



Fair Campaign Practices Commission

AGENDA FOR FAIR CAMPAIGN PRACTICES COMMISSION

This meeting is being held in a wheelchair accessible location. To request a disability-related accommodation(s) to participate in the meeting, including auxiliary aids or services, please contact the Disability Services specialist at 981-6418 (V) or 981-6347 (TDD) at least three business days before the meeting date. Please refrain from wearing scented products to this meeting.

Civic Center
2180 Milvia St.
Cypress Room (1st Floor)

Regular Meeting
May 16, 2019
7:00 p.m.

Secretary: Emmanuelle Soichet, Deputy City Attorney

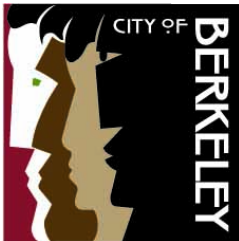
The Commission may act on any item on this agenda

1. Call to Order 7:00 p.m.
2. Roll Call.
3. Public Comment. *Comments on subjects not on the agenda that are within the Commission's purview are heard at the beginning of meeting. Speakers may comment on agenda items when the Commission hears those items.*
4. Reports.
 - a. Report from Chair.
 - b. Report from Staff.
5. Approval of minutes for the April 18, 2019 regular meeting.
6. Amendments to the Berkeley Election Reform Act related to Public Financing and General Amendments to the FCPC Regulations; discussion and possible action.
7. Referral from the City Clerk's Office regarding potential violation of the Berkeley Election Reform Act by *Greg Magofna for Berkeley City Council 2018*; discussion and possible action.
8. Complaint of alleged violation of the Berkeley Election Reform Act by *Harrison for City Council 2018* submitted by L. Menard; discussion and possible action.
9. Proposed amendment to Berkeley Election Reform Act related to limiting the number of candidates to whom a donor may contribute; discussion and possible action.
10. Proposed amendment to Berkeley Election Reform Act related to Officeholder Accounts; discussion and possible action.
11. Proposal for Commission to address issues regarding Discretionary Council Office Budgets; discussion and possible action.
12. Adjournment.

Communications

- None

Communications to Berkeley boards, commissions or committees are public record and will become part of the City's electronic records, which are accessible through the City's website. **Please note: e-mail addresses, names, addresses, and other contact information are not required, but if included in any communication to a City board, commission or committee, will become part of the public record.** If you do not want your e-mail address or any other contact information to be made public, you may deliver communications via U.S. Postal Service or in person to the secretary of the relevant board, commission or committee. If you do not want your contact information included in the public record, please do not include that information in your communication. Please contact the secretary to the relevant board, commission or committee for further information. **SB 343 Disclaimer:** Any writings or documents provided to a majority of the Commission regarding any item on this agenda will be made available for public inspection at the City Attorney's Office at 2180 Milvia St., 4th Fl., Berkeley, CA.



Fair Campaign Practices Commission

DRAFT MINUTES

Civic Center
2180 Milvia Street
Cypress Room (1st Floor)

Regular Meeting
April 18, 2019

Members Present: Dean Metzger (Chair), Jessica Blome, Greg Harper, Matthew Napoli, Patrick O'Donnell, Daniel Saver, Brad Smith, Brian Tsui

Members Absent: Mark McLean (excused)

Also Present: Emmanuelle Soichet, Staff Secretary/Deputy City Attorney
Mark Numainville, City Clerk
Mariam Morley, Counsel, Renne Public Law Group

1. **Call to Order**

Chair called the meeting to order at 7:00 p.m.

2. **Roll Call**

Roll call taken.

3. **Public Comment (items not on agenda)**

Two speakers for public comment on matters not on agenda.

4. **Reports**

- a. Report from Chair.
- b. Report from Staff.

Staff notified Commissions of number of pending complaints.

– *Commissioner Harper arrived at 7:08 p.m.* –

5. **Approval of Minutes for the March 21, 2019 Regular Meeting**

- a. Public comment: no speakers.
- b. Commission discussion and action.

Motion to approve minutes with correction made by Commissioner Smith (M/S/C: O'Donnell/Blome; Ayes: Blome, Harper, Metzger, Napoli, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McClean (excused)).

6. **Information report from City Clerk – Review of Public Financing Program in the 2018 Election Cycle**
- a. Public comment: 4 speakers.
 - b. Commission discussion and action.

No action.

7. **Proposed amendments to the Berkeley Election Reform Act (BERA) and FCPC regulations for the 2020 election cycle; discussion and possible action**
- a. Public comment: 7 speakers.
 - b. Commission discussion and action.

Motion to accept and distribute supplemental materials received (M/S/C: Blome/Saver; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McLean (excused)).

————— *Meeting temporarily adjourned at 8:02 p.m., reconvened at 8:34 p.m.* —————

Motion to adopt changes to BERA proposed by staff with changes approved by commissioners (M/S/C: Smith/Saver; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McLean (excused)).

8. **Request to reconsider June 21, 2018 dismissal of alleged violations of the Berkeley Reform Act by Jesse Arrequin, Arrequin for Mayor 2016, and Berkeley Working Families supporting Arrequin and Worthington for Mayor, Moore and Bartlett for City Council, Tregub, Soto-Vigil, Murphy, and Simon-Weisberg for Rent Board 2016 Submitted by Z. Running Wolf and Request to Reconsider August 16, 2018 Approval of the Stipulation Resolving In the Matter of Arrequin for Mayor 2016; discussion and possible action.**
- a. Public comment: One speaker.
 - b. Commission discussion and action.

Commissioner Metzger recused himself from discussion and action on this item.

Motion to deny both requests for reconsideration on the grounds identified in the staff report. (M/S/C: O'Donnell/Saver; Ayes: Blome, Napoli, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: Harper; Absent: McLean (excused), Metzger (recused)).

9. **Referral from the City Clerk's Office regarding potential violation of the Berkeley Election Reform Act by Lacey for Council 2018; discussion and possible action.**
- a. Public comment: One speaker.
 - b. Commission discussion and action.

Motion to dismiss the complaint and take no further action (M/S/C: Smith/Tsui; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McLean (excused)).

10. **Staff report and draft negotiated stipulation with Droste for City Council 2018; discussion and possible action.**

- a. Public comment: One speaker.
- b. Commission discussion and action.

Motion to approve stipulation (M/S/C: O'Donnell/Tsui; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Tsui; Noes: Smith; Abstain: None; Absent: McLean (excused)).

11. **Referral from the City Clerk's Office regarding potential violation of the Berkeley Election Reform Act by Greg Magofna for Berkeley City Council 2018; discussion and possible action.**

- a. Public comment: No speakers.
- b. Commission discussion and action.

Motion to continue complaint to next meeting at respondent's request (M/S/C: Blome/Saver; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McLean (excused)).

12. **Commission 2019 work plan; discussion and possible action.**

- a. Public comment: No speakers.
- b. Commission discussion and action.

Motion to approve proposed work plan with addition of addressing officeholder accounts (M/S/C: Blome/Smith; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McLean (excused)).

13. **Adjournment**

(M/S/C: O'Donnell/Saver; Ayes: Blome, Harper, Napoli, Metzger, O'Donnell, Saver, Smith, Tsui; Noes: None; Abstain: None; Absent: McLean (excused)).

The meeting adjourned at 9:29 p.m.



City Clerk Department

May 9, 2019

To: Fair Campaign Practices Commission

From: Mark Numainville, City Clerk

Subject: Amendments to the Berkeley Election Reform Act Related to Public Financing and General Amendments to the FCPC Regulations

Pursuant to the action taken by the FCPC on April 18, 2019, the City Clerk is presenting the attached amendments to the Berkeley Election Reform Act to improve the public financing program.

In addition, three amendments to the FCPC Regulations are attached for the Commission's approval.

The BERA amendments are incorporated into the attached draft commission report to the City Council. The FCPC may adopt amendments and the text of the report in one motion.

Attachments:

- 1: Amendments to FCPC Regulations
- 2: Commission Report to the City Council with BERA Amendments

1. Add Regulation for 2.12.555 to define “substantial violation”*Regulation R2.12.555*

A violation is deemed to be substantial if the seriousness of the offense is severe and public harm is significant. Severity and significance will be determined by assessing the following factors:

1. the dollar amount of the unreported or misreported violations;
2. the presence or lack of intent to deceive the voting public;
3. whether the violation appears deliberate, negligent, or inadvertent;
4. whether the Respondent demonstrated good faith in consulting with Commission staff during any investigation or made good faith efforts to correct any deficiencies, violations, or errors;
5. whether the violation was isolated or was part of a pattern of violations of this chapter by the candidate, either within the same election cycle or in past election cycles;
6. the effect of the violation upon the election or upon the administration of the Fair Elections Act.

2. Amend Filing Requirement for Late Contribution Reports*R2.12.295 Late Contributions*

(a) A late contribution means any contribution as defined in BMC Section 2.12.145 and received before the election at which the candidate or measure for which the contribution is intended is to be voted on.

(b) For the purposes of this section, if the deadline to file the late contribution report falls on a Saturday, Sunday or holiday, except for the final Saturday and Sunday before Election Day, a report received by the City Clerk no later than the next business day shall be deemed timely filed.

3. Amend Redesignation of Campaign Funds*R2.12.250 Redesignation of Campaign Funds for Future Elections*(a) Defeated Candidates, Individuals Leaving Office

A defeated candidate or individual leaving office and planning to redesignate campaign funds for a future election shall do so before such funds become surplus. Funds will become surplus ~~at the end of the post-election reporting period following the defeat of a candidate for elective office, or upon an individual leaving office, upon the 90th day after leaving an elective office, or the 90th day following the end of the postelection reporting period following the defeat of a candidate for elective office,~~ whichever occurs last. (Govt. Code Section 89519[a])

(b) Redesignation to the Same Elective Office

The treasurer shall certify that to his or her knowledge there remains no further outstanding indebtedness in connection with the campaign. A defeated candidate or individual leaving office shall redesignate campaign funds for a future election to the same elective office by filing an amended State Form No. 410 (Statement of Organization) to include the new election year, and an initial State Form No. 501 (Candidate Intention). The defeated candidate or individual leaving office shall also file a statement with the Commission that campaign account funds have been redesignated.

(c) Redesignation to a Different Elective Office

The treasurer shall certify that to his or her knowledge there remains no further outstanding indebtedness in connection with the campaign. A defeated candidate or individual leaving office shall redesignate campaign funds for a future election to a different elective office by closing the existing campaign bank account and opening a new campaign bank account for the new elective office, and shall file initial State Forms No. 410 and 501.



Fair Campaign Practices Commission

Attachment 2

PUBLIC HEARING

[Meeting Date (Month Day, yyyy)]

To: Honorable Mayor and Members of the City Council
From: Dean Metzger, Chair, Fair Campaign Practices Commission
Submitted by: Emma Soichet, Secretary, Fair Campaign Practices Commission
Subject: Amendments to the Berkeley Election Reform Act

RECOMMENDATION

Conduct a public hearing and upon conclusion, adopt an ordinance amending the Berkeley Elections Reform Act, BMC Chapter 2.12, regarding the public financing program.

FISCAL IMPACTS OF RECOMMENDATION

None.

CURRENT SITUATION AND ITS EFFECTS

The proposed amendments to the Berkeley Election Reform Act (BERA) were adopted by the Fair Campaign Practices Commission (FCPC) at its regular meeting of May 16, 2019.

Action: M/S/C (/) to adopt the proposed amendments to BERA related to public financing.

Vote:

Pursuant to Berkeley Municipal Code Section 2.12.051, the BERA may be amended by the “double green light” process. This process requires that the FCPC adopt the amendments by a two-thirds vote and the City Council hold a public hearing and adopt the amendments by a two-thirds vote.

BACKGROUND

In the November 2018 election cycle the public financing program was used for the first time. The lessons learned from the initial implementation of the public financing program and other campaign finance issues have surfaced the need for amendments to BERA. City staff consulted with representatives from MapLight, the original sponsors of the Fair Elections Act of 2016, regarding the types of changes staff identified. In these discussions, many of the amendments identified by staff were similar to the issues raised by MapLight and campaign committees that participated in public financing in 2018. The proposed amendments are listed and explained below.

1. Violations prior to statement of participation

In the 2018 election, multiple candidates experienced difficulty with starting up their committee and opening their checking account. The \$50 limit resulted in several inadvertent minor violations of the public financing regulations and actually resulted in one candidate being barred from participation in the program. Many candidates start by opening a checking account first, and some banks require a minimum initial deposit of \$100.

The proposed amendment allows a candidate to contribute up to \$250 to their own committee, but makes these contributions ineligible for matching funds. This amendment strikes a balance between allowing higher contributions from candidates, but maintains the purposes of the Fair Elections Act. The FCPC noted that candidate contributions to their own committee do not demonstrate community support of their candidacy in the same manner as a contribution from a Berkeley resident.

Proposed Remedy: Amend BERA 2.12.500.A.6.

A. To be eligible to be certified as a participating candidate, a candidate must:

6) Not make expenditures from or use his or her personal funds or funds jointly held with his or her spouse, domestic partner, or unemancipated children in connection with his or her election except as a qualified monetary or non-monetary contribution to his or her controlled committee of \$250 or less. Contributions from a participating candidate to his or her own controlled committee are not eligible for matching funds. ~~A Participating Candidate may make non-monetary contributions to his or her controlled committee. However, the aggregate amount of a Participating Candidate's monetary and non-monetary contributions to his or her controlled committee must not be greater than fifty dollars (\$50);~~

2. Remove requirement for FCPC to approve matching funds – administrative process and approval only.

This change furthers the purposes of the public financing program by reducing the timeline from submission to payment from 17 days to 7 days.

No payments were denied by the commission in the 2018 election cycle. The review and verification of the hundreds of individual contributions that are eligible for matching funds is a time-consuming administrative process that is infeasible for the commission to perform. Faster distribution of matching funds will benefit participating candidates and this change will also eliminate the

occurrence of delayed payments due cancelled meetings, as was the case in November 2018 during the wildfires.

City staff will process matching requests and distribute the funds according to the distribution schedule adopted by the FCPC and continue to provide the commission with detailed summaries of all public financing requests and itemized reports of the matching funds submissions and their status. The Commission would maintain its authority to approve candidates' initial applications to participate in the public financing program.

Proposed Remedy: Amend Section 2.12.505, Paragraphs D, E, and G.

