ 20,495	\$ 2.95
Russ Tilleman for City Council 2018	District 8	\$ -	\$ 932	\$ -
Totals		\$ 316,915	\$ 475,562.00	\$ 21,626.66
* Incumbent				
^ Winner				

Staff and Commission Resources

It is difficult to estimate the amount of staff time dedicated toward public financing in 2018. The implementation effort required a significant amount of staff resources. In addition, throughout the election, the time needed to provide special assistance to public financing candidates and treasurers is far greater than the time spent with non-participating candidates. The additional layer of regulations results in the need for additional education and assistance by City Clerk and City Attorney staff. It is estimated that City Clerk staff reviewed approximately 1,100 separate and distinct public financing transactions and all the associated supporting documentation. With increased participation likely in the 2020 mayoral election, the demand on staff time will increase.

In addition to staff demands, the FCPC added additional meetings to approve matching funds distributions in August and October.

Compliance

Despite occasional errors in submitting documentation, all participants worked closely with City Clerk staff to achieve compliance with program requirements. If clerical errors were discovered, most worked quickly to remedy them and provide amendments.

Minor violations

(1) Return of unspent funds

Several candidates had outstanding accounting issues and were unable to return their funds by the January 5, 2019 deadline.

(2) Loan violation

In order to open a bank account, a contributor made a \$50 loan to a committee in addition to \$50 contribution, exceeding contribution limit and in violation of loan prohibition.

(3) Improper use of funds

One candidate produced a mailer that included endorsements for other candidates. When alerted to the violation, the candidate halted distribution of the mailer and was refunded the production cost.

(4) Improper contribution

One candidate improperly reported non-monetary contributions related to campaign event. The contributions were properly changed to an expenditure and disclosed in an amended filing.

Major violations

None

Status of the Fair Elections Fund

FY 2018 Annual Allocation:	\$500,000
FY 2018 Admin Allocation:	(\$62,500)
FY 2019 Annual Allocation:	\$500,000
FY 2019 Admin Allocation:	(\$62,500)
Matching Funds Distributed:	(\$316,915)
Unspent Funds Returned:	\$21,630.00
Fines Deposited:	\$930
Balance after close of 2018 Election Cycle:	\$580,645

2019 Allocation:	\$507,645
Admin Allocation:	(\$64,907)

2020 Allocation:	\$507,645
Admin Allocation:	(\$64,907)

Estimated Fund Balance at the beginning of 2020: \$1,466,121

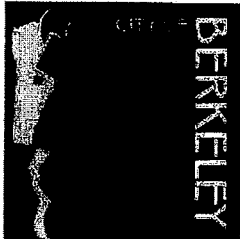
Improving the Program

In working with treasurers and candidates during the recent election cycle, the City Clerk and City Attorney's offices have identified several amendments to BERA that may provide more expanded access to the program and clarify several passages where the intent is not clear. (See accompanying Proposed Amendments to BERA memo)

One potential barrier to participation is the difficulty of providing campaign account start-up costs without exceeding the \$50 contribution limit. At least one candidate inadvertently excluded himself from participation by providing the \$100 needed by his bank to open an account. Proposed changes include allowing the candidate to contribute up to \$250 in non-matching funds to cover these costs.

Other proposed amendments include a clearer definition of what constitutes a violation of the program rules, and what actions might be deemed substantial, or potentially disqualifying violations. It is notable that in order to participate in the first place, candidates must certify that they have complied with the restrictions of the chapter during the election cycle to date and have received no ineligible contributions, and cannot participate if they have done so. Should they receive such contributions during the course of their campaign it would constitute a violation, but the contribution may be returned without disqualifying the candidate.

Providing campaigns with timely distributions is an important goal in the administration of the program and verifying the eligibility of matching funds requests is a time consuming and labor intensive process. One possible solution is to change the requirement for the FCPC to approve all requests for matching funds to an administrative approval. This change would reduce the timeline from submission to payment from 17 days to 7 days as well as easing the requirement for the commission to hold additional meetings solely to approve funds requests.



City Clerk Department

July 17, 2019

Mr. Stephen Henry, Treasurer
Lacey for City Council 2018
3047 Benvenue Avenue
Berkeley, CA 94705

RE: Campaign Statement – Referral to FCPC

Dear Mr. Henry:

The City of Berkeley Fair Campaign Practices Commission (FCPC) is tasked under the Berkeley Election Reform Act (BERA) with determining whether required campaign documents were filed and, if so, whether they conform on their face with requirements of BERA. See Berkeley Municipal Code (BMC) § 2.12.215.

In furtherance of these duties, I have reviewed your committee's Form 460 campaign statement filed January 30, 2019, and amended February 14, 2019, covering the period from October 21, 2018 through December 31, 2018, as well as your recent statement filed July 8, 2019 covering the period of January 1, 2018 through July through July 6, 2019. I am contacting you regarding an item that appears to be noncompliant with BERA.

The campaign statement reflects an expenditure that may violate BERA's expenditure prohibition. Schedule E of your filings indicates a payment of \$4,000 to Leah Henry, residing at 3047 Benvenue Avenue, Berkeley, CA, 94705, as "field manager, photographer, and volunteer coordinator from June 2018 through Election Day."

Section 2.12.530(2)(a) of the BMC prohibits Public Financing candidates from using Fair Elections funds for "*The candidate's personal support or compensation to the candidate or the candidate's family.*"

This potentially prohibited expenditure has been referred to the FCPC for further investigation. You may contact the FCPC Secretary at 510-981-6998 or fcpc@cityofberkeley.info if you have questions regarding this matter.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission

**Recipient Committee
Campaign Statement
Cover Page**

(Government Code Sections 84200-84216.5)

Date Stamp	<div style="border: 2px solid red; padding: 5px; color: red;"> E-Filed 07/08/2019 09:56:48 Filing ID: 181402344 </div>	CALIFORNIA	460
		FORM	
		Page <u>1</u> of <u>56</u>	
		For Official Use Only	

<p style="text-align: center;">Statement covers period</p> <p>from <u>01/01/2018</u></p> <p>through <u>07/06/2019</u></p>	<p style="text-align: center;">Date of election if applicable: (Month, Day, Year)</p> <p style="text-align: center;"><u>11/06/2018</u></p>
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SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees – Complete Parts 1, 2, 3, and 4.

- | | |
|--|---|
| <input checked="" type="checkbox"/> Officeholder, Candidate Controlled Committee | <input type="checkbox"/> Primarily Formed Ballot Measure Committee |
| <input type="checkbox"/> State Candidate Election Committee | <input type="checkbox"/> Controlled |
| <input type="checkbox"/> Recall
<i>(Also Complete Part 5)</i> | <input type="checkbox"/> Sponsored
<i>(Also Complete Part 6)</i> |
| <input type="checkbox"/> General Purpose Committee | <input type="checkbox"/> Primarily Formed Candidate/Officeholder Committee
<i>(Also Complete Part 7)</i> |
| <input type="checkbox"/> Sponsored | |
| <input type="checkbox"/> Small Contributor Committee | |
| <input type="checkbox"/> Political Party/Central Committee | |

2. Type of Statement:

- | | |
|--|---|
| <input type="checkbox"/> Preelection Statement | <input type="checkbox"/> Quarterly Statement |
| <input type="checkbox"/> Semi-annual Statement | <input type="checkbox"/> Special Odd-Year Report |
| <input checked="" type="checkbox"/> Termination Statement
<i>(Also file a Form 410 Termination)</i> | <input type="checkbox"/> Supplemental Preelection Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below) | |

3. Committee Information

I.D. NUMBER
1403957

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)
Lacey For City Council 2018

STREET ADDRESS (NO P.O. BOX)

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Berkeley</u>	<u>CA</u>	<u>94705</u>	<u>(510)919-2163</u>

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY	STATE	ZIP CODE	AREA CODE/PHONE
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OPTIONAL: FAX / E-MAIL ADDRESS
shenry@shenrylaw.com

Treasurer(s)

NAME OF TREASURER
Stephen Henry

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Berkeley</u>	<u>CA</u>	<u>94705</u>	<u>(510)898-1883</u>

NAME OF ASSISTANT TREASURER, IF ANY

Mary Kay Lacey

MAILING ADDRESS

CITY	STATE	ZIP CODE	AREA CODE/PHONE
<u>Berkeley</u>	<u>CA</u>	<u>94705</u>	<u>(510)919-2160</u>

OPTIONAL: FAX / E-MAIL ADDRESS
(510)295-2516 / shenry@shenrylaw.com

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 07/06/2019
Date

By Stephen Henry
Signature of Treasurer or Assistant Treasurer

Executed on 07/06/2019
Date

By Mary Kay Lacey
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on _____
Date

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on _____
Date

By _____
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

**CALIFORNIA
FORM 460**

Page 2 of 56

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Mary Kay Lacey

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

City Council Member: City of Berkeley District 8

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET)	CITY	STATE	ZIP
	Berkeley	CA	94705

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER

NAME OF TREASURER	CONTROLLED COMMITTEE?
	<input type="checkbox"/> YES <input type="checkbox"/> NO

COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER

NAME OF TREASURER	CONTROLLED COMMITTEE?
	<input type="checkbox"/> YES <input type="checkbox"/> NO

COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

**Campaign Disclosure Statement
Summary Page**

Amounts may be rounded
to whole dollars.

Statement covers period	CALIFORNIA FORM 460
from <u>01/01/2018</u>	Page <u>3</u> of <u>56</u>
through <u>07/06/2019</u>	
I.D. NUMBER <u>1403957</u>	

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

Contributions Received

	Column A TOTAL THIS PERIOD (FROM ATTACHED SCHEDULES)	Column B CALENDAR YEAR TOTAL TO DATE
1. Monetary Contributions Schedule A, Line 3	\$ <u>10,254.50</u>	\$ <u>0.50</u>
2. Loans Received Schedule B, Line 3	<u>0.00</u>	<u>0.00</u>
3. SUBTOTAL CASH CONTRIBUTIONS Add Lines 1 + 2	\$ <u>10,254.50</u>	\$ <u>0.50</u>
4. Nonmonetary Contributions Schedule C, Line 3	<u>0.00</u>	<u>0.00</u>
5. TOTAL CONTRIBUTIONS RECEIVED Add Lines 3 + 4	\$ <u>10,254.50</u>	\$ <u>0.50</u>

**Calendar Year Summary for Candidates
Running in Both the State Primary and
General Elections**

	1/1 through 6/30	7/1 to Date
20. Contributions Received	\$ _____	\$ _____
21. Expenditures Made	\$ _____	\$ _____

Expenditures Made

6. Payments Made Schedule E, Line 4	\$ <u>49,704.50</u>	\$ <u>609.63</u>
7. Loans Made Schedule H, Line 3	<u>0.00</u>	<u>0.00</u>
8. SUBTOTAL CASH PAYMENTS Add Lines 6 + 7	\$ <u>49,704.50</u>	\$ <u>609.63</u>
9. Accrued Expenses (Unpaid Bills) Schedule F, Line 3	<u>0.00</u>	<u>0.00</u>
10. Nonmonetary Adjustment Schedule C, Line 3	<u>0.00</u>	<u>0.00</u>
11. TOTAL EXPENDITURES MADE Add Lines 8 + 9 + 10	\$ <u>49,704.50</u>	\$ <u>609.63</u>

**Expenditure Limit Summary for State
Candidates**

22. Cumulative Expenditures Made*
(If Subject to Voluntary Expenditure Limit)

Date of Election (mm/dd/yy)	Total to Date
____/____/____	\$ _____
____/____/____	\$ _____

Current Cash Statement

12. Beginning Cash Balance Previous Summary Page, Line 16	\$ <u>0.00</u>
13. Cash Receipts Column A, Line 3 above	<u>10,254.50</u>
14. Miscellaneous Increases to Cash Schedule I, Line 4	<u>39,450.00</u>
15. Cash Payments Column A, Line 8 above	<u>49,704.50</u>
16. ENDING CASH BALANCE Add Lines 12 + 13 + 14, then subtract Line 15	\$ <u>0.00</u>

If this is a termination statement, Line 16 must be zero.

17. LOAN GUARANTEES RECEIVED Schedule B, Part 2	\$ <u>0.00</u>
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Cash Equivalents and Outstanding Debts

18. Cash Equivalents See instructions on reverse	\$ <u>0.00</u>
19. Outstanding Debts Add Line 2 + Line 9 in Column B above	\$ <u>0.00</u>

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

**Schedule A
Monetary Contributions Received**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page 4 of 56
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
03/23/2018	Mr. Eric Bjerkholt Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Biotech Chief Financial Officer Aimmune Therapeutics, South San Francisco	50.00	0.00	G2018 \$50.00
03/23/2018	Ms. Sophie Hahn Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	City Council Member City of Berkeley	50.00	0.00	G2018 \$50.00
03/28/2018	Jesse Berg Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney General Counsel Law Ventures, Berkeley	50.00	0.00	G2018 \$50.00
03/28/2018	Margaret Lynch Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired doctor None	50.00	0.00	G2018 \$50.00
03/29/2018	Michael Beckett Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired educator None	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

Schedule A Summary

- Amount received this period – itemized monetary contributions.
(Include all Schedule A subtotals.) \$ 9,850.00
- Amount received this period – unitemized monetary contributions of less than \$100 \$ 404.50
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$ 10,254.50**

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

AGENDA ITEM 7 ATT 3

SCHEDULE A (CONT.)

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page <u>5</u> of <u>56</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
03/29/2018	Cheryl Berg Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Lecturer UC Berkeley Law School, Berkeley	50.00	0.00	G2018 \$50.00
03/29/2018	Ilinisa Hendrickson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Consultant Three Mountains, Berkeley	50.00	0.00	G2018 \$50.00
03/29/2018	Amy Mezey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Homemaker None	50.00	0.00	G2018 \$50.00
03/29/2018	Philip Mezey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Chief Executive Officer Itron, Inc., Oakland, CA	50.00	0.00	G2018 \$50.00
03/29/2018	Josh Sperry Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Union representative ESC Local 20, IFPTE, Oakland, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page <u>6</u> of <u>56</u>

NAME OF FILER Lacey For City Council 2018	I.D. NUMBER 1403957
--	------------------------

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
03/30/2018	Eric Carlson Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Business development Jiminy's, Berkeley	50.00	0.00	G2018 \$50.00
04/02/2018	Ms. Karen MacLeod Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
04/02/2018	Ms. Anne Sayre Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
04/03/2018	Anne Carlson Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Chief Executive Officer Jiminy's, Berkeley	50.00	0.00	G2018 \$50.00
04/04/2018	Marian Berges Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed None	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

AGENDA ITEM 7 ATT 3

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page <u>7</u> of <u>56</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
04/04/2018	Stephen Weaver Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Project supervisor Jetton Construction, Berkeley, CA	50.00	0.00	G2018 \$50.00
04/05/2018	Mary Drinan Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney CSAA Insurance Group, Walnut Creek, CA	50.00	0.00	G2018 \$50.00
04/05/2018	Susan Henry Waccabuc, NY 10597	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	None Retired	50.00	0.00	G2018 \$50.00
04/06/2018	Laura Blair Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
04/06/2018	Susan Sargent Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Unemployed	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page <u>8</u> of <u>56</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
04/07/2018	Dennis Cusack Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Farella Braun & Martel, San Francisco, CA	50.00	0.00	G2018 \$50.00
04/07/2018	Alan Fedman Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Dentons, US LLP, San Francisco, CA	50.00	0.00	G2018 \$50.00
04/07/2018	Mary Elizabeth Fedman Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Yoga teacher Self employed as Beth Fedman - Berkeley	50.00	0.00	G2018 \$50.00
04/07/2018	Catherine Forest Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician Veterans Administration, Fairfield	50.00	0.00	G2018 \$50.00
04/07/2018	Karen Francis Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Career counselor U.C. Hastings College of Law, Berkeley, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
04/07/2018	Eleanor Lyon Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Homemaker None	50.00	0.00	G2018 \$50.00
04/07/2018	Matt Marostica Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Librarian Stanford University	50.00	0.00	G2018 \$50.00
04/07/2018	Robin Oleson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Social worker Veterans Administration, Oakland	50.00	0.00	G2018 \$50.00
04/07/2018	Frederick Schnider Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Lawyer Law Offices of Fred Schnider, Berkeley, CA	50.00	0.00	G2018 \$50.00
04/07/2018	Mitchell Zeemont Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Mortgage Banker Newmark Realty Capital Inc., San Francisco, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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04/07/2018	Susie Zukor Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self-employed, Law Office of Susie Zukor, Berkeley, CA	50.00	0.00	G2018 \$50.00
04/10/2018	Anja Plowright Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Realtor Grubb Company, Berkeley, CA	50.00	0.00	G2018 \$50.00
04/16/2018	William Webb Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Director Maybeck School, Berkeley, CA	50.00	0.00	G2018 \$50.00
04/18/2018	Julie Garz Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Midwife Kaiser Permanente, Oakland, CA	50.00	0.00	G2018 \$50.00
04/18/2018	Seth Garz Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Economist UC Berkeley, Berkeley, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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04/22/2018	James Thornton Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Carl Zeiss Meditec, Inc., Dublin, CA	50.00	0.00	G2018 \$50.00
04/22/2018	Kristi Thornton Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Manager UC Berkeley, Berkeley, CA	50.00	0.00	G2018 \$50.00
05/02/2018	Judith Bliss Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician Contra Costa Health Services, Martinez	50.00	0.00	G2018 \$50.00
05/03/2018	Phillip Bokovoy Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Consultant Self-employed as Phillip Bokovoy, Berkeley	50.00	0.00	G2018 \$50.00
05/05/2018	Elisabeth Bigelow-Teller Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Writer/editor/proofreader Self employed as Betsy Bigelow-Teller, Berkeley, CA	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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**Schedule A (Continuation Sheet)
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05/05/2018	Michael Bolgatz Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed, Berkeley, CA	50.00	0.00	G2018 \$50.00
05/05/2018	Samuel Gerson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Psychologist Alliant University, San Francisco, CA	50.00	0.00	G2018 \$50.00
05/05/2018	Leah Henry Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Educator Children's Creativity Museum, San Francisco	50.00	0.00	G2018 \$50.00
05/05/2018	Stephen Henry Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed as Stephen F. Henry, Esq. - Berkeley	50.00	0.00	G2018 \$100.00
05/05/2018	Mary Kay Lacey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed as Mary Kay Lacey, Esq. - Berkeley	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

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05/05/2018	Shelley Nathans Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Psychologist Shelley Nathans, PhD, San Francisco	50.00	0.00	G2018 \$50.00
05/06/2018	Ronald Choy Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
05/06/2018	Gregory Davis Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
05/06/2018	Gail Greenwood Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney PSZY, San Francisco, CA	50.00	0.00	G2018 \$50.00
05/06/2018	Allison Kidder Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Filed Station Manager UC Berkeley, Berkeley, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
05/06/2018	Douglas Kidder Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Consultant OSKR, Inc, Emeryville, CA	50.00	0.00	G2018 \$50.00
05/08/2018	Sheryl Burnstein Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
05/08/2018	Jacquelyn McCormick Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Senior Advisor City of Berkeley	50.00	0.00	G2018 \$50.00
05/09/2018	Amy Mezey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Homemaker None	50.00	0.00	G2018 \$50.00
05/21/2018	Amy Mezey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Homemaker None	-50.00	0.00	G2018 \$50.00
SUBTOTAL \$				150.00		

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**Schedule A (Continuation Sheet)
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SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

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05/22/2018	Jack Eskridge Clayton, CA 94517	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
05/22/2018	Janice Eskridge Clayton, CA 94517	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
05/22/2018	Donna Moriguchi Schneider Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Clinical Psychologist Self employed: Donna Moriguchi, Ph.D, Berkeley, CA	50.00	0.00	G2018 \$50.00
05/23/2018	Gwen Morris Oakland, CA 94618	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Director, Project Management Fiserv, San Francisco	50.00	0.00	G2018 \$50.00
05/24/2018	Deborah Moore Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Non-profit manager Union of Concerned Scientists, Oakland, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
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05/24/2018	Abbie Zak Benicia, CA 94510	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Pharmacy Technician Kaiser Permanente, Benicia	50.00	0.00	G2018 \$50.00
05/27/2018	John Schneider Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Clinical Psychologist Psychoanalyst Self employed: John Schneider, Phd, Berkeley, CA	50.00	0.00	G2018 \$50.00
05/31/2018	Tina Baker Concord, CA 94518	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Secretary Williams & Gumbiner, Greenbrae, CA	50.00	0.00	G2018 \$50.00
05/31/2018	Stephen Mungovan Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Housing Inspector San Francisco Dept. of Building Inspection, San Francisco	50.00	0.00	G2018 \$50.00
06/02/2018	George Beier Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Computer programmer LendingDox, Oakland, CA	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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06/02/2018	Kathryn Harrison Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Consultant Kate Harrison Consulting, Berkeley, CA	50.00	0.00	G2018 \$50.00
06/02/2018	Michael Koonce Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	President IGX Group, Inc, San Francisco, CA	50.00	0.00	G2018 \$50.00
06/02/2018	Carolyn Wilson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician Self employed as Carolyn Wilson, M.D., Berkeley, CA	50.00	0.00	G2018 \$50.00
06/03/2018	Andrew Johnson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Engineer WireWorks West, Inc, Berkeley, CA	50.00	0.00	G2018 \$50.00
06/04/2018	Elizabeth Shippey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Library Assistant UC Berkeley, Berkeley, CA	50.00	0.00	G2018 \$50.00

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**Schedule A (Continuation Sheet)
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06/04/2018	Patricia Sussman Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
06/04/2018	Peter Sussman Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
06/06/2018	Fumi Knox Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Greene Radovsky Maloney Share & Hennigh, San Francisco, CA	50.00	0.00	G2018 \$50.00
06/06/2018	Nick Morgan Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Engineer PanPacific Properties, Berkeley, CA	50.00	0.00	G2018 \$50.00
06/07/2018	Beverly Davis Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Counselor Discovery Center, Oakland, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
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SCHEDULE A (CONT.)

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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
06/08/2018	David Orenstein Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Software Engineer S&C Electric Co., Alameda, CA	50.00	0.00	G2018 \$50.00
06/08/2018	Linda Shiosaki Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Finance Director Institute of Buddhist Studies, Berkeley, CA	50.00	0.00	G2018 \$50.00
06/08/2018	Katherine Viera Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Nurse/Researcher Kaiser Permanente, Oakland, CA	50.00	0.00	G2018 \$50.00
06/08/2018	Mauricio Viera Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Software salesman Adobe, San Francisco, CA	50.00	0.00	G2018 \$50.00
06/08/2018	Mitchell Wilson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician Self-employed as Mitchell Wilson, M.D. Berkeley, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

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06/09/2018	Jonathan Balderston Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Artist Self employed as Jonathon Balderston, Berkeley, CA	50.00	0.00	G2018 \$50.00
06/09/2018	Otto Becker Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
06/09/2018	Susan Henke Berkeley, CA 94708	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00
06/09/2018	Sandra Seidlitz Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Psychotherapist Sandra Seidlitz, LCSW, Berkeley, CA (Self-employed)	50.00	0.00	G2018 \$50.00
06/10/2018	Steven Dopkin Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired None	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
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06/10/2018	Laura Klein Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Psychiatrist Self employed as Laura Klein, M.D. in Berkeley, CA	50.00	0.00	G2018 \$50.00
06/10/2018	Florence Kuyt Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician Self employed, Berkeley, CA	50.00	0.00	G2018 \$50.00
06/10/2018	Henry Markman Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Psychiatrist Self employed as Henry Markman, M.D. in Berkeley, CA	50.00	0.00	G2018 \$50.00
06/10/2018	Igor Tregub Berkeley, CA 94710	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Engineer Dept. of Energy, Livermore, CA	50.00	0.00	G2018 \$50.00
06/11/2018	Gale Garcia Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
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06/22/2018	Robert Wrenn Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Julie Caskey , Unknown	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unknown Unknown	50.00	0.00	G2018 \$50.00
06/25/2018	James Chang Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Rent Board Commissioner City of Berkeley	50.00	0.00	G2018 \$50.00
06/25/2018	Carol Denney Berkeley, CA 94702	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Carrie Edwards Napa, CA 94558	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Property manager Self employed, Napa, CA	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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**Schedule A (Continuation Sheet)
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06/25/2018	Linda Franklin Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Donald Goldmacher Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Susan Kahn Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Nickolas Montano Walnut Creek, CA 94957	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Private investigator Self employed, Walnut Creek, CA	50.00	0.00	G2018 \$50.00
06/25/2018	Cathy Pawlowski Wilmington, NC 28409	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed, Wilmington, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
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06/25/2018	Marci Reichelstein Stanford, CA 94305	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	College Counselor Compass to College, Stanfford, CA	50.00	0.00	G2018 \$50.00
06/25/2018	Cindy Shamban Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Margot Smith Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/25/2018	Charlene Woodcock Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$100.00
06/26/2018	Christopher Adams Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

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06/26/2018	Nicole Aruda Oakland, CA 94609	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed, Oakland, CA	50.00	0.00	G2018 \$50.00
06/26/2018	Zipporah Collins Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/26/2018	Fred Dodsworth Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/26/2018	Sam Homans Oakland, CA 94609	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Carpenter Self employed, Oakland, CA	50.00	0.00	G2018 \$50.00
06/26/2018	Sarah Nelson Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

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06/26/2018	Michael O'Malley Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/26/2018	Elizabeth Reynolds Orinda, CA 94563	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
06/27/2018	Judith Grether Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/27/2018	Elisabeth O'Malley Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/27/2018	John Selawasky Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Rent Board Commissioner City of Berkeley	50.00	0.00	G2018 \$50.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

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06/27/2018	Charles Spiegel San Francisco, CA 94114	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed - Charles Spiegel Esq., San Francisco, CA	50.00	0.00	G2018 \$50.00
06/28/2018	Ted Cassman Orinda, CA 94563	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Arguedas, Cassman & Headley, LLP, Berkeley CA	50.00	0.00	G2018 \$50.00
06/29/2018	Ruth Hammargren Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
06/29/2018	Rita Hofmann Phoenix, AZ 85044	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
07/03/2018	Caroline Davis San Francisco, CA 94105	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Sales management Kanopy, San Francisco	50.00	0.00	G2018 \$50.00

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07/03/2018	Zachary Eskridge Georgetown, TX 78628	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Producer Wargaming America, Georgetown TX	50.00	0.00	G2018 \$50.00
07/03/2018	Daniel Moore Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Computer Technician Daniel Moore Computer Maintenance, Berkeley	50.00	0.00	G2018 \$50.00
07/03/2018	Kelly Valen Mill Valley, CA 94965	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Writer Self employed as Kelly Valen, Vancouver Canada	50.00	0.00	G2018 \$50.00
07/14/2018	Joan Barnett Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/14/2018	Amy Bayersdorfer Menlo Park, CA 94025	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00

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07/14/2018	Robert Bell Menlo Park, CA 94205	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Senior Scientist 23 and Me, Menlo Park	50.00	0.00	G2018 \$50.00
07/14/2018	Vonnie Estes Menlo Park, CA 94205	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Business development Self employed, Vonnie Estes, Menlo Park	50.00	0.00	G2018 \$50.00
07/14/2018	Michael Morris Oakland, CA 94618	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney PUC, San Francisco	50.00	0.00	G2018 \$50.00
07/14/2018	Nathan Morris Oakland, CA 94618	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Student Unemployed	50.00	0.00	G2018 \$50.00
07/16/2018	Ian Barker Oakland, CA 94611	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Dentons US LLP, Oakland	50.00	0.00	G2018 \$50.00

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07/18/2018	Jeffrey Angell Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/18/2018	Margaret Anstrand Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/18/2018	Colette Ford Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Realtor The Grubb Company, Berkeley	50.00	0.00	G2018 \$50.00
07/18/2018	Joan King-Angell Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician Lifelong Medical, Berkeley	50.00	0.00	G2018 \$50.00
07/18/2018	Marianne Sluis Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

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NAME OF FILER Lacey For City Council 2018	I.D. NUMBER 1403957
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
07/19/2018	Franco Folini Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Software Salesperson Novedge, Berkeley	50.00	0.00	G2018 \$50.00
07/19/2018	Germaine LaBerge Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Part time shop girl Sagrada Sacred Arts, Oakland	50.00	0.00	G2018 \$50.00
07/20/2018	Reid Schnider Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Student Unemployed	50.00	0.00	G2018 \$50.00
07/21/2018	Joanne Carder Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/21/2018	Nancy Carleton Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Editor Self employed as Nancy Carleton in Berkeley, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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SCHEDULE A (CONT.)