D. The ~~Commission-City~~ shall verify that a candidate's qualified contributions meet all of the requirements and restrictions of this Act prior to the disbursement of Fair Elections funds to the candidate. A participating candidate who receives a qualified contribution that is not from the person listed on the qualified contribution receipt shall be liable to pay the Fair Elections Fund the entire amount of the inaccurately identified contribution, in addition to any penalties.

E. The ~~Commission-City~~ shall make an initial payment of Fair Elections funds within seven business days of the Commission's certification of a participating candidate's eligibility, or as soon thereafter as is practicable.

G. The ~~Commission-City~~ shall provide each participating candidate with a written determination specifying the basis for any non-payment of Fair Elections funds. The Commission shall provide participating candidates with a process by which they may immediately upon receipt of such determination petition the Commission for reconsideration of any such non-payment and such reconsideration shall occur within seven business days of the filing of such petition. In the event that the Commission denies such petition then it shall immediately notify the candidate of his or her right to seek judicial review of the Commission's denial pursuant to Section 2.12.235.

3. Change 2.12.530.B.2 to clarify the prohibited uses of public matching funds.

The changes to this section arise, in part, from a difficult question from a candidate regarding a mailer that they planned to send that included voting recommendations on other candidates and measures. The proposed "direct personal benefit" language is from Fair Political Practices Commission State Manual 2, and the reference to BERA's definition of an independent expenditure is for additional clarification.

Proposed Remedy: Amend section 2.12.530 (Use of Fair Elections funds).

- A. A participating candidate shall use Fair Elections funds and contributions only for direct campaign purposes.
- B. A participating candidate shall not use Fair Elections funds or contributions for:
 - 1) Costs of legal defense in any campaign law enforcement proceeding under this Act, or penalties arising from violations of any local, state, or federal campaign laws;
 - 2) The candidate's personal support or compensation to the candidate or the candidate's family;
 - 3) Indirect campaign purposes, including but not limited to:
 - ~~a) The candidate's personal support or compensation to the candidate or the candidate's family;~~
 - ba) Any expense that provides a direct personal benefit to the candidate, including clothing and other items related to the candidate's personal appearance;
 - eb) Capital assets having a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period determined in accordance with generally accepted accounting principles;
 - ec) A contribution or loan to the campaign committee of another candidate or to a party committee or other political committee;
 - ed) An independent expenditure as defined in Berkeley Municipal Code Section 2.12.142 as may be amended;
 - fe) Any payment or transfer for which compensating value is not received;
- C. The term "Contribution" is defined in 2.12.100 and includes "Qualified Contributions" as defined in 2.12.167 and contributions from non-residents of Berkeley as described in 2.12.500.A.7.

4. Timing of requests submitted, especially at qualifying period deadline.

When a candidate participates in the public financing program, a sentence is added to his or her candidate statement (published in ballot materials) indicating this participation. In 2018, a candidate submitted an application for participation by the qualification deadline and thus had the sentence stating her participation included with their candidate statement. However, she subsequently decided not to participate in program and did not submit an initial qualifying request. By the time the City was aware that the candidate would not participate, it was too late to remove the participation sentence from their candidate statement. This amendment will require the candidate to submit their qualifying request before the end of qualifying period.

Proposed Remedy: Amend BERA 2.12.500.A.3

3) Before the close of the qualifying period, collect [and submit](#) at least 30 qualified contributions, from at least 30 unique contributors, of at least ten dollars (\$10), for a total dollar amount of at least five-hundred dollars (\$500).

5. Amendment to prohibit all loans.

This change is needed for consistency and clarity. The definition of a Qualified Contribution excludes loans, however, no similar restriction is made on a contribution from a non-resident. Loans are inconsistent with public financing programs since the matching funds and contributions are not accounted for separately by participating committees.

Proposed Remedy: Add BERA 2.12.500.A.9

[9\) Not accept loans from any source.](#)

6. Discretion for FCPC on Disqualifying Violations

Related to the issues that were raised regarding startup problems and inadvertent, minor disqualifying actions by campaign committees, it is warranted to grant the FCPC some discretion in enforcement of certain Public Financing requirements.

Proposed Remedy: Add Paragraph (10) to Section 2.12.500 (Eligibility for Fair Elections campaign funding)

[\(10\) The Commission has the authority to approve a candidate's application for public financing, despite a violation by the candidate related to participation and qualification in the public financing program, if the violation is minor in scope and the candidate demonstrates a timely, good-faith effort to remedy the violation. The Commission may adopt regulations setting forth guidelines for what constitutes a minor violation under this provision.](#)

ENVIRONMENTAL SUSTAINABILITY

There are no identifiable environmental effects related to the recommendation in this report.

RATIONALE FOR RECOMMENDATION

The proposed amendments to BERA will provide expanded access to the program, provide clarifying language for several passages, and allow for some additional discretion regarding minor violations to assist non-professional treasurers.

ALTERNATIVE ACTIONS CONSIDERED

None.

CITY MANAGER

The City Manager concurs with the recommendation in this report.

CONTACT PERSON

Dean Metzger, Chair, Fair Campaign Practices Commission, 981-6998

Emma Soichet, Commission Secretary, Fair Campaign Practices Commission, 981-6998

Attachments:

1: Ordinance

ORDINANCE NO. -N.S.

AMENDMENTS TO THE BERKELEY ELECTION REFORM ACT RELATED TO
PUBLIC FINANCING FOR CAMPAIGNS

BE IT ORDAINED by the Council of the City of Berkeley as follows:

Section 1. That Berkeley Municipal Code Section 2.12.500 is amended to read as follows:

2.12.500 Eligibility for Fair Elections campaign funding.

A. To be eligible to be certified as a participating candidate, a candidate must:

1) During the qualifying period for the election involved, choose to participate in the Fair Elections program by filing with the Commission a written application for certification as a participating candidate in such form as may be prescribed by the Commission, containing the identity of the candidate, the office that the candidate seeks, and the candidate's signature, under penalty of perjury, certifying that:

a) The candidate has complied with the restrictions of this chapter during the election cycle to date;

b) The candidate's campaign committee has filed all campaign finance reports required by law during the election cycle to date and that they are complete and accurate; and

c) The candidate will comply with the requirements of this Act during the remainder of the election cycle and, specifically, if certified an eligible participating candidate, will comply with the requirements applicable to participating candidates.

2) Meet all requirements to be eligible to hold the office of Mayor or Councilmember as set forth in Sections 9 and 10 of Article V of the Charter of the City of Berkeley;

3) Before the close of the qualifying period, collect and submit at least 30 qualified contributions, from at least 30 unique contributors, of at least ten dollars (\$10), for a total dollar amount of at least five-hundred dollars (\$500).

a) Each qualified contribution shall be acknowledged by a receipt to the contributor, with a copy retained by the candidate. The receipt shall include the contributor's signature, printed name, home address, and telephone number, if any, and the name of the candidate on whose behalf the contribution is made. In addition, the receipt shall indicate by the contributor's signature that the contributor understands that the purpose of the qualified contribution is to help the candidate qualify for Fair Elections campaign funding and that the contribution is made without coercion or reimbursement.

b) A contribution for which a candidate has not obtained a signed and fully completed receipt shall not be counted as a qualified contribution.

4) Maintain such records of receipts and expenditures as required by the Commission;

5) Obtain and furnish to the Commission any information it may request relating to his or her campaign expenditures or contributions and furnish such documentation and other proof of compliance with this chapter as may be requested by such Commission;

6) Not make expenditures from or use his or her personal funds or funds jointly held with his or her spouse, domestic partner, or unemancipated children in connection with his or her election except as a qualified monetary or non-monetary contribution to his or her controlled committee of \$250 or less. Contributions from a participating candidate to his or her own controlled committee are not eligible for matching funds.~~A Participating Candidate may make non-monetary contributions to his or her controlled committee. However, the aggregate amount of a Participating Candidate's monetary and non-monetary contributions to his or her controlled committee must not be greater than fifty dollars (\$50);~~

7) Not accept contributions in connection with the election for which Fair Elections funds are sought other than qualified contributions, contributions not greater than fifty dollars (\$50) made by a natural person non-resident of Berkeley, or non-monetary contributions with a fair market value not greater than fifty dollars (\$50). The aggregate value of all contributions from any individual must not be greater than fifty dollars (\$50);

8) Not solicit or direct contributions in connection with any election during the election cycle in which Fair Elections funds are sought other than qualified contributions, contributions not greater than fifty dollars (\$50) made by a natural person non-resident of Berkeley, or non-monetary contributions with fair market value not greater than fifty dollars (\$50) to such candidate's controlled committee.

9) Not accept loans from any source.

(10) The Commission has the authority to approve a candidate's application for public financing, despite a violation by the candidate related to participation and qualification in the public financing program, if the violation is minor in scope and the candidate demonstrates a timely, good-faith effort to remedy the violation. The Commission may adopt regulations setting forth guidelines for what constitutes a minor violation under this provision.

Section 2. That Berkeley Municipal Code Section 2.12.505 is amended to read as follows:

2.12.505 Fair Elections fund payments.

A. A candidate who is certified as an eligible participating candidate shall receive payment of Fair Elections funds equal to six-hundred percent (600 percent) of the

amount of qualified contributions received by the candidate during the election cycle with respect to a single election subject to the aggregate limit on the total amount of Fair Elections funds payments to a candidate specified in Section 2.12.505.B.

B. The aggregate amount of Fair Elections funds payments that may be made to a participating candidate during an election cycle may not exceed:

- 1) \$120,000 for a candidate running for the office of Mayor;
- 2) \$40,000 for a candidate running for the office of City Council.

C. A participating candidate's application for Fair Elections funds, including an initial request submitted with an application for certification as a participating candidate, shall be made using a form prescribed by the Commission and shall be accompanied by qualified contribution receipts and any other information the Commission deems necessary. This application shall be accompanied by a signed statement from the candidate indicating that all information on the qualified contribution receipts is complete and accurate to the best of the candidate's knowledge.

1) All Qualified Contributions, of any dollar amount, eligible for matching Fair Elections funds must be publically disclosed with the contributor information required under Sections 2.12.280 and 2.12.283.

2) All campaign filings must be current in order for a Participating Candidate to receive a disbursement of Fair Elections funds and the Participating Candidate and a Participating Candidate's controlled committee must not have any outstanding fines related to campaign filings or violations of municipal, state or federal election law. All applications for Fair Elections funds shall include a certification by the Participating Candidate that the Participating Candidate or his or her controlled committee does not have any outstanding fines or penalties related to campaign filings. Upon submission of outstanding campaign filings and payment of any outstanding fines, withheld Fair Elections funds will be disbursed at the next regularly scheduled distribution for that election cycle.

D. The Commission-City shall verify that a candidate's qualified contributions meet all of the requirements and restrictions of this Act prior to the disbursement of Fair Elections funds to the candidate. A participating candidate who receives a qualified contribution that is not from the person listed on the qualified contribution receipt shall be liable to pay the Fair Elections Fund the entire amount of the inaccurately identified contribution, in addition to any penalties.

E. The Commission-City shall make an initial payment of Fair Elections funds within seven business days of the Commission's certification of a participating candidate's eligibility, or as soon thereafter as is practicable.

F. The Commission shall establish a schedule for the submission of Fair Elections funds payment requests, permitting a candidate to submit a Fair Elections funds payment request at least once per month. However, the Commission shall schedule a minimum of three payment request submission dates within the thirty days prior to an election.

G. The ~~Commission-City~~ shall provide each participating candidate with a written determination specifying the basis for any non-payment of Fair Elections funds. The Commission shall provide participating candidates with a process by which they may immediately upon receipt of such determination petition the Commission for reconsideration of any such non-payment and such reconsideration shall occur within seven business days of the filing of such petition. In the event that the Commission denies such petition then it shall immediately notify the candidate of his or her right to seek judicial review of the Commission's denial pursuant to Section 2.12.235.

H. Unspent funds of any Participating Candidate who does not remain a candidate until the election for which they were distributed, or such funds that remain unspent by a Participating Candidate following the date of the election for which they were distributed shall be deposited into the Fair Elections Fund. A Participating Candidate shall deposit all unspent funds into the Fair Elections Fund, up to the total amount of funds that the Participating Candidate received as Fair Elections Fund distributions in that election cycle, within sixty (60) days after the date of the election.

Section 3. That Berkeley Municipal Code Section 2.12.530 is amended to read as follows:

2.12.530 Use of Fair Elections funds.

- A. A participating candidate shall use Fair Elections funds and contributions only for direct campaign purposes.
- B. A participating candidate shall not use Fair Elections funds or contributions for:
 - 1) Costs of legal defense in any campaign law enforcement proceeding under this Act, or penalties arising from violations of any local, state, or federal campaign laws;
 - 2) The candidate's personal support or compensation to the candidate or the candidate's family;
 - 3) Indirect campaign purposes, including but not limited to:
 - a) ~~The candidate's personal support or compensation to the candidate or the candidate's family;~~
 - ba) Any expense that provides a direct personal benefit to the candidate, including ~~C~~lothing and other items related to the candidate's personal appearance;
 - eb) Capital assets having a value in excess of five hundred dollars (\$500) and useful life extending beyond the end of the current election period determined in accordance with generally accepted accounting principles;
 - ec) A contribution or loan to the campaign committee of another candidate or to a party committee or other political committee;

ed) An independent expenditure as defined in Berkeley Municipal Code Section 2.12.142 as may be amended;

fe) Any payment or transfer for which compensating value is not received;

- C. The term "Contribution" is defined in 2.12.100 and includes "Qualified Contributions" as defined in 2.12.167 and contributions from non-residents of Berkeley as described in 2.12.500.A.7.

Section 4. Copies of this Ordinance shall be posted for two days prior to adoption in the display case located near the walkway in front of the Maudelle Shirek Building, 2134 Martin Luther King Jr. Way. Within 15 days of adoption, copies of this Ordinance shall be filed at each branch of the Berkeley Public Library and the title shall be published in a newspaper of general circulation.

**NOTICE OF PUBLIC HEARING
BERKELEY CITY COUNCIL**

AMENDMENTS TO THE BERKELEY ELECTION REFORM ACT

The Fair Campaign Practices Commission is proposing amendments to the Berkeley Election Reform Act related to the public financing program for campaigns.

The hearing will be held on, [date of hearing] at [6:00 p.m.] in the School District Board Room, 1231 Addison Street.

A copy of the agenda material for this hearing will be available on the City’s website at www.CityofBerkeley.info as of [date of agenda posting].

For further information, please contact Emma Soichet, Commission Secretary at 981-6998.

Written comments should be mailed or delivered directly to the City Clerk, 2180 Milvia Street, Berkeley, CA 94704, in order to ensure delivery to all Councilmembers and inclusion in the agenda packet.

Communications to the Berkeley City Council are public record and will become part of the City’s electronic records, which are accessible through the City’s website. **Please note: e-mail addresses, names, addresses, and other contact information are not required, but if included in any communication to the City Council, will become part of the public record.** If you do not want your e-mail address or any other contact information to be made public, you may deliver communications via U.S. Postal Service or in person to the City Clerk. If you do not want your contact information included in the public record, please do not include that information in your communication. Please contact the City Clerk at 981-6900 or clerk@cityofberkeley.info for further information.

Published: [Publication Date in Newspaper]
Indicate BMC or other code requirement that sets forth noticing requirements

~~~~~  
I hereby certify that the Notice for this Public Hearing of the Berkeley City Council was posted at the display case located near the walkway in front of the Maudelle Shirek Building, 2134 Martin Luther King Jr. Way, as well as on the City’s website, on [Enter Date].

\_\_\_\_\_  
Mark Numainville, City Clerk



Fair Campaign Practices Commission

**DATE:** April 11, 2019

**TO:** FAIR CAMPAIGN PRACTICES COMMISSION

**FROM:** EMMANUELLE SOICHET, Commission Secretary

**SUBJECT:** Staff Report Regarding Potential Violation of the Berkeley Election Reform Act by Greg Magofna for Berkeley City Council 2018

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This referral from the City Clerk's office relates to a candidate's potential misuse of public-financing program funds for the purchase of a \$150 ticket to a Democratic Party fundraiser.

The item was included on the agenda for the Commission's November 15, 2018, meeting, which was subsequently cancelled. This is the first time the Commission will discuss and potentially take action on the referral. It is also the first time the Commission handles a matter dealing with improper use of public-financing funds.

For the reasons below, staff recommends the Commission find probable cause of a violation and request staff enter into a stipulation with the candidate that requires him to repay the \$150 ticket fee to the City's Fair Elections Fund.

**I. Background**

Greg Magofna was a candidate for City Council in 2018 who participated in the City's public-financing program, the Fair Elections Act. On November 5, 2018, the candidate's committee, Greg Magofna for Berkeley City Council 2018, filed an amended Form 460 for the period of Sept. 23 to Oct. 20, 2018. (**See Attachment 1.**) The amended Form 460 listed a \$150 payment to ActBlue that was described as "Admission fee for Democratic fundraiser." (**Attachment 1**, page 9.)

Later that day, the candidate contacted the City Clerk's office regarding the expenditure. (**Attachment 2.**) Anticipating that the payment was the subject of a forthcoming complaint against him, Mr. Magofna explained that the payment was for "a ticket to the Alameda County Democratic Unity Dinner." (*Id.*) Mr. Magofna indicated that he did not know that the payment constituted a violation of the Berkeley Elections Reform Act (BERA) but that, if it did constitute a violation, it was "completely by mistake" and was

not committed knowingly. (*Id.*) He stated he wanted to rectify any violation immediately and asked how to proceed. (*Id.*)

On November 6, 2018, the City Clerk identified the expenditure as a contribution potentially in violation of Berkeley Municipal Code (BMC) section 2.12.530(B)(2)(d), which prohibits public-financing candidates from using Fair Elections funds for contributions to party committees. (**See Attachment 3.**) The Clerk referred the matter to the Commission.

The specific dinner in question was the Alameda County Democratic Party's 48th Annual Unity Dinner, which took place on September 29, 2018. (**See Attachments 4 & 5.**) The event's website and social media postings advertise the annual dinner as "the premier fundraising event for the Alameda County Democratic Party." (*Id.*) The webpage through which attendees purchased tickets stated that attendees' "Individual Ticket or Sponsorship will benefit the Alameda County Democratic Central Committee Federal Account: FEC # C00375279." (**Attachment 6.**) Similarly, the receipt that Mr. Magofna received after purchasing the ticket (and which he later provided to staff) stated "Thank you for your contribution in support of Alameda County Democratic Party (CA) – Federal!" (**Attachment 7.**)

The Statement of Organization filed by the Alameda County Democratic Central Committee with the Federal Elections Commission in 2017 confirms it is a local committee of the Democratic Party. (**Attachment 8.**)

## **II. Alleged BERA violation**

### **The Prohibited Use of Public Funds**

The Fair Elections Act restricts how public-financing candidates may use the public funds they receive and makes clear that it is unlawful for candidates to "misuse" these funds. (BMC § 2.12.550.B.).

The prohibited uses of Fair Elections funds are enumerated in BMC section 2.12.530.B, which specifically prohibits participating candidates from using the funds for "indirect campaign purposes, including . . . [a] contribution or loan to the campaign committee of another candidate or to a party committee or other political committee." (BMC § 2.12.530.B.2.d.)

The applicable definition of "contribution" is based on the general BERA definition. (BMC § 2.12.530.C.) This generally includes any gift "made directly or indirectly in aid of or in opposition to the nomination or election of one or more candidates," and specifically "includes the purchase of tickets for events such as dinners, luncheons, rallies and similar fund-raising events." (*Id.* § 2.12.100.)

## **Potential Penalties under BERA**

A violation of the Fair Elections Act's prohibited uses provision is potentially subject to three somewhat complementary penalties provisions under BERA.

- *First*, is the general penalty provision of BERA (which overall is codified at chapter 2.12 of the Municipal Code): "Any person who knowingly or willfully violates any provision of this chapter is guilty of a misdemeanor punishable by a fine for each violation of three times the amount the person failed to report properly, or unlawfully contributed, expended, gave or received." (BMC § 2.12.460.)
- *Second*, is the general penalty provision applicable to violations found following a Commission hearing on the complaint: "[T]he Commission may order" the violating party to cease its violations, file any required reports under BERA, and "[p]ay a monetary penalty of up to \$1,000 per violation, or up to the amount or value of the unlawful or undisclosed contribution or expenditure, whichever is greater, to the Fair Elections Fund of the City." (BMC § 2.12.231.)
- *Third*, is the specific penalty provision applicable to violations of the Fair Elections Act found following a Commission hearing on the complaint: "If a participating candidate knowingly or willfully accepts or spends Fair Elections funding in violation of this Act, then the candidate shall repay to the Fair Elections Fund an amount equal to twice the value of Fair Elections funding unlawfully accepted or spent." (*Id.* § 2.12.550.B-C.)

### **III. FCPC Procedural Guidance**

Under section V.B.2 of the Commission's procedures, upon receipt of this referral, the Commission has three options. It "shall decide: (a) whether to direct the Secretary to investigate the complaint, to the extent the Secretary has not already done so; (b) whether to dismiss the complaint; or (c) whether to find probable cause to believe a provision of the BERA has been violated." (Procedures for the FCPC § VI.B.2.)

For option (c), the procedures specify that "[p]robable cause exists when based on the circumstances presented there is a reasonable basis on which to believe that a violation of the BERA has occurred." (*Id.* § VI.B.2.)

If the Commission finds probable cause that a violation has occurred, it "may take any of the following courses of action":

- a. Dismiss the complaint, where appropriate, and take no further action.
- b. Request the Secretary conduct further investigation, including an audit of campaign records;
- c. Require the timely filing of campaign statements and/or amendments to campaign statements if the probable violation involves an error and/or an

omission on a campaign statement that has been filed, or if it involves the failure to file a campaign statement as required;

- d. Invite the respondents to participate in settlement negotiations. The Commission may request that the Secretary participate in negotiations on behalf of the Commission. Alternatively, the Chairperson may appoint a negotiating committee, which shall be guided by the Commission regarding the desired outcome of the settlement. Any settlement reached by the Secretary or negotiating committee and respondent(s) shall be presented to the commission for approval. Negotiations between the designated negotiator or negotiating committee and the respondent shall be confidential. Only the negotiated draft settlement agreement, or the fact of an impasse, shall be shared with the Commission.
- e. Schedule and conduct a hearing pursuant to Section 2.12.230 of BERA. The hearing shall be conducted in accordance with Part VII below after 12 the Secretary has completed his or her report. However, the hearing must be conducted within a reasonable time after a determination of probable cause.
- f. Ask the City Attorney or the District Attorney to seek legal remedies.
- g. Seek any other remedy within its authority.

*(Id. § VI.C.2.)*

When deciding upon the appropriate action, the Commission may take into consideration the following factors:

- a. Its policies, procedures, regulations, and past actions in similar situations.
- b. The amount of experience of the candidate, if any, and treasurer in campaign reporting, the history or absence of other campaign violations, and the degree to which these persons made good faith efforts to correct any relevant reporting deficiencies.
- c. The presence, if any, of an apparent intent to conceal, deceive or mislead.
- d. Whether the probable violation appears deliberate, negligent or inadvertent.
- e. The effect of the probable violation upon the election or upon the administration of BERA.

*(Id. § VI.C.1.)*

#### **IV. Staff Analysis and Recommendation**

It is undisputed here that the Committee made a \$150.00 expenditure for admission to the September 29, 2018, event. The event's website clearly indicated that ticket



proceeds would benefit the Alameda County Democratic Central Committee, which is a local party committee. Under BERA, this expenditure is thus considered a contribution to a party committee, which is a prohibited use of Fair Elections funds under BMC section 2.12.530.B.2.d.

As to the factors the Commission should consider in deciding on the appropriate action to take, staff believes these tilt to a stipulation with a minimal penalty. The violation is relatively minor and was committed by a first-time candidate who proactively reached out to the Clerk's office to determine if there was a violation and to correct the violation.

In addition, there was no attempt to conceal or mislead, and the violation appears inadvertent. The expenditure was fully disclosed in the Committee's Form 460, which described the expenditure as a payment for admission to a Democratic Party fundraiser. The candidate explained that he did not believe that the payment constituted a BERA violation, but that if it did, he wanted to rectify the violation as soon as possible. He reiterated again in an email to the Commission staff secretary in March 2019 that any violation was a mistake and that he understood that other candidates (ostensibly not public-financing candidates) had used campaign funds to purchase tickets to the same event. (**Attachment 9.**)

Finally, there is no indication the violation – for a \$150 ticket to a countywide fundraiser apparently conducted for purposes outside of the City Council election – had any effect on the election.

For many of these same reasons, there is no evidence indicating that this violation was “knowing or willful” such that the Commission should move to impose a penalty beyond requiring the candidate to return the funds.

As a result, staff recommends the Commission take two actions: (1) find probable cause of a violation of BMC section 2.12.530.B; and (2) request that staff engage in negotiations with the candidate to enter into a stipulation that admits a violation occurred and requires the candidate to return the \$150 payment to the City's Fair Elections Fund.

**Attachments**

1. Amended Form 460 for period of Sept. 23 to Oct. 20, 2018
2. Email from Greg Magofna to City Clerk's office dated Nov. 5, 2018
3. Letter from City Clerk's office to Magofna campaign dated Nov. 6, 2018
4. Screenshot of homepage for 48<sup>th</sup> Annual Unity Dinner (as of March 2019)
5. Screenshot of Facebook posting for 48<sup>th</sup> Annual Unity Dinner (as of March 2019)
6. Screenshot of webpage to purchase tickets for 48<sup>th</sup> Annual Unity Dinner (as of March 2019)
7. Email receipt from ActBlue for contribution (redacted)
8. 2017 Statement of Organization filed by the Alameda County Democratic Central Committee
9. Email from Greg Magofna to FCPC staff secretary dated April 5, 2019

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Date Stamp: E-Filed 11/05/2018 10:05:40, Filing ID: 174696659. CALIFORNIA FORM 460, Page 1 of 17, For Official Use Only.

Statement covers period from 09/23/2018 through 10/20/2018. Date of election if applicable: 11/06/2018.

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee (checked), State Candidate Election Committee, Recall, General Purpose Committee, Sponsored, Political Party/Central Committee, etc.

2. Type of Statement:

- Preelection Statement (checked), Semi-annual Statement, Termination Statement, Amendment (checked), Quarterly Statement, Special Odd-Year Report, Supplemental Preelection Statement.

Corrected occupation/employer, address, and payment source information to align statement with compliance standards

3. Committee Information

I.D. NUMBER 1406534

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE) Greg Magofna for Berkeley City Council 2018

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94704 (808) 222-3183

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS gregory.magofna@gmail.com

Treasurer(s)

NAME OF TREASURER Benjamin Choi

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Walnut Creek CA 94598 (626) 322-7509

NAME OF ASSISTANT TREASURER, IF ANY

Gregory Magofna

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94704 (808) 222-3183

OPTIONAL: FAX / E-MAIL ADDRESS bhfchoi@gmail.com

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 11/03/2018 Date

By Benjamin Choi Signature of Treasurer or Assistant Treasurer

Executed on 11/03/2018 Date

By Gregory Magofna Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

Recipient Committee  
Campaign Statement  
Cover Page — Part 2

5. Officeholder or Candidate Controlled Committee

NAME OF OFFICEHOLDER OR CANDIDATE  
Gregory Magofna

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
City Council Member Berkeley City Council: City of Berkeley District 4

|                                               |          |       |       |
|-----------------------------------------------|----------|-------|-------|
| RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) | CITY     | STATE | ZIP   |
|                                               | Berkeley | CA    | 94704 |

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

6. Primarily Formed Ballot Measure Committee

NAME OF BALLOT MEASURE

|                      |              |                                                                     |
|----------------------|--------------|---------------------------------------------------------------------|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|----------------------|--------------|---------------------------------------------------------------------|

Identify the controlling officeholder, candidate, or state measure proponent, if any.

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

|                       |                     |
|-----------------------|---------------------|
| OFFICE SOUGHT OR HELD | DISTRICT NO. IF ANY |
|-----------------------|---------------------|

7. Primarily Formed Candidate/Officeholder Committee *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |

Attach continuation sheets if necessary

05-16-19 Item 7 Attachments

Campaign Disclosure Statement  
Summary Page

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

|                                                   |                                |
|---------------------------------------------------|--------------------------------|
| Statement covers period<br>from <u>09/23/2018</u> | <b>CALIFORNIA<br/>FORM 460</b> |
| through <u>10/20/2018</u>                         |                                |