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
07/23/2018	Ellen Hahn Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/23/2018	Amber Turley Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Strategist Sephora, San Francisco	50.00	0.00	G2018 \$50.00
07/24/2018	Cathleen Quandt Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Designer Sheahan & Quandt Architecture, Berkeley	50.00	0.00	G2018 \$50.00
07/24/2018	Patrick Sheahan Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Architect Sheahan & Quandt Architecture, Berkeley	50.00	0.00	G2018 \$50.00
07/24/2018	Mia Teller Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Student None	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded
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Statement covers period		CALIFORNIA FORM 460
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
07/25/2018	Wendy Bloom Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Registered Nurse UCSF Benioff Children's Hospital, Oakland	50.00	0.00	G2018 \$50.00
07/28/2018	Vincent Casalaina Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/29/2018	Michelle Barnett Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
07/29/2018	Joseph Sargent Birmingham, AL 35205	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Professor University of Alabama, Tuscaloosa	50.00	0.00	G2018 \$50.00
07/30/2018	Elliott Halpern Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

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SCHEDULE A (CONT.)

Amounts may be rounded
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07/30/2018	Michael Katz Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Writer Stripe, San Francisco	50.00	0.00	G2018 \$50.00
08/01/2018	Zelda Bronstein Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Journalist Self employed as Zelda Bronstein	50.00	0.00	G2018 \$50.00
08/01/2018	Jack Sawyer Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Co-op Organizer Parker Street Foundation	50.00	0.00	G2018 \$50.00
08/02/2018	Lorrie Gray Berkeley, CA 94707	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney National Treasury Employees Union, Oakland	50.00	0.00	G2018 \$50.00
08/02/2018	Krystyna Montauk Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired High School Teacher Lick Wilmerding, San Francisco	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded
to whole dollars.

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NAME OF FILER Lacey For City Council 2018	I.D. NUMBER 1403957
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/02/2018	Lance Montauk Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Physician USCF, San Francisco	50.00	0.00	G2018 \$50.00
08/02/2018	David Socholitsky Berkeley, CA 94702	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Clinical Psychologist David Socholitsky, Ph.D, Berkeley	50.00	0.00	G2018 \$50.00
08/03/2018	Nathan Brostrom Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Higher Education University of California, Oakland	50.00	0.00	G2018 \$50.00
08/04/2018	Richard Lynch Albany, CA 94706	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self as Richard Lynch, Esq, Albany, CA	50.00	0.00	G2018 \$50.00
08/09/2018	Dawn Losego Pittsburgh, PA 15241	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Administrative Assistant Express SawCutters, Inc., Bridgeville, PA	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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SCHEDULE A (CONT.)

**Schedule A (Continuation Sheet)
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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/09/2018	Kim Losego Upper St. Clair, PA 15241	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Concrete cutter Express SawCutters, Inc., Bridgeville, PA	50.00	0.00	G2018 \$50.00
08/10/2018	James McWilliams Danville, CA 94526	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Self employed a James McWilliams, Esq. Danville, CA	50.00	0.00	G2018 \$50.00
08/10/2018	Hilary Winslow Bolinis, CA 94924	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
08/14/2018	Bradán Litzinger Berkeley, CA 94702	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Legislative Assistant City of Berkeley	50.00	0.00	G2018 \$50.00
08/21/2018	Daniel Streck San Diego, CA 92037	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
08/27/2018	John Byrne San Rafael, CA 94901	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	CEO and Editor In Chief C-Change media Inc., Oakland, CA	50.00	0.00	G2018 \$50.00
08/28/2018	Susan Aaron Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired High School Teacher Retired	50.00	0.00	G2018 \$50.00
08/28/2018	Holly Coates-Bash Berkeley, CA 04705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Lactation Consultant UCSF, San Francisco	50.00	0.00	G2018 \$50.00
08/28/2018	Steven Sherman Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Environmental Consultant Steven Sherman Consulting, Berkeley, CA	50.00	0.00	G2018 \$50.00
08/29/2018	Beverly Doane Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

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08/30/2018	Larry Hartsough Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
08/30/2018	Diana Wege New York, NY 10014	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Artist Self employed as Diana Wege, New York, NY	50.00	0.00	G2018 \$50.00
09/02/2018	Kate Byrne San Rafael, CA 94901	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Vice President of Business Development, Partnership and Memb Watermark, San Francisco	50.00	0.00	G2018 \$50.00
09/10/2018	Clay Brangham Beaverton, OR 97006	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Litigation Officer IBM, Portland OR	50.00	0.00	G2018 \$50.00
09/13/2018	Robert Houghteling Oakland, CA 94618	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Educational administrator Bay Area Teacher Training Institute	50.00	0.00	G2018 \$50.00

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**Schedule A (Continuation Sheet)
Monetary Contributions Received**

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DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
09/13/2018	Margo Schueler Berkeley, CA 94710	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
09/14/2018	Sam Greyson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
09/14/2018	Barbara Thompson Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
09/17/2018	Paul Boitano Oakland, CA 94602	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
09/17/2018	Paula Boitano Oakland, CA 94602	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Attorney Dentons, US, Oakland, CA	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

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SCHEDULE A (CONT.)

**Schedule A (Continuation Sheet)
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09/20/2018	Isobel Schneider Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Librarian City of Berkeley	50.00	0.00	G2018 \$50.00
09/20/2018	Virginia Stock Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Vice chair Restore Hetch Hetchy	50.00	0.00	G2018 \$50.00
09/24/2018	Victoria Peirotos Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
09/25/2018	Abe Friedman Piedmont, CA 94611	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	CEO CamberView Partners, San Francisco	50.00	0.00	G2018 \$50.00
09/25/2018	Jennifer Friedman Piedmont, CA 94611	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
SUBTOTAL \$				250.00		

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SCHEDULE A (CONT.)

**Schedule A (Continuation Sheet)
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09/30/2018	Juliane Rumsey Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
10/06/2018	Conn Hallinan Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Columnist & journalist Foreign Policy In Focus, Berkeley	50.00	0.00	G2018 \$50.00
10/08/2018	Robert Carter Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Software engineer Fountain	50.00	0.00	G2018 \$50.00
10/10/2018	David Mundstock Berkeley, CA 94704	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
10/11/2018	Ernest Machen Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Electrician Self employed, Berkeley	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

AGENDA ITEM 7 ATT 3

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page <u>42</u> of <u>56</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/15/2018	Henry Sobel Berkeley, CA 94703	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Project manager Self employed, Huge Media, Berkeley	50.00	0.00	G2018 \$50.00
10/24/2018	Deborah LaVeen Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$50.00
10/25/2018	Robert Archibald Napa, CA 94559	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Salesperson Home Depot, Napa	50.00	0.00	G2018 \$50.00
10/25/2018	Austene Hall Napa, CA 94559	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Cashier Van Windens Nursery, Napa	50.00	0.00	G2018 \$50.00
10/25/2018	George Johannessen Pacific Palisades, CA 90272	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Television writer Fox Television	50.00	0.00	G2018 \$50.00

SUBTOTAL \$ 250.00

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

AGENDA ITEM 7 ATT 3

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page 43 of 56
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/25/2018	Charlene Woodcock Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	0.00	G2018 \$100.00
10/28/2018	Elisabeth Hahn New York, NY 10024	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Art advisor Self employed, New York, NY	50.00	0.00	G2018 \$50.00
10/30/2018	Sara O'Connor Newton, MA 02459	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	0.00	G2018 \$50.00
11/03/2018	David Simpson Sonoma, CA 95476	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Salesperson Bacchus Wine Fund, Sonoma	50.00	0.00	G2018 \$50.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				

SUBTOTAL \$ 200.00

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

**Schedule B – Part 1
Loans Received**

Amounts may be rounded
to whole dollars.

Statement covers period	CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

FULL NAME, STREET ADDRESS AND ZIP CODE OF LENDER (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	(a) OUTSTANDING BALANCE BEGINNING THIS PERIOD	(b) AMOUNT RECEIVED THIS PERIOD	(c) AMOUNT PAID OR FORGIVEN THIS PERIOD*	(d) OUTSTANDING BALANCE AT CLOSE OF THIS PERIOD	(e) INTEREST PAID THIS PERIOD	(f) ORIGINAL AMOUNT OF LOAN	(g) CUMULATIVE CONTRIBUTIONS TO DATE
Stephen Henry Berkeley, CA 94705	Attorney Self employed as Stephen F. Henry, Esq. - Berkeley	\$ <u>0.00</u>	\$ <u>50.00</u>	<input checked="" type="checkbox"/> PAID \$ <u>50.00</u> <input type="checkbox"/> FORGIVEN \$ <u>0.00</u>	\$ <u>0.00</u> <u>05/05/2018</u> DATE DUE	<u> </u> % RATE \$ <u>0.00</u>	\$ <u>50.00</u> <u>03/21/2018</u> DATE INCURRED	CALENDAR YEAR \$ <u>0.00</u> PER ELECTION** \$ <u>G2018 100.00</u>
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ _____	\$ _____	<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	_____ \$ DATE DUE	_____ % RATE \$ _____	_____ \$ DATE INCURRED	CALENDAR YEAR \$ _____ PER ELECTION** \$ _____
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ _____	\$ _____	<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	_____ \$ DATE DUE	_____ % RATE \$ _____	_____ \$ DATE INCURRED	CALENDAR YEAR \$ _____ PER ELECTION** \$ _____
† <input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC		\$ _____	\$ _____	<input type="checkbox"/> PAID \$ _____ <input type="checkbox"/> FORGIVEN \$ _____	_____ \$ DATE DUE	_____ % RATE \$ _____	_____ \$ DATE INCURRED	CALENDAR YEAR \$ _____ PER ELECTION** \$ _____
SUBTOTALS \$			\$ <u>50.00</u>	\$ <u>50.00</u>	\$ <u>0.00</u>	\$ <u>0.00</u>		

Schedule B Summary

1. Loans received this period \$ 50.00
(Total Column (b) plus unitemized loans of less than \$100.)
2. Loans paid or forgiven this period \$ 50.00
(Total Column (c) plus loans under \$100 paid or forgiven.)
(Include loans paid by a third party that are also itemized on Schedule A.)
3. Net change this period. (**Subtract** Line 2 from Line 1.) **NET \$** 0.00
Enter the net here and on the Summary Page, Column A, Line 2.
(May be a negative number)

†Contributor Codes
IND – Individual
COM – Recipient Committee
(other than PTY or SCC)
OTH – Other (e.g., business entity)
PTY – Political Party
SCC – Small Contributor Committee

*Amounts forgiven or paid by another party also must be reported on Schedule A.
** If required.

**Schedule E
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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NAME OF FILER		I.D. NUMBER
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
ActBlue Somerville, MA 02114		Fee for online contribution platform	95.16
Autumn Press Berkeley, CA 94710	LIT		255.57
Sam Gallison\Studio Gallison Cambridge, MA 02138	WEB	Design of campaign website	3,318.75

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 3,669.48

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.)	\$	49,212.54
2. Unitemized payments made this period of under \$100	\$	491.96
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$	0.00
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	TOTAL \$	49,704.50

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Political Data Inc. Norwalk, CA 90650	POL		Computerized data re voters in district	600.00
KnG Visual Solutions Richmond, CA 94804	CMP		Production of yardsigns	2,341.23
Chimes Printing Richmond, CA 94801	LIT		Printing of walk pieces	1,081.58
Safeway Oakland, CA 94618	FND		Food for launch party	374.92
Chimes Printing Richmond, CA 94801	LIT		Thank you post cards	447.93

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 4,845.66

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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NAME OF FILER		I.D. NUMBER
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Piedmont Copy Berkeley, CA 94705	OFC		Copying	62.27
City of Berkeley Berkeley, CA 94704	FIL			99.00
Overland Strategies, LLC San Dimas, CA 91773	CNS		Misc. Graphic Design	275.00
Overland Strategies, LLC San Dimas, CA 91773	CNS		Campaign consulting	2,500.00
Safeway Oakland, CA 94618	FND		Food for multiple houseparties	76.19

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 3,012.46

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Vintage Berkeley Berkeley, CA 94705	FND		Wine for house parties	78.66
S&S Printers Anaheim, CA 92801	LIT			1,935.00
Piedmont Copy Berkeley, CA 94705	CMP		Copying	60.09
U.S. Postal Service Berkeley, CA 94705	POS		Postcard stamps	70.00
Alliance Graphics Berkeley, CA 94710	CMP		Campaign T-shirts	370.36

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 2,514.11

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Sam Gallison\Studio Gallison Cambridge, MA 02138	WEB		Website design and management; Design of mailers and postcards	2,118.75
U.S. Postal Service Berkeley, CA 94705	POS		Purchase of postcard stamps	99.75
Mailing Pros Inc. Huntington Beach, CA 92649	LIT			1,734.03
Political Data Inc. Norwalk, CA 90650	POL			210.90
S&S Printers Anaheim, CA 92801	LIT			62.47

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 4,225.90

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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NAME OF FILER		I.D. NUMBER
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- | | | |
|---|---|---|
| CMP campaign paraphernalia/misc. | MBR member communications | RAD radio airtime and production costs |
| CNS campaign consultants | MTG meetings and appearances | RFD returned contributions |
| CTB contribution (explain nonmonetary)* | OFC office expenses | SAL campaign workers' salaries |
| CVC civic donations | PET petition circulating | TEL t.v. or cable airtime and production costs |
| FIL candidate filing/ballot fees | PHO phone banks | TRC candidate travel, lodging, and meals |
| FND fundraising events | POL polling and survey research | TRS staff/spouse travel, lodging, and meals |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense | PRO professional services (legal, accounting) | VOT voter registration |
| LIT campaign literature and mailings | PRT print ads | WEB information technology costs (internet, e-mail) |

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Kimberleigh Schartz El Cerrito, CA 94530	PRO		design of Berkeleyside ad	113.75
Mailing Pros Inc. Huntington Beach, CA 92649	LIT			1,734.03
Overland Strategies, LLC San Dimas, CA 91773	CNS			2,500.00
S&S Printers Anaheim, CA 92801	LIT			2,152.27
S&S Printers Anaheim, CA 92801	LIT			154.80

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 6,654.85

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
U.S. Postal Service Berkeley, CA 94705	POS			1,611.75
Chimes Printing Richmond, CA 94801	LIT			698.11
Mailing Pros Inc. Huntington Beach, CA 92649	LIT			1,734.03
S&S Printers Anaheim, CA 92801	LIT			1,093.66
S&S Printers Anaheim, CA 92801	LIT			2,084.96

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 7,222.51

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Stacey Lauten Slager Livermore, CA 94551	LIT		Design work	390.00
Mailing Pros Inc. Huntington Beach, CA 92649	LIT			1,734.03
Berkeleyside Berkeley, CA 94704	PRT			1,170.00
Federal Express Berkeley, CA 94705	OFC		Mailing labels	98.26
Chimes Printing Richmond, CA 94801	LIT			1,028.04

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 4,420.33

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Piedmont Copy Berkeley, CA 94705	OFC			65.55
S&S Printers Anaheim, CA 92801	LIT			811.73
Charlene Woodcock Berkeley, CA 94709			Return of excess contribution	50.00
Megan Imperial Berkeley, CA 94704	CNS			165.00
Sam Gallison\Studio Gallison Cambridge, MA 02138	LIT		Design of all campaign literature and mailings	4,725.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 5,817.28

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page <u>54</u> of <u>56</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Autumn Press Berkeley, CA 94710	LIT		Printing of walk pieces	250.00
Political Data Inc. Norwalk, CA 90650	POL			247.53
Market Hall Foods Oakland, CA 94618			Food for Election Day party for volunteers and supporters	166.47
Safeway Oakland, CA 94618			Food, beverages, and paper products for Election Day party for volunteers and supporters	186.51
Trader Joe's Oakland, CA 94618			Food and beverages for Election Day party for volunteers and supporters	102.70

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 953.21

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	01/01/2018	
through	07/06/2019	Page 55 of 56
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Vino Oakland, CA 94618		Beverages for Election Night party for volunteers and supporters	194.10
Mailing Pros Inc. Huntington Beach, CA 92649	LIT		823.52
Leah Henry Berkeley, CA 94705	SAL	Field manager, photographer, and volunteer coordinator from June 2018 through Election Day	4,000.00
Mittie Cuetera Oakland, CA 64609	LIT	Design of two postcard mailers	250.00
City of Berkeley Berkeley, CA 94704		Return of excess campaign funds to City of Berkeley	609.13

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 5,876.75

Schedule I
Miscellaneous Increases to Cash

Amounts may be rounded to whole dollars.

Statement covers period
from 01/01/2018
through 07/06/2019

CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

I.D. NUMBER
1403957

Table with 4 columns: DATE RECEIVED, FULL NAME AND ADDRESS OF SOURCE, DESCRIPTION OF RECEIPT, AMOUNT OF INCREASE TO CASH. Rows include entries from City of Berkeley for matching funds and public financing.

Attach additional information on appropriately labeled continuation sheets.

SUBTOTAL \$ 39,450.00

Schedule I Summary

- 1. Itemized increases to cash this period. \$ 39,450.00
2. Unitemized increases to cash of under \$100 this period. \$ 0.00
3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) \$ 0.00
4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) TOTAL \$ 39,450.00

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Date Stamp

E-Filed 02/14/2019 15:45:33

Filing ID: 176791209

Statement covers period from 10/21/2018 through 12/31/2018. Date of election if applicable: 11/06/2018

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee (checked)
State Candidate Election Committee
Recall
General Purpose Committee
Sponsored
Small Contributor Committee
Political Party/Central Committee
Primarily Formed Ballot Measure Committee
Controlled
Sponsored
Primarily Formed Candidate/Officeholder Committee

2. Type of Statement:

- Preelection Statement
Semi-annual Statement (checked)
Termination Statement
Amendment (checked)
Quarterly Statement
Special Odd-Year Report
Supplemental Preelection Statement - Attach Form 495

Amended returned contribution to move it to Schedule E

3. Committee Information

I.D. NUMBER 1403957

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE) Lacey For City Council 2018

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94705 (510)919-2163

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS shenry@shenrylaw.com

Treasurer(s)

NAME OF TREASURER Stephen Henry

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94705 (510)898-1883

NAME OF ASSISTANT TREASURER, IF ANY

Mary Kay Lacey

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94705 (510)919-2160

OPTIONAL: FAX / E-MAIL ADDRESS (510)295-2516 / shenry@shenrylaw.com

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 02/13/2019 Date

By Stephen Henry Signature of Treasurer or Assistant Treasurer

Executed on 02/13/2019 Date

By Mary Kay Lacey Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee
Campaign Statement
Cover Page — Part 2**

**CALIFORNIA
FORM 460**

Page 2 of 10

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE

Mary Kay Lacey

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

City Council Member: City of Berkeley District 8

RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET)	CITY	STATE	ZIP
	Berkeley	CA	94705

Related Committees Not Included in this Statement: *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO

COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

COMMITTEE NAME	I.D. NUMBER
NAME OF TREASURER	CONTROLLED COMMITTEE? <input type="checkbox"/> YES <input type="checkbox"/> NO

COMMITTEE ADDRESS	STREET ADDRESS (NO P.O. BOX)
CITY	STATE ZIP CODE AREA CODE/PHONE

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

BALLOT NO. OR LETTER	JURISDICTION	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

OFFICE SOUGHT OR HELD	DISTRICT NO. IF ANY

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE
NAME OF OFFICEHOLDER OR CANDIDATE	OFFICE SOUGHT OR HELD	<input type="checkbox"/> SUPPORT <input type="checkbox"/> OPPOSE

Attach continuation sheets if necessary

Campaign Disclosure Statement Summary Page

Amounts may be rounded to whole dollars.

Statement covers period from 10/21/2018 through 12/31/2018. CALIFORNIA FORM 460. Page 3 of 10. I.D. NUMBER 1403957.

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

Contributions Received

Table with 3 columns: Description, Column A (TOTAL THIS PERIOD), Column B (CALENDAR YEAR TOTAL TO DATE). Rows include Monetary Contributions, Loans Received, SUBTOTAL CASH CONTRIBUTIONS, Nonmonetary Contributions, and TOTAL CONTRIBUTIONS RECEIVED.

Calendar Year Summary for Candidates Running in Both the State Primary and General Elections

Table with 2 columns: 1/1 through 6/30, 7/1 to Date. Rows include 20. Contributions Received and 21. Expenditures Made.

Expenditures Made

Table with 3 columns: Description, Column A (TOTAL THIS PERIOD), Column B (CALENDAR YEAR TOTAL TO DATE). Rows include Payments Made, Loans Made, SUBTOTAL CASH PAYMENTS, Accrued Expenses (Unpaid Bills), Nonmonetary Adjustment, and TOTALEXPENDITURES MADE.

Expenditure Limit Summary for State Candidates

Table for 22. Cumulative Expenditures Made* (If Subject to Voluntary Expenditure Limit). Columns: Date of Election (mm/dd/yy), Total to Date. Includes two rows for reporting.

Current Cash Statement

Table with 3 columns: Description, Column A (TOTAL THIS PERIOD), Column B (CALENDAR YEAR TOTAL TO DATE). Rows include Beginning Cash Balance, Cash Receipts, Miscellaneous Increases to Cash, Cash Payments, and ENDING CASH BALANCE.

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

*Amounts in this section may be different from amounts reported in Column B.

Cash Equivalents and Outstanding Debts

Table with 3 columns: Description, Column A (TOTAL THIS PERIOD), Column B (CALENDAR YEAR TOTAL TO DATE). Rows include Cash Equivalents and Outstanding Debts.

**Schedule A
Monetary Contributions Received**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from <u>10/21/2018</u>		
through <u>12/31/2018</u>		Page <u>4</u> of <u>10</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/24/2018	Deborah LaVeen Berkeley, CA 94705	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	50.00	G2018 \$50.00
10/25/2018	Robert Archibald Napa, CA 94559	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Salesperson Home Depot, Napa	50.00	50.00	G2018 \$50.00
10/25/2018	Austene Hall Napa, CA 94559	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Cashier Van Windens Nursery, Napa	50.00	50.00	G2018 \$50.00
10/25/2018	George Johannessen Pacific Palisades, CA 90272	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Television writer Fox Television	50.00	50.00	G2018 \$50.00
10/25/2018	Charlene Woodcock Berkeley, CA 94709	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Retired Retired	50.00	100.00	G2018 \$100.00
SUBTOTAL \$				250.00		

Schedule A Summary

- Amount received this period – itemized monetary contributions.
(Include all Schedule A subtotals.) \$ 400.00
- Amount received this period – unitemized monetary contributions of less than \$100 \$ 45.00
- Total monetary contributions received this period.
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) **TOTAL \$** 445.00

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

AGENDA ITEM 7 ATT 4

SCHEDULE A (CONT.)