| Page <u>3</u> of <u>17</u>                        | I.D. NUMBER<br><u>1406534</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

Contributions Received

|                                                       | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|-------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 1. Monetary Contributions ..... Schedule A, Line 3    | \$ <u>1,324.00</u>                                         | \$ <u>7,927.95</u>                         |
| 2. Loans Received ..... Schedule B, Line 3            | <u>0.00</u>                                                | <u>0.00</u>                                |
| 3. SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2  | \$ <u>1,324.00</u>                                         | \$ <u>7,927.95</u>                         |
| 4. Nonmonetary Contributions ..... Schedule C, Line 3 | <u>0.00</u>                                                | <u>0.00</u>                                |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4 | \$ <u>1,324.00</u>                                         | \$ <u>7,927.95</u>                         |

Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections

|                            | 1/1 through 6/30 | 7/1 to Date |
|----------------------------|------------------|-------------|
| 20. Contributions Received | \$ _____         | \$ _____    |
| 21. Expenditures Made      | \$ _____         | \$ _____    |

Expenditures Made

|                                                             | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|-------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 6. Payments Made ..... Schedule E, Line 4                   | \$ <u>10,224.94</u>                                        | \$ <u>17,798.90</u>                        |
| 7. Loans Made ..... Schedule H, Line 3                      | <u>0.00</u>                                                | <u>0.00</u>                                |
| 8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7             | \$ <u>10,224.94</u>                                        | \$ <u>17,798.90</u>                        |
| 9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3 | <u>0.00</u>                                                | <u>0.00</u>                                |
| 10. Nonmonetary Adjustment ..... Schedule C, Line 3         | <u>0.00</u>                                                | <u>0.00</u>                                |
| 11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10      | \$ <u>10,224.94</u>                                        | \$ <u>17,798.90</u>                        |

Expenditure Limit Summary for State  
Candidates

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy)       | Total to Date |
|--------------------------------------|---------------|
| <u>   </u> / <u>   </u> / <u>   </u> | \$ _____      |
| <u>   </u> / <u>   </u> / <u>   </u> | \$ _____      |

Current Cash Statement

|                                                                             |                     |
|-----------------------------------------------------------------------------|---------------------|
| 12. Beginning Cash Balance ..... Previous Summary Page, Line 16             | \$ <u>14,249.99</u> |
| 13. Cash Receipts ..... Column A, Line 3 above                              | <u>1,324.00</u>     |
| 14. Miscellaneous Increases to Cash ..... Schedule I, Line 4                | <u>7,439.94</u>     |
| 15. Cash Payments ..... Column A, Line 8 above                              | <u>10,224.94</u>    |
| 16. ENDING CASH BALANCE ..... Add Lines 12 + 13 + 14, then subtract Line 15 | \$ <u>12,788.99</u> |
| <i>If this is a termination statement, Line 16 must be zero.</i>            |                     |
| 17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2                       | \$ <u>0.00</u>      |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

Cash Equivalents and Outstanding Debts

|                                                                   |                |
|-------------------------------------------------------------------|----------------|
| 18. Cash Equivalents ..... See instructions on reverse            | \$ <u>0.00</u> |
| 19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above | \$ <u>0.00</u> |

05-16-19 Item 7 Attachments

Schedule A  
Monetary Contributions Received

Amounts may be rounded  
to whole dollars.

SCHEDULE A

|                         |            |                            |
|-------------------------|------------|----------------------------|
| Statement covers period |            | <b>CALIFORNIA FORM 460</b> |
| from                    | 09/23/2018 |                            |
| through                 | 10/20/2018 | Page 4 of 17               |

SEE INSTRUCTIONS ON REVERSE

|                                                              |                        |
|--------------------------------------------------------------|------------------------|
| NAME OF FILER<br>Greg Magofna for Berkeley City Council 2018 | I.D. NUMBER<br>1406534 |
|--------------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 09/24/2018         | Jim Novosel<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Architect<br>The Bay Architects;<br>Berkeley, CA                                              | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 09/30/2018         | Richard Furuzawa<br>Berkeley, CA 94707                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired<br>Retired                                                                            | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/04/2018         | Myron Okada<br>Berkeley, CA 94708                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired<br>Retired                                                                            | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/06/2018         | Krista Gulbransen<br>Oakland, CA 94619                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Executive Director<br>Berkeley Rental Housing<br>Coalition                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/07/2018         | Alden Mudge<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer/Editor<br>Self-employed; Berkeley,<br>CA                                               | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

Schedule A Summary

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 1,250.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 74.00
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 1,324.00

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee

# 05-16-19 Item 7 Attachments

## Schedule A (Continuation Sheet) Monetary Contributions Received

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                                |                            |
|--------------------------------|----------------------------|
| <b>Statement covers period</b> | <b>CALIFORNIA FORM 460</b> |
| from <u>09/23/2018</u>         | Page <u>5</u> of <u>17</u> |
| through <u>10/20/2018</u>      |                            |

|                                                              |                        |
|--------------------------------------------------------------|------------------------|
| NAME OF FILER<br>Greg Magofna for Berkeley City Council 2018 | I.D. NUMBER<br>1406534 |
|--------------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 10/08/2018         | Joan Neveu<br>Walnut Creek, CA 94596                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired<br>Retired                                                                            | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/09/2018         | Richard Lucien<br>Berkeley, CA 94707                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Lucien & Co., Inc.                                                              | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/11/2018         | Kiran Shenoy<br>Richmond, CA 94804                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Gov. Affairs Director<br>Oakland Berkeley Realtors                                            | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/13/2018         | Sabina Aurilio<br>Berkeley, CA 94709                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Learning Specialist/Educator<br>Head Royce School                                             | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/13/2018         | Jessica Chacon<br>Berkeley, CA 94704                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Nonprofit Management<br>Consultant<br>Self-employed; Berkeley, CA                             | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                     |                                    |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

# 05-16-19 Item 7 Attachments

## Schedule A (Continuation Sheet) Monetary Contributions Received

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                                |                            |
|--------------------------------|----------------------------|
| <b>Statement covers period</b> | <b>CALIFORNIA FORM 460</b> |
| from <u>09/23/2018</u>         | Page <u>6</u> of <u>17</u> |
| through <u>10/20/2018</u>      |                            |

|                                                              |                        |
|--------------------------------------------------------------|------------------------|
| NAME OF FILER<br>Greg Magofna for Berkeley City Council 2018 | I.D. NUMBER<br>1406534 |
|--------------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/13/2018         | Scott Chacon<br>Berkeley, CA 94704                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Software Developer<br>Chatterbug Inc.                                                         | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/13/2018         | Geoffrey Lomax<br>Berkeley, CA 94709                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Research Administrator<br>State of California                                                 | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/13/2018         | Susan Wengraf<br>Berkeley, CA 94708                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | City Councilmember<br>City of Berkeley                                                        | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/14/2018         | Olivia Anglade<br>Berkeley, CA 94709                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>The Boston Consulting Group                                                     | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/14/2018         | Marilyn Capitelli<br>Berkeley, CA 94703                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired<br>Retired                                                                            | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

# 05-16-19 Item 7 Attachments

## Schedule A (Continuation Sheet) Monetary Contributions Received

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                                |                            |
|--------------------------------|----------------------------|
| <b>Statement covers period</b> | <b>CALIFORNIA FORM 460</b> |
| from <u>09/23/2018</u>         | Page <u>7</u> of <u>17</u> |
| through <u>10/20/2018</u>      |                            |

|                                                                  |                            |
|------------------------------------------------------------------|----------------------------|
| NAME OF FILER<br><br>Greg Magofna for Berkeley City Council 2018 | I.D. NUMBER<br><br>1406534 |
|------------------------------------------------------------------|----------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 10/14/2018         | Sarah Capitelli<br>Berkeley, CA 94702                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Professor<br>University of San Francisco                                                      | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/14/2018         | Peter Ross<br>Berkeley, CA 94702                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Director, Strategic Initiatives<br>Learning Policy Institute                                  | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/14/2018         | Sam Singer<br>Berkeley, CA 94705                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Strategist<br>Singer Associates Public Relations San Francisco                                | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/15/2018         | Kathrin Skjerping<br>Berkeley, CA 94706                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Program Manager<br>City of San Francisco                                                      | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/15/2018         | David Trachtenberg<br>Berkeley, CA 94705                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Architect<br>Trachtenberg Architects                                                          | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                     |                                    |

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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee



# 05-16-19 Item 7 Attachments

## Schedule A (Continuation Sheet) Monetary Contributions Received

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                                |                            |
|--------------------------------|----------------------------|
| <b>Statement covers period</b> | <b>CALIFORNIA FORM 460</b> |
| from <u>09/23/2018</u>         | Page <u>8</u> of <u>17</u> |
| through <u>10/20/2018</u>      |                            |

|                                                                  |                            |
|------------------------------------------------------------------|----------------------------|
| NAME OF FILER<br><br>Greg Magofna for Berkeley City Council 2018 | I.D. NUMBER<br><br>1406534 |
|------------------------------------------------------------------|----------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 10/15/2018         | Liz Varnhagen<br>Berkeley, CA 94702                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired<br>Retired                                                                            | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/16/2018         | Kim-Mai Cutler<br>Berkeley, CA 94708                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Partner<br>Initialized Capital                                                                | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/16/2018         | Jon Lau<br>Berkeley, CA 94703                                                                   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Economic Development<br>Official<br>SF County                                                 | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/18/2018         | Eric Davila<br>Oakland, CA 94608                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Digital Communications<br>UCSF                                                                | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/19/2018         | Ben Gerhardstein<br>Berkeley, CA 94702                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Public Health<br>CDC                                                                          | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                     |                                    |

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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

05-16-19 Item 7 Attachments

Schedule E  
Payments Made

Amounts may be rounded  
to whole dollars.

|                                             |            |                            |
|---------------------------------------------|------------|----------------------------|
| Statement covers period                     |            | <b>CALIFORNIA FORM 460</b> |
| from                                        | 09/23/2018 |                            |
| through                                     | 10/20/2018 | Page 9 of 17               |
| NAME OF FILER                               |            | I.D. NUMBER                |
| Greg Magofna for Berkeley City Council 2018 |            | 1406534                    |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                    | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|-------------------------------------------|-------------|
| Namecheap, Inc.<br>Phoenix, AZ 85034                                | WEB  |    | Domain and email services fees            | 15.00       |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Printing, stationery, and office supplies | 83.63       |
| ActBlue<br>Somerville, MA 02144                                     | CVC  |    | Admission fee for Democratic fundraiser   | 150.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 248.63

Schedule E Summary

|                                                                                                                          |                 |                  |