**Schedule A (Continuation Sheet)
Monetary Contributions Received**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	10/21/2018	
through	12/31/2018	Page <u>5</u> of <u>10</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

DATE RECEIVED	FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CONTRIBUTOR CODE *	IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS)	AMOUNT RECEIVED THIS PERIOD	CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31)	PER ELECTION TO DATE (IF REQUIRED)
10/28/2018	Elisabeth Hahn New York, NY 10024	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Art advisor Self employed, New York, NY	50.00	50.00	G2018 \$50.00
10/30/2018	Sara O'Connor Newton, MA 02459	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Unemployed Unemployed	50.00	50.00	G2018 \$50.00
11/03/2018	David Simpson Sonoma, CA 95476	<input checked="" type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC	Salesperson Bacchus Wine Fund, Sonoma	50.00	50.00	G2018 \$50.00
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
		<input type="checkbox"/> IND <input type="checkbox"/> COM <input type="checkbox"/> OTH <input type="checkbox"/> PTY <input type="checkbox"/> SCC				
SUBTOTAL \$				150.00		

*Contributor Codes
 IND – Individual
 COM – Recipient Committee
 (other than PTY or SCC)
 OTH – Other (e.g., business entity)
 PTY – Political Party
 SCC – Small Contributor Committee

**Schedule E
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period from <u>10/21/2018</u> through <u>12/31/2018</u>	CALIFORNIA FORM 460 Page <u>6</u> of <u>10</u>
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Mailing Pros Inc. Huntington Beach, CA 92649	LIT			1,734.03
Berkeleyside Berkeley, CA 94704	PRT			1,170.00
Federal Express Berkeley, CA 94705	OFC		Mailing labels	98.26

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 3,002.29

Schedule E Summary

1. Itemized payments made this period. (Include all Schedule E subtotals.)	\$ <u>16,134.17</u>
2. Unitemized payments made this period of under \$100	\$ <u>112.51</u>
3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).)	\$ <u>0.00</u>
4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.)	TOTAL \$ <u>16,246.68</u>

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	10/21/2018	
through	12/31/2018	Page <u>7</u> of <u>10</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Chimes Printing Richmond, CA 94801	LIT			1,028.04
Piedmont Copy Berkeley, CA 94705	OFC			65.55
S&S Printers Anaheim, CA 92801	LIT			811.73
Charlene Woodcock Berkeley, CA 94709			Return of excess contribution	50.00
Megan Imperial Berkeley, CA 94704	CNS			165.00

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 2,120.32

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Sam Gallison\Studio Gallison Cambridge, MA 02138	LIT		Design of all campaign literature and mailings	4,725.00
Autumn Press Berkeley, CA 94710	LIT		Printing of walk pieces	250.00
Political Data Inc. Norwalk, CA 90650	POL			247.53
ActBlue Somerville, MA 02114			Fee for ActBlue	6.00
Market Hall Foods Oakland, CA 94618			Food for Election Day party for volunteers and supporters	166.47

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 5,395.00

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
from	10/21/2018	
through	12/31/2018	Page <u>9</u> of <u>10</u>
NAME OF FILER		I.D. NUMBER
Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP	campaign paraphernalia/misc.	MBR	member communications	RAD	radio airtime and production costs
CNS	campaign consultants	MTG	meetings and appearances	RFD	returned contributions
CTB	contribution (explain nonmonetary)*	OFC	office expenses	SAL	campaign workers' salaries
CVC	civic donations	PET	petition circulating	TEL	t.v. or cable airtime and production costs
FIL	candidate filing/ballot fees	PHO	phone banks	TRC	candidate travel, lodging, and meals
FND	fundraising events	POL	polling and survey research	TRS	staff/spouse travel, lodging, and meals
IND	independent expenditure supporting/opposing others (explain)*	POS	postage, delivery and messenger services	TSF	transfer between committees of the same candidate/sponsor
LEG	legal defense	PRO	professional services (legal, accounting)	VOT	voter registration
LIT	campaign literature and mailings	PRT	print ads	WEB	information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
Safeway Oakland, CA 94618			Food, beverages, and paper products for Election Day party for volunteers and supporters	186.51
Trader Joe's Oakland, CA 94618			Food and beverages for Election Day party for volunteers and supporters	102.70
Vino Oakland, CA 94618			Beverages for Election Night party for volunteers and supporters	194.10
ActBlue Somerville, MA 02114			Fee for ActBlue	13.86
Mailing Pros Inc. Huntington Beach, CA 92649	LIT			823.52

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 1,320.69

**Schedule E
(Continuation Sheet)
Payments Made**

Amounts may be rounded
to whole dollars.

Statement covers period		CALIFORNIA FORM 460
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Lacey For City Council 2018		1403957

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Lacey For City Council 2018

CODES: If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

CMP campaign paraphernalia/misc.	MBR member communications	RAD radio airtime and production costs
CNS campaign consultants	MTG meetings and appearances	RFD returned contributions
CTB contribution (explain nonmonetary)*	OFC office expenses	SAL campaign workers' salaries
CVC civic donations	PET petition circulating	TEL t.v. or cable airtime and production costs
FIL candidate filing/ballot fees	PHO phone banks	TRC candidate travel, lodging, and meals
FND fundraising events	POL polling and survey research	TRS staff/spouse travel, lodging, and meals
IND independent expenditure supporting/opposing others (explain)*	POS postage, delivery and messenger services	TSF transfer between committees of the same candidate/sponsor
LEG legal defense	PRO professional services (legal, accounting)	VOT voter registration
LIT campaign literature and mailings	PRT print ads	WEB information technology costs (internet, e-mail)

NAME AND ADDRESS OF PAYEE (IF COMMITTEE, ALSO ENTER I.D. NUMBER)	CODE	OR	DESCRIPTION OF PAYMENT	AMOUNT PAID
S&S Printers Anaheim, CA 92801	LIT			41.93
Leah Henry Berkeley, CA 94705	SAL		Field manager, photographer, and volunteer coordinator from June 2018 through Election Day	4,000.00
Mittie Cuetera Oakland, CA 64609	LIT		Design of two postcard mailers	250.00
ActBlue Somerville, MA 02114			Fee for ActBlue	1.43
ActBlue Somerville, MA 02114			Fee for ActBlue	2.51

* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

SUBTOTAL \$ 4,295.87

**RECEIPT – QUALIFIED CONTRIBUTION
FOR FAIR ELECTIONS FUNDS**

COMMITTEE USE ONLY
TRANSACTION ID#: _____

COMMITTEE INFORMATION

ENTER COMMITTEE NAME
Lacey for City Council 2018

CANDIDATE INFORMATION

THIS CONTRIBUTION IS MADE ON BEHALF OF THE FOLLOWING CANDIDATE
Mary Kay Lacey

CONTRIBUTION INFORMATION

Check Credit/Debit Money Order Online Payment Cash Amount: \$ 50.00

CONTRIBUTOR INFORMATION

LAST HENRY FIRST LEAH M.I. L

HOME ADDRESS 3047 BENVENUE AVENUE APARTMENT/SUITE/FLOOR _____

CITY BERKELEY STATE CA ZIP CODE 94705

TELEPHONE _____ EMAIL ADDRESS _____

To comply with reporting requirements, individuals must provide occupation and employer. If you are not employed, indicate what best describes your employment status (e.g., "homemaker," "student," "retired," or "unemployed"). If self-employed, enter the name of the business and city of employment.

OCCUPATION EDUCATOR EMPLOYER/IF SELF EMPLOYED ENTER BUSINESS NAME AND CITY
CHILDREN'S CREATIVITY MUSEUM, SAN FRANCISCO

CERTIFICATION

I understand that the purpose of this contribution is to help the above-named candidate qualify for Fair Elections campaign funding as prescribed by the Berkeley Charter, Article III (Elections) and Berkeley Municipal Code Chapter 2.12 (Election Reform Act). I have made this contribution without coercion or reimbursement. I certify that I am a Berkeley resident.

CONTRIBUTOR _____ DATE 5/5/2018

COMMITTEE

<p>STEPHEN F. HENRY LEAH L. HENRY 3047 BENVENUE AVENUE BERKELEY, CA 94705-2509</p>		<p>90-7118/396 3211</p>	<p>191</p>
<p>PAY TO THE ORDER OF <u>LACEY FOR CITY COUNCIL</u></p>		<p>DATE <u>5/5/2018</u></p>	<p>\$ <u>50.00</u></p>
<p><u>FIFTY DOLLARS AND 00/100</u></p>		<p>DOLLARS</p>	
<p>citibank CITIBANK N.A. BR. #396 1 SANSOME ST. 24TH FL. SAN FRANCISCO, CA 94104</p>		<p>Citi Private Bank</p>	
<p>MEMO _____</p>		<p>MP _____</p>	

MARY KAY LACEY

August 3, 2019

Emmanuelle Soichet
Secretary, Fair Campaign
Practices Commission
City of Berkeley
2180 Milvia Street
Berkeley, CA 94704

Referral to the Fair Campaign Practices Commission for Investigation from City Clerk dated July 17, 2019 Regarding Information Provided on January 30, 2019

Dear Ms. Soichet:

I am writing with respect to your July 25, 2019 letter. I disagree with your legal determination that the Lacey for City Council 2018 campaign violated Berkeley Municipal Code Section 2.12.530(B)(2)(a). A fully developed legal analysis supporting my position follows.

I. Factual and Procedural Background

Your July 25, 2019 letter states that you received a referral from the City Clerk's office regarding an "item" contained in the campaign's Form 460 statement. That referral was dated July 17, 2019, and emailed to the Lacey campaign treasurer on July 18, 2019. Assuming you did not receive the referral before notice was provided to the campaign, it appears you were able to investigate this matter and reach a determination that there was probable cause to find a BERA violation within one week of receiving the referral.

The Limited Nature Of The FCPC's One Week Investigation

During the week the referral was pending, you did not reach out to the campaign to ask any questions related to your "findings." In particular, you while you appear to acknowledge that BMC Section 2.12.530 (B)(2), only applies to "use of campaign funds for indirect campaign purposes," you did not reach out to the campaign to ask if the services provided by Leah Henry were for "indirect campaign services" or whether they were for "direct campaign services." This is an important question, because use of campaign funds for "direct campaign services" — such as field manager services — are permitted under BMC Section 2.12.530(A). Nor did you seek to ascertain if the campaign had a response to the question of whether the payment was allowable under BERA, because it was for "direct campaign services," and not related to the candidate's "personal support" under Section 2.12.530 (B) (2) (a).

There Is No Evidence That Legislative History Supports The FCPC Findings

In that regard, it would also appear that you made no attempt to determine the legislative history surrounding Section 2.12.530(B)(2), or asked anyone on the City Council when public financing under BERA was passed if it was intended to preclude a family member from receiving funds for direct campaign services, such as acting as a field manager. I made that inquiry, and it is my understanding that compensating a family member for providing direct campaign purposes— such as acting as a field manager —was never intended to be precluded from public financing. Indeed, it is hard to imagine why it would have been. I And, if it was so intended, why it would be contained in section of BERA that addresses “indirect services” for the candidate’s “personal support.”

The Timing Of The Referral Reflects Significant Delay

Finally, as a point of clarification regarding the timing of the City Clerk’s referral, the Lacey campaign first reported the field manager payment to Leah Henry in its Form 460 filing on January 30, 2019. Your July 25th letter does not include that filing nor does it explain why it took seven months for the referral to be made to your office.

Leaving these questions aside, the remainder of this letter demonstrates that, as a matter of fundamental statutory construction, there is no probable cause to find that BERA was violated. Put simply, because the services of a field manager constitute “direct campaign services” which are allowed under Section 2.12.530 (A), they cannot be — at the same time —disallowed as an “indirect campaign service” under Section 2.12.530 (B) (2).

II. Legal Analysis

The City Clerk’s referral to the FCPC, by letter dated July 17, 2019 (attached here as Attachment A), states that the payment made by the Lacey campaign to its field manager “appears to be non-compliant with BERA” Specifically, the City Clerk’s letter (1) cites Section 2.12.530 (B)(2)(a) of the Berkeley Municipal Code, which the Clerk states “prohibits Public Financing candidates from using Fair Elections funds for “[t]he Candidate’s personal support of compensation to the candidate or the candidate’s family”; and (2) concludes that because the campaign paid Leah Henry to be the campaign’s Field Manager, BERA was violated.

Your January 25, 2019 letter repeats this conclusion — although, unlike the City Clerk, your letter recognizes that under the statute, use of public funds is *only* prohibited when used for “indirect campaign purposes.” Section 2.12.530 (B)(2).

Berkeley Municipal Code Section 2.12.530 reads, in its entirety, as follows:

- A. A participating candidate shall use Fair Elections funds and contributions only for direct campaign purposes.
- B. A participating candidate shall not use Fair Elections funds or contributions for:

- (2) Indirect campaign purposes, including but not limited to:
 - (a) The candidate’s personal support or compensation to the candidate or the candidate’s family;
 - (b) Clothing and other items related to the candidate’s personal appearance;
 - (c) Capital assets having a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period determined in accordance with generally accepted accounting principles;
 - (d) A contribution or loan to the campaign committee of another candidate or to a party committee or other political committee;
 - (e) An independent expenditure;
 - (f) Any payment or transfer for which compensating value is not received.
- C. The term “Contribution” is defined in 2.12.100 and includes “Qualified Contributions” as defined in 2.12.167 and contributions from non-residents of Berkeley as described in 2.12.500A.7. (Ord. 7564-NS Section 2017; Ord. 7524-NS Section 3.6 (part), 2016)

For the reasons fully analyzed below, the prefatory language of section 2.12.530 (B)(2) — which prohibits use of campaign funds only for “indirect campaign purposes” — cannot be disregarded. Nor is there any evidence to conclude that the intent of section 2.12.530(B)(2)(a) was to redefine what is unquestionably a “direct campaign purpose” — i.e., payment for field manager services — and turn it into an “indirect campaign purpose” based on *who* performed the service. As discussed below, and as a matter of law and common sense, it would create an absurdity to read a statute that prohibits the use of funds for an “indirect campaign purpose” to simply ignore what campaign purpose was served by use of the funds.

BMC Code Sections 2.12.530(A) And 2.12.530(B)(2)(a) Are Properly Read Together To Give Effect To All Provisions Of The Statutory Provision And To Avoid Contradiction

Applying fundamental rules of statutory construction to the language presented — specifically, reading Section 2.12.530 in its entirety, and giving meaning to each of the provisions in relation to each other —there is no probable cause to find a violation of BERA by the Lacey Campaign. *See Hassell v. Bird*, 5 Cal. 5th 522, 540 (2018) (well-established rule of statutory construction is to give effect to all provisions, reading statutory language as a whole).

Use Of Public Funds To Pay A Field Manger Is Allowed Under 2.12.530(A)

Here, there can be no question that the Lacey Campaign’s payment for field manager services was for a “direct campaign purpose.” Paying a field manager reflects a use of funds for the most direct and necessary “direct campaign purpose” imaginable, because a campaign cannot

August 3, 2019

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viably exist without a field manager. Accordingly, it is apparent that the challenged payment is allowed under Section 2.12.530 (A). Moreover, it is equally clear that a candidate that elects to use public financing *must* pay for such services using Fair Election funds because no other funds can be raised. If my daughter had not stepped up to be my field manager, I would not have had one, and the arrangement was fully documented in a contract that lists the extensive duties she fulfilled as the single person who meaningfully assisted in the day to day work of the campaign.

Use Of Public Funds To Pay A Field Manager Is Not Precluded Under 2.12.530(B)(2)

Given the foregoing, and recognizing that the use of Fair Elections funds to pay a field manager unquestionably meets the statutory requirement of a direct campaign purpose under Section 2.12.530 (A), the question that appears to be raised by the referral is whether a “direct campaign” expenditure under Section 2.12.530 (A) can also — *at the same time* — be an “indirect campaign” expenditure under Section 2.12.530 (B)(2).

Your July 25th letter concludes the answer is yes, but you provide no legal analysis to support that finding. Applying the law to the facts, and properly reading the statute in a manner that does not create a contradiction, the Campaign respectfully submits that the answer is no. *See Hassan v. Mercy American River Hospital*, 31 Cal.4th 709, 715-16 (2003) (“These canons [of statutory construction] generally preclude judicial construction that renders part of the statute “meaningless or inoperative.”)

Section 2.12.530(B)(2), By It’s Terms, Applies Only To “Indirect Campaign Purposes”

As an initial matter, it is important to emphasize again that Section 2.12.530 (B)(2) *only* addresses “indirect campaign purposes” — and the language in (2) applies by its terms to each of the subsections that follow. *See Ex Parte Wilson*, 30 Cal App. 567 (1916) (a heading preceding a subsection must be given legal effect). Stated otherwise, the words set forth in a numbered section (here section (2)) cannot be ignored, and the language in a subsection that follows (here subsection (a)) cannot be read out of context, but must be read to give meaning to the whole.

With this principle in mind, a fair reading of Section 2.12.530(B)(2)(a) —which precludes use of funds for an “indirect campaign purpose” involving the “candidate’s personal support or compensation to the candidate or the candidate’s family” — does not alert the reader to a prohibition on payment for *any and all services* to a family member. Instead, to be precluded, the payment must be for an “indirect service” and presumably it would also need to be related to the “candidate’s personal support” (which forms the subject of the sentence). For example, if a family member was compensated for picking up a candidate’s dry cleaning, or driving a candidate to campaign events, that would constitute an “indirect purpose” for which the family member should not be compensated. Acting as a field manager unquestionably does not serve an “indirect campaign purpose” under any reasonable reading of those words.

Underscoring this point, and reviewing the entirety of Section 2.12.530 (B)(2), each of the additional subsections clearly apply to “campaign purposes” that would serve a prohibited “indirect campaign purpose.” Section 2.12.530 (B)(2)(b) prohibits use of funds for a candidate’s clothing and personal appearance; subsection (c) prohibits use of funds for capital assets of \$500

August 3, 2019

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or more with a useful life beyond the campaign; subsection (d) prohibits contributions to another campaign or political committee; subsection (e) prohibits independent expenditures; and subsection (f) prohibits use of funds where no value is received.

Reading Words Into A Statute To Support A Construction At Odds With The Statute As A Whole Violates Additional Rules Of Statutory Construction

Notwithstanding the foregoing, if you find that Section 2.12.530 (B)(2)(a) was intended to state that an allowable use of funds under Section A is not allowed under Section (B)(2)(a), because any payment made to a family member — including a payment that is clearly for a direct campaign purpose — is transformed into a payment for an indirect campaign purpose by virtue of who is paid, not what campaign purpose is served, then the language of Section 2.12.530 should be amended to clearly state that prohibition. As presently written, there is no language to reconcile the contradiction that arises if the statute is read in that manner.

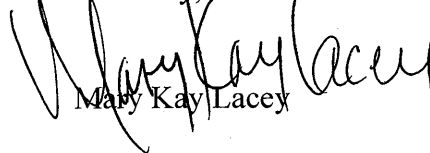
Moreover, as noted above, it would create an absurdity to read a statute that prohibits the use of funds for an “indirect campaign purpose” to simply ignore what campaign purpose was served by use of the funds. *See Ung v. Koehler*, 135 Cal.App.4th 186, 204 (2005) (“Statutes should be construed to avoid “the absurdity of creating [a] Catch-22,” citing *Padres Hacia una Vida Mejor v. Davis*, 96 Cal.App.4th 1123, 1133 (2002); *People v. Jenkins*, 10 Cal.4th 234, 246 (1995) (statutes should be read to promote the general purpose of the statute, and “avoid an interpretation that would lead to absurd consequences”).

Finally, as also noted above, there appears to be no legislative history to support a conclusion that use of public financing funds under BERA was intended to preclude any payment, of any kind, at any time, for any purpose, to a family member — including a payment that was unquestionably necessary to the campaign and served a “direct campaign purpose.” And, if that was the intent, the drafters of the statute should not have buried such a draconian rule in a section of BERA that discusses use of funds for “indirect campaign purposes.” *See People v. Jenkins, supra*, at 246 (1995) (“We must select the construction that comports most closely with the apparent intent of the Legislature, with a view to promoting rather than defeating the general purpose of the statute”).

III. Conclusion

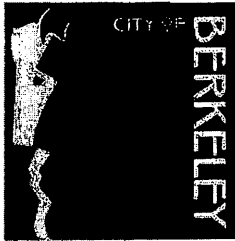
For all of the reasons discussed above, the Lacey for City Council 2018 Campaign asks you to conclude that there is no probable cause to support a finding that the campaign violated BERA’s contribution restrictions. Thank you for your consideration of the Campaign’s position.

Sincerely,



Mary Kay Lacey

Enclosure



City Clerk Department

July 17, 2019

Mr. Stephen Henry, Treasurer
Lacey for City Council 2018
3047 Benvenue Avenue
Berkeley, CA 94705

RE: Campaign Statement – Referral to FCPC

Dear Mr. Henry:

The City of Berkeley Fair Campaign Practices Commission (FCPC) is tasked under the Berkeley Election Reform Act (BERA) with determining whether required campaign documents were filed and, if so, whether they conform on their face with requirements of BERA. See Berkeley Municipal Code (BMC) § 2.12.215.

In furtherance of these duties, I have reviewed your committee's Form 460 campaign statement filed January 30, 2019, and amended February 14, 2019, covering the period from October 21, 2018 through December 31, 2018, as well as your recent statement filed July 8, 2019 covering the period of January 1, 2018 through July through July 6, 2019. I am contacting you regarding an item that appears to be noncompliant with BERA.

The campaign statement reflects an expenditure that may violate BERA's expenditure prohibition. Schedule E of your filings indicates a payment of \$4,000 to Leah Henry, residing at 3047 Benvenue Avenue, Berkeley, CA, 94705, as "field manager, photographer, and volunteer coordinator from June 2018 through Election Day."

Section 2.12.530(2)(a) of the BMC prohibits Public Financing candidates from using Fair Elections funds for "*The candidate's personal support or compensation to the candidate or the candidate's family.*"

This potentially prohibited expenditure has been referred to the FCPC for further investigation. You may contact the FCPC Secretary at 510-981-6998 or fcpc@cityofberkeley.info if you have questions regarding this matter.

Sincerely,

A handwritten signature in black ink that reads "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission

MARY KAY LACEY

September 9, 2019

Fair Campaign Practices Commissioners
c/o Secretary for the FCPC
City of Berkeley
2180 Milvia Street
Berkeley, CA 94704

Supplemental Submission Regarding Referral to the Fair Campaign Practices
Commission for Investigation from City Clerk dated July 17, 2019

Dear Commissioners:

This letter supplements the letter I sent to Emma Soichet, Secretary of the Fair Campaign Practices Commission, dated August 3, 2019. I am writing to update you on additional communications I have had with Ms. Soichet following my initial letter.

As you will note in reviewing my August 3, 2019 letter, I provided Ms. Soichet with a detailed analysis setting forth my understanding of the statutory provision at issue in this referral — *i.e.*, Berkeley Municipal Code Section Section 2.12.530(B)(2)(a). (A copy of my August 3, 2019 letter is included here as Attachment A for ease of reference). Put simply, I read the relevant section of BERA —which prohibits “use of Fair Elections funds” for “indirect” campaign purposes — to apply only to funds “used” for “indirect campaign” purposes.

Conversely, I did not read the provision, as the referral by Ms. Soichet apparently concludes, to state that if a payment is made to a family member for a “direct campaign use” that payment is transformed into a payment for an “indirect campaign use” within the meaning of the statute.

As I explained to Ms. Soichet, I did not read the statute in that matter, because (1) it does not say that; (2) such a reading violates fundamental rules of statutory construction under well-established California law; and (3) there is no basis to conclude that the intent of Section 2.12530(B)(2)(a) was to redefine what is unquestionably a “direct campaign use” — such as paying for the services of a field manager — into an “indirect campaign” “use” based on *who* performed the service, rather than *how* the money was “used.”

As discussed below, Ms. Soichet did not provide a substantive response to the legal analysis I provided supporting my reading of the statute. Nor did Ms. Soichet answer specific questions I asked in a subsequent email, which I sent on August 26, 2019, in an attempt to determine the precise issue or issues before the Commission. Accordingly, I am writing to supplement my August 3, 2019 submission to show the following:

September 9, 2019

Page 2

(A) If this Commission is charged with determining whether my reading of the statute is reasonable, there is no basis in the record to dispute my position — *i.e.*, that I acted with a good faith understanding that my reading of Section 2.12.530(B)(2)(a) was, in fact, reasonable; and/or

(B) If this Commission is charged with determining whether the payment made to my field manager, Leah Henry, constituted an allowable use of funds for “direct campaign purposes” under Section 2.12.530(A), there is likewise no question that the payment was proper.

I. The Record Confirms That My Reading Of Section 2.12.530(B)(2) Was Reasonable And There Is No Probable Cause To Find BERA Was Violated

Following receipt of my August 3, 2019 letter, Ms. Soichet wrote to inform me that my request — which asked Ms. Soichet to “conclude” there was “no probable cause to support a finding that the campaign violated BERA’s contribution restrictions” — was misplaced. As stated by Ms. Soichet, only this Commission can make a determination that “no probable cause” exists. In response, I corrected my request, and asked Ms. Soichet to conclude that “no reasonable cause” existed to support her apparent conclusion that my campaign violated BERA, and to withdraw the referral to this Commission on that basis. I also asked Ms. Soichet, if she was unwilling to take the requested action, to please let me know the basis upon which she (a) disagreed with my analysis; and (b) to answer a number of questions to help me understand what precisely the Commission would be determining when you consider whether there is probable cause to believe a violation exists. (Our email exchange is attached here as Attachment B).

For reasons that were not explained to me, Ms. Soichet refused to honor my requests.

Accordingly, as I write this supplemental communication on the last day available to me to supplement the record with a communication that will be made part of the packet provided to you in advance of your September 19, 2019 meeting, I am left to surmise that the question before you is whether you believe, based on the facts before you, that I acted with a good faith and reasonable belief that I complied with BERA by using campaign funds only for direct campaign purposes — as allowed under Section 2.12.530(A). I assure you, I did.

In addition, not only did I reasonably believe that I was allowed to pay for the services of a campaign field manager under the provisions of Section 2.12.530(A), but I also reasonably believed that payment restrictions to “the candidate’s family” under Section 2.12.530(B)(2)(a) only applied to payments for “indirect services,” because, as the heading makes clear, that provision *only* addresses “use” of funds for “indirect services.” Moreover, a reasonable reading of each of the non-exhaustive examples of what constitutes an “indirect campaign purpose” under the subsections (a) through (f) read as a whole *all* contemplate use of funds for “indirect” (not “direct”) campaign services.

Further, while I do not believe that this Commission is being asked to make a determination as to whether my analysis of the fundamental rules of statutory construction is legally correct, I ask the Commission to accept my statement that (1) as a candidate, I acted at all times to the honor the requirements of BERA, and (2) as a lawyer with years of experience in the

September 9, 2019

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area of statutory construction, I acted at all times to honor the statute as written and based on my understanding of its requirements.

Finally, as noted in my August 3, 2019 letter, there appears to be no legislative history to support a conclusion that use of public financing funds under BERA was intended to preclude any payment, of any kind, at any time, for any purpose, to a family member — including a payment that was unquestionably necessary to the campaign and served a “direct campaign purpose” as allowed under Section 2.12.530(A). And, if that was the intent, the drafters of the statute should have included a separate section under Section 2.12.530 to state:

“Notwithstanding the provisions of Section A, which allows use of funds for direct campaign purposes, and notwithstanding the provisions of Section B, which only prohibit use of funds for indirect campaign purposes, a candidate using public financing may not pay a family member for any service provided to the campaign regardless of the type of service provided.”

Clearly, the statute as presently drafted does not contain such a provision alerting the reader to such a prohibition.

II. There is No Question That Paying A Field Manager Constitutes Use Of Funds For A Direct Campaign Purpose Under Section 2.12.530(A)

As also noted in my August 3, 2019 letter, the referral to this Commission was made without any effort to contact me to ascertain the facts underlying the referral. Specifically, the campaign was not contacted to ask if we had a response to the question of whether the payment to Leah Henry was allowed under BERA. If I had been asked, I would have stated that I understood the payment was allowed because it was for “direct campaign purpose” under Section 2.12.530(A), and I would have further stated that it was not in any way related to an “indirect campaign purpose” or my “personal support” under Section 2.12.530 (B) (2) (a). Indeed, I was not asked whether Leah Henry is a family member of mine until I received an email from Ms. Soichet on September 5, 2019. And, when I expressed surprise that I had not been asked the question earlier, I was informed by Ms. Soichet that “staff” had “confirmed” our relationship from my family’s private banking “records.” (That email exchange is attached here as Attachment C).

Putting aside the troubling nature of the investigation surrounding this referral (as further discussed in my August 3, 2019 letter, at pages 1 and 2), and focusing instead on the facts surrounding the field manger services provided by Leah Henry, there is no dispute in the record before the Commission that she was paid for a direct campaign purpose; and there is no dispute that payments for “direct campaign purposes” are proper Section 2.12.530(A).

Moreover, as emphasized in my prior correspondence, there is likewise no dispute that a candidate who elects to use public financing *must* pay for the essential services provided by a field manager using Fair Election funds because *no other funds can be used*. Like many first-time candidates, I did not enter the race with connections to a possible field manager, and if my daughter had not stepped up to be my field manager — juggling a non-profit job in art education

September 9, 2019

Page 4

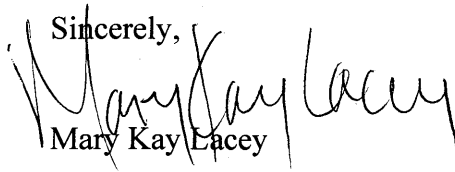
with tireless work on the campaign — I would not have had a field manager. Additionally, the arrangement was fully documented in a contract that lists the extensive duties Leah Henry fulfilled as the campaign's field manager, and as the single person who meaningfully assisted in the day to day work of the campaign. In that regard, I estimate that Leah provided in excess of 1,000 hours to the campaign for "direct campaign services" — in contrast to Stephen Henry, the campaign treasurer, who provided approximately 40 hours of work on the campaign (and was not paid).

In short, my campaign used public financing for exactly the purpose I believe it was intended — which is to level the economic playing field to ensure that people who are willing to serve our community have the financial resources to run a viable campaign. Conversely, if there truly was a legislative intent to prohibit candidates from using public financing to pay the only people likely to step up to provide the direct, essential, and invaluable services necessary for first time candidates to run, then (1) the statute needs to expressly so state; and (2) we should carefully consider the impact of such a position on the goal of encouraging more people to run for office.

III. Conclusion

For all of the reasons discussed above, and in my August 3, 2019 letter, the Lacey for City Council 2018 Campaign asks you to conclude that there is no probable cause to support a finding that the campaign violated BERA's contribution restrictions. Thank you for your consideration of my position.

Sincerely,



Mary Kay Lacey

Enclosures

EXHIBIT A

MARY KAY LACEY

August 3, 2019

Emmanuelle Soichet
Secretary, Fair Campaign
Practices Commission
City of Berkeley
2180 Milvia Street
Berkeley, CA 94704

Referral to the Fair Campaign Practices Commission for Investigation from City Clerk dated July 17, 2019 Regarding Information Provided on January 30, 2019

Dear Ms. Soichet:

I am writing with respect to your July 25, 2019 letter. I disagree with your legal determination that the Lacey for City Council 2018 campaign violated Berkeley Municipal Code Section 2.12.530(B)(2)(a). A fully developed legal analysis supporting my position follows.

I. Factual and Procedural Background

Your July 25, 2019 letter states that you received a referral from the City Clerk's office regarding an "item" contained in the campaign's Form 460 statement. That referral was dated July 17, 2019, and emailed to the Lacey campaign treasurer on July 18, 2019. Assuming you did not receive the referral before notice was provided to the campaign, it appears you were able to investigate this matter and reach a determination that there was probable cause to find a BERA violation within one week of receiving the referral.

The Limited Nature Of The FCPC's One Week Investigation

During the week the referral was pending, you did not reach out to the campaign to ask any questions related to your "findings." In particular, you while you appear to acknowledge that BMC Section 2.12.530 (B)(2), only applies to "use of campaign funds for indirect campaign purposes," you did not reach out to the campaign to ask if the services provided by Leah Henry were for "indirect campaign services" or whether they were for "direct campaign services." This is an important question, because use of campaign funds for "direct campaign services" — such as field manager services — are permitted under BMC Section 2.12.530(A). Nor did you seek to ascertain if the campaign had a response to the question of whether the payment was allowable under BERA, because it was for "direct campaign services," and not related to the candidate's "personal support" under Section 2.12.530 (B) (2) (a).

There Is No Evidence That Legislative History Supports The FCPC Findings

In that regard, it would also appear that you made no attempt to determine the legislative history surrounding Section 2.12.530(B)(2), or asked anyone on the City Council when public financing under BERA was passed if it was intended to preclude a family member from receiving funds for direct campaign services, such as acting as a field manager. I made that inquiry, and it is my understanding that compensating a family member for providing direct campaign purposes— such as acting as a field manager —was never intended to be precluded from public financing. Indeed, it is hard to imagine why it would have been. I And, if it was so intended, why it would be contained in section of BERA that addresses “indirect services” for the candidate’s “personal support.”

The Timing Of The Referral Reflects Significant Delay

Finally, as a point of clarification regarding the timing of the City Clerk’s referral, the Lacey campaign first reported the field manager payment to Leah Henry in its Form 460 filing on January 30, 2019. Your July 25th letter does not include that filing nor does it explain why it took seven months for the referral to be made to your office.

Leaving these questions aside, the remainder of this letter demonstrates that, as a matter of fundamental statutory construction, there is no probable cause to find that BERA was violated. Put simply, because the services of a field manager constitute “direct campaign services” which are allowed under Section 2.12.530 (A), they cannot be — at the same time —disallowed as an “indirect campaign service” under Section 2.12.530 (B) (2).

II. Legal Analysis

The City Clerk’s referral to the FCPC, by letter dated July 17, 2019 (attached here as Attachment A), states that the payment made by the Lacey campaign to its field manager “appears to be non-compliant with BERA” Specifically, the City Clerk’s letter (1) cites Section 2.12.530 (B)(2)(a) of the Berkeley Municipal Code, which the Clerk states “prohibits Public Financing candidates from using Fair Elections funds for ‘[t]he Candidate’s personal support of compensation to the candidate or the candidate’s family’; and (2) concludes that because the campaign paid Leah Henry to be the campaign’s Field Manager, BERA was violated.

Your January 25, 2019 letter repeats this conclusion — although, unlike the City Clerk, your letter recognizes that under the statute, use of public funds is *only* prohibited when used for “indirect campaign purposes.” Section 2.12.530 (B)(2).

Berkeley Municipal Code Section 2.12.530 reads, in its entirety, as follows:

- A. A participating candidate shall use Fair Elections funds and contributions only for direct campaign purposes.
- B. A participating candidate shall not use Fair Elections funds or contributions for:

- (2) Indirect campaign purposes, including but not limited to:
 - (a) The candidate’s personal support or compensation to the candidate or the candidate’s family;
 - (b) Clothing and other items related to the candidate’s personal appearance;
 - (c) Capital assets having a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period determined in accordance with generally accepted accounting principles;
 - (d) A contribution or loan to the campaign committee of another candidate or to a party committee or other political committee;
 - (e) An independent expenditure;
 - (f) Any payment or transfer for which compensating value is not received.
- C. The term “Contribution” is defined in 2.12.100 and includes “Qualified Contributions” as defined in 2.12.167 and contributions from non-residents of Berkeley as described in 2.12.500A.7. (Ord. 7564-NS Section 2017; Ord. 7524-NS Section 3.6 (part), 2016)

For the reasons fully analyzed below, the prefatory language of section 2.12.530 (B)(2) — which prohibits use of campaign funds only for “indirect campaign purposes” — cannot be disregarded. Nor is there any evidence to conclude that the intent of section 2.12.530(B)(2)(a) was to redefine what is unquestionably a “direct campaign purpose” — i.e., payment for field manager services — and turn it into an “indirect campaign purpose” based on *who* performed the service. As discussed below, and as a matter of law and common sense, it would create an absurdity to read a statute that prohibits the use of funds for an “indirect campaign purpose” to simply ignore what campaign purpose was served by use of the funds.

BMC Code Sections 2.12.530(A) And 2.12.530(B)(2)(a) Are Properly Read Together To Give Effect To All Provisions Of The Statutory Provision And To Avoid Contradiction

Applying fundamental rules of statutory construction to the language presented — specifically, reading Section 2.12.530 in its entirety, and giving meaning to each of the provisions in relation to each other —there is no probable cause to find a violation of BERA by the Lacey Campaign. *See Hassell v. Bird*, 5 Cal. 5th 522, 540 (2018) (well-established rule of statutory construction is to give effect to all provisions, reading statutory language as a whole).

Use Of Public Funds To Pay A Field Manger Is Allowed Under 2.12.530(A)

Here, there can be no question that the Lacey Campaign’s payment for field manager services was for a “direct campaign purpose.” Paying a field manager reflects a use of funds for the most direct and necessary “direct campaign purpose” imaginable, because a campaign cannot

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Page 4

viably exist without a field manager. Accordingly, it is apparent that the challenged payment is allowed under Section 2.12.530 (A). Moreover, it is equally clear that a candidate that elects to use public financing *must* pay for such services using Fair Election funds because no other funds can be raised. If my daughter had not stepped up to be my field manager, I would not have had one, and the arrangement was fully documented in a contract that lists the extensive duties she fulfilled as the single person who meaningfully assisted in the day to day work of the campaign.

Use Of Public Funds To Pay A Field Manager Is Not Precluded Under 2.12.530(B)(2)

Given the foregoing, and recognizing that the use of Fair Elections funds to pay a field manager unquestionably meets the statutory requirement of a direct campaign purpose under Section 2.12.530 (A), the question that appears to be raised by the referral is whether a “direct campaign” expenditure under Section 2.12.530 (A) can also — *at the same time* — be an “indirect campaign” expenditure under Section 2.12.530 (B)(2).

Your July 25th letter concludes the answer is yes, but you provide no legal analysis to support that finding. Applying the law to the facts, and properly reading the statute in a manner that does not create a contradiction, the Campaign respectfully submits that the answer is no. *See Hassan v. Mercy American River Hospital*, 31 Cal.4th 709, 715-16 (2003) (“These canons [of statutory construction] generally preclude judicial construction that renders part of the statute “meaningless or inoperative.”)

Section 2.12.530(B)(2), By It’s Terms, Applies Only To “Indirect Campaign Purposes”

As an initial matter, it is important to emphasize again that Section 2.12.530 (B)(2) *only* addresses “indirect campaign purposes” — and the language in (2) applies by its terms to each of the subsections that follow. *See Ex Parte Wilson*, 30 Cal App. 567 (1916) (a heading preceding a subsection must be given legal effect). Stated otherwise, the words set forth in a numbered section (here section (2)) cannot be ignored, and the language in a subsection that follows (here subsection (a)) cannot be read out of context, but must be read to give meaning to the whole.

With this principle in mind, a fair reading of Section 2.12.530(B)(2)(a) —which precludes use of funds for an “indirect campaign purpose” involving the “candidate’s personal support or compensation to the candidate or the candidate’s family” — does not alert the reader to a prohibition on payment for *any and all services* to a family member. Instead, to be precluded, the payment must be for an “indirect service” and presumably it would also need to be related to the “candidate’s personal support” (which forms the subject of the sentence). For example, if a family member was compensated for picking up a candidate’s dry cleaning, or driving a candidate to campaign events, that would constitute an “indirect purpose” for which the family member should not be compensated. Acting as a field manager unquestionably does not serve an “indirect campaign purpose” under any reasonable reading of those words.

Underscoring this point, and reviewing the entirety of Section 2.12.530 (B)(2), each of the additional subsections clearly apply to “campaign purposes” that would serve a prohibited “indirect campaign purpose.” Section 2.12.530 (B)(2)(b) prohibits use of funds for a candidate’s clothing and personal appearance; subsection (c) prohibits use of funds for capital assets of \$500

or more with a useful life beyond the campaign; subsection (d) prohibits contributions to another campaign or political committee; subsection (e) prohibits independent expenditures; and subsection (f) prohibits use of funds where no value is received.

Reading Words Into A Statute To Support A Construction At Odds With The Statute As A Whole Violates Additional Rules Of Statutory Construction

Notwithstanding the foregoing, if you find that Section 2.12.530 (B)(2)(a) was intended to state that an allowable use of funds under Section A is not allowed under Section (B)(2)(a), because any payment made to a family member — including a payment that is clearly for a direct campaign purpose — is transformed into a payment for an indirect campaign purpose by virtue of who is paid, not what campaign purpose is served, then the language of Section 2.12.530 should be amended to clearly state that prohibition. As presently written, there is no language to reconcile the contradiction that arises if the statute is read in that manner.

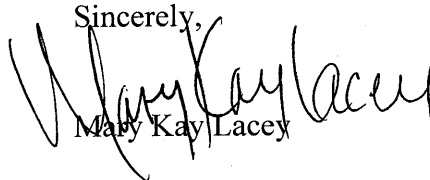
Moreover, as noted above, it would create an absurdity to read a statute that prohibits the use of funds for an “indirect campaign purpose” to simply ignore what campaign purpose was served by use of the funds. *See Ung v. Koehler*, 135 Cal.App.4th 186, 204 (2005) (“Statutes should be construed to avoid “the absurdity of creating [a] Catch-22,” citing *Padres Hacia una Vida Mejor v. Davis*, 96 Cal.App.4th 1123, 1133 (2002); *People v. Jenkins*, 10 Cal.4th 234, 246 (1995) (statutes should be read to promote the general purpose of the statute, and “avoid an interpretation that would lead to absurd consequences”).

Finally, as also noted above, there appears to be no legislative history to support a conclusion that use of public financing funds under BERA was intended to preclude any payment, of any kind, at any time, for any purpose, to a family member — including a payment that was unquestionably necessary to the campaign and served a “direct campaign purpose.” And, if that was the intent, the drafters of the statute should not have buried such a draconian rule in a section of BERA that discusses use of funds for “indirect campaign purposes.” *See People v. Jenkins, supra*, at 246 (1995) (“We must select the construction that comports most closely with the apparent intent of the Legislature, with a view to promoting rather than defeating the general purpose of the statute”).

III. Conclusion

For all of the reasons discussed above, the Lacey for City Council 2018 Campaign asks you to conclude that there is no probable cause to support a finding that the campaign violated BERA’s contribution restrictions. Thank you for your consideration of the Campaign’s position.

Sincerely,



Mary Kay Lacey

Enclosure

EXHIBIT B

Mary Kay Lacey

From: Mary Kay Lacey <mklacey@comcast.net>
Sent: Monday, August 26, 2019 12:44 PM
To: 'FCPC (Fair Campaign Practices Commission)'
Cc: 'Brown, Farimah F.'
Subject: Lacey Campaign's Response to August 5th email from Emma Soichet
Attachments: Procedures for FCPC - Revised July 2014.pdf

Dear Ms. Soichet,

I am writing to follow up on your August 5, 2019 email (below). I apologize for the delay in responding, but I have been out of town and away from my desk for the last few weeks.

I appreciate your effort to “clarify a point of misunderstanding” from my August 3, 2019 letter, but I am afraid I remain unclear as to the process that is being followed.

I understand your email below to say that you have not made a “legal determination” that BERA was violated. However, your July 25, 2019 letter cites the statute and then says “it appears that the \$4000 payment to Leah Henry violated the prohibition on using Fair Elections funds or contributions for compensation to a participating candidate’s family members.” That would appear to be a legal conclusion based on your reading of the statute — i.e., you have concluded that any payment of any kind for any purpose to a participating candidate’s family member is prohibited under BERA. Additionally, under the procedures you attached above, you appear to have been acting under Section V (A) (3) in putting this matter on the Commission’s agenda —which necessarily means you found there was “reasonable cause” to believe a violation occurred (or you would not have referred the matter).

Conversely, I read the statute to say that a payment to a family member for an “indirect purpose” is prohibited. If my reading of the statute is correct, then there was no “reasonable cause” for you to refer the matter to the Commission, because no such payment was made.

Your email raises a distinction between the “reasonable cause” you apparently found, and the “probable cause” the Commission may or may not find — but under the Procedures attached above, this appears to be a distinction without a difference. That is so because “probable cause” is defined to exist where there is a “reasonable basis on which to believe that a violation of BERA exists” (see VI (B) (2)) — and that appears to be precisely what you found under Section V (A) (3) when you made the referral.

In any event, and to get past this misunderstanding, I am happy to revise the last paragraph of my August 3, 2019 letter to now read as follows:

“For all of the reasons discussed above, the Lacey for City Council 2018 Campaign asks you to conclude that there is no reasonable cause to support a finding that the campaign violated BERA’s contribution restrictions, and withdraw your referral to the Commission on that basis.”

If you are unwilling to reach that conclusion and/or take that action — which I believe is mandated under fundamental rules of statutory construction, as set forth in my August 3, 2019 letter — please (1) let me know the basis upon which you disagree with my analysis; and (2) advise me as to the following so that I may prepare for the September 19, 2019 meeting:

- (a) What precisely is the Commission going to determine when it considers whether there is “probable cause” to believe a violation exists?

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- (b) Are the Commissioners going to choose between my reading of the statute and your reading of the statute?
- (c) Or, if the Commission acts only as a fact finder, what is the factual issue (or issues) it is being asked to resolve?
- (d) Specifically, there does not appear to be any dispute that Leah Henry provided "direct campaign services" that were not related to my "personal support." However, if that is a factual question before the Commission, please let me know.

Thank you for your attention to this matter. I look forward to hearing back from you at your earliest opportunity.

Best,

Mary Kay

Mary Kay Lacey
mklacey@comcast.net
510 919 2160-

From: FCPC (Fair Campaign Practices Commission) <FCPC@cityofberkeley.info>
Sent: Monday, August 5, 2019 9:20 AM
To: 'Mary Kay Lacey' <mklacey@comcast.net>
Cc: Brown, Farimah F. <FBrown@cityofberkeley.info>
Subject: RE: Lacey Campaign's Response to July 25, 2019 Letter from Emma Soichet on behalf of the FCPC

Ms. Lacey,

Thank you for your letter, which will be included in the staff report on this item at the Sept. 19 meeting.

In the future, please refrain from directly contacting members of the FCPC in reference to this matter, including cc-ing the Commission chair in your emails with staff. As you know, the FCPC is a quasi-judicial body and, as a matter of standard procedure, restricts ex parte communications to ensure due process. (See FCPC Procedures, sect. V.B.1.) As I mentioned in my July 25 letter, your response letter will be distributed to all members of the Commission with the agenda packet one week ahead of the Sept. 19 meeting. In addition, you will have the opportunity to speak at the meeting.

Otherwise, I want to clarify a point of misunderstanding in your letter. No "legal determination" or finding of probable cause has been made. It is the role of the Commission, not the staff secretary, to make factual findings. Staff's role is to review the complaint for deficiencies/jurisdiction, notify the parties, and to conduct an initial investigation to aid the Commission in making its findings. (See FCPC Procedures, sect. V.A-B.)

I have attached a copy of the FCPC procedures to this email, for your reference.

Emmanuelle Soichet
Secretary, Fair Campaign Practices and Open Government Commissions
City of Berkeley
2180 Milvia Street, Berkeley, CA 94704

-----Original Message-----

From: Mary Kay Lacey [<mailto:mklacey@comcast.net>]

AGENDA ITEM 7 ATT 7

Sent: Monday, August 05, 2019 7:27 AM

To: FCPC (Fair Campaign Practices Commission) <FCPC@cityofberkeley.info>

Cc: Brown, Farimah F. <FBrown@cityofberkeley.info>; drm1a2@sbcglobal.net

Subject: Lacey Campaign's Response to July 25, 2019 Letter from Emma Soichet on behalf of the FCPC

Dear Ms. Soichet,

Please find attached the Lacey Campaign's response to your letter dated July 25, 2019.

Thank you,

Mary Kay Lacey

mklacey@comcast.net

510 919 2160

EXHIBIT C

Mary Kay Lacey

From: FCPC (Fair Campaign Practices Commission) <FCPC@cityofberkeley.info>
Sent: Monday, September 9, 2019 12:02 PM
To: 'Mary Kay Lacey'; FCPC (Fair Campaign Practices Commission)
Subject: RE: FCPC complaint re Lacey for City Council 2018

Thank you, Ms. Lacey, for your email.

There were a number of facts that confirmed to staff that Leah Henry is your daughter. First, your Form 460s indicated that you, your husband, and your daughter all live at the same address, and your daughter and husband both share the same last name. Second, records show that the two of them own a joint bank account together. With this information, I then confirmed her identity with internet research that included reviewing your campaign materials.

My email to you was a final verification. I appreciate that you verified this.

Thank you.

Emmanuelle Soichet
Secretary, Fair Campaign Practices and Open Government Commissions
City of Berkeley
2180 Milvia Street, Berkeley, CA 94704

From: Mary Kay Lacey [mailto:mklacey@comcast.net]
Sent: Monday, September 09, 2019 10:33 AM
To: FCPC (Fair Campaign Practices Commission) <FCPC@cityofberkeley.info>
Subject: RE: FCPC complaint re Lacey for City Council 2018

Dear Ms. Soichet,

I am writing to confirm receipt of your email below. I am not surprised that you would ask to confirm that Leah Henry is my daughter. I was surprised, however, that no one reached out to me to ask that question before you made the referral to the FCPC. In fact, that was part of the reason I wrote to you on August 26, 2019 asking (among several other questions), what factual issue or issues were in dispute for the FCPC Commissioners to decide? As you know, you did not provide a response to that question.

With regard to Stephen Henry, I am not sure what relevance that relationship has to the matter currently before the FCPC. As noted in your email, in a prior matter before the FCPC — which was dismissed on the basis that BERA did not prohibit Stephen Henry from making a \$50 loan from our joint checking account, and then, upon repayment of the loan, making a \$50 donation to the campaign— that record confirmed Stephen Henry is my husband.

I will send a separate communication to you later today for submission to the FCPC.

Thank you,

Mary Kay Lacey

From: FCPC (Fair Campaign Practices Commission) <FCPC@cityofberkeley.info>
Sent: Thursday, September 5, 2019 11:26 AM

AGENDA ITEM 7 ATT 7

To: 'Mary Kay Lacey' <mklacey@comcast.net>
Subject: FCPC complaint re Lacey for City Council 2018

Ms. Lacey,

I am writing simply to confirm two facts to make sure my staff report on the Lacey for City Council 2018 referral will be accurate.

Your Aug. 3, 2019 letter alludes to “my daughter” on page 4, but I do not see any declarative statement in the letter that Leah Henry is your daughter. Can you confirm that she is, in fact, your daughter?

Similarly, it was confirmed in the unrelated matter before the FCPC that Stephen Henry, the committee’s treasurer, is your husband. Can you please confirm this information is correct?

Thank you. I appreciate your help.

Emmanuelle Soichet

Secretary, Fair Campaign Practices and Open Government Commissions
City of Berkeley
2180 Milvia Street, Berkeley, CA 94704

CITY OF BERKELEY MEASURE X1

<p>X1 Shall a City Charter amendment and ordinance to allocate approximately \$500,000 annually from the General Fund, not to exceed \$2,000,000 over any four years, to provide those candidates for Mayor and Council who only accept contributions of \$50 or less per donor with a matching payment of six times the amount of each contribution from Berkeley residents, up to \$120,000 per participating Mayoral candidate and \$40,000 per participating Council candidate, be adopted?</p>	YES
	NO

CITY ATTORNEY'S IMPARTIAL ANALYSIS OF MEASURE X1

This measure was placed on the ballot by the City Council.

The measure would amend the City Charter and Municipal Code to establish an optional public financing program (Program) for candidates for Mayor and City Council.

The amendments would allocate \$4.00 per Berkeley resident – approximately \$500,000 per year – from the General Fund to a newly-created Fair Elections Fund (Fund). The total amount in the Fund would be capped at \$2,000,000. The Fund could pay up to \$250,000 in administrative and enforcement costs in any four year election cycle.

Under current City law, the maximum permitted contribution amount is \$250, which may be accepted only from natural persons. Under the Program, candidates who collect at least 30 contributions of \$10-\$50 from natural person residents of Berkeley, totaling at least \$500, and agree to only accept or solicit contributions of \$50 or less by natural persons and to not make any contribution or loan to their campaign that exceeds \$50, would be eligible for payments from the Fund of six times the amount of contributions from Berkeley residents, up to \$120,000 (Mayoral candidates) and \$40,000 (Council candidates). After reaching these caps, participating candidates would continue to be restricted to contributions of \$50 or less.