|--------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|
| 1. Itemized payments made this period. (Include all Schedule E subtotals.) .....                                         | \$              | 10,155.09        |
| 2. Unitemized payments made this period of under \$100 .....                                                             | \$              | 69.85            |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....                   | \$              | 0.00             |
| 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... | <b>TOTAL \$</b> | <u>10,224.94</u> |

05-16-19 Item 7 Attachments

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                             |            |                            |
|---------------------------------------------|------------|----------------------------|
| Statement covers period                     |            | <b>CALIFORNIA FORM 460</b> |
| from                                        | 09/23/2018 |                            |
| through                                     | 10/20/2018 | Page 10 of 17              |
| NAME OF FILER                               |            | I.D. NUMBER                |
| Greg Magofna for Berkeley City Council 2018 |            | 1406534                    |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                                   | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|----------------------------------------------------------|-------------|
| Twitter, Inc.<br>San Francisco, CA 94103                            | WEB  |    | Ad space                                                 | 15.67       |
| Amazon.com, Inc.<br>Seattle, WA 98109                               | POS  |    | Postage stamps                                           | 93.86       |
| Getaround<br>San Francisco, CA 94103                                | TRC  |    | Car-sharing travel expense to attend endorsement meeting | 24.99       |
| United States Postal Service<br>Berkeley, CA 94704                  | POS  |    | Postage stamps                                           | 71.75       |
| United States Postal Service<br>Berkeley, CA 94704                  | POS  |    | Postage stamps                                           | 7.00        |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 213.27

# 05-16-19 Item 7 Attachments

SCHEDULE E (CONT.)

## Schedule E (Continuation Sheet) Payments Made

Amounts may be rounded  
to whole dollars.

|                                                                                           |                            |
|-------------------------------------------------------------------------------------------|----------------------------|
| <b>Statement covers period</b><br>from <u>09/23/2018</u><br><br>through <u>10/20/2018</u> | <b>CALIFORNIA FORM 460</b> |
| Page <u>11</u> of <u>17</u>                                                               | I.D. NUMBER<br><br>1406534 |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|                                                                                                                                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                                      |                                                                                                                                                                                                                                                                                                                                                                                                       |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| CMP campaign paraphernalia/misc.<br>CNS campaign consultants<br>CTB contribution (explain nonmonetary)*<br>CVC civic donations<br>FIL candidate filing/ballot fees<br>FND fundraising events<br>IND independent expenditure supporting/opposing others (explain)*<br>LEG legal defense<br>LIT campaign literature and mailings | MBR member communications<br>MTG meetings and appearances<br>OFC office expenses<br>PET petition circulating<br>PHO phone banks<br>POL polling and survey research<br>POS postage, delivery and messenger services<br>PRO professional services (legal, accounting)<br>PRT print ads | RAD radio airtime and production costs<br>RFD returned contributions<br>SAL campaign workers' salaries<br>TEL t.v. or cable airtime and production costs<br>TRC candidate travel, lodging, and meals<br>TRS staff/spouse travel, lodging, and meals<br>TSF transfer between committees of the same candidate/sponsor<br>VOT voter registration<br>WEB information technology costs (internet, e-mail) |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT           | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|----------------------------------|-------------|
| Mailchimp<br>Atlanta, GA 30308                                      | WEB  |    | Email marketing service platform | 50.00       |
| Twitter, Inc.<br>San Francisco, CA 94103                            | WEB  |    | Ad space                         | 13.50       |
| Facebook, Inc.<br>Menlo Park, CA 94025                              | WEB  |    | Ad space                         | 7.87        |
| Facebook, Inc.<br>Menlo Park, CA 94025                              | WEB  |    | Ad space                         | 17.13       |
| Autumn Press<br>Berkeley, CA 94710                                  | LIT  |    | Informational mailers            | 2,573.32    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,661.82

05-16-19 Item 7 Attachments

SCHEDULE E (CONT.)

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                             |            |                                |
|---------------------------------------------|------------|--------------------------------|
| Statement covers period                     |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                        | 09/23/2018 |                                |
| through                                     | 10/20/2018 | Page 12 of 17                  |
| NAME OF FILER                               |            | I.D. NUMBER                    |
| Greg Magofna for Berkeley City Council 2018 |            | 1406534                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT            | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|-----------------------------------|-------------|
| Autumn Press<br>Berkeley, CA 94710                                  | POS  |    | Postage for informational mailers | 1,022.19    |
| Donorbox<br>San Francisco, CA 94103                                 | WEB  |    | Funds payout fees                 | 1.75        |
| NationBuilder<br>Los Angeles, CA 90071                              | WEB  |    | Website platform service fee      | 29.00       |
| Twitter, Inc.<br>San Francisco, CA 94103                            | WEB  |    | Ad space                          | 17.00       |
| Donorbox<br>San Francisco, CA 94103                                 | WEB  |    | Funds payout fees                 | 5.25        |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,075.19

# 05-16-19 Item 7 Attachments

SCHEDULE E (CONT.)

## Schedule E (Continuation Sheet) Payments Made

Amounts may be rounded  
to whole dollars.

|                                                                                           |                            |
|-------------------------------------------------------------------------------------------|----------------------------|
| <b>Statement covers period</b><br>from <u>09/23/2018</u><br><br>through <u>10/20/2018</u> | <b>CALIFORNIA FORM 460</b> |
| Page <u>13</u> of <u>17</u>                                                               | I.D. NUMBER<br><br>1406534 |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Pacific Printing<br>San Jose, CA 95110                              | CMP  |    | Lawn signs             | 1,394.24    |
| Ellen Toomey<br>Albany, CA 94706                                    | LIT  |    | Mailer design services | 900.00      |
| Donorbox<br>San Francisco, CA 94103                                 | WEB  |    | Funds payout fees      | 1.75        |
| Ellen Toomey<br>Albany, CA 94706                                    | LIT  |    | Mailer design services | 350.00      |
| Donorbox<br>San Francisco, CA 94103                                 | WEB  |    | Funds payout fees      | 1.03        |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,647.02

05-16-19 Item 7 Attachments

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                             |            |                                |
|---------------------------------------------|------------|--------------------------------|
| Statement covers period                     |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                        | 09/23/2018 |                                |
| through                                     | 10/20/2018 | Page 14 of 17                  |
| NAME OF FILER                               |            | I.D. NUMBER                    |
| Greg Magofna for Berkeley City Council 2018 |            | 1406534                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

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|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Donorbox<br>San Francisco, CA 94103                                 | WEB  |    | Funds payout fees      | 22.75       |
| Facebook, Inc.<br>Menlo Park, CA 94025                              | WEB  |    | Ad space               | 21.74       |
| Facebook, Inc.<br>Menlo Park, CA 94025                              | WEB  |    | Ad space               | 13.12       |
| Twitter, Inc.<br>San Francisco, CA 94103                            | WEB  |    | Ad space               | 2.58        |
| Autumn Press<br>Berkeley, CA 94710                                  | LIT  |    | Informational mailers  | 2,223.28    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,283.47

05-16-19 Item 7 Attachments

Schedule E  
(Continuation Sheet)  
Payments Made

Amounts may be rounded  
to whole dollars.

|                         |                            |
|-------------------------|----------------------------|
| Statement covers period | <b>CALIFORNIA FORM 460</b> |
| from 09/23/2018         |                            |
| through 10/20/2018      | Page 15 of 17              |
| I.D. NUMBER             |                            |
| 1406534                 |                            |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT            | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|-----------------------------------|-------------|
| Autumn Press<br>Berkeley, CA 94710                                  | POS  |    | Postage for informational mailers | 1,022.19    |
| Donorbox<br>San Francisco, CA 94103                                 | WEB  |    | Funds payout fees                 | 3.50        |
|                                                                     |      |    |                                   |             |
|                                                                     |      |    |                                   |             |
|                                                                     |      |    |                                   |             |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,025.69



**05-16-19 Item 7 Attachments**

**Schedule I  
Miscellaneous Increases to Cash**

SCHEDULE I

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 09/23/2018  
through 10/20/2018

**CALIFORNIA  
FORM 460**

Page 16 of 17

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

I.D. NUMBER

1406534

| DATE RECEIVED | FULL NAME AND ADDRESS OF SOURCE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | DESCRIPTION OF RECEIPT | AMOUNT OF INCREASE TO CASH |
|---------------|---------------------------------------------------------------------------|------------------------|----------------------------|
| 09/28/2018    | City of Berkeley<br>Berkeley, CA 94704                                    | Public matching funds  | 6,839.94                   |
| 10/19/2018    | City of Berkeley<br>Berkeley, CA 94704                                    | Public matching funds  | 600.00                     |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |
|               |                                                                           |                        |                            |

*Attach additional information on appropriately labeled continuation sheets.*

**SUBTOTAL \$** 7,439.94

**Schedule I Summary**

- 1. Itemized increases to cash this period. .... \$ 7,439.94
- 2. Unitemized increases to cash of under \$100 this period. .... \$ 0.00
- 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) ..... \$ 0.00
- 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) ..... **TOTAL \$** 7,439.94

**Additional Comments  
For Form 460**

**05-16-19 Item 7 Attachments**

ADDITIONAL COMMENTS

**CALIFORNIA FORM 460**

Page 17 of 17

NAME OF FILER

Greg Magofna for Berkeley City Council 2018

I.D. NUMBER

1406534

2nd Pre-Election Statement

**Garcia, Vanessa N.**

---

**From:** Greg Magofna <hello@gregmagofna.com>  
**Sent:** Monday, November 05, 2018 1:56 PM  
**To:** Elections  
**Cc:** Benji Choi  
**Subject:** BERA Expenditure

Hello City Clerk,

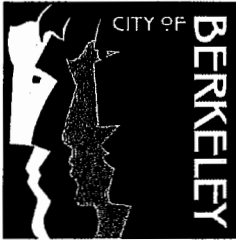
It was recently brought to my attention by a Daily Cal reporter that the Berkeley Progressive Student Alliance has brought a claim against me for a BERA violation.

The transaction in question is a ticket to the Alameda County Democratic Unity Dinner. If this is a violation, I had no idea. There are a lot of changes to the rules for campaigns taking public financing and if I am in violation, I in no way did this knowingly. I've been very carefully about following the rules and spending campaign money ethically and have listed all expenditures very clearly on my Form 460.

If this is a violation, it was completely by mistake and I would like to rectify this immediately. Please let me know how to proceed.

Thank you,  
G  
510.545.3994

[gregmagofna.com](http://gregmagofna.com)  
[facebook.com/greg4berk](https://facebook.com/greg4berk)  
[twitter.com/greg4berk](https://twitter.com/greg4berk)



City Clerk Department

November 6, 2018

**VIA U.S. MAIL**

Mr. Benjamin Choi, Treasurer  
Greg Magofna for Berkeley City Council 2018  
1905 Arkell Road  
Walnut Creek, CA 94598

**RE: Campaign Statement – Referral to FCPC**

Dear Mr. Choi:

The City of Berkeley Fair Campaign Practices Commission (“FCPC”) is tasked under the Berkeley Election Reform Act (“BERA”) with determining whether required campaign documents were filed and, if so, whether they conform on their face with requirements of BERA. See Berkeley Municipal Code (“BMC”) § 2.12.215.

In furtherance of these duties, I have reviewed your committee’s Form 460 campaign statements filed October 25, 2018, and November 5, 2018 (Amendment), covering the period from September 23, 2018, through October 20, 2018. I am contacting you regarding items that appear to be noncompliant with BERA.

**(1) *Potentially prohibited expenditure.***

The campaign statement reflects an expenditure that may violate BERA’s expenditure prohibition. BMC Section 2.12.530 prohibits Public Finance candidates from using Fair Elections funds on certain items.

The following expenditure may be in violation of this section: *\$150 expenditure to ActBlue to purchase “Admission fee for Democratic fundraiser.”* BMC Section 2.12.530 states that candidates may not make a contribution “to a party committee.” The committee indicated in an email to the City Clerk on November 5, 2018, that the \$150 charge was for a ticket to the Alameda County Democratic Unity Dinner of September 29, 2018.

This potentially prohibited expenditure has been referred to the Fair Campaign Practices Commission (FCPC) for further investigation. You may contact the FCPC Secretary at 510-981-6998 or [fcpc@cityofberkeley.info](mailto:fcpc@cityofberkeley.info) if you have questions regarding this matter.

Sincerely,

A handwritten signature in cursive script that reads "M. Brown".

Mary Brown  
Assistant City Clerk  
on behalf of  
Mark Numainville  
City Clerk

Cc: Fair Campaign Practices Commission



**WHEN**  
SATURDAY SEPTEMBER 29 2018 AT  
6:00PM

**WHERE**  
1 HEGENBERGER RD OAKLAND CA

*splash*

# SAVE THE DATE: 48TH ANNUAL UNITY DINNER

Individual Tickets: \$150. Early Bird Tickets: \$100





**WHEN**  
**SATURDAY SEPTEMBER 29 2018 AT**  
**6:00PM**

**WHERE**  
**1 HEGENBERGER RD OAKLAND CA**

## **ABOUT THE UNITY DINNER**

For over 4 decades, the Unity Dinner has been the premier fundraising event for the Alameda County Democratic Party.

Part fundraiser, part celebration of our Democratic values, the passion and funds you bring to the Party are even more critical in the age of Trump. Join us!

Our dinner program will feature local and statewide luminaries and awards for our local Democratic heroes.

A silent auction will take place beginning at the cocktail hour.

Cash Bar. Business or Cocktail attire preferred.



# Table Sponsorship Levels

**WHEN**  
MAY 16, 2019  
6:00PM

**WHERE**  
1 HEGENBERGER RD OAKLAND CA