A participating candidate who is refused payment must receive a written determination specifying the basis for non-payment and an opportunity to petition for reconsideration, and could seek judicial review.

Payments from the Fund would be limited to direct campaign purposes, and could not be used for costs of legal defense in any enforcement proceeding under the Berkeley Election Reform Act (BERA), or indirect campaign purposes (e.g., personal support or compensation to the candidate or his or her family, clothing or related items, capital assets of more than \$500 with a useful life beyond the end of the election, contributions or loans to another candidate, a party committee, or another political committee, independent expenditures, or payments or transfers for which compensating value is not received).

Candidates not participating in the public campaign financing program would continue to be regulated by existing provisions of BERA.

Existing provisions of BERA regarding enforcement and penalties would apply to participating candidates, except that participating candidates who violate program requirements would be required to repay twice the value of public funds unlawfully accepted or spent, and significant violators would be prohibited from participating in the Program for four years. All amounts recovered would be deposited in the Fund.

Financial Implications

The measure would not increase taxes, but would require the Council to appropriate approximately \$500,000 per year from the General Fund to the Fair Elections Fund, up to \$2,000,000 per four-year election cycle. If administration and enforcement costs exceed the \$250,000 provided for by the measure, additional costs would be paid from the General Fund.

All dollar amounts, including the per-resident allocation, fund cap, administrative allowance, qualifying contribution, and total payment cap would be adjusted by the Consumer Price Index.

s/ZACH COWAN

Berkeley City Attorney

ARGUMENT IN FAVOR OF MEASURE X1

Vote **YES on Measure X1**. Our democracy is not for sale. Big money dominates politics — even in Berkeley. **More than half of Berkeley campaign funds come from fewer than 350 households — less than 1% of Berkeley.** That's a system that's out of balance and out of touch with everyday people in Berkeley. **Measure X1, endorsed by the Sierra Club and California Common Cause, restores balance. It gives the other 99% of us a stronger voice in local government.**

Right now, special interests contribute money to candidates and expect something in return. In fact, **one-third of the Mayor and Council's campaign funding comes from outside Berkeley.**

With Measure X1, candidates are rewarded for engaging with Berkeley residents. Candidates with broad support who refuse money from special-interest PACs and accept only small contributions — \$50 or less — receive a limited amount of matching funds. No special-interest funding means no special-interest favors to pay back once elected.

Measure X1 ensures that all candidates with good ideas who speak for their communities can run for office, not just those with access to wealth. Let's draw from the talent and energy of everyone to elect the best leaders, not just the best fundraisers.

Measure X1 does not raise taxes. Instead, funding comes from existing city revenues equal to 0.16% of the budget. That's a modest but critical investment for sound and inclusive democracy.

Voters can't hold their representatives accountable unless they have alternatives. Seven of the last eight City Council races went to the candidate who raised the most money, and not a single district City Council member has lost their seat since 1997. Let's give voters real choices. Berkeley has always been a leader on important issues. Let's join the growing number of cities making democracy work for everyone.

www.YesOnX1.org

s/IGOR TREGUB

Sierra Club SF Bay Chapter Vice Chair

s/ROY ULRICH

Berkeley Spokesperson, California Common Cause

s/EMILY RUSCH

Executive Director, CALPIRG

s/STEFAN ELGSTRAND

Secretary, Berkeley Tenants Union

s/WILL MORROW

President of the Associated Students of the University of California (ASUC)

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and

- (b) Paying for the administrative and enforcement costs of the Berkeley Fair Campaign Practices Commission (“Commission”) and City staff related to the Fair Elections Fund public campaign financing program. The administrative and enforcement costs shall not exceed \$250,000 in any four year election cycle.

(2) Appropriations to the Fair Elections Fund.

- (a) The City Council shall appropriate \$4 per Berkeley Resident per year, as determined by the most recent official United States Census Bureau Population Estimate for the City of Berkeley, from the City General Fund to the Fair Elections Fund.
- (b) Other sources of revenue to be deposited in the Fund shall include:
 - i) Unspent funds distributed to any participating candidate who does not remain a candidate until the election for which they were distributed, or such funds that remain unspent by a participating candidate following the date of the election for which they were distributed;
 - ii) Fines levied by the Commission against candidates for violation of election laws;
 - iii) Voluntary donations made directly to the Fair Elections Fund;
 - iv) Other funds appropriated by the City Council;
 - v) Any interest generated by the Fund; and
 - vi) Any other sources of revenue determined as necessary by the City Council.
- (c) The amount in the Fair Elections Fund shall not exceed \$2 million at any time. In order to comply with this limitation, revenue that would otherwise be deposited in the Fair Elections Fund pursuant to subsections (a) and (b) shall instead be deposited in the City General Fund.
- (d) The City Council may, by adoption of an ordinance by not less than two-thirds vote of its membership, make an official declaration of fiscal emergency and suspend or reduce the amount of the annual appropriation specified in subsection (a). Any such ordinance suspending or reducing the annual appropriation shall be effective for no more than one year.

(3) Cost of Living Adjustments.

The Commission shall adjust the dollar amounts specified in subsections (1)(b), (2)(a) and (2)(c) of this Section upward or downward, for changes in the cost of living, by the percent change in the Consumer Price Index.

FULL TEXT OF MEASURE X1

AMENDMENTS TO CITY OF BERKELEY CHARTER ARTICLE III (ELECTIONS) AND MUNICIPAL CODE CHAPTER 2.12 (ELECTION REFORM ACT) TO CREATE A FAIR ELECTIONS PUBLIC CAMPAIGN FINANCING SYSTEM

Section 1. FINDINGS

The People of the City of Berkeley find that the City of Berkeley’s current campaign finance system:

- A. Discourages many otherwise qualified candidates from running for office because of the need to raise substantial sums of money to be competitive;
- B. Fuels the public perception of corruption and undermines public confidence in the democratic process and democratic institutions;
- C. Creates a danger of actual corruption by encouraging elected officials to take money from private interests that are directly affected by governmental actions;
- D. Forces candidates to raise larger and larger percentages of money from interest groups that have a specific financial stake in matters before Berkeley City government to keep pace with rapidly increasing campaign costs;
- E. Diminishes elected officials’ accountability to their constituents by compelling them to be disproportionately accountable to the contributors who finance their election campaigns;
- F. Violates the rights of all citizens to equal and meaningful participation in the democratic process;
- G. Disadvantages challengers, because campaign contributors tend to give their money to incumbents, thus causing elections to be less competitive;
- H. Burdens candidates with the incessant rigors of fundraising and thus decreases the time available to carry out their public responsibilities; and
- I. Necessitates the creation of a Fair Elections public financing system to address these concerns.

Section 2. The People of the City of Berkeley hereby amend the following sections of the Charter of the City of Berkeley as follows:

Section 2.1. Section 6½ of Article III (“Elections”) of the City of Berkeley Charter is recodified as Section 6.1, and all references to “Section 6½” in the Charter are recodified as “Section 6.1.”

Section 2.2. Section 6.2 is added to Article III (“Elections”) of the City of Berkeley Charter to read as follows:

Section 6.2. Fair Elections Fund.

(1) Establishment of Fair Elections Fund.

A special, dedicated, non-lapsing Fair Elections Fund shall be established by the City Council for the purpose of:

- (a) Providing public financing for the election campaigns of certified participating candidates;

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resident of the City of Berkeley.

2.12.168 Qualifying Period.

“Qualifying period” means the period beginning on the day after the date of the most recent general election for the specific office or seat that a candidate is seeking and ending at the close of the nomination period.

Section 3.3. That Berkeley Municipal Code Section 2.12.283 is hereby added:

2.12.283 Campaign statement—Additional Information Required From Participating Candidates.

Each participating candidate shall file reports of receipts and expenditures of Fair Elections funds at such times and in such manners as the Commission may by regulation prescribe, including, but not limited to, reports containing information necessary to verify that qualified contributions received by participating candidates and Fair Elections funds spent by participating candidates comply with the restrictions and requirements of this chapter.

Section 3.4. That Section 2.12.435 of Berkeley Municipal Code is hereby amended to read as follows:

2.12.435 Excess amounts—Payment to City required.

If any person is found guilty of violating the terms of this chapter, each campaign treasurer who received part or all of the contribution or contributions which constitute the violation shall pay promptly, from available campaign funds, if any, the amount received from such persons in excess of the amount permitted by this chapter to the City for deposit in the general fund Fair Elections Fund of the City.

Section 3.5. That Berkeley Municipal Code Section 2.12.465 is hereby amended to read as follows:

2.12.465 Actions for compliance—Disposition of amounts recovered.

If a judgment is entered against the defendant or defendants in an action brought under Section 2.12.450, the plaintiff shall receive fifty percent of the amount recovered. The remaining fifty percent shall be deposited in the general fund Fair Elections Fund of the City. In an action brought by the Commission or the City Attorney, the entire amount recovered shall be paid to the general fund Fair Elections Fund of the City.

Section 3.6. That Berkeley Municipal Code Chapter 2.12, Article 8, is hereby added to read as follows:

Article 8. Berkeley Fair Elections Act of 2016

2.12.490 Title and Purpose.

This Article shall be known as the Berkeley Fair Elections Act of 2016. Its purposes are to:

- A. Diminish the public perception of corruption and strengthen public confidence in the governmental and election processes.
- B. Eliminate the danger of actual corruption of Berkeley officials caused by the private

Section 3.

ORDINANCE NO. #,### - N.S.

AN ORDINANCE OF THE CITY OF BERKELEY AMENDING CHAPTER 2.12 OF THE BERKELEY MUNICIPAL CODE TO CREATE A PUBLIC CAMPAIGN FINANCING SYSTEM

The people of the city of Berkeley ordain as follows:

Section 3.1. That Berkeley Municipal Code Section 2.12.051 is hereby amended as follows:

2.12.051 Amendment or repeal of chapter.

This chapter may be amended or repealed by the procedures set forth in this section. If any portion of subsection A is declared invalid, subsection B shall be the exclusive means of amending or repealing this chapter.

A. This chapter, including the amendments adopted by Ordinance No. #,###-N.S. and its successors, may be amended to further its purpose by ordinance passed by a vote of the City Council of not less than two-thirds vote of its membership, following a public hearing, if at least thirty days prior to passage the amendment has been approved by the Berkeley Fair Campaign Practices Commission by not less than two-thirds vote of its membership, and has been distributed to the news media and to every person regularly receiving communications from the commission.

B. This chapter may be amended or repealed by initiative approval of the voters of the City of Berkeley.

Section 3.2.

That Article 2 (“Definitions”) of Berkeley Municipal Code Chapter 2.12 is hereby amended to add the following sections:

2.12.123 Election Cycle.

“Election cycle” means the period beginning on the day after the date of the most recent general election for the specific office or seat that a candidate is seeking and ending on the date of the next general election for that office or seat.

2.12.137 Fund.

“Fund” means the Fair Elections Fund created by City Charter Article III Section 7.

2.12.138 General Election.

“General election” means an election held on the first Tuesday following the first Monday of November pursuant to City Charter Article III Section 4.

2.12.156 Nomination Period

“Nomination period” means the period specified by state law during which candidates must submit nomination papers for City offices.

2.12.158 Participating Candidate.

“Participating candidate” means a candidate who qualifies for Fair Elections campaign funding.

2.12.167 Qualified Contribution.

“Qualified contribution” means a contribution not greater than fifty dollars (\$50) made by a natural person

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financing of campaigns.

- C. Help reduce the influence of private campaign contributions on Berkeley government.
- D. Reduce the impact of wealth as a determinant of whether a person becomes a candidate.
- E. Foster more meaningful participation in the political process.
- F. Provide candidates who participate in the program with sufficient resources with which to communicate with voters.
- G. Increase the accountability of elected officials to the constituents who elect them, as opposed to the contributors who fund their campaigns.
- H. Free candidates from the time needed to raise campaign money, and allow officeholders more time to carry out their official duties.

2.12.495 Offices Covered.

Candidates for the offices of Mayor and City Council shall be eligible to participate in the public campaign financing program established by this chapter.

2.12.500 Eligibility for Fair Elections Campaign Funding.

- A. To be eligible to be certified as a participating candidate, a candidate must:
 - 1) During the qualifying period for the election involved, choose to participate in the Fair Elections program by filing with the Commission a written application for certification as a participating candidate in such form as may be prescribed by the Commission, containing the identity of the candidate, the office that the candidate seeks, and the candidate's signature, under penalty of perjury, certifying that:
 - a) The candidate has complied with the restrictions of this chapter during the election cycle to date;
 - b) The candidate's campaign committee has filed all campaign finance reports required by law during the election cycle to date and that they are complete and accurate; and
 - c) The candidate will comply with the requirements of this Act during the remainder of the election cycle and, specifically, if certified an eligible participating candidate, will comply with the requirements applicable to participating candidates.
 - 2) Meet all requirements of applicable law to be listed on the ballot;
 - 3) Before the close of the qualifying period, collect at least 30 qualified contributions of at least ten dollars (\$10), for a total dollar amount of at least five-hundred dollars (\$500).

- a) Each qualified contribution shall be acknowledged by a receipt to the contributor, with a copy retained by the candidate. The receipt shall include the contributor's signature, printed name, home address, and telephone number, if any, and the name of the candidate on whose behalf the contribution is made. In addition, the receipt shall indicate by the contributor's signature that the contributor understands that the purpose of the qualified contribution is to help the candidate qualify for Fair Elections campaign funding and that the contribution is made without coercion or reimbursement.

- b) A contribution for which a candidate has not obtained a signed and fully completed receipt shall not be counted as a qualified contribution.

- 4) Maintain such records of receipts and expenditures as required by the Commission;
- 5) Obtain and furnish to the Commission any information it may request relating to his or her campaign expenditures or contributions and furnish such documentation and other proof of compliance with this chapter as may be requested by such Commission;
- 6) Not make expenditures from or use his or her personal funds or property or the personal funds or property jointly held with his or her spouse, domestic partner, or unemancipated children in connection with his or her election except as a qualified contribution to his or her controlled committee;
- 7) Not accept contributions in connection with the election for which Fair Elections funds are sought other than qualified contributions and contributions not greater than fifty dollars (\$50) made by a natural person non-resident of Berkeley;
- 8) Not solicit or direct contributions in connection with any election during the election cycle in which Fair Elections funds are sought other than qualified contributions and contributions not greater than fifty dollars (\$50) made by a natural person non-resident of Berkeley to such candidate's controlled committee.

- B. At the earliest practicable time after a candidate files with the Commission a written application for certification as a participating candidate, the Commission shall certify that the candidate is or is not eligible. Eligibility can be revoked if the candidate commits a substantial violation of the requirements of this Act, in which case all Fair Elections funds shall be repaid. Such a determination shall be made by the Commission

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upon a two-thirds vote following a hearing held pursuant to Section 2.12.230.

- C. The Commission's determination is final except that it is subject to a prompt judicial review pursuant to Section 2.12.235.
- D. If the Commission determines that a candidate is not eligible, the candidate is not required to comply with provisions of this Act applicable only to participating candidates.

2.12.505 Fair Elections Fund Payments.

- A. A candidate who is certified as an eligible participating candidate shall receive payment of Fair Elections funds equal to six-hundred percent (600 percent) of the amount of qualified contributions received by the candidate during the election cycle with respect to a single election subject to the aggregate limit on the total amount of Fair Elections funds payments to a candidate specified in Section 2.12.505.B.
- B. The aggregate amount of Fair Elections funds payments that may be made to a participating candidate during an election cycle may not exceed:
 - 1) \$120,000 for a candidate running for the office of Mayor;
 - 2) \$40,000 for a candidate running for the office of City Council.
- C. A participating candidate's application for Fair Elections funds, including an initial request submitted with an application for certification as a participating candidate, shall be made using a form prescribed by the Commission and shall be accompanied by qualified contribution receipts and any other information the Commission deems necessary. This application shall be accompanied by a signed statement from the candidate indicating that all information on the qualified contribution receipts is complete and accurate to the best of the candidate's knowledge. The Commission shall verify that a candidate's qualified contributions meet all of the requirements and restrictions of this Act prior to the disbursement of Fair Elections funds to the candidate. A participating candidate who receives a qualified contribution that is not from the person listed on the qualified contribution receipt shall be liable to pay the Fair Elections Fund the entire amount of the inaccurately identified contribution, in addition to any penalties.
- D. The Commission shall make an initial payment of Fair Elections funds within four business days of the Commission's certification of a participating candidate's eligibility, or as soon thereafter as is practicable.
- E. The Commission shall establish a schedule for the submission of Fair Elections funds payment

requests, permitting a candidate to submit a Fair Elections funds payment request at least once per month. However, the Commission shall schedule a minimum of three payment request submission dates within the thirty days prior to an election.

- F. The Commission shall provide each participating candidate with a written determination specifying the basis for any non-payment of Fair Elections funds. The Commission shall provide participating candidates with a process by which they may immediately upon receipt of such determination petition the Commission for reconsideration of any such non-payment and such reconsideration shall occur within five business days of the filing of such petition. In the event that the Commission denies such petition then it shall immediately notify the candidate of his or her right to seek judicial review of the Commission's denial pursuant to Section 2.12.235.

2.12.510 Candidate Statement Notice.

A candidate certified as a Fair Elections program participant shall be identified as such by a notice printed on the same page as the candidate's statement of qualifications distributed to voters pursuant to City Charter Article III Section 6.1.

2.12.515 Transition Rule for Current Election Cycle.

During the first election cycle that occurs after Council implementation of this Act, a candidate may be certified as a participating candidate, notwithstanding the acceptance of contributions other than qualified contributions before the date of enactment that would, absent this Section, disqualify the candidate as a participating candidate, provided that any funds other than qualified contributions accepted but not expended before the effective date of this Act shall be:

- A. Returned to the contributor;
- B. Held in a special campaign account and used only for retiring a debt from a previous campaign; or
- C. Submitted to the City for deposit in the Fair Elections Fund.

2.12.520 Special Municipal Elections.

The provisions of this chapter apply to special municipal elections as defined in City Charter Article III Section 4. The Commission shall adjust the deadlines in this Act to account for the circumstances of the special municipal election.

2.12.525 Campaign Accounts for Participating Candidates.

- A. During an election cycle, each participating candidate shall conduct all campaign financial activities through a single campaign expenditure and contribution account as required by Section 2.12.250.
- B. A participating candidate may maintain a

campaign account other than the campaign account described in subsection A if the other campaign account is for the purpose of retiring a campaign debt that was incurred during a previous election campaign in which the candidate was not a participating candidate.

- C. Contributions for the purposes of a retiring a previous campaign debt that are deposited in the kind of “other campaign account” described in subsection B shall not be considered “contributions” to the candidate’s current campaign.
- D. Participating candidates shall file reports of financial activity related to the current election cycle separately from reports of financial activity related to previous election cycles.

2.12.530 Use of Fair Elections Funds.

- A. A participating candidate shall use Fair Elections funds only for direct campaign purposes.
- B. A participating candidate shall not use Fair Elections funds for:
 - 1) Costs of legal defense in any campaign law enforcement proceeding under this Act;
 - 2) Indirect campaign purposes, including but not limited to:
 - a) The candidate’s personal support or compensation to the candidate or the candidate’s family;
 - b) Clothing and other items related to the candidate’s personal appearance;
 - c) Capital assets having a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period determined in accordance with generally accepted accounting principles;
 - d) A contribution or loan to the campaign committee of another candidate or to a party committee or other political committee;
 - e) An independent expenditure;
 - f) Any payment or transfer for which compensating value is not received.

2.12.535 Administrative Modification of Timelines

Notwithstanding any provision in this chapter to the contrary, the Commission may alter any of the time periods or deadlines listed herein if it finds that they are impracticable, so long as the readjusted period or deadline meets the objectives of this chapter.

2.12.540 Insufficient Funds in the Program.

If the Commission determines that there are insufficient funds in the Fair Elections Fund to fund adequately all participating candidates, the Commission shall notify participating candidates that the Commission will not likely be capable of distributing to all participating candidates the maximum aggregate amount of Fair

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Elections funds payments permissible under Section 2.12.505.B. Under such circumstances, at such time as the Commission is unable to fulfill a valid application for Fair Elections funds submitted by a participating candidate pursuant to Section 2.12.505.C, the participating candidate may solicit for such candidate’s controlled committee and accept any contributions permissible under City law and shall no longer be subject to the restriction on use of personal funds established by Section 2.12.500.A.6.

2.12.545 Cost of Living Adjustments.

The Commission shall adjust the dollar amounts specified in Sections 2.12.167, 2.12.500.A.3, 2.12.505.B and 2.12.530.B.2.c for cost of living changes pursuant to Section 2.12.075 in January of every odd-numbered year following Council implementation. Such adjustments shall be rounded to the nearest ten dollars (\$10) with respect to Sections 2.12.167 and 2.12.530.B.2.c and one thousand dollars (\$1,000) with respect to Sections 2.12.500.A.3 and 2.12.505.B.

2.12.550 Fair Elections Act Penalties

In addition to other enforcement and penalty provisions of this Article:

- A. It is a violation of the law for candidates to accept more Fair Elections Act benefits than those to which they are entitled or misuse such benefits or Fair Elections funding.
- B. If a participating candidate knowingly or willfully accepts or spends Fair Elections funding in violation of this Act, then the candidate shall repay to the Fair Elections Fund an amount equal to twice the value of Fair Elections funding unlawfully accepted or spent.
- C. The Commission shall, after a hearing held pursuant to Section 2.12.230, have the authority to impose the fine created by this section upon a two-thirds vote.

2.12.555 Violation—Persons Ineligible for Public Funds—Time Limit

No person who commits a substantial violation of this chapter shall be eligible to receive public funds for a period of four years from and after the date that the Commission determines, upon a two-thirds vote, that such a violation has occurred, following a hearing held pursuant to Section 2.12.230. The Commission shall by regulation state the criteria to be satisfied in order to make a finding of a substantial violation.

2.12.560 Review by Commission

After each of the first two election cycles that occur after Council implementation of this Act, the Commission shall review the Fair Elections program and make recommendations to Council for policy changes to improve and refine the program.

Section 4. EFFECTIVE DATE

All provisions of this Act will take effect immediately.

Section 5. SEVERABILITY

In the event any court of competent jurisdiction holds any provision of this Act invalid or unenforceable, such holding shall not invalidate or render unenforceable any other provisions hereof.



Fair Campaign Practices Commission

DATE: June 12, 2019

TO: FAIR CAMPAIGN PRACTICES COMMISSION

FROM: EMMA SOICHET, Commission Secretary

SUBJECT: Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by the Commission on March 21, 2019

At its March 21, 2019 meeting, the Commission discussed three proposals put forth by the Ad Hoc Subcommittee on Independent Expenditures. (**Attachment 1.**) The Commission requested that the secretary “provide recommended implementation of proposals one and two” and to recommend guidance “regarding implementing the spirit of proposal three.” (**Attachment 2.**) This report responds to the Commission’s request.

Proposal 1: “Expand filing requirements for complaints of alleged violations by independent expenditure committees”

The first proposal, for which the Commission asked the secretary to provide “recommended implementation,” deals with the Commission’s complaint intake form titled the Complaint of Noncompliance form. (**Attachment 3** [current form].) Under the proposal, a revised complaint form would seek the following additional categories of information as relate to independent committees¹ only:

- a. Individuals and points of contact that complainant believes have violated BERA and any additional laws relevant to campaign finance.
- b. The relevant law or authority that complainant believes has been violated
- c. Explicit evidence of alleged violation (we hope to make this inclusion of evidence a requirement).

(Attachment 1, p. 1.)

¹ This report uses the term “independent committee” as defined by Berkeley Municipal Code (“BMC”) section 2.12.140. This includes any committee “not controlled either directly or indirectly by a candidate or controlled committee, and which does not act jointly with a candidate or controlled committee in connection with the receipt of solicitation of contributions or the making of expenditures.” (BMC § 2.12.140.)

FCPC - June 20, 2019 Meeting

Re: Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by FCPC on 3/21/2019

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The secretary offers the following comments in response to the proposal:

As relates to the additional information sought in (a) and (b), this information may be beyond the scope of the Commission's jurisdiction and could confuse members of the public. The proposal asks for the names of all individuals who "have violated BERA *and any additional laws relevant to campaign finance*" and for the complaint to list all of the campaign laws violated. The Commission has jurisdiction to enforce BERA only and not other campaign finance laws. While violations of other laws may be related to a BERA violation, asking for this information in the complaint form may cause more confusion than clarity. (A complainant may assume that the Commission will investigate the alleged violations of state or federal law.)

There are also a number of issues with (c)'s recommendation that the form require complainants to submit "explicit evidence of [the] alleged violation" for complaints against independent committees.²

First and foremost, this type of change simply makes it harder to file a complaint and thus undermines enforcement of BERA. There is no current requirement that a complaint contain any "evidence" beyond the complainant's sworn statement. (See [Procedures for the Fair Campaign Practices Commission, Section V.A.](#)) Requiring "explicit evidence" for a complaint to be filed shifts the initial investigation of BERA violations from the secretary to members of the public, who are not necessarily in a position to collect evidence. This is particularly true given the nature of some claims against independent committees. For instance, the complaint that led to the subcommittee's formation alleged that expenditures made by an independent committee were actually "contributions" to a candidate committee. (See [Packet](#) and [Minutes](#) of Feb. 15, 2018 meeting.) For those allegation to have been true, there must have been coordination between the candidate and the individual making the independent expenditure. (See, e.g., BMC §§ 2.12.100, 2.12.140, 2.12.142.) But a member of the public will almost never have "explicit evidence" of coordination between an independent committee and a candidate, which is why the state Fair Political Practices Commission has actually lowered the evidentiary bar required to find a violation of coordination and created rebuttable presumptions of coordination under state law based on particular circumstances and not direct evidence. (See [Cal. Code Regs., tit. 2, § 18255.7.](#)) Requiring "explicit evidence" for BERA complaints *just to be filed* may make it impossible for members of the public to bring these some of these complaints.

² The subcommittee stated it intended this change "will elevate the burden of proof that complainants are required to possess and submit for complaints of alleged violations." (Attachment 1, p. 1.) "Complaints on issues regarding independent expenditure committees submitted to the Secretary will thus be more serious in character and content, and will provide increased information from the complainant with which the FCPC can draw upon in its review of a complaint of alleged violation." (*Id.*, pp 1-2.)

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Re: Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by FCPC on 3/21/2019

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There are also several issues relating to the particulars of recommendation, as written:

- The Commission cannot heighten the requirements for filing a complaint simply by amending the complaint form. To make such a change, the Commission would need to amend its procedures, at minimum. (See [Procedures for the Fair Campaign Practices Commission, Section V.A.](#))
- The term “explicit evidence” could be interpreted in different ways and should be defined, either in the FCPC procedures or by regulation.
- Many BERA provisions apply to all committees, and there is no obvious reason to require “explicit evidence” of those violations based only on the nature of the committee. For instance, section 2.12.245.B prohibits any committee from receiving contributions without the consent of the committee treasurer. This proposal would arbitrarily apply a different standard at the complaint intake stage for violations of 2.12.245.B for independent committees.
- Relatedly, independent committees are not the only committees that may violate BERA provisions specific to independent expenditures, and imposing different requirements based on the type of the committee is similarly arbitrary. For instance, the complaint referenced above—alleging that expenditures made by an independent committee were actually “contributions” to a candidate committee—was initially filed against the candidate committee and not the independent expenditure committee. (See [Packet](#) of Feb. 15, 2018 meeting.) This type of violation requires conduct by both committees, who allegedly work in partnership. But the subcommittee’s proposal would impose a different standard for a complaint to proceed against each of the two committees, meaning that the complaint could proceed against the candidate committee in this situation even if the same complaint could not be brought against the independent committee for its role in the same violation. Treating the committees differently is problematic.

A revised Complaint of Noncompliance form that accounts for these concerns is included here as **Attachment 4**.

Proposal 2: “Provide greater guidance to the public in navigating the campaign finance violation process by presenting a compilation of all laws relevant to campaign finance restrictions on independent committees in the complaints of alleged violations form.”

The second proposal, for which the Commission asked the secretary to provide “recommended implementation,” would create “an addendum” to the revised complaint form that includes a “compilation of all laws relevant to campaign finance restrictions on independent committees.” (Attachment 1, p. 2.) The subcommittee also provided a copy of this proposed addendum, Appendix B. (*Id.*, pp. 5-7.)

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Re: Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by FCPC on 3/21/2019

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This proposal also raises some concerns. As a general matter, the Commission's jurisdiction extends only to violations of BERA, and including an addendum of other laws that the Commission has not authority to enforce could cause confusion. In particular, the proposed appendix includes an FPPC regulation that may be used as *guidance* in interpreting BERA provisions dealing with independent expenditures, but is not binding on the Commission's interpretation of BERA.

In addition, it seems arbitrary for the general FCPC complaint form to contain an addendum setting forth the laws for a subset of possible violations, but not others.

The proposed appendix is also incomplete as to the BERA provisions potentially governing independent committees. It includes only two definition sections, but no substantive provisions of BERA that regulate committee actions or use the definitions. As discussed above, there are a number of BERA provisions that apply to all committees, including independent committees, as well as some provisions that apply to candidate committees but that indirectly regulate independent expenditures. (See, e.g., BMC §§ 2.12.100 [definition contribution], 2.12.415 [contribution limits], 2.12.500.A.7 [contribution restrictions for candidates participating in Fair Elections Act program].)

To the extent the Commission seeks to provide a copy of applicable laws with the FCPC complaint form, the secretary recommends either:

- (1) Compiling the full BERA chapter of the Berkeley Municipal Code into the PDF file of the complaint form available on the Commission's website, or
- (2) Including a hyperlink on the Commission's webpage immediately below the complaint form that would lead to the City Clerk's "Campaign Finance Laws and Information" webpage, which provides links to BERA, state law, and guidance materials (see **Attachment 5** [screenshot of current [FCPC webpage](#)]; **Attachment 6** [screenshot of [City Clerk "Campaign Finance Laws and Information" webpage](#)]).

Proposal 3: "Require that all independent committees complete an affidavit that clearly and explicitly asserts the committee's faithfulness to campaign finance laws applicable to the city of Berkeley, and adherence to independence from political candidates or campaigns."

The Commission also sought the secretary's advice "regarding implementing the spirit of proposal three," which would require all independent committees to submit a signed affidavit that would be posted online in "a database of affidavits." (Attachment 1, p. 2.) The subcommittee report stated that this form would "consolidate[] the obligations of an independent committee" and "provide more accessible and explicit information on the individual(s) responsible for ensuring the independence of independent committees." (*Ibid.*) The subcommittee report proposed specific language for this affidavit. (*Ibid.*)

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Re: Recommendations for Implementation of Proposals Submitted by the Ad Hoc Subcommittee on Independent Expenditures and Discussed by FCPC on 3/21/2019

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The secretary offers the following comments in response to this proposal:

- As proposed by the subcommittee, the affidavit states that the committee “will be in absolutely and incontrovertibly be in [sic] no way tied to any political organization.” This requirement, albeit undefined, does not appear to come from BERA and is questionable in its current phrasing. The secretary recommends that any required affidavit adhere to the specific requirements found in BERA.
- Relatedly, should the Commission seek to require particular documents be filed by independent committees (presumably through Netfile and available to the public through the City’s main public portal for campaign filings), the Commission should issue a regulation to this effect. (See, e.g., [FCPC regulation R2.12.270](#) [“Independent Expenditures: Individuals and Organizations Required to File Campaign Disclosure Statements”].)
- To the extent the proposal’s goal is to have the proposed form “provide more accessible and explicit information on the individual(s) responsible for ensuring the independence of independent committees,” the secretary recommends the Commission review the forms that various committees are already required to submit. (See FPPC, Campaign Forms, <http://www.fppc.ca.gov/learn/campaign-rules/campaign-forms.html>; see also, FPPC, Campaign Disclosure Manuals [manuals 3, 4, 5 and 6], <http://www.fppc.ca.gov/learn/campaign-rules/campaign-disclosure-manuals.html>.) Based on the subcommittee’s proposed language, it is unclear to the secretary what new information would be generated by a supplemental form.
- The affidavit seems to erroneously include the language “or candidate for an elected office in the city of Berkeley” (line two) and “or political candidate” (line four), which would relate to candidate controlled committees and not independent committees.

Attachments:

1. Report by Ad Hoc Subcommittee on Independent Expenditures (included in packet of March 21, 2019 meeting)
2. Minutes of March 21, 2019 FCPC meeting
3. Current Complaint of Noncompliance form
4. Revised Complaint of Noncompliance form
5. Screenshot of FCPC webpage
6. Screenshot of City Clerk’s “Campaign Finance Laws and Information” webpage

FROM: Fair Campaign Practices Commission (FCPC) Ad Hoc Subcommittee on Independent Expenditures

SUBJECT: Report to the Fair Campaign Practices Commission (FCPC), October 2018

The FCPC Ad Hoc Subcommittee on Independent Expenditures, formed on February 15, 2018,¹ would like to submit three proposals for the review and judgment of the FCPC.

GOALS: Through the proposals attached, we seek to:

1. Facilitate a more structured, judicious, and efficient process for members of the public to file complaints of violations by independent committees to the FCPC.
2. Increase public awareness of obligations that an independent committee both needs to and seeks to abide by.
3. Centralize information for FCPC commissioners to more capably review and assess violations regarding independent committees.

PROPOSAL 1: Expand filing requirements for complaints of alleged violations by independent expenditure committees.²

We hope to include that complainants provide information on:

- a. Individuals and points of contact that complainant believes have violated BERA and any additional laws relevant to campaign finance.
- b. The relevant law or authority that complainant believes has been violated
- c. Explicit evidence of alleged violation (we hope to make this inclusion of evidence a requirement)

We would like to add the above requirements by either creating a new complaint form³ or by supplementing the existing complaint form with specific requirements for complaints of alleged violations by independent committees.⁴ The complainant requirements in the current Complaint of Noncompliance form is provided in Appendix A.

Benefit: We believe the current Complaint of Noncompliance may be too broad or imprecise for the public to use in addressing violations by independent committees. The proposed revision to BERA will elevate the burden of proof that complainants are required to possess and submit for complaints of alleged violations. Complaints on issues regarding independent expenditure committees submitted to the Secretary will thus be more serious in character and content, and

¹ Minutes of February 15, 2018 Fair Campaign Practices Commission Meeting:

[https://www.cityofberkeley.info/uploadedFiles/Attorney/Commissions/Commission_on_Fair_Campaign_Practices/JM's%2002%2015%2018%20FCPC%20Minutes\(1\).pdf](https://www.cityofberkeley.info/uploadedFiles/Attorney/Commissions/Commission_on_Fair_Campaign_Practices/JM's%2002%2015%2018%20FCPC%20Minutes(1).pdf)

² "Independent committees" are also known as "independent expenditure committees". For purposes of brevity, our proposal will maintain the use of the more concise phrasing "independent committees".

³ Specifically for complaints of alleged violations by independent committees

⁴ *V. Complaints of Alleged Violations*, Procedures for the Fair Campaign Practices Commission:

https://www.cityofberkeley.info/uploadedFiles/Attorney/Commissions/Commission_on_Fair_Campaign_Practices/Procedures%20for%20FCPC%20-%20Revised%20July%202014.pdf

will provide increased information from the complainant with which the FCPC can draw upon in its review of a complaint of alleged violation.

PROPOSAL 2: Provide greater guidance to the public in navigating the campaign finance violation process by presenting a compilation of all laws relevant to campaign finance restrictions on independent committees in the complaints of alleged violations form. The laws we would like to provide are attached in appendix B.

Benefit: We seek to untangle complex legal authorities for the public's convenience, and to provide greater support for public participation in holding independent committees and political candidates accountable. A consolidated collection of relevant laws will elucidate to the public the laws relevant to concerns regarding independent committees. We propose to provide the laws in an addendum to the complaint form discussed in Proposal 1.

PROPOSAL 3: Require that all independent committees complete an affidavit that clearly and explicitly asserts the committee's faithfulness to campaign finance laws applicable to the city of Berkeley, and adherence to independence from political candidates or campaigns.

We plan to require that all currently registered independent committees sign the affidavit, and to mandate the signing of the affidavit as a requirement for the formation of future independent committees. A rudimentary sample of a possible affidavit is provided below. We welcome all of your advice, thoughts, and edits to the language and content of the proposed affidavit:

The undersigned individual hereby states that the purpose, means, and ends of the following independent expenditure committee, or candidate for an elected office in the city of Berkeley will be in absolutely and incontrovertibly be in no way tied to any political organization. The independent expenditure committee or political candidate will abide by all sections of BERA 2.12.140 and S18225.7 of the Fair Political Practices Commission in the California Code of Regulations, and affirm that no contributions have been "made at the behest" of another "individual?" or "entity".

Rules and stipulations of laws relevant to Independent Expenditure Committees will also be provided in the affidavit.

Benefit 1: We hope to provide a database of affidavits by independent committees to be accessible online by the public and usable in complaints. A declaration that consolidates the obligations of an independent committee will allow the public, complainants, and any relevant parties to more conveniently understand the comprehensive range of laws and obligations that an independent committee seeks to abide by.

Benefit 2: The affidavit will provide more accessible and explicit information on the individual(s) responsible for ensuring the independence of independent committees for the FCPC and the city attorney's office.

OVERVIEW OF APPENDICES:

Appendix A: Current form provided for complainants to bring forth charges of BERA violations against independent expenditure committees: *Complaint of Noncompliance Berkeley Election Reform Act ('BERA')*⁵

Appendix B: List of relevant laws we plan to provide to the public in the complaint form for violations by independent committees (discussed in proposal 2)⁶

Appendix C: Reference material for the FCPC on legal limits to monetary campaign contributions.⁷

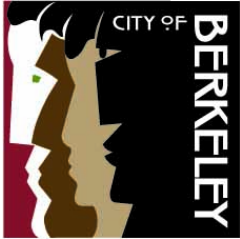
SUPPLEMENTARY POINTS OF CONCERN:

1. The subcommittee believed that the phrasing of BERA 2.12.140 "Independent committee" may be vulnerable to misinterpretation. BERA 2.12.140 states, "A committee may be controlled with respect to one or more candidates and independent with respect to other candidates."
 - a. *Should BERA 2.12.140 be revised or truncated in any way?*
2. Should an individual, company, or organization be allowed to contribute to a candidate for an elected office and then also contribute to an independent committee (PAC) that supports the same candidate?
 - a. *Does a contribution made to a candidate constitute support for that candidate in a way that renders a subsequent contribution to an independent committee/PAC illegal?*

⁵ This is the only form that the *FCPC Ad Hoc Subcommittee on Independent Expenditures* is aware of in addressing complaints related to independent committees.

⁶ This may not be a comprehensive list, and are simply the laws that the *FCPC Ad Hoc Subcommittee on Independent Expenditures* are aware of and believe to be relevant. We implore the FCPC to provide further feedback if possible.

⁷ Information the subcommittee believed was relevant to compile and provide for the FCPC's situational awareness: BERA and related state and federal laws on monetary contribution limits.



Fair Campaign Practices Commission

Complaint of Noncompliance Berkeley Election Reform Act ("BERA")*

Full Name: _____

Date: _____

Address: _____

E-mail (optional but suggested): _____

Phone (optional but suggested): _____

Party or parties alleged to have committed or are about to commit a violation of BERA:

Clear, concise and accurate statement of the facts that constitute the violation of BERA.
If additional space is needed, you may attach additional pages:

Documents: Attach any documentation supporting the facts alleged.

Statements that are not based upon personal knowledge should identify the source of information that gives rise to the complainant's belief in the truth of such statements.

I declare under penalty of perjury under the laws of the State of California that all information submitted hereon and in the attachments is true and correct.

Signature

Date

*Use this "Complaint of Noncompliance" form to allege a violation of BERA pursuant to Berkeley Municipal Code Section 2.12.225 and the *Procedures of the Fair Campaign Practices Commission*.

APPENDIX B of
Fair Campaign Practices Commission Ad Hoc Subcommittee on Independent Expenditures Report (October 2018)

Berkeley Election Reform Act:

2.12.140 Independent committee.

"Independent committee" means a committee which is not controlled either directly or indirectly by a candidate or controlled committee, and which does not act jointly with a candidate or controlled committee in connection with the receipt or solicitation of contributions or the making of expenditures. A committee may be controlled with respect to one or more candidates and independent with respect to other candidates. (Ord. 4700-NS § 214, 1974)

2.12.142 Independent expenditure.

"Independent expenditure" means an expenditure made by any person in connection with a communication which expressly advocates the election or defeat of a clearly identified candidate or the qualification, passage or defeat of a clearly identified measure, or taken as a whole and in context, unambiguously urges a particular result in an election but which is not made to or at the behest of the affected candidate or committee. (Ord. 6096-NS § 2 (part), 1991)

Regulations of the Fair Political Practices Commission, Title 2, Division 6, California Code of Regulations.

§ 18225.7. Made at the Behest; Independent versus Coordinated Expenditures.

(a) Application.

(1) The term "made at the behest" is used in four contexts in the Act: (i) for "contributions" and "expenditures" defined in Sections 82015 and 82025; (ii) for "independent expenditures" defined in Sections 82031 and 85500, to differentiate between expenditures that are made in coordination with a candidate or committee versus those that are made by a person independent of the candidate or committee; (iii) for "behested payments" reports filed by an elected officer or a Public Utilities Commissioner who solicits funds for charitable, legislative or governmental purposes as specified in Section 82015(b)(2)(B); and (iv) for communications identifying a state candidate as specified in Section 85310.

(2) The definition of "made at the behest" in subdivision (b) applies to all uses of that term in the Act. The provisions in subdivisions (c)-(g) apply for coordinated expenditures. Refer to Regulation 18215.3 for rules on reporting payments elected officers and Public Utilities Commissioners solicit for charitable, legislative or governmental purposes. (b) Made at the Behest. "Made at the behest" of a candidate or committee means made under the control or at the direction of, in cooperation, consultation, coordination, or concert with, at the request or suggestion of, or with the express, prior consent of the candidate or committee. Throughout this regulation, the terms "candidate" and "committee" include their agents, when the agent is acting within the scope of his or her authority.

(c) Independent vs Coordinated Expenditures. For purposes of Sections 82031, 85500 and 85310, an expenditure is made at the behest of a candidate or committee, and is not considered independent, if the expenditure funds a communication that is made under any of the following circumstances:

(1) General Coordination. The expenditure is made at the request, suggestion, or direction of, or in cooperation, arrangement, consultation, concert or coordination with, the candidate or committee on whose behalf, or for whose benefit the expenditure is made. To be considered coordinated, such prearrangement must occur prior to the making of a communication.

(2) Involvement in Communication. The expenditure funds a communication that is created, produced or disseminated either:

(A) After the candidate or committee has made or participated in making any decision regarding the content, timing, location, mode, intended audience, volume of distribution, or frequency of placing the communication; or

(B) After discussion between a creator, producer, or distributor of a communication, or the person paying for that communication, and the candidate or committee, regarding the content, timing, location, mode, intended audience, volume of distribution or frequency of placing that communication.

(d) Expenditures Presumed to be Coordinated/Made at the Behest. For purposes of subdivision (c) of this regulation, there is a rebuttable presumption that an expenditure funding a communication is made at the behest of a candidate or committee and not independent of the candidate or committee on whose behalf, or for whose benefit, the expenditure is made, under any of the following circumstances:

(1) Campaign Needs. The expenditure is based on information about the candidate's or committee's campaign needs or plans that the candidate or committee provided to the expending person directly or indirectly, such as information concerning campaign messaging, planned expenditures or polling data.

(2) Agent. The expenditure is made by or through any agent of the candidate or committee in the course of the agent's involvement in the current campaign. For purposes of this regulation, the "current campaign" means (i) for a candidate, the period beginning 12 months prior to the date of the primary or special election in which the candidate is on the ballot for an elective office and ending on the date of the general or special runoff election for that office; or (ii) for a measure, 12 months prior to the date of the election in which the measure is on the ballot.

(3) Common Consultants. The person making the expenditure for a communication relating to a clearly identified candidate or ballot measure retains the services of a person who provides either the candidate or the committee supporting or opposing the ballot measure with professional services related to campaign or fundraising strategy for the current campaign.

(4) Republication. The communication relating to a clearly identified candidate or ballot measure replicates, reproduces, republishes or disseminates, in whole or in substantial part, a communication, including video footage, designed, produced, paid for or distributed by the candidate or committee.

(5) Fundraising. The committee making the expenditure is primarily formed to support the candidate or oppose their opponent and in the course of the current campaign, the candidate who benefits from the expenditure solicits funds for or appears as a speaker at a fundraiser for the committee making the expenditure, thereby participating in the committee's fundraising strategy. 4

(6) Former Staff. The person making the expenditure is established, run, or staffed in a leadership role, by an individual who previously worked in a senior position or advisory capacity on the candidate's or officeholder's staff within the current campaign in which the expenditure is made.

(7) Candidate's Family. The person making the expenditure is established, run, staffed in a leadership role, or principally funded by an individual who is an immediate family member of the candidate.

(e) Expenditures Not Considered Coordinated/Made at the Behest. An expenditure is not considered to be coordinated or made at the behest of a candidate or committee based solely on any of the following circumstances:

(1) Interview. A person interviews a candidate on issues affecting the person making the expenditure.

(2) Photograph or Press Release. The person making the expenditure has obtained a photograph, biography, position paper or press release from the candidate or the candidate's agents.

(3) Prior Contribution. The person making the expenditure has made a contribution to the candidate or committee.

(4) General Request for Support. The person making the expenditure is responding to a general, non-specific request for support by a candidate or committee, provided that there is no discussion with the candidate or committee prior to the expenditure relating to details of the expenditure.

(5) Meeting with Members or Employees. The person making the expenditures has invited the candidate or committee to make an appearance before the person's members, 5 employees, shareholders, or the families thereof, provided that there is no discussion with the candidate or committee prior to the expenditure relating to details of the expenditure.

(6) Informed after the Fact. A person informs a candidate or committee that the person has made an expenditure, provided that there is no other exchange of information, not otherwise available to the public, relating to details of the expenditure.

(7) Expenditure Benefits Another Candidate or Committee. An expenditure is made at the request or suggestion of the candidate or committee for the benefit of another candidate or committee.

(8) Hyperlink. The communication includes a hyperlink to the Internet website or other social media page of a candidate or measure committee.

(f) Certain Committee Communications. Notwithstanding any other provision of this regulation, if two or more committees exchange information between or among themselves, subsequent expenditures by each committee are not, merely by reason of that exchange, considered to be coordinated with or "made at the behest" of the other committee(s), where the committees are (i) all general purpose committees, (ii) all committees primarily formed to support or oppose the same candidate or candidates, or (iii) all committees primarily formed to support or oppose the same measure or measures.

(g) Coordinated Expenditures are Treated as Contributions. An expenditure that is made at the behest of or in coordination with a candidate or committee, is considered a contribution to the candidate or committee, unless it is otherwise exempted from the definition of "contribution" by any provision of the Act or its regulations. Note: Authority cited: Section 83112, Government Code. Reference: Sections 82015, 82025, 82031, 85310 and 85500, Government Code

**APPENDIX C of
Fair Campaign Practices Commission Ad Hoc Subcommittee on Independent
Expenditures Report (October 2018)**

BERKELEY ELECTION REFORM ACT:

2.12.415 Persons other than candidate--Maximum permitted amount.

No person other than a candidate shall make and no campaign treasurer shall solicit or accept any contribution which will cause the total amount contributed by such person with respect to a single election in support of or in opposition to such candidate to **exceed two hundred fifty dollars**. For purposes of this section single election is a primary, general, special, runoff or recall election. (Ord. 5895 § 1, 1988: Ord. 4700-NS § 600, 1974)

FEDERAL GOVERNMENT:

1. "Political committees may support (or oppose) candidates by making independent expenditures. Independent expenditures are **not contributions and are not subject to contribution limits.**"

CALIFORNIA FPPC:

1. Candidates seeking a state office and committees that make contributions to state candidates are subject to contribution limits from a single source. (Sections 85301 - 85303.)
 - a. Contributions from affiliated entities are aggregated for purposes of the limits. (Regulation 18215.1.)

Contribution Limits to State Candidates Per Election

Candidate or Officeholder	Contributor Sources		
	Person (individual, business entity, committee/PAC)	Small Contributor Committee (see definition on page 2)	Political Party
Senate and Assembly	\$4,400	\$8,800	No Limit
CalPERS/CalSTRS	\$4,400	\$8,800	No Limit
Lt. Governor, Secretary of State, Attorney General, Treasurer, Controller, Supt. of Public Instruction, Insurance Commissioner, and Board of Equalization	\$7,300	\$14,600	No Limit
Governor	\$29,200	\$29,200	No Limit

Contributions to Other State Committees Per Calendar Year

Committee	Contributor Sources
	Person (individual, business entity, committee/PAC)
Committee (Not Political Party) that Contributes to State Candidates (PAC)	\$7,300
Political Party Account for State Candidates	\$36,500
Small Contributor Committee	\$200
Committee Account NOT for State Candidates (Ballot Measure, PAC, Political Party)	No Limit*

*State committees (including political parties and PACs) may receive contributions in excess of the limits identified above as long as the contributions are NOT used for state candidate contributions. (Regulation 18534.)

Contributions to State Officeholder Committees Per Calendar Year

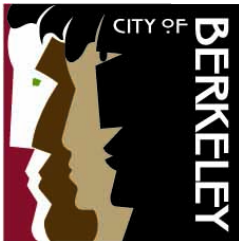
Committee	Contributor Sources	
	Any Source (Person, Small Contributor Committee or Political Party)	Aggregate From All Sources
Senate and Assembly	\$3,600	\$60,600
CalPERS/CalSTRS	\$3,600	\$60,600
Lt. Governor, Secretary of State, Attorney General, Treasurer, Controller, Supt. of Public Instruction, Insurance Commissioner, and Board of Equalization	\$6,000	\$121,100
Governor	\$24,200	\$242,300

OAKLAND CAMPAIGN REFORM ACT:

1. 3.12.050 - Limitations on contributions from persons.
 - A. No person shall make to any candidate and the controlled committee of such a candidate, and no candidate and the candidate's controlled committee shall receive from any such person, a contribution or contributions totaling **more than one hundred dollars (\$100.00)**, adjusted annually pursuant to Subsection F., for each election except as stated in Subsection B. of this Section. B. For candidates who adopt the expenditure ceilings as defined in Article IV of this Act, no person shall make to a candidate and the controlled committee of such candidate, and no such candidate and the controlled committee of such candidate shall receive contributions totaling **more than five hundred dollars (\$500.00)**, adjusted annually pursuant to Subsection F., from any person for each election.

SAN JOSE CODE OF ORDINANCES:

1. Beginning January 1, 2011, the total campaign contribution made by any person to any council candidate and any controlled committee of that candidate, other than the candidate in aid of himself or herself, may not exceed:
 - Five hundred dollars for the primary election;
 - Five hundred dollars for the general election, if any;
 - Five hundred dollars for any special election;
 - Five hundred dollars for any special run-off election, if any.



Fair Campaign Practices Commission

MINUTES

Civic Center
2180 Milvia Street
Cypress Room (1st Floor)

Regular Meeting
March 21, 2019

Members Present: Dean Metzger (Chair), Jessica Blome, Greg Harper, Mark McLean, Matthew Napoli, Patrick O'Donnell, Daniel Saver, Brad Smith, Brian Tsui

Members Absent: None

Also Present: Emmanuelle Soichet, Staff Secretary/Deputy City Attorney
Mariam Morley, Counsel, Renne Public Law Group

1. **Call to Order**

Chair called the meeting to order at 7:00 p.m.

2. **Roll Call**

Roll call taken.