**Champion:**  
**\$5,000**

**Leader:**  
**\$4,000**

**Partner:**  
**\$3,000**

**Defender:**  
**\$2,000**

Premier Full Page Ad in Program  
Table for 10 people- Premier location  
Logo on Step-and-Repeat Banner  
Logo Recognition in Program  
Logo Recognition on Event Site  
Logo Recognition in social media and email  
10 Raffle Tickets  
2 Bottles of Wine for Table

Full Page Ad in Program  
Table for 10 people  
Logo on Step-and-Repeat Banner  
Logo Recognition in Program  
Logo Recognition on Event Site  
Logo Recognition in social media and email

Half Page Ad in Program  
Table for 10 people  
Logo on Step-and-Repeat Banner  
Logo Recognition in Program  
Logo Recognition on Event Site  
Logo Recognition in social media and email

Quarter Page Ad in Program  
Table for 10 people  
Logo Recognition in Program  
Logo Recognition on Event Site  
Logo Recognition in social media and email





# Individual Sponsorship Levels

**WHEN**  
FRIDAY, MAY 16, 2019  
6:00PM

**WHERE**  
THE HEGENBERGER RD OAKLAND CA

**Patron:**  
**\$1,000**

- 2 Event Tickets
- Logo on Step-and-Repeat Banner
- Logo Recognition in Program
- Logo Recognition on Event Site
- Logo Recognition in social media and email
- 10 Raffle tickets

**Advocate:**  
**\$600**

- 2 Event Tickets
- Logo Recognition in Program
- Logo Recognition on Event Site
- Logo Recognition in social media and email

**Ally: \$400**

- 2 Event Tickets
- Name Recognition in Program
- Name Recognition on Event Site
- Name Recognition in social media and email

**Friend: \$200**

- 1 Event Ticket
- Name Recognition in Program
- Name Recognition on Event Site
- Name Recognition in social media and email

## 6:00p m

Cocktail Hour

## 7:30p m

Dinner and Program Begins

## 9:00p m

Dinner Ends

## The Venue | Oakland Airport Hilton 1 Hegenberger Rd Oakland CA





0 0 0 00  
 DAYS HOURS MINUTES SECONDS



**#ALAMEDAUNITY**

Check out some photos from 2017 and join us this year!



**splash**



CONTACT THE ORGANIZER

Events

Events

+ Create Event



**SEP 29** 48th Annual Democratic Unity Dinner!  
 Public · Hosted by Alameda County Democratic Party

★ Interested

🕒 Saturday, September 29, 2018 at 6:00 PM – 9:00 PM PDT  
 about 5 months ago

📍 Hilton Oakland Airport  
 1 Hegenberger Rd, Oakland, California 94621 [Show Map](#)

**98 Went · 165 Interested**  
 Share this event with your friends

Details

Save The Date! The Alameda County Democratic Party's 48th Annual Unity Dinner is coming up soon!

For over four decades, the Unity Dinner has been the premier fundraising event for the Alameda County Democratic Party.

Part fundraiser, part celebration of our Democratic values, the passion and funds you bring to the Party are even more critical in the age of Trump. Join us!

Our dinner program will feature local and statewide luminaries and awards for our local Democratic heroes.

A silent auction will take place beginning at the cocktail hour.

Cash Bar. Business or Cocktail attire preferred.

Tickets:  
 \$175 at the door (Subject to availability)  
 \$150 Regular Tickets (September 8-28, until sold out)  
 \$100 Early Bird rate (Good through September 7)

Get your tickets here: <https://secure.actblue.com/donate/alamedaunity2018#>

Sponsorship information coming soon.  
Please email [unitydinner@gmail.com](mailto:unitydinner@gmail.com) with any questions.

[See Less](#)

[Causes](#) [Politics](#) [Fundraising](#)

English (US) · Español · Português (Brasil) · Français (France) · Deutsch

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Facebook © 2019

About Alameda County Democratic Party



**Alameda County Democratic Party**  
Political Organization · Hayward, California  
[www.acdems.org](http://www.acdems.org)

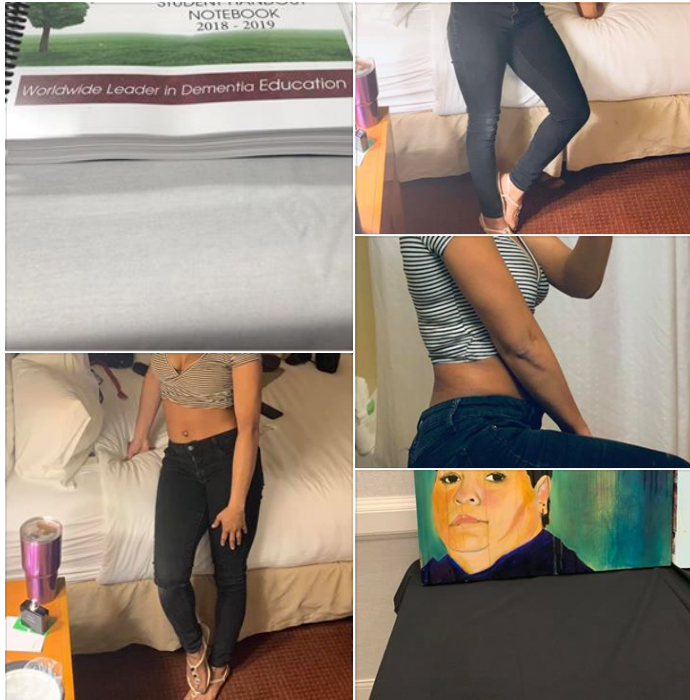
About the Venue



**Hilton Oakland Airport**  
Event · 1 likes

[Go to Page](#)

Gallery



[See All Photos](#)

# 2018 Annual Democratic Unity Dinner—Individual Ticket and Sponsorships

48th Annual Democratic Unity Dinner

**Date: Saturday, September 29, 2018**

**Time: Reception 6:00 p.m., Dinner 7:30 p.m.**

**Place: Oakland Airport Hilton, 1 Hegenberger Road, Oakland CA 94621**

Join Democratic elected officials and Democratic Party, labor and community leaders to support our Democratic ticket in this crucial year.

## Individual Tickets:

\$175 at the door (Subject to availability)

\$150 Regular Ticket (September 15-28 or until sold out)

\$100 Early Bird rate (Extended—Good through September 14)

## Individual Sponsorships:

### Patron: \$1,000

2 event tickets

Logo on Step-and-Repeat Banner

Logo Recognition in Program

Logo Recognition on Event Site/Social/Email

10 Raffle tickets

Confirm by 9/17/18

### Advocate: \$600

2 event tickets

Logo Recognition in Program

Logo Recognition on Event Site/Social/Email

Confirm by 9/17/18

### Ally: \$400

2 event tickets

Name Recognition in Program

Name Recognition on Event Site/Social/Email

Confirm by 9/17/18

### Friend: \$200

1 event ticket

Name Recognition in Program

Name Recognition on Event Site/Social/Email

Confirm by 9/17/18

Please choose your individual sponsorship level here. Once complete, please contact us at [unitydinner@gmail.com](mailto:unitydinner@gmail.com) with your guest list and logo or name, depending on sponsorship level. Please confirm by 9.17.18 to ensure inclusion in event materials.

To purchase table sponsorships or Student tickets, please email: [unitydinner@gmail.com](mailto:unitydinner@gmail.com)

-----  
Paid for by Alameda County Democratic Central Committee and not authorized by any candidate or candidate committee.

Your Individual Ticket or Sponsorship will benefit the Alameda County Democratic Central Committee Federal Account: FEC # C00375279

Make checks payable to the Alameda County Democratic Central Committee (FPPC #747153 | FEC # C00375279).

Contributions to the Alameda County Democratic Central Committee are not tax deductible for federal income tax purposes.

Your contribution will be used in connection with federal election laws and is subject to the limitations and prohibitions of the Federal Election Campaign Act. Contributions used in connection with federal elections are limited to \$10,000 (Limit shared with California Democratic Party) per calendar year. Federal Law requires us to report the name, address, occupation and name of employer of individuals whose contributions exceed \$200 in a calendar year (Federal ID #C00375279).

Corporate and union contributions are acceptable under California law to be used in connection with non-federal elections. California law permits contributions up to \$7,300 per calendar year for use in supporting state candidates. There are no limits on contributions used for purposes other than supporting state candidates (State ID#747153).

**1) Tickets**

2) Details

3) Payment

Funds raised through this event support Alameda County Democratic Party (CA) - Federal.

|                   |               |                  |
|-------------------|---------------|------------------|
| Early Bird Ticket | \$100.00 each | <b>Sold out!</b> |
|-------------------|---------------|------------------|

Available through Sept. 14

|        |               |                  |
|--------|---------------|------------------|
| Ticket | \$150.00 each | <b>Sold out!</b> |
|--------|---------------|------------------|

Through Sept. 28 - \$175 at door as available

|                |               |                  |
|----------------|---------------|------------------|
| Friend Sponsor | \$200.00 each | <b>Sold out!</b> |
|----------------|---------------|------------------|

|                          |               |                  |
|--------------------------|---------------|------------------|
| Ally Sponsor (2 tickets) | \$400.00 each | <b>Sold out!</b> |
|--------------------------|---------------|------------------|

|                              |               |                  |
|------------------------------|---------------|------------------|
| Advocate Sponsor (2 tickets) | \$600.00 each | <b>Sold out!</b> |
|------------------------------|---------------|------------------|

**Can't attend?**

\$

Make a donation instead!

0.00

Total: \$0.00

Continue

**Contribution rules**

- 1. I am a U.S. citizen or lawfully admitted permanent resident (i.e., green card holder).
- 2. This contribution is made from my own funds, and funds are not being provided to me by another person or entity for the purpose of making this contribution.
- 3. I am making this contribution with my own personal credit card and not with a corporate or business credit card or a card issued to another person.
- 4. I am at least eighteen years old.
- 5. I am not a federal contractor.

Paid for by ActBlue ([actblue.com](http://actblue.com)) and not authorized by any candidate or candidate's committee.

Contributions or gifts to ActBlue are not deductible as charitable contributions for Federal income tax purposes.

**From:** info+AB62824339@actblue.com on behalf of ActBlue Receipts <info+AB62824339@actblue.com>  
**Sent:** Tuesday, September 25, 2018 2:08 PM  
**To:**  
**Subject:** Thank you for your contribution!

Here's a receipt for your \$150.00 contribution. Please complete the ticket information.

Thank you for your contribution!  
We look forward to seeing you at the Unity Dinner on September 29th!

### Your contribution receipt

# \$150.00

One-time contribution

Thank you for your contribution in support of Alameda County Democratic Party (CA) - Federal!

|                      |                 |
|----------------------|-----------------|
| 1 Ticket ticket      | \$150.00        |
| <b>Total charged</b> | <b>\$150.00</b> |

Name Gregory Magofna

Order # [AB62824339](#)

Order Date 9/25/2018

Payment Type MasterCard

Billing ZIP

This transaction will appear as one or more charges to ACTBLUE\*ALAMEDA.DEM.PARTY on your credit card statement.

[Edit payment details](#)

[Unsure about this charge?](#)

**Gregory, sign in now to save this contribution**

[Sign in to your ActBlue Express account](#)



## 05-16-19 Item 7 Attachments

**Save time donating** in the future and **make sure this contribution appears in your contribution history** by signing in to your ActBlue Express account now.

### Your ticket summary

Fundraising Page 2018 Annual Democratic Unity Dinner—  
Individual Ticket and Sponsorships

Ticket Count 1 Ticket

Tickets will be sent to your guests individually, after you complete the ticket information.

[Complete your ticket information](#)

Paid for by ActBlue ([actblue.com](http://actblue.com)) and not authorized by any candidate or candidate's committee.

Contributions or gifts to ActBlue are not tax deductible as charitable contributions for federal income tax purposes.

**Need help?** Reply to this email or drop us a line at [info@actblue.com](mailto:info@actblue.com).



FEC FORM 1

STATEMENT OF ORGANIZATION

Office Use Only

1. NAME OF COMMITTEE (in full) (Check if name is changed) Example: If typing, type over the lines. 12FE4M5

ALAMEDA COUNTY DEMOCRATIC CENTRAL COMMITTEE

ADDRESS (number and street) P.O. BOX 3937 (Check if address is changed) HAYWARD CA 94540 CITY STATE ZIP CODE

COMMITTEE'S E-MAIL ADDRESS info@acdems.org (Check if address is changed) Optional Second E-Mail Address

COMMITTEE'S WEB PAGE ADDRESS (URL) (Check if address is changed)

2. DATE 07 / 27 / 2017

3. FEC IDENTIFICATION NUMBER C C00375279

4. IS THIS STATEMENT NEW (N) OR AMENDED (A)

I certify that I have examined this Statement and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Kelley, Royce, , ,

Signature of Treasurer Kelley, Royce, , , [Electronically Filed] Date 07 / 31 / 2017

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Statement to the penalties of 2 U.S.C. §437g. ANY CHANGE IN INFORMATION SHOULD BE REPORTED WITHIN 10 DAYS.

5. TYPE OF COMMITTEE

Candidate Committee:

- (a)  This committee is a principal campaign committee. (Complete the candidate information below.)
- (b)  This committee is an authorized committee, and is NOT a principal campaign committee. (Complete the candidate information below.)

Name of Candidate \_\_\_\_\_

Candidate Party Affiliation  Office Sought:  House  Senate  President State   
 District

- (c)  This committee supports/opposes only one candidate, and is NOT an authorized committee.

Name of Candidate \_\_\_\_\_

Party Committee:

- (d)  This committee is a  SUB (National, State or subordinate) committee of the  DEM (Democratic, Republican, etc.) Party.

Political Action Committee (PAC):

- (e)  This committee is a separate segregated fund. (Identify connected organization on line 6.) Its connected organization is a:
  - Corporation  Corporation w/o Capital Stock  Labor Organization
  - Membership Organization  Trade Association  Cooperative
  - In addition, this committee is a Lobbyist/Registrant PAC.
- (f)  This committee supports/opposes more than one Federal candidate, and is NOT a separate segregated fund or party committee. (i.e., nonconnected committee)
  - In addition, this committee is a Lobbyist/Registrant PAC.
  - In addition, this committee is a Leadership PAC. (Identify sponsor on line 6.)

Joint Fundraising Representative:

- (g)  This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, at least one of which is an authorized committee of a federal candidate.
- (h)  This committee collects contributions, pays fundraising expenses and disburses net proceeds for two or more political committees/organizations, none of which is an authorized committee of a federal candidate.

Committees Participating in Joint Fundraiser

1. \_\_\_\_\_ FEC ID number  C \_\_\_\_\_
2. \_\_\_\_\_ FEC ID number  C \_\_\_\_\_
3. \_\_\_\_\_ FEC ID number  C \_\_\_\_\_
4. \_\_\_\_\_ FEC ID number  C \_\_\_\_\_

Write or Type Committee Name

# ALAMEDA COUNTY DEMOCRATIC CENTRAL COMMITTEE

6. Name of Any Connected Organization, Affiliated Committee, Joint Fundraising Representative, or Leadership PAC Sponsor

NONE

Mailing Address

CITY

STATE

ZIP CODE

Relationship:  Connected Organization  Affiliated Committee  Joint Fundraising Representative  Leadership PAC Sponsor

7. Custodian of Records: Identify by name, address (phone number -- optional) and position of the person in possession of committee books and records.

Full Name Kelley, Royce, , ,

Mailing Address 3041 Deakin St.

Berkeley CA 94705

Title or Position CITY STATE ZIP CODE

Treasurer Telephone number 510 384 3033

8. Treasurer: List the name and address (phone number -- optional) of the treasurer of the committee; and the name and address of any designated agent (e.g., assistant treasurer).

Full Name of Treasurer Kelley, Royce, , ,

Mailing Address 3041 Deakin St.

Berkeley CA 94705

Title or Position of Treasurer CITY STATE ZIP CODE

Treasurer Telephone number 510 384 3033

Full Name of Designated Agent

Torello, Robin, , ,

Mailing Address

356 Bowling Green St.

San Leandro

CA

94577

CITY

STATE

ZIP CODE

Title or Position

Assistant Treasurer

Telephone number

9. Banks or Other Depositories: List all banks or other depositories in which the committee deposits funds, holds accounts, rents safety deposit boxes or maintains funds.

Name of Bank, Depository, etc.

U.S. Bank

Mailing Address

P.O. Box 1800

Saint Paul

MN

55101

CITY

STATE

ZIP CODE

Name of Bank, Depository, etc.

Mailing Address

CITY

STATE

ZIP CODE

**Garcia, Vanessa N.**

---

**From:** Gregory Magofna <gregory.magofna@gmail.com>  
**Sent:** Friday, April 05, 2019 2:12 PM  
**To:** FCPC (Fair Campaign Practices Commission)  
**Cc:** Benji Choi  
**Subject:** Re: Requesting information re: FCPC referral  
**Attachments:** Thank you for your contribution!.eml; Unity Dinner 2018 - Last Chance! Early Bird Discount Ends Tomorrow.eml; BERA Expenditure.eml

Dear Ms. Soichet,

Please find attached the receipt for the purchase and a copy of the event description. Please also find attached a November 5, 2018 email I sent to the FCPC Secretary immediately after I found out this was a violation asking how to rectify the situation.

I've been involved with politics for years and know that people have use campaign funds to purchase tickets for this event, and though I tried very carefully to follow all of the BERA rules, I somehow didn't connect the dinner ticket to a campaign contribution to "a party committee". It was completely by mistake and I tried to be very transparent and responsible in my use of public funds, hence why it was listed on the Form 460.

Regards,  
G

On Mar 27, 2019, at 12:30 PM, FCPC (Fair Campaign Practices Commission)  
<[FCPC@cityofberkeley.info](mailto:FCPC@cityofberkeley.info)> wrote:

Mr. Choi,

I am the current staff secretary for the FCPC. I wanted to reach out to you regarding the FCPC referral described in the attached letter, in particular to see if you could share with me any records or information regarding the \$150 expenditure to ActBlue at issue. This was the following "Admission fee for Democratic fundraiser" line item on page 9 of the committee's Nov. 5 amended Form 460 for the period of 9/23/18 to 10/20/18:

<image001.png>

I appreciate your help with this matter. Any questions, please let me know.

Emma Soichet

**Emmanuelle Soichet**

Secretary, Fair Campaign Practices and Open Government Commissions  
City of Berkeley  
2180 Milvia Street, Berkeley, CA 94704

## 05-16-19 Item 7 Attachments

<Ltr to B. Choi G. Magofna 3-27-19.pdf>

---