3. **Public Comment (items not on agenda)**

No public comment on matters not on agenda. One member of the public in attendance.

– *Commissioner Saver arrived at 7:03 p.m.* –

4. **Reports**

- a. Report from Chair.
- b. Report from Staff.

Staff discussed cancelations of prior meetings, staffing transition in City Attorney's Office, and status of ongoing matters before commission. Staff also noted the presence of outside counsel from the law firm Renne Public Law Group.

5. **Approval of Minutes for the November 28, 2018 Special Meeting**

- a. Public comment: no speakers.
- b. Commission discussion and action.

Motion to approve minutes (M/S/C: Smith/Metzger; Ayes: Harper, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: Blome, Napoli; Absent: McClean (unexcused)).

– *Commissioner McLean arrived at 7:07 p.m.* –

6. **Complaint of alleged violation of the Berkeley Election Reform Act by Droste for City Council 2018 submitted by H. Steel; discussion and possible action**

- a. Public comment: None.
- b. Commission discussion and action.

Motion to request staff to engage in settlement negotiations with respondents for a stipulation that admits a violation and assesses a monetary penalty in the amount of the market rate value of the rental space used (M/S/C: Saver/McLean; Ayes: Blome, Harper, Napoli, McLean, Metzger, O'Donnell, Saver, Tsui; Noes: Smith; Abstain: None; Abstain: None).

7. **Report from the Ad Hoc Subcommittee on Independent Expenditures; discussion and possible action**

- a. Public comment: one speaker.
- b. Commission discussion and action.

Motion to request staff to provide recommended implementation of proposals one and two, and develop staff report with recommendation for guidance to post to website regarding implementing the spirit of proposal three by Commission's May 2019 meeting (M/S/C: Blome/Tsui; Ayes: Blome, Harper, Napoli, McLean, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: None).

————— Meeting temporarily adjourned at 7:59 p.m., reconvened at 9:17 p.m. —————

8. **Annual election of Chair and Vice Chair; discussion and possible action**

- a. Public comment: None.
- b. Commission discussion and action.

Motion to adopt slate elected (to Open Government Commission) (M/S/C: Harper/McLean; Ayes: Blome, Harper, Napoli, McLean, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: None).

9. **Commission 2019 regular meeting schedule; discussion and possible action**

- c. Public comment: five speakers.
- d. Commission discussion and action.

Motion to adopt schedule proposed by staff (M/S/C: Metzger/O'Donnell; Ayes: Blome, Harper, Napoli, McLean, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: None).

10. **Information report regarding new abridged Commissioners' Manual**

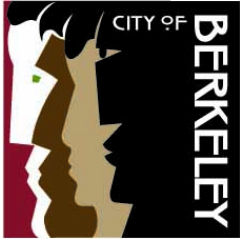
- e. Public comment: No speakers.
- f. Commission discussion and action.

No action taken.

11. **Adjournment**

(M/S/C: Saver/Smith; Ayes: Blome, Harper, Napoli, McLean, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: None).

The meeting adjourned at 9:31 p.m.



Fair Campaign Practices Commission

Complaint of Noncompliance Berkeley Election Reform Act ("BERA")*

Full Name: _____

Date: _____

Address: _____

E-mail (optional but suggested): _____

Phone (optional but suggested): _____

Party or parties alleged to have committed or are about to commit a violation of BERA:

Clear, concise and accurate statement of the facts that constitute the violation of BERA.
If additional space is needed, you may attach additional pages:

Documents: Attach any documentation supporting the facts alleged.

Statements that are not based upon personal knowledge should identify the source of information that gives rise to the complainant's belief in the truth of such statements.

I declare under penalty of perjury under the laws of the State of California that all information submitted hereon and in the attachments is true and correct.

Signature

Date

*Use this "Complaint of Noncompliance" form to allege a violation of BERA pursuant to Berkeley Municipal Code Section 2.12.225 and the *Procedures of the Fair Campaign Practices Commission*.



Fair Campaign Practices Commission

Complaint of Noncompliance Berkeley Election Reform Act (“BERA”)*

Full Name: _____
Date: _____
Address: _____
E-mail (optional but suggested): _____
Phone (optional but suggested): _____

Party or parties alleged to have committed or are about to commit a violation of BERA (for independent committees, list all individuals believed to have violated BERA):

Clear, concise and accurate statement of the facts that constitute the violation of BERA. If possible, please identify the BERA section(s) violated. If additional space is needed, you may attach additional pages: _____

Documents: Attach any documentation supporting the facts alleged.

Statements that are not based upon personal knowledge should identify the source of information that gives rise to the complainant’s belief in the truth of such statements.

I declare under penalty of perjury under the laws of the State of California that all information submitted hereon and in the attachments is true and correct.

Signature

Date

*Use this “Complaint of Noncompliance” form to allege a violation of BERA pursuant to Berkeley Municipal Code Section 2.12.225 and the *Procedures of the Fair Campaign Practices Commission*.

CITY CLERK

City Clerk



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Fair Campaign Practices Commission

Agendas and Minutes: [Current Year](#) | [Previous Years](#)

Mission:

Ensures that monies received or spent in municipal campaigns are fully disclosed in accordance with the Berkeley Elections Reform Act. Members serve the same term as the council member appointing them, may not be reappointed by the same councilmember, and shall serve until successor is appointed when term has expired. No limit to number of terms served. Members shall be registered to vote; and shall not hold or seek office, be an officer of a political organization, contribute in any way to Berkeley election campaign; and shall be impartial toward any person who is subject to a commission investigation.

Meetings:

2180 Milvia Street
1st Floor, Cypress Room
3rd Thursday, **7:00 p.m.**

Please check the [community calendar](#) to verify.

Contact:

Communications to Berkeley boards, commissions or committees are public record and will become part of the City's electronic records, which are accessible through the City's website. **Please note: e-mail addresses, names, addresses, and other contact information are not required, but if included in any communication to a City board, commission or committee, will become part of the public record.** If you do not want your e-mail address or any other contact information to be made public, you may deliver communications via U.S. Postal Service or in person to the secretary of the relevant board, commission or committee. If you do not want your contact information included in the public record, please do not include that information in your communication. Please contact the secretary to the relevant board, commission or committee for further information.

The Secretary of the commission is responsible for relaying all communications from the public to the members of the commission. The Secretary's contact information is listed below.

Secretary:

Emma Soichet
Secretary

Mailing Address:

Fair Campaign Practices
Commission

Office of the City Attorney

(510) 981-6998

E-mail:

FCPC@CityofBerkeley.info

2180 Milvia Street, 4th Floor

Berkeley, CA 94704

Enabling Legislation:[BMC Chapter 2.12](#) (2014), Ordinance No. 4,700-N.S. (Initiative)**Additional Information:**[Complaint Form](#) (March 2017)[FCPC Regulations](#) (Updated September 2017)[Procedures for FCPC - Updated July 2014](#)[ExParte Contact Disclosure Form](#) (March 2018)[Notice of 2019 Commission Meeting Dates](#)[Commission Vacancies](#)**Meeting Agendas & Minutes:**

Agendas & Minutes are presented in PDF format. To view PDF files, download a free copy of [Adobe Acrobat Reader](#).

Agendas and Minutes: [Current Year](#) | [Previous Years](#)

2019 Agendas, Minutes and Packets:

Please refresh your browser for the most up-to-date information.

Note: Documents will open in a new browser window.

Agendas	Minutes	Packets
1-17-19 (Cancelled)		
2-21-19 (Cancelled)		
3-21-19	3-21-19	3-21-19 3-21-19 (Corrected) 3-21-19 (Supp Rprt)
4-18-19 (Revised)	4-18-19	4-18-19 (Revised)
5-16-19	5-16-19 (Draft)	5-16-19

Ad Hoc Subcommittee on Independent Expenditures

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[City Clerk](#), 2180 Milvia Street, Berkeley, CA 94704

Questions or comments? Email: clerk@cityofberkeley.info Phone: (510) 981-6900

(510) 981-CITY/2489 or 311 from any landline in Berkeley

TTY: (510) 981-6903

CITY CLERK

City Clerk



Election Information: Campaign Information

Campaign statements must be filed pursuant to the state Political Reform Act and the Berkeley Election Reform Act. The filing requirements are determined by the amount of money raised and spent and the type of filer (candidates, ballot measure committees, petitions, independent expenditures, etc). Please review the information below to determine your filing obligations or obtain information on the filings for local candidates and committees.

The Berkeley Election Reform Act (BERA) was adopted by the voters of Berkeley in 1974. It contains requirements for local campaign committees that go beyond the requirements in state law. It also created the Fair Campaign Practices Commission to enforce its provisions.

Online Portal for Campaign Finance Reports: [Campaign Statements - Contributions and Expenditures](#)

Public Financing Program: [Information and Handbook](#)

Local Campaign Law and Forms (BERA)

[Berkeley Election Reform Act \(BMC Chapter 2.12\)](#)

[Fair Campaign Practices Commission \(FCPC\)](#)

[FCPC Regulations \(PDF 55 KB\)](#) - Amended September 2017 (Current)

[FCPC Campaign Filing Manual \(PDF 178 KB\)](#) - Amended March 2016

[Mass Mailings Index and Forms](#)

[FCPC Statement of Organization Form \(PDF 65 KB\)](#)

[State Campaign Law and Forms \(FPPC\)](#)

Workshops

The state Fair Political Practices Commission (FPPC) holds several workshops for candidates and treasurers throughout the year. All scheduled workshops are listed on the state [FPPC website](#).

In advance of each city election, City staff schedules workshops to review the Berkeley Election Reform Act (BERA) and how it differs from state law.

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[City Clerk](#), 2180 Milvia Street, Berkeley, CA 94704

Questions or comments? Email: clerk@cityofberkeley.info Phone: (510) 981-6900

(510) 981-CITY/2489 or 311 from any landline in Berkeley

TTY: (510) 981-6903

COMMUNICATIONS

Soichet, Emma

From: Russ Tilleman <russ.tilleman@gmail.com>
Sent: Thursday, June 13, 2019 8:06 AM
To: FCPC (Fair Campaign Practices Commission)
Cc: frances@berkeleyside.com; editors@berkeleyside.com; kfinman@dailycal.org; editor@dailycal.org; news@berkeleydailyplanet.com; OurRevUCB@gmail.com
Subject: Error in Lori Droste Campaign Violations Stipulated Agreement

There is an important error in the

STIPULATION, DECISION AND ORDER
Droste for City Council 2018

as documented in:

<https://www.berkeleyside.com/wp-content/uploads/2019/04/04-18-19-FCPC-Full-Pakcet-REVISED.pdf>

AGENDA FOR FAIR CAMPAIGN PRACTICES COMMISSION
Regular Meeting April 18, 2019 7:00 p.m.

Attachment 2 - Agenda Item 10

Page 4, line 16:

1. Respondent violated BMC Sections 2.12.44 and
2.12.500.A.7...

The Berkeley Municipal Code does not contain a Section 2.12.44.

However it does contain Section 2.12.440:

Contributions from certain organizations and
business entities prohibited.

Lori Droste was accused of violating this section. See:

<https://www.berkeleyside.com/wp-content/uploads/2018/10/Two-Reports-for-10-18-18-1.pdf>

Staff Report Regarding Complaint of Alleged BERA Violation
by Droste for City Council 2018 submitted by Harriet Steele

Disposition of Potential Violations

Page 6 Re: Complaint of Alleged BERA Violations by
Droste for City Council 2018

1. Probable Cause Determination

However, based on the facts above, there does appear to be

sufficient evidence for a reasonable basis on which to believe that a violation of BERA Sections 2.12.500.A.7 and 2.12.440 occurred for the use of the retail space

Since this is an important legal document, this error needs to be corrected as soon as possible.

Please contact me with any questions and to keep me informed of progress on this.

Regards,

Russ Tilleman
Candidate for Berkeley City Council District 8, 2018
2670 Parker St
Berkeley, CA 94704
russ.tilleman@gmail.com
510-485-6044

Soichet, Emma

From: Katz, Mary-Claire
Sent: Thursday, July 11, 2019 12:42 PM
To: Katz, Mary-Claire; Garcia, Viviana; Allen, Shannon; Chu, Stephanie; Terrones, Roberto; Miller, Roger; PRC (Police Review Commission); Radu, Peter; Patel, Nisha; Uberti, Michael; Bellow, LaTanya; Slaughter, Kieron; May, Keith; Lee, Katherine; Klatt, Karen; Lovvorn, Jennifer; Obermeit, Heidi; Powell, Greg; Bryant, Ginsi; Javandel, Farid; Crane, Fatema; Warren, Elliot; Soichet, Emma; Hollander, Eleanor; Greene, Elizabeth; Tsering, Dechen; Geiken, Delfina M.; Bednarska, Dominika; Slimick, Breanne; Romain, Billi; Pearson, Alene; Funghi, Amelia; Davidson, Amy; Burns, Anne M
Subject: FW: Berkeley Regional Housing Survey & Housing Workshop
Attachments: Alameda County Survey Flyer.pdf; Alameda Regional AI Survey_English.pdf; Alameda Regional AI Survey_Spanish.pdf; Alameda Regional AI Survey_TradChinese.pdf

Hello Commission Secretaries,

Please see message below about the Regional Analysis of Impediments to Fair Housing, and the survey we are distributing to get community feedback on barriers to fair housing. We would appreciate you distributing this survey to your commissioners, and any other group you think would be interested in providing this feedback.

Thank you!

Mary-Claire

From: Babka, Rhianna
Sent: Wednesday, July 03, 2019 4:31 PM
To: Babka, Rhianna <RBabka@cityofberkeley.info>
Cc: 'housingsurvey@mbakerintl.com' <housingsurvey@mbakerintl.com>
Subject: Berkeley Regional Housing Survey & Housing Workshop

Hello,

The City of Berkeley and the County of Alameda want your feedback on housing issues, as part of an action-focused report called Regional Analysis of Impediments to Fair Housing Choice (Regional AI).

Your feedback will help guide housing policies and housing goals in your area!

To help, please take this 10-15 min [Alameda County Regional Housing Survey](#) (Click on Link) and share your views on housing characteristics and housing needs.

Please forward this survey along to any friends, clients, colleagues, and/or organizations that would be interested in participating in the future of our County's housing policies!

Would you like paper copies provided to your organization or clients? If so, reply to this message with a request to receive paper-copy versions of the survey. I have also attached copies of the survey if you would like to view or print these out yourself.

This survey is also available online in Spanish (Español) and Traditional Chinese (中文); paper versions may also be available in Tagalog, Vietnamese (Tiếng Việt), or additional languages by contacting (510) 238-6468. If you have trouble

viewing this survey and would like assistance due to a disability, please contact (510) 238-5219. If link above link, does not work, go to: https://www.surveymonkey.com/r/housingsurvey_alameda2019.

Additionally, you and all County residents are invited to attend one of these community engagement meetings for a more in-depth discussion. Please RSVP to me at the information provided below:

Tuesday, August 13, 2019, 1:00 - 3:00 p.m.

Berkeley Central Library

3rd Floor Community Meeting Room
2900 Kittridge Street, Berkeley, CA 94704

Wednesday, August 21, 2019, 5:00 - 7:00 p.m.

Oakland Library 81st Avenue Branch

Community Room, 2nd Floor
1020 81st Street, Oakland, CA 94621

Saturday, August 24, 2019, 11:00 am - 1:00 p.m.

Hayward City Hall

Conference Room 2A, 2nd Floor
777 B Street, Hayward, CA 94541

You can learn more information about the housing survey, or the 2020-2024 Alameda County Regional Analysis of Impediments to Fair Housing Choice by contacting me with your questions.

Complete the survey at the link below

https://www.surveymonkey.com/r/housingsurvey_alameda2019



or scan this QR code
with your cell phone

Do you live in Alameda County?
Are you concerned about Housing?

Alameda County Housing Survey

Take this survey to provide feedback on housing characteristics in Alameda County. Your responses will help to guide housing policy in your area!

You can learn more information about the housing survey, or the 2020-2024 Alameda County Regional Analysis of Impediments to Fair Housing Choice by e-mailing HousingSurvey@mbakerintl.com.

Esta encuesta también está disponible en español.

此調查已被翻譯為繁體中文。

YOUR VOICE COUNTS.



Please join us for an in-person community meeting:

Meeting 1

August 13, 2019

1pm to 3pm

Berkeley Central Library
3rd Floor Community Meeting Room
2900 Kittridge Street
Berkeley, CA 94704

Meeting 2

August 21, 2019

5pm to 7pm

Oakland Library
Community Room (2nd Floor)
81st Avenue Branch
1021 81st Ave
Oakland, CA 94621

Meeting 3

August 24, 2019

11am to 1pm

Hayward City Hall
Conference Room 2A
(2nd Floor)
777 B Street
Hayward, CA 94541

Thank you,

Rhianna Babka

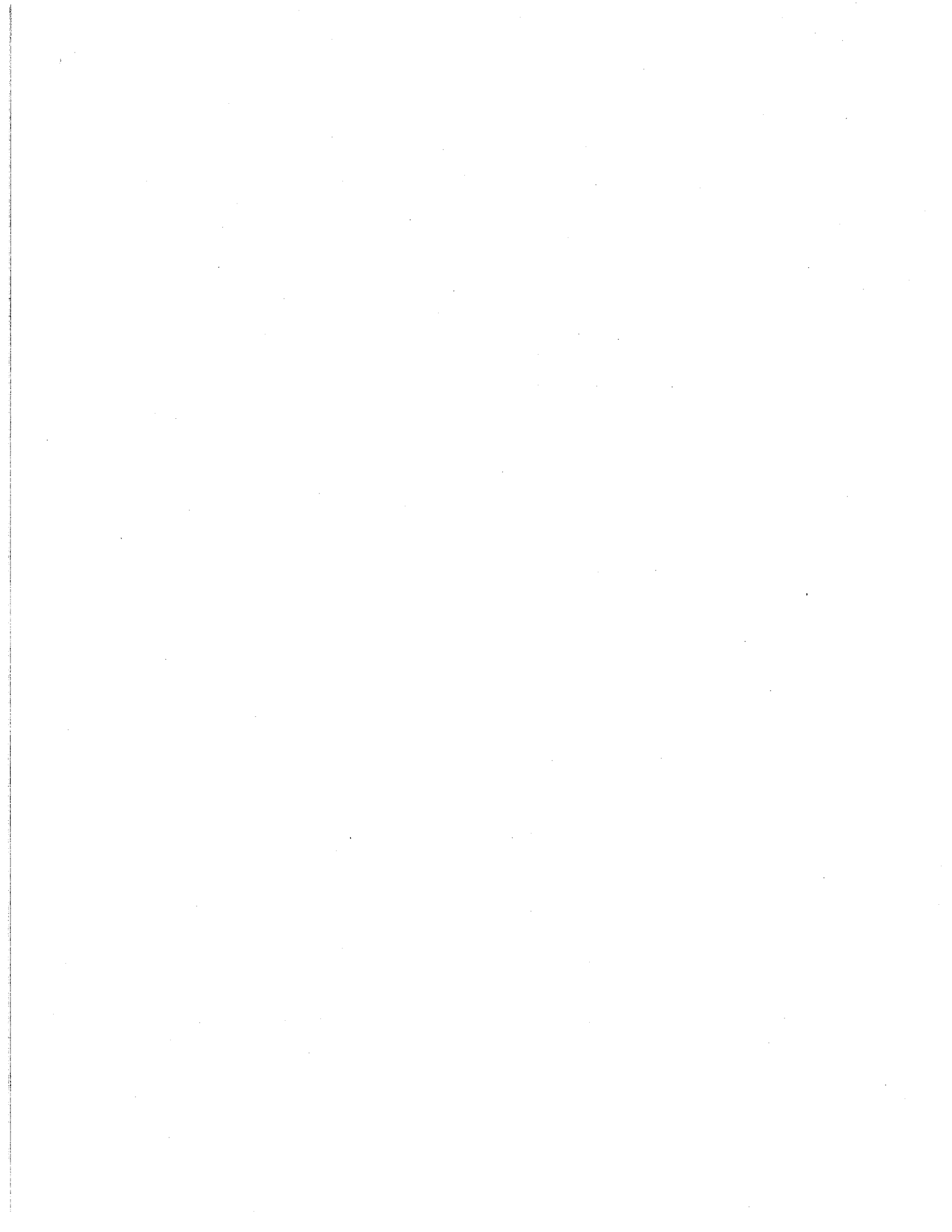
City of Berkeley

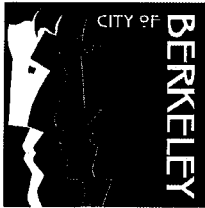
Housing and Community Services

2180 Milvia Street, 2nd Floor
Berkeley, CA 94704
(510) 981-5410 (tel)
(510) 981-5450 (fax)
rbabka@ci.berkeley.ca.us

Please note: As a cost saving measure the City of Berkeley is closed the 2nd Friday of every month. Additional closures may occur. For the latest City Closures and Holidays please check the City of Berkeley Homepage at www.ci.berkeley.ca.us.

CONFIDENTIALITY NOTICE: This e-mail message including attachments, if any, is intended only for the person(s) or entity(ies) to which it is addressed and may contain confidential and /or privileged material. Any unauthorized review, use, disclosure or distribution is prohibited. If you are not the intended recipient, please contact the sender by reply e-mail and destroy all copies of the original message.





City Clerk Department

July 9, 2019

John Selawsky
1912 Blake Street
Berkeley, CA 94704

RE: July 31, 2019 Form 470 Filing Deadline

Dear John:

As an elected official with no primarily formed committee, you are required to complete and file the enclosed **Form 470**, Officeholder Campaign Statement, Short Form, covering the 2019 calendar year, with the City Clerk no later than **July 31, 2019**.

If you plan on raising or spending \$250 or more during the 2019 calendar year, you are required to create a campaign committee. Please contact the City Clerk Department if you need more information regarding these additional filing obligations.

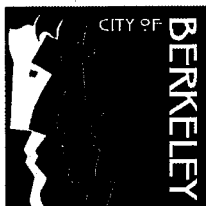
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Rigel Robinson
2442 Piedmont Avenue #15
Berkeley, CA 94704

RE: July 31, 2019 Form 470 Filing Deadline

Dear Rigel:

As an elected official with no primarily formed committee, you are required to complete and file the enclosed **Form 470**, Officeholder Campaign Statement, Short Form, covering the 2019 calendar year, with the City Clerk no later than **July 31, 2019**.

If you plan on raising or spending \$250 or more during the 2019 calendar year, you are required to create a campaign committee. Please contact the City Clerk Department if you need more information regarding these additional filing obligations.

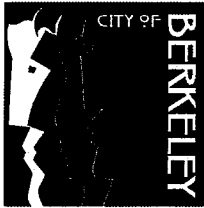
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Rashi Kesarwani
1619 Edith Street
Berkeley, CA 94703

RE: July 31, 2019 Form 470 Filing Deadline

Dear Rashi:

As an elected official with no primarily formed committee, you are required to complete and file the enclosed **Form 470**, Officeholder Campaign Statement, Short Form, covering the 2019 calendar year, with the City Clerk no later than **July 31, 2019**.

If you plan on raising or spending \$250 or more during the 2019 calendar year, you are required to create a campaign committee. Please contact the City Clerk Department if you need more information regarding these additional filing obligations.

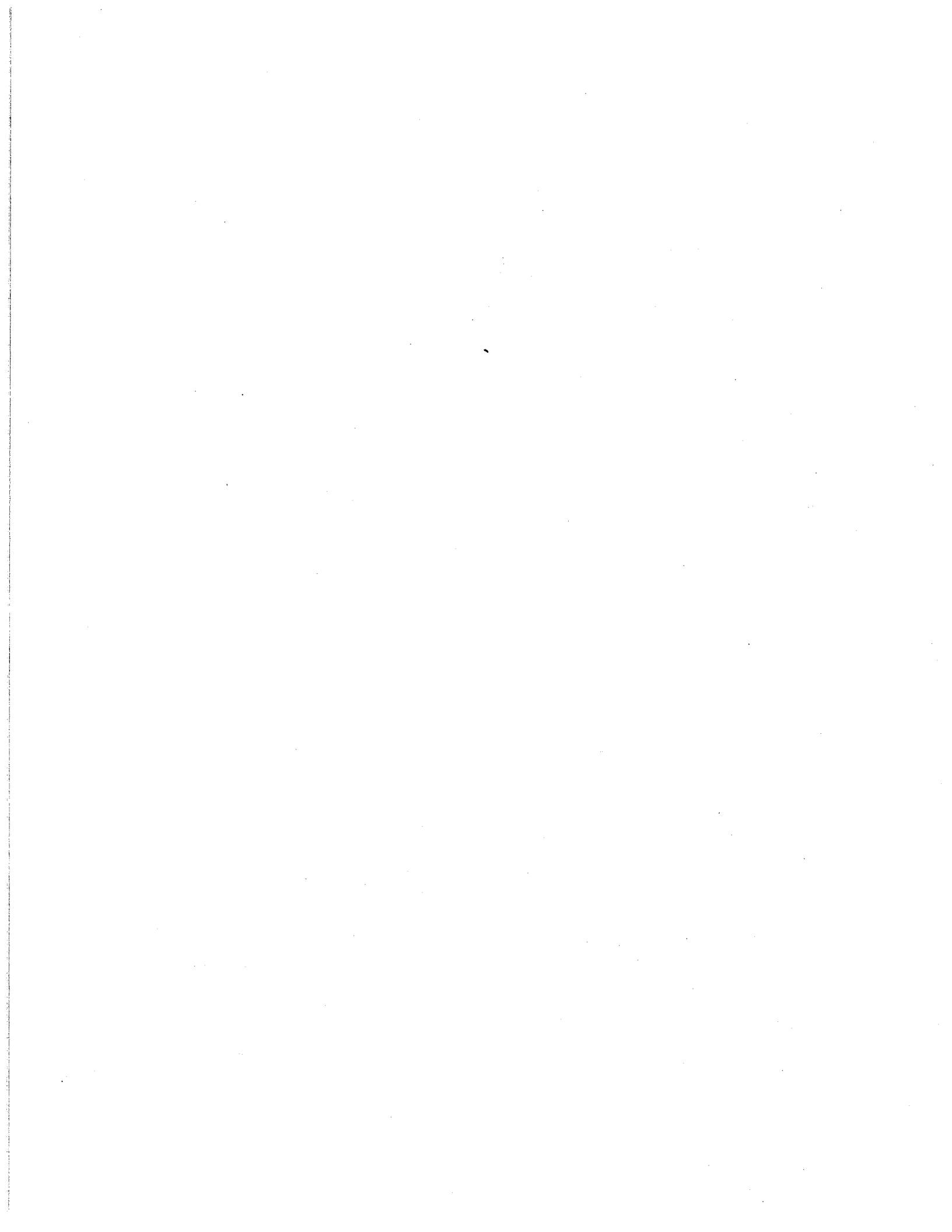
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

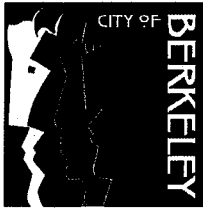
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission





City Clerk Department

July 9, 2019

Athena Hernandez, Treasurer
Terry Taplin for City Council 2020
2215 Buena Vista Avenue
Walnut Creek, CA 94597

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Athena:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. If your committee has qualified by raising or spending \$250 or more, you are required to file a Form 460. If you have raised or spent \$1,000 or more, your committee is required to file campaign statements electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

You may decide to terminate the committee if there are no surplus funds, no outstanding loans or unpaid bills (or there is inability to discharge any debt). If you terminate the committee with this semi-annual filing, you must also submit a Form 410. Please note that all Forms 410 must be filed in hard copy. Forms are available at the City Clerk Department or online at <http://www.fppc.ca.gov/forms.html>.

As you know, Berkeley's campaign disclosure requirements under the Berkeley Election Reform Act (BERA) differ from state law. Filers should always consult the BERA regulations when completing the state forms to ensure full compliance with local law. Resource materials for BERA are located online at <https://www.cityofberkeley.info/elections/>.

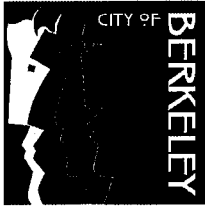
If your committee has met the qualification threshold, failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Terry Taplin, Assistant Treasurer
Terry Taplin for City Council 2020
1032 Bancroft Way
Berkeley, CA 94710

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Terry:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. If your committee has qualified by raising or spending \$250 or more, you are required to file a Form 460. If you have raised or spent \$1,000 or more, your committee is required to file campaign statements electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

You may decide to terminate the committee if there are no surplus funds, no outstanding loans or unpaid bills (or there is inability to discharge any debt). If you terminate the committee with this semi-annual filing, you must also submit a Form 410. Please note that all Forms 410 must be filed in hard copy. Forms are available at the City Clerk Department or online at <http://www.fppc.ca.gov/forms.html>.

As you know, Berkeley's campaign disclosure requirements under the Berkeley Election Reform Act (BERA) differ from state law. Filers should always consult the BERA regulations when completing the state forms to ensure full compliance with local law. Resource materials for BERA are located online at <https://www.cityofberkeley.info/elections/>.

If your committee has met the qualification threshold, failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

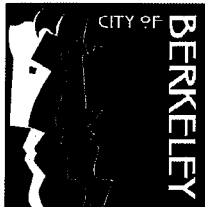
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Justin Richardson, Treasurer
Aidan Hill 4 Berkeley Council 2018
872 Warfield Avenue
Oakland, CA 94610

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Justin:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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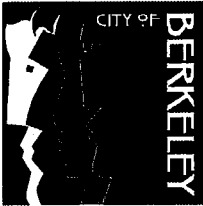
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Alejandro Soto-Vigil, Treasurer
Alejandro Soto-Vigil for Rent Board 2016
1534 Berkeley Way
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Alejandro:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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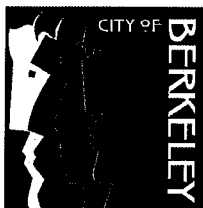
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Hugh Behm-Steinberg, Treasurer
Behm-Steinberg for Council District 1 2018
1447 Kains Avenue
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Hugh:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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As you know, Berkeley's campaign disclosure requirements under the Berkeley Election Reform Act (BERA) differ from state law. Filers should always consult the BERA regulations when completing the state forms to ensure full compliance with local law. Resource materials for BERA are located online at <https://www.cityofberkeley.info/elections/>.

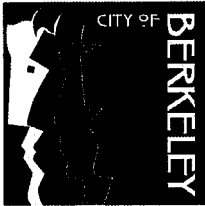
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Chelsea Johnson, Treasurer
Ben Bartlett for Berkeley City Council 2020
2200-B Douglas Boulevard, Suite 140
Roseville, CA 95661

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Chelsea:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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As you know, Berkeley's campaign disclosure requirements under the Berkeley Election Reform Act (BERA) differ from state law. Filers should always consult the BERA regulations when completing the state forms to ensure full compliance with local law. Resource materials for BERA are located online at <https://www.cityofberkeley.info/elections/>.

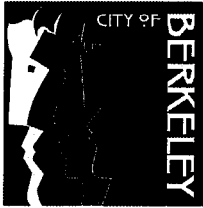
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Mary Ann Brewin, Treasurer
Berkeley Citizens Action Campaign
2244 Summer Street
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Mary Ann:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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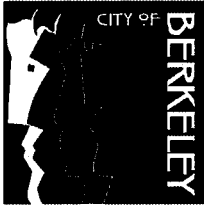
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Forrest Liu, Treasurer
Berkeley Community for Police Oversight Committee Supporting Police Commission
Oversight Charter Amendment
1505 Juanita Way
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Forrest:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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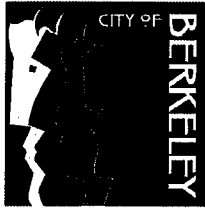
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Marcus Dinkins, Treasurer
Berkeley Police Association PAC
1834 University Avenue
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Marcus:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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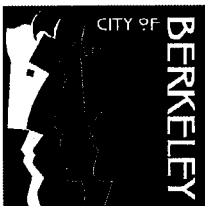
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

James Sutton, Treasurer
Berkeley Rental Housing Coalition Independent Expenditure Committee Sponsored by
Berkeley Property Owners Association
150 Post Street, Suite 405
San Francisco, CA 94108

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear James:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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As you know, Berkeley's campaign disclosure requirements under the Berkeley Election Reform Act (BERA) differ from state law. Filers should always consult the BERA regulations when completing the state forms to ensure full compliance with local law. Resource materials for BERA are located online at <https://www.cityofberkeley.info/elections/>.

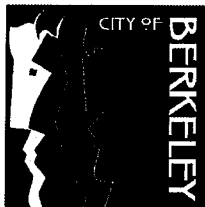
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

James Sutton, Treasurer
Berkeley Rental Housing Coalition Sponsored by Berkeley Property Owners Association
150 Post Street, Suite 405
San Francisco, CA 94108

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear James:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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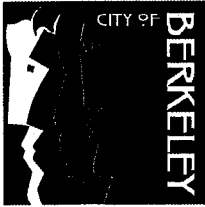
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Valerie Kratzer, Treasurer
Berkeley Schools Educational Excellence Act of 2016
1718 Jaynes Street
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Valerie:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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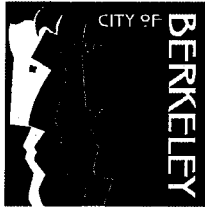
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

John Erickson, Treasurer
Cheryl Davila for Berkeley City Council 2016
1511 Edith Street
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear John:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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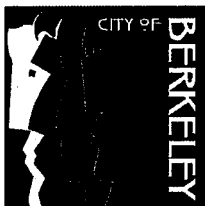
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Gene Poschman, Treasurer
Citizens for a Vibrant and Green Downtown
2753 Shasta Road
Berkeley, CA 94708

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Gene:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

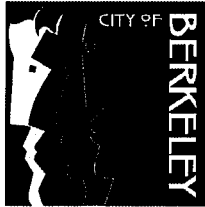
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Gordon Wozniak, Treasurer
Citizens for Vision 2050 - Yes on Measure R
141 Parkside Drive
Berkeley, CA 94705

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Gordon:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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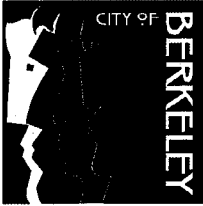
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Cary Davidson, Treasurer
Committee for Affordable Housing and Accountability - No on P, Sponsored by Realtors
515 S. Figueroa St., Ste 1110
Los Angeles, CA 90071

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Cary:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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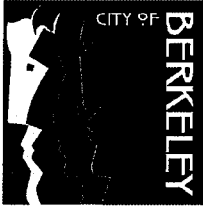
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Barbara Gilbert, Treasurer
Committee for FACTS
476 Vincente Ave.
Berkeley, CA 94707

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Barbara:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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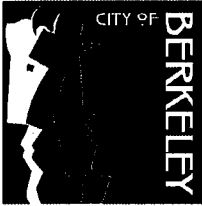
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

David Buchanan, Treasurer
David H. Buchanan for Rent Board Commissioner 2018
1930 Napa Avenue
Berkeley, CA 94707

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear David:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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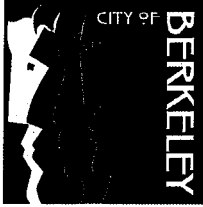
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Linda Perry, Treasurer
Droste for City Council 2018
1527 139th Avenue
San Leandro, CA 94578

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Linda:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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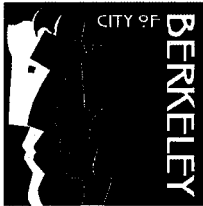
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Walter Pizzaro, Treasurer
Dru Howard for School Board 2018
55 Music Concourse Drive
San Francisco CA, 94118

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Walter:

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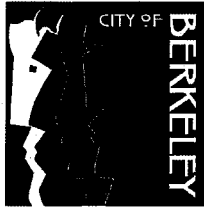
Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark Numainville".

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Yasmine Kahly, Treasurer
Igor Tregub for Berkeley City Council 2018
3592 Lowry Road
Fremont, CA 94555

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Yasmine:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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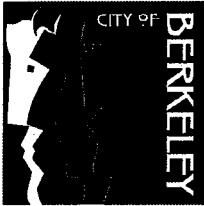
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Darrin Ho, Treasurer
James Chang for Berkeley Rent Board 2018
1833 Kirkham Street
San Francisco, CA 94122

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Darrin:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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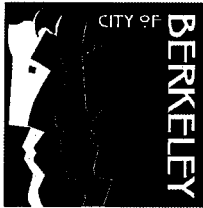
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Katharine Mountain, Treasurer
Jenny Wong for Auditor 2022
1436 Martin Luther King Jr. Way
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Katharine:

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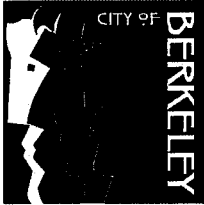
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Mary Jacobs, Treasurer
Julie Sinai for School Board 2018
1347 Carlotta Avenue
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Mary:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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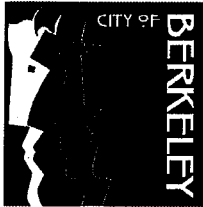
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Tammie Ridgell, Treasurer
Ka'dijah Brown for School Board 2018
4018 Coleman Circle
Richmond, CA 94806

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Tammie:

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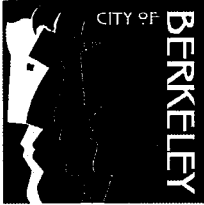
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Erin Diehm, Treasurer
Kate Harrison for City Council 2018
2316 Jefferson Ave
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Erin:

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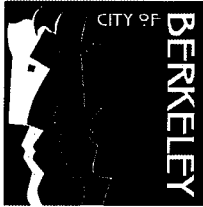
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Leah Simon-Weisberg, Treasurer
Leah Simon-Weisberg for Rent Board 2020
2003 Stuart Street
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Leah:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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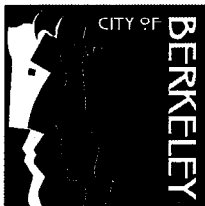
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Margaret Gonzalez, Treasurer
Leyva-Cutler for School Board 2016
2736 Wallace Street
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Margaret:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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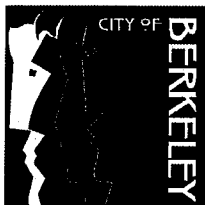
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Noah Sochet, Treasurer
Maria Poblet for Rent Board 2022
1009 Madison Street #5
Oakland, CA 94607

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Noah:

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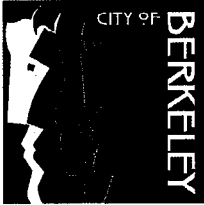
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Gene Poschman, Treasurer
No on R
2753 Shasta Road
Berkeley, CA 94708

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Gene:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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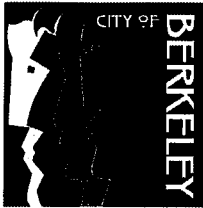
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Maricela Suarez, Treasurer
Paola Laverde for Rent Board 2018
1420 Spruce Street
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Maricela:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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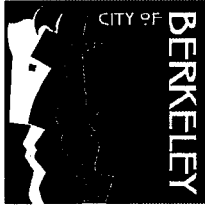
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Stacy Owens, Treasurer
Re-Elect Mayor Jesse Arreguin 2020
5940 College Avenue, Suite F
Oakland, CA 94618

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Stacy:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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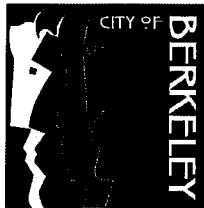
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Robin Candler, Treasurer
Re-Elect Ty Alper for School Board 2018
949 Shattuck Avenue
Berkeley, CA 94707

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Robin:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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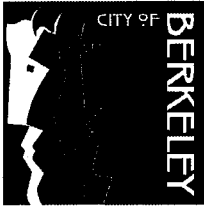
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Jonathan Weldon, Treasurer
Residents for Better Berkeley
1442A Walnut Street #503
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Jonathan:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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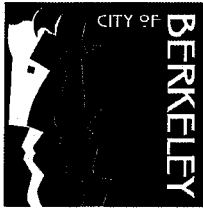
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Solomon Alpert, Treasurer
Soli Alpert for Rent Board, 2018
2120 Delaware Street #3
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Solomon:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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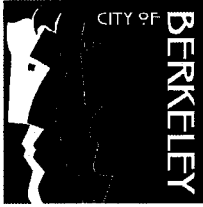
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Rita Copeland, Treasurer
Sophie Hahn Berkeley Council 2016- Officeholder Account
5429 Madison Ave
Sacramento, CA 95841

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Rita:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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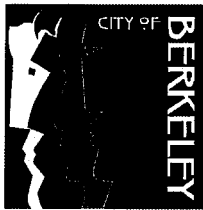
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Alejandro Soto-Vigil, Treasurer
Soto-Vigil for Council 2014
1534 Berkeley Way
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Alejandro:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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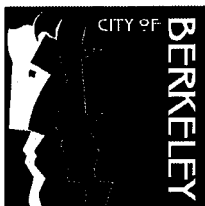
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Linda Perry, Treasurer
Stephen Murphy for Berkeley City Council 2020
1527 139th Avenue
San Leandro, CA 94578

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Linda:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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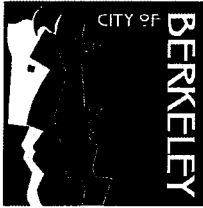
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Lisa Stephens, Treasurer
The Berkeley Referendum Coalition
2150 Channing Way #21
Berkeley, CA 94704

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Lisa:

This is a reminder that semi-annual campaign filing statements are due on **July 31, 2019** for the period of **January 1 through June 30, 2019**. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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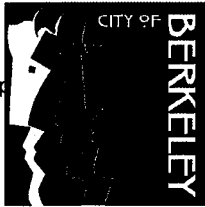
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Aidan Hill, Assistant Treasurer
Aidan Hill 4 Berkeley Council 2018
2420 Dwight Way Apt. #5
Berkeley, CA 94704

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Aidan:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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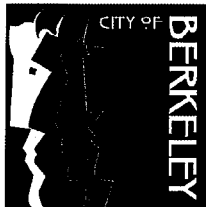
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Mary Behm-Steinberg, Assistant Treasurer
Behm-Steinberg for Council District 1 2018
1447 Kains Avenue
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Mary:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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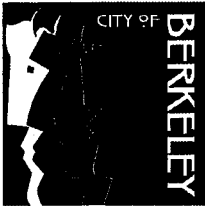
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Elliot Halpern, Assistant Treasurer
Berkeley Community for Police Oversight Committee Supporting Police Commission
Oversight Charter Amendment
1214 Milvia Street
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Elliot:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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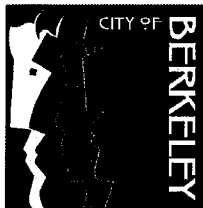
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Christopher Bonaventure, Assistant Treasurer
Berkeley Police Association PAC
1700 Shattuck Avenue #187
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Christopher:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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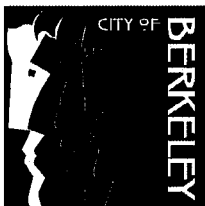
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Nicholas Sanders, Assistant Treasurer
Berkeley Rental Housing Coalition Independent Expenditure Committee Sponsored by
Berkeley Property Owners Association
150 Post Street, Suite 405
San Francisco, CA 94108

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Nicholas:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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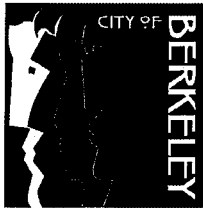
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Nicholas Sanders, Assistant Treasurer
Berkeley Rental Housing Coalition Sponsored by Berkeley Property Owners Association
150 Post Street, Suite 405
San Francisco, CA 94108

RE: July 31, 2019 Campaign Statement Filing Deadline

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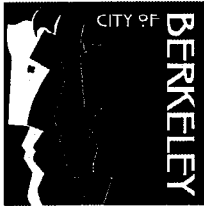
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Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Sara Shumer, Assistant Treasurer
Citizens for a Vibrant and Green Downtown
1610 Kains Avenue
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Sara:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

You may decide to terminate the committee if there are no surplus funds, no outstanding loans or unpaid bills (or there is inability to discharge any debt). If you terminate the committee with this semi-annual filing, you must also submit a Form 410. Please note that all Forms 410 must be filed in hard copy. Forms are available at the City Clerk Department or online at <http://www.fppc.ca.gov/forms.html>.

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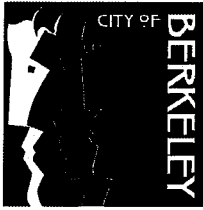
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Mark Coplan, Assistant Treasurer
Citizens for Vision 2050 - Yes on Measure R
2928 Wheeler Street
Berkeley, CA 94705

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Mark:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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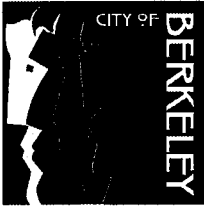
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Flora Yin, Assistant Treasurer
Committee for Affordable Housing and Accountability - No on P, Sponsored by Realtors
515 S. Figueroa St., Ste. 1110
Los Angeles, CA 90071

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Flora:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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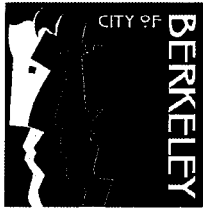
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Victoria Peirotos, Assistant Treasurer
Committee for FACTS
2641A College Avenue
Berkeley, CA 94704

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Victoria:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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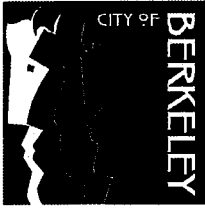
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Kerry Birnbach, Assistant Treasurer
Droste for City Council 2018
5208 Manila Avenue #5
Oakland, CA 94618

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Kerry:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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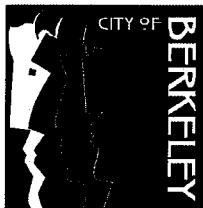
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Dru Howard, Assistant Treasurer
Dru Howard for School Board 2018
1370 University Avenue #411
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Dru:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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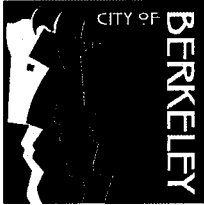
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Igor Tregub, Assistant Treasurer
Igor Tregub for Berkeley City Council 2018
1043 Virginia Street
Berkeley, CA 94710

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Igor:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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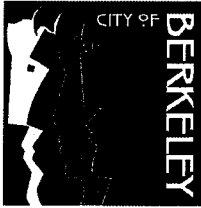
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Daniel Kopec, Assistant Treasurer
James Chang for Berkeley Rent Board 2018
2331 Carleton Street
Berkeley, CA 94704

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Daniel:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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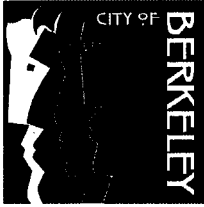
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Jennifer Wong, Assistant Treasurer
Jenny Wong for Auditor 2022
2026 9th Street
Berkeley, CA 94710

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Jennifer:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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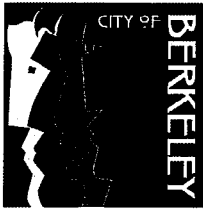
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Ka'Dijah Brown, Assistant Treasurer
Ka'dijah Brown for School Board 2018
1311 Channing Way
Berkeley, CA 94702

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Ka'Dijah:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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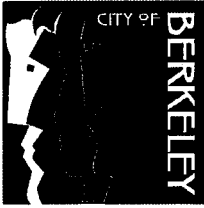
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

James Hendry, Assistant Treasurer
Kate Harrison for City Council 2018
2043 Lincoln Street
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear James:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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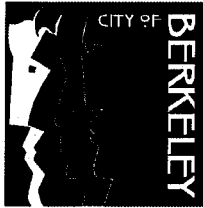
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Beatriz Leyva-Cutler, Assistant Treasurer
Leyva-Cutler for School Board 2016
1617 Eighth Street
Berkeley, CA 94710

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Beatriz:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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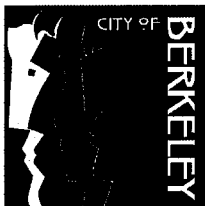
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Maria Poblet, Assistant Treasurer
Maria Poblet for Rent Board 2022
1706 9th Street
Berkeley, CA 94710

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Maria:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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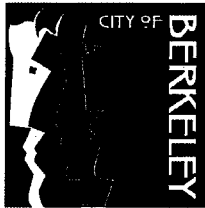
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Lisa Stephens, Assistant Treasurer
No on R
2150 Channing Way #21
Berkeley, CA 94704

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Lisa:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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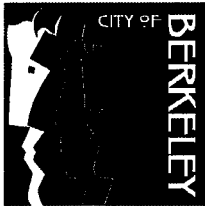
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Paola Laverde, Assistant Treasurer
Paola Laverde for Rent Board 2018
1533 Martin Luther King Jr. Way #4
Berkeley, CA 94709

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Paola:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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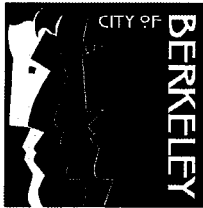
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Peter Sullivan, Assistant Treasurer
Re-Elect Mayor Jesse Arreguin 2020
5940 College Ave Suite F
Oakland, CA 94618

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Peter:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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As you know, Berkeley's campaign disclosure requirements under the Berkeley Election Reform Act (BERA) differ from state law. Filers should always consult the BERA regulations when completing the state forms to ensure full compliance with local law. Resource materials for BERA are located online at <https://www.cityofberkeley.info/elections/>.

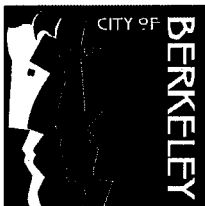
Failure to file this form by the July 31, 2019 filing deadline may result in fines and penalties as required under the state Political Reform Act and the Berkeley Election Reform Act.

Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Ty Alper, Assistant Treasurer
Re-Elect Ty Alper for School Board 2018
1712 Jaynes Street
Berkeley, CA 94703

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Ty:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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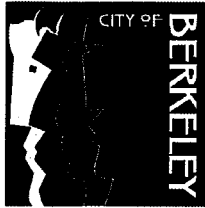
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Chelsea Johnson, Assistant Treasurer
Residents for Better Berkeley
2200-B Douglas Boulevard, Suite 140
Roseville, CA 95661

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Chelsea:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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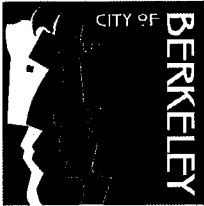
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Denise Lewis, Assistant Treasurer
Sophie Hahn Berkeley Council 2016- Officeholder Account
5429 Madison Avenue
Sacramento, CA 95481

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Denise:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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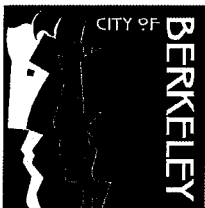
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Please contact my office at 981-6908 or elections@cityofberkeley.info with any questions.

Sincerely,

Mark Numainville
City Clerk

cc: Fair Campaign Practices Commission



City Clerk Department

July 9, 2019

Stefan Elgstrand, Assistant Treasurer
The Berkeley Referendum Coalition
2270 Shattuck Ave., Apt A
Berkeley, CA 94704

RE: July 31, 2019 Campaign Statement Filing Deadline

Dear Stefan:

This is a reminder that semi-annual campaign filing statements are due no later than **July 31, 2019** for the period of **January 1 through June 30, 2019**. A reminder letter has been sent to the treasurer of the committee. You may wish to inquire with the treasurer to ensure that the semi-annual report will be filed on time. The City of Berkeley now requires most campaign statements to be filed electronically. If you have not set up your NetFile account, completed your Signature Verification Card, and taken the one-on-one training please contact the City Clerk Department as soon as possible.

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Sincerely,

Mark Numainville
City Clerk

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