**From:** Gregory Magofna <gregory.magofna@gmail.com>  
**Sent:** Friday, April 05, 2019 2:12 PM  
**To:** FCPC (Fair Campaign Practices Commission)  
**Cc:** Benji Choi  
**Subject:** Re: Requesting information re: FCPC referral  
**Attachments:** Thank you for your contribution!.eml; Unity Dinner 2018 - Last Chance! Early Bird Discount Ends Tomorrow.eml; BERA Expenditure.eml

Dear Ms. Soichet,

Please find attached the receipt for the purchase and a copy of the event description. Please also find attached a November 5, 2018 email I sent to the FCPC Secretary immediately after I found out this was a violation asking how to rectify the situation.

I've been involved with politics for years and know that people have use campaign funds to purchase tickets for this event, and though I tried very carefully to follow all of the BERA rules, I somehow didn't connect the dinner ticket to a campaign contribution to "a party committee". It was completely by mistake and I tried to be very transparent and responsible in my use of public funds, hence why it was listed on the Form 460.

Regards,  
G

On Mar 27, 2019, at 12:30 PM, FCPC (Fair Campaign Practices Commission) <[FCPC@cityofberkeley.info](mailto:FCPC@cityofberkeley.info)> wrote:

Mr. Choi,

I am the current staff secretary for the FCPC. I wanted to reach out to you regarding the FCPC referral described in the attached letter, in particular to see if you could share with me any records or information regarding the \$150 expenditure to ActBlue at issue. This was the following "Admission fee for Democratic fundraiser" line item on page 9 of the committee's Nov. 5 amended Form 460 for the period of 9/23/18 to 10/20/18:

<image001.png>

I appreciate your help with this matter. Any questions, please let me know.

Emma Soichet

**Emmanuelle Soichet**

Secretary, Fair Campaign Practices and Open Government Commissions  
City of Berkeley  
2180 Milvia Street, Berkeley, CA 94704



## 05-16-19 Item 7 Attachments

<Ltr to B. Choi G. Magofna 3-27-19.pdf>



Fair Campaign Practices Commission

**DATE:** May 10, 2019

**TO:** FAIR CAMPAIGN PRACTICES COMMISSION

**FROM:** EMMANUELLE SOICHET, Commission Secretary

**SUBJECT:** Staff Report regarding complaint of alleged violation of the Berkeley Election Reform Act by Harrison for City Council 2018 submitted by L. Menard

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This complaint relates to the use of City resources in the 2018 election for City Council. Complainant alleges that an incumbent candidate improperly used an email distribution list acquired through her elected office for campaign purposes, and that her staff used City resources to send campaign emails. Because the City is a municipal corporation and the alleged services could constitute “contributions” under the Berkeley Election Reform Act, such allegations, if true, could be read to violate the prohibition on contributions from corporations and the prohibition on public-financing candidates receiving non-monetary contributions of more than \$50.

For the reasons stated below, staff secretary concludes that there is no evidence to support these allegations or to support a finding that the candidate violated BERA’s contribution restrictions.

However, the investigation has shown that the Committee used public funds received through the Fair Elections Act to pay for email marketing services related to the candidate’s role as a City elected officer (i.e., for maintaining an officeholder email distribution list and sending district newsletters). Because participating candidates may use public funds only for direct campaign purposes, and not for officeholder purposes, the staff secretary recommends the Commission find probable cause of a violation of the Fair Elections Act. The staff secretary makes no recommendation as to how to proceed following such a finding.

**I. Background**

**A. Complaint**

On October 25, 2018, the Commission received a revised complaint from Laura Menard against the committee *Kate Harrison for City Council 2018* (“the Committee”), the candidate controlled committee of then-incumbent Councilmember Kate Harrison. (**Attachment 1**.) The complaint made two related allegations: First, “Ms. Harrison has been routinely using her email list accumulated as a city council person to distribute literature and communications of her run for re-election.” Second, that Ms. Harrison’s “staff member M. Rosen is sending out emails with a disclaimer of ‘Paid for by Kate Harrison of [sic] City Council 2018.’” The complaint enclosed two emails sent by the Committee to support its claims. (**Attachment 1**, pp. 2-9.)

The complaint was placed on the agenda for the Commission’s meeting of November 15, 2018, which was canceled due to unhealthy air quality. It was to be heard at the April 18, 2019 meeting, but at the request of Ms. Harrison (“Respondent”) was postponed until the May 16, 2019 meeting.

**B. Staff Determination**

As an initial matter, the staff secretary reviewed the complaint to determine if it alleged a violation of the Berkeley Elections Reform Act (“BERA”) within the Commission’s subject-matter jurisdiction. (See Procedures for the FCPC, § V.A.4.) The staff secretary understood the complaint to allege that (1) the Committee may have used a city email distribution list for campaign purposes, and (2) Committee staff may have used their City email accounts and staff time to send campaign emails. While the underlying thrust of these allegations relate to improper use of City resources—a legal issue outside of BERA’s scope<sup>1</sup>—the staff secretary determined the complaint could be read to raise BERA violations. Specifically, it could be read to allege that the Committee received improper contributions from the City of Berkeley in the form of an email distribution list, the sending of emails using City resources, and City staff labor. The staff secretary then conducted an investigation.

**C. Investigation**

This investigation included: reviewing the complaint; discussing the City’s email list policies with the City’s Public Information Officer; reviewing the Committee’s Form 460s; reviewing information received from the Committee in response to questions sent; and browsing the websites of various City elected officials and the Action Network website.

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<sup>1</sup> See Berkeley Municipal Code (“BMC”) § 4.04.200 (“There shall be no improper political activity on the part of City employees. City employees may not engage in political activities or services of any nature during these hours in which they are employed by the City; nor shall City funds, supplies, property or equipment be utilized in performing any services of a political nature.”); see also Govt. Code §§ 8314, 54964.

*No Official City Lists.* According to the City’s Public Information Officer, the City does not provide email distribution lists to Councilmembers, the Mayor, or any other elected official in the City to communicate with constituents. Nor does it maintain or host such lists. Rather, any email distribution lists used by Councilmembers for communicating with constituents are collected and maintained by their Council staff. The staff secretary reviewed the City’s webpages for the Mayor and Councilmembers (often linking to privately hosted websites); all but one of these offered constituents a means to sign up for district newsletters. Based on the forms used to sign up, it appears that most Councilmembers use third-party email marketing services such as Constant Contact to maintain email distribution lists for newsletter purposes. Ms. Harrison’s webpage contains a link to sign up for her office’s monthly newsletter, which leads to a sign-up page on the Action Network’s website. (**Att. 2.**)

*Emails in Complaint.* Two emails were enclosed with the complaint. The first email emails (with the subject “People versus Money”) was sent by the email address [campaign@electkateharrison.com](mailto:campaign@electkateharrison.com) using the Action Network. (**Att. 1**, at p. 2.) The email discusses opposition to Harrison’s 2018 City Council campaign and solicits help from volunteers. The email contains an FPPC disclaimer at the end stating that the email was “Paid for by Kate Harrison for City Council 2018. FPPC # 1392842.” It also contains a footer, stating, in part, “to stop receiving emails from Kate Harrison, Berkeley City Council, please click here” and including a hyperlink. (*Id.*)

The second email (subject “July Newsletter: Change in Action”) was sent by the email address [mrosen@cityofberkeley.info](mailto:mrosen@cityofberkeley.info) also through the Action Network. (**Att. 1**, at p. 3.) The email contains a newsletter reporting on various policy issues in the City of Berkeley (housing, homelessness, emergency preparedness, and pension liabilities), the Councilmember’s office’s work in responding to constituent requests, and highlighting a local business in the Councilmember’s district. (*Id.*, at pp. 3-9.) The newsletter contains the same FPPC disclaimer as the other email included with the complaint, but with the additional language: “*Want to opt-out of campaign emails but still receive council updates? Click here.*” (*Id.*, at p. 9 [emphasis added].) The email also contains the same footer as the other email, stating that it was sent by Kate Harrison, Berkeley City Council via the Action Network. (*Ibid.*)

*The Committee’s Email Lists & Services.* According to a letter from James Hendry, the Committee’s assistant treasurer, Ms. Harrison has an account with the Action Network that she opened during her first election to the City Council in 2017. (**Att. 3**, at p. 3; **Att. 4**, at p. 3.) According to Mr. Hendry, this one account hosts “different segregated email lists” that are used to send district newsletters and campaign communications. (**Att. 3**, at p. 3; **Att. 4**, at p. 3.) According to Mr. Hendry, “Ms. Harrison has generated her own mailing lists” without City funds, using an email list she had collected before running for Council in 2017, as well as supplemental data that the Committee collected or paid for as part of her campaigns. (**Att. 3**, pp. 2-3.)

According to Mr. Hendry, “No City funds have been used to generate or maintain Kate Harrison’s email distribution lists,” and “Ms. Harrison has never spent any city or other public funds to pay for the email service she uses.” (**Att. 4**, p. 3.) Rather, the Committee paid the Action Network’s full monthly fees during Ms. Harrison’s first campaign, after she was elected, and throughout her second campaign.<sup>2</sup> (*Id.*) Pursuant to the rules for public-funding candidates, the Committee returned unused campaign funds after the 2018 campaign, and Ms. Harrison has since paid the Action Network monthly fees from her personal funds. (*Id.*, p. 4.)

*Roles of Council v. Campaign Staff.* Mr. Hendry stated that “[a]ll of Ms. Harrison’s city staff are part-time” employees. (**Att. 3**, p. 3.) At least one staff member, Michael Rosen, also worked “under contract as a campaign staff member in his time away from City employment.” (*Id.*) According to Mr. Hendry, “Mr. Rosen sent many emails in his capacity as a campaign employee” from [campaign@electkateharrison.com](mailto:campaign@electkateharrison.com) and with a disclaimer that the emails were paid for by the Committee. (*Id.*)

As for the email newsletter attached to the complaint that was sent from Mr. Rosen’s City email address, Mr. Hendry explained that Mr. Rosen sent this email in his capacity as a City employee and that it was “a simple mistake” for the email to have been sent with the “paid for Kate Harrison for Berkeley City Council” disclaimer. (*Id.*)

Ms. Harrison has provided invoices for Mr. Rosen’s campaign services (**Att. 6**), as well as an accounting of “District 4 City expenditures from which email services might come (but didn’t in [her] case)” – professional services, books and subscriptions, and printing and binding (**Att. 7**).

## **II. Applicable Law (BERA)**

### **A. Prohibited Contributions**

The allegations of the complaint relate to two BERA’s restrictions on permissible contributions:

- First, BERA prohibits candidates in municipal elections from receiving contributions from any “proprietorship, firm, partnership, joint venture, syndicate, business trust, company, corporation, including non-profit corporations, or labor union.” (BMC § 2.12.440.) The City of Berkeley is a municipal corporation. (See Berkeley City Charter, art. I, § 1.)

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<sup>2</sup> This is consistent with the Committee’s Form 460s, which show monthly payments beginning in February or March 2017 and continuing for each month throughout 2017 and 2018. (**Att. 5**, at pp. 21, 22, 24, 25, 27, 29, 47, 50, 54, 72, 101, 106 [Form 460 records for 2018]; see also all Form 460 records submitted by Committee at <https://public.netfile.com/Pub2/AllFilingsByFiler.aspx?id=162332920>.) The Committee’s records show monthly fees of \$15 for the Action Network throughout 2018. (*Id.*)

- Second, participating candidates in the City’s public-financing program, the Fair Elections Act of 2016, may receive a non-monetary contribution from a non-natural person only if the contribution is not prohibited by BERA and has a fair market value of \$50 or less.<sup>3</sup> (BMC § 2.12.500.A.1.c & 2.12.500.A.7.)

For both of these provisions, BERA defines a “contribution” as “a gift, subscription, loan, advance, deposit, pledge, forgiveness of indebtedness, payment of a debt by a third party, contract, agreement, or promise of money or anything of value or other obligation, whether or not legally enforceable, made directly or indirectly in aid of or in opposition to the nomination or election of one or more candidates or the qualification for the ballot or voter approval of one or more measures.” (BMC § 2.12.100.) While “a gift of service or labor” is not a contribution, any “service or labor for which a payment is made” is a non-monetary contribution under BERA. (*Id.*)

### **B. Prohibited Use of Fair Elections Act Funds**

In addition to restrictions on contributions, the Fair Elections Act restricts how public-financing candidates may use the public funds they receive and makes clear that it is unlawful for candidates to “misuse” these funds. (BMC § 2.12.550.B.) Under BMC section 2.12.530.A, public funds may be used “only for direct campaign purposes.” By contrast, participating candidates may not use the funds for “indirect campaign purposes.” (BMC § 2.12.530.B.2.d.)

### **C. Potential Penalties under BERA**

A violation of the Fair Elections Act’s prohibited uses provision is potentially subject to three somewhat complementary penalties provisions under BERA.

- *First*, is the general penalty provision of BERA (which overall is codified at chapter 2.12 of the Municipal Code): “Any person who knowingly or willfully violates any provision of this chapter is guilty of a misdemeanor punishable by a fine for each violation of three times the amount the person failed to report properly, or unlawfully contributed, expended, gave or received.” (BMC § 2.12.460.)
- *Second*, is the general penalty provision applicable to violations found following a Commission hearing on the complaint: “[T]he Commission may order” the violating party to cease its violations, file any required reports under BERA, and “[p]ay a monetary penalty of up to \$1,000 per violation, or up to the amount or

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<sup>3</sup> Ms. Harrison was a participating candidate in the Fair Elections Act for the 2018 election cycle. The Commission certified her participation and approved matching funds at its January 18, 2018 meeting. (Minutes of FCPC Jan. 18, 2018 Meeting, available at [https://www.cityofberkeley.info/uploadedFiles/Attorney/Commissions/Commission\\_on\\_Fair\\_Campaign\\_Practices/Approved%201%2018%2018%20FCPC%20Minutes.pdf](https://www.cityofberkeley.info/uploadedFiles/Attorney/Commissions/Commission_on_Fair_Campaign_Practices/Approved%201%2018%2018%20FCPC%20Minutes.pdf).)

value of the unlawful or undisclosed contribution or expenditure, whichever is greater, to the Fair Elections Fund of the City.” (BMC § 2.12.231.)

- *Third*, is the specific penalty provision applicable to violations of the Fair Elections Act found following a Commission hearing on the complaint: “If a participating candidate knowingly or willfully accepts or spends Fair Elections funding in violation of this Act, then the candidate shall repay to the Fair Elections Fund an amount equal to twice the value of Fair Elections funding unlawfully accepted or spent.” (*Id.* § 2.12.550.B-C.)

### **III. FCPC Procedural Guidance**

Under section V.B.2 of the Commission’s procedures, upon receipt of this complaint, the Commission has three options. It “shall decide: (a) whether to direct the Secretary to investigate the complaint, to the extent the Secretary has not already done so; (b) whether to dismiss the complaint; or (c) whether to find probable cause to believe a provision of the BERA has been violated.” (Procedures for the FCPC § VI.B.2.)

For option (c), the procedures specify that “[p]robable cause exists when based on the circumstances presented there is a reasonable basis on which to believe that a violation of the BERA has occurred.” (*Id.* § VI.B.2.)

If the Commission finds probable cause that a violation has occurred, it “may take any of the following courses of action”:

- a. Dismiss the complaint, where appropriate, and take no further action.
- b. Request the Secretary conduct further investigation, including an audit of campaign records;
- c. Require the timely filing of campaign statements and/or amendments to campaign statements if the probable violation involves an error and/or an omission on a campaign statement that has been filed, or if it involves the failure to file a campaign statement as required;
- d. Invite the respondents to participate in settlement negotiations. The Commission may request that the Secretary participate in negotiations on behalf of the Commission. Alternatively, the Chairperson may appoint a negotiating committee, which shall be guided by the Commission regarding the desired outcome of the settlement. Any settlement reached by the Secretary or negotiating committee and respondent(s) shall be presented to the commission for approval. Negotiations between the designated negotiator or negotiating committee and the respondent shall be confidential. Only the negotiated draft settlement agreement, or the fact of an impasse, shall be shared with the Commission.
- e. Schedule and conduct a hearing pursuant to Section 2.12.230 of BERA. The hearing shall be conducted in accordance with Part VII below after 12

the Secretary has completed his or her report. However, the hearing must be conducted within a reasonable time after a determination of probable cause.

- f. Ask the City Attorney or the District Attorney to seek legal remedies.
- g. Seek any other remedy within its authority.

(*Id.* § VI.C.2.)

When deciding upon the appropriate action, the Commission may take into consideration the following factors:

- a. Its policies, procedures, regulations, and past actions in similar situations.
- b. The amount of experience of the candidate, if any, and treasurer in campaign reporting, the history or absence of other campaign violations, and the degree to which these persons made good faith efforts to correct any relevant reporting deficiencies.
- c. The presence, if any, of an apparent intent to conceal, deceive or mislead.
- d. Whether the probable violation appears deliberate, negligent or inadvertent.
- e. The effect of the probable violation upon the election or upon the administration of BERA.

(*Id.* § VI.C.1.)

#### **IV. Staff Analysis and Recommendation**

##### **A. Prohibited Contributions**

The complaint raises two related allegations relating to potential contributions from the City, in violation of BMC sections 2.12.445 and 2.12.500.A.7: (1) that the Committee used a City email distribution list for campaign purposes, and (2) that Committee staff used their City email accounts and City staff time to send campaign emails. The staff secretary's investigation has found no evidence to support either of these allegations.

*City Email List.* Because the City does not directly provide Councilmembers with email distribution lists, the question is whether City resources were used through Ms. Harrison's Council office to generate or maintain a campaign-related list, such that such a list could be considered a contribution from the City. The staff secretary has found no evidence of this. As indicated in the letters from Mr. Hendry (and verified in part by the Form 460 records), the campaign's email distribution list was hosted through an account with the Action Network paid for by the Committee in 2017 and 2018. In addition, according to Mr. Hendry, the account contains multiple email distribution lists that were



all generated using information supplied by Ms. Harrison herself, or collected by or paid for by the Committee, and not by the City.<sup>4</sup>

*Emails from City Staff.* The complaint also raised allegations that Ms. Harrison’s Council staff sent campaign emails from a City email account, leading to prohibited contributions of City resources and staff time. The staff secretary has not found sufficient evidence to support these allegations.

The only evidence presented was the email newsletter sent by Mr. Rosen from his City email address. While having the same individuals serve as both campaign aides and City staff members creates the potential for campaigns to blur the lines between City and campaign activities, it is the staff secretary’s conclusion that the email included in the complaint does not cross this line. While the campaign paid for the email to be sent, it was a garden-variety district newsletter that purported to inform constituents of ongoing policy issues in the City. It does not make any statements, for instance, “made directly or indirectly in aid of or in opposition to the nomination or election of one or more candidates.” (BMC § 2.12.100 (definition of “contribution”).) Nothing in the text of the message would indicate that Ms. Harrison was in midst of an election campaign, focusing instead on legislation, constituent concerns, and the profile of a local business. The only advocacy-related element of the email is the disclaimer at the end of the email stating that the Committee paid for the email. While this final line of the email could indicate the newsletter was sent in support of Ms. Harrison’s candidacy, it is the staff secretary’s conclusion that it should be viewed within the overall context of a long, relatively neutral email sent four months before the election took place. (See, e.g., *Vargas v. City of Salinas* (2009) 46 Cal.4th 1, 38-39 [determining that a city council newsletter sent one month before election and describing the negative impacts of a proposed ballot measure was informational and not campaign activity, based on “the style, tenor, and timing”].)<sup>5</sup>

For these reasons, the staff secretary does not recommend the Commission find probable cause of a violation of BERA’s contribution restrictions (BMC § 2.12.440 & 2.12.500.A.7).

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<sup>4</sup> It is unclear whether Ms. Harrison’s Council staff, while acting in that capacity, have spent any time maintaining the lists. The staff secretary has no evidence that this has occurred, and the Action Network appears to be a full-service email platform that does not require a significant amount of manual maintenance of distribution lists.

<sup>5</sup> The staff secretary notes that the Committee has stated that the FPPC disclaimer was inadvertently included in the newsletter. The staff secretary did not investigate whether other district newsletters sent by the Committee-supported email distribution list included a similar disclaimer, or when the Committee used the particular disclaimer that advises a recipient that she could unsubscribe from campaign emails but continue receiving newsletters. The question of whether an FPPC disclaimer would be required for any newsletters paid for by the Committee is beyond the scope of this staff report.

**B. Prohibited Use of Public Funds**

While the Committee's email practices do not appear to violate BERA's contribution restrictions, the facts revealed in the course of the investigation do suggest a violation of the Fair Election Act's permissible uses of public campaign funds. (See BMC § 2.12.530.A.) The Committee's filed Form 460 records show consistent \$15 monthly payments to the Action Network during the period from January to December 2018, when Ms. Harrison received public funds. Mr. Hendry's communications with the staff secretary make clear that these expenditures were to pay for the monthly costs of one joint account used by Ms. Harrison for both direct campaign purposes (sending campaign emails) *and* unrelated officeholder purposes (sending district newsletters). Because public financing funds may be spent only for direct campaign purposes, these expenditures were improper, and the staff secretary recommends the Commission find probable cause of a violation.

If the Commission finds probable cause of a violation, it may choose to dismiss the complaint, request the staff secretary investigate further, invite the Committee to participate in settlement negotiations, or move to a hearing. (See Procedures for the FCPC, § VI.C.2.) It may also consider the penalty provisions applicable to Fair Elections Act violations outlined in section II.C of this report. In making this determination, the Commission may consider the factors outlined in section III of this report (e.g., the Commission's policies and past actions, the candidate's and the treasurer's experience, presence of the intent to conceal or to commit the violation, effect on the election, etc.).

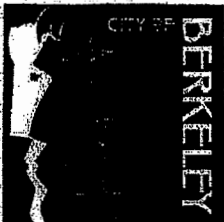
Staff does not have a recommendation for how the Commission should proceed, if it chooses to find probable cause of a violation. The 2018 election cycle was the first time candidates—including incumbents, like Ms. Harrison, who had operated under past election rules and practices—were subject to the Fair Elections Act. In addition, the violation here appears to have been inadvertent, and the Committee has responded quickly to most of the staff secretary's questions, provided documentation of expenditures, and not shown any intent to mislead or conceal, either during the investigation or in its Form 460 filings. It also seems unlikely to the staff secretary that the expenditure of Fair Elections funds to pay for the Councilmember's district newsletters had a significant impact on the election, given the neutral tone of the one newsletter included in the complaint.

If the Commission chooses to invite the Committee and Ms. Harrison to participate in settlement negotiations, it could determine that a stipulation resolving the violation should include a requirement the Committee and Ms. Harrison cease paying for Ms. Harrison's district newsletter services. (BMC §§ 2.12.231.A [Commission can order party to cease and desist violation of BERA].) If the Commission determines that any such stipulation should include a penalty, it will need to consider whether the violation was committed willfully or knowingly. (See *supra*, § II.C; BMC §§ 2.12.231 [penalty for BERA violations of up to \$1,000 or value of unlawful expenditure, whichever is greater]; 2.12.460 [knowing and willful violations of BERA may lead to penalty of up to three

times value of violation]; 2.12.550.B-C [knowing and willful violation of Fair Elections Act spending provisions shall lead to repaying amount equal to twice value of violation, following hearing].) In this case, the Commission would also need to consider how to value the violation. The simplest way is to consider the full amount of the improper expenditures to the Action Network: \$15 per month for 12 months (\$180). This is the amount the Committee paid, according to Form 460 records, in monthly fees from the time Ms. Harrison received public funding in January 2018 to the final payment listed on the Committee's Form 460. By contrast, it would be more difficult (and require more information) to value the violation as the added cost, if any, for the Committee to pay only for the district newsletter distribution and maintenance.

**Attachments**

1. Revised complaint of L. Menard with email attachments
2. Screenshots of K. Harrison's City Council webpage and the monthly newsletter sign-up landing page on the Action Network [as of May 10, 2019]
3. Email and letter from J. Hendry, received by staff secretary on May 2, 2019
4. Email and letter from J. Hendry, received by staff secretary on May 7, 2019
5. Amended Form 460 records for periods of (1) Jan. 1 to June 30, 2018; (2) July 1 to Sept. 22, 2018; (3) Sept. 23 to Oct. 20, 2018; (4) Oct. 21 to Dec. 31, 2018
6. Email from K. Harrison, received by staff secretary on May 3, 2019, with attachments
7. Letter and documents provided by K. Harrison to staff secretary on May 8, 2019



Fair Campaign Practices Commission

Complaint of Noncompliance

Berkeley Election Reform Act ("BERA")

CITY OF BERKELEY  
CLERK DEPT  
2018 OCT 19 PM 2:39

Received  
OCT 25 2018  
City Attorney

Full Name: Laura Menard  
Date: 10-18-2018  
Address: \_\_\_\_\_  
E-mail (optional but suggested): lmenard7@gmail.com  
Phone (optional but suggested): \_\_\_\_\_

Party or parties alleged to have committed or are about to commit a violation of BERA:  
Kate Harrison for City Council 2018

Clear, concise and accurate statement of the facts that constitute the violation of BERA. If additional space is needed, you may attach additional pages:

Ms. Harrison has been routinely using her email list accumulated as a City Council person to distribute literature and communications of her run for re-election. This can be evidenced by the attached email exemplar, which came direct to one of her constituents in her District, and in which the footer of the email indicates it is a list from "Kate Harrison, Berkeley City Council" as opposed to an email list from "Kate Harrison for City Council 2018." It also appears staff member M. Rosen is sending out emails with a disclaimer of "Paid for by Kate Harrison of City Council 2018."

Documents: Attach any documentation supporting the facts alleged.

Statements that are not based upon personal knowledge should identify the source of information that gives rise to the complainant's belief in the truth of such statements.

Member of our group A Better Berkeley received Harrison campaign emails.

**I declare under penalty of perjury under the laws of the State of California that all information submitted hereon and in the attachments is true and correct.**

Signature

Date 10/18/18

\*Use this "Complaint of Noncompliance" form to allege a violation of BERA pursuant to Berkeley Municipal Code Section 2.12.225 and the Procedures of the Fair Campaign Practices Commission.

## Agenda Item 8 -Attachment 1

**From:** Kate Harrison for Berkeley City Council <[campaign@electkateharrison.com](mailto:campaign@electkateharrison.com)>  
**Date:** October 15, 2018 at 10:58:09 AM PDT  
**To:**  
**Subject:** People Versus Money  
**Reply-To:** [campaign@electkateharrison.com](mailto:campaign@electkateharrison.com)

Her re-elect  
campaign email

Her re-elect  
campaign logo



Jon, I need your help. The Berkeley District 4 council race is being flooded with independent expenditures opposing me, the latest from the police union. I have advocated for independent police oversight, ensuring we treat all residents equally and protecting our civil liberties from excessive surveillance. These are not radical ideas – many cities across America are doing the same -- but to some, this makes me a threat.

I don't take any big money-- my campaign is funded completely by small donations and public financing-- so I can't out-raise my opponent.

**But our campaign can out-organize them.** Volunteer with us to spread the word about Kate Harrison and make sure we keep our strong progressive voice on Berkeley City Council. A few hours talking to voters can make a huge difference in local elections, so please get involved! No experience necessary -- we'll train you first.

- Canvass your neighborhood! RSVP [here](#) or respond to this email with a date and time.
- Phone bank from the comfort of your own home! Respond to this email indicating interest, and we'll send you a list of likely voters to call.
- Show your support by [requesting a yard sign](#), and ask your neighbors to sign up too!

Organized people will beat big money every time. But we need a movement of people to make it happen.

Paid for by Kate Harrison for City Council 2018. FPPC #1392842.

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Sent via [ActionNetwork.org](http://ActionNetwork.org). To update your email address, change your name or address, or to stop receiving emails from Kate Harrison, Berkeley City Council, please [click here](#).

This takes you to a form that says, "Do you really want to unsubscribe from Kate Harrison, Berkeley City Council's emails?"

Clearly using a list built  
while in elected office

## Agenda Item 8 -Attachment 1

**From:** Councilmember Kate Harrison <[mrosen@cityofberkeley.info](mailto:mrosen@cityofberkeley.info)>

**Date:** July 16, 2018 at 2:57:09 PM PDT

**To:**

**Subject:** July Newsletter: Change In Action

**Reply-To:** [mrosen@cityofberkeley.info](mailto:mrosen@cityofberkeley.info)

← City staff member (scroll to bottom of email to see connection & proof)

# *Eliminating Vacant Units*

UTILIZING OUR EXISTING HOUSING STOCK

Nearly 1,000 rent-controlled units sit vacant in the City of Berkeley each year; 440 of them are in rental properties that are entirely vacant and some of these buildings have sat empty for over 30 years. This adds to our housing scarcity and, in some cases, creates blight in our neighborhoods.

Legislation passed unanimously at the July 10 Council meeting marks a starting point in tackling this issue. I authored an amendment to the existing code that will categorize vacancy of more than 120 days (one year in case of inheritance) as a condition of blight. To be clear, two conditions are necessary to deem a property blighted; the legislation only adds long-term vacancy to the list of conditions. This modest legislation will provide a vehicle for the City to reach out to owners of long-term vacant, blighted rental stock.

# *Facilitating ADUs*

BUILDING EFFICIENTLY

## Agenda Item 8 -Attachment 1



Every month, I write about the housing crisis facing our City. That's not a coincidence -- it is, easily, the most pressing issue facing our region. It's hard to find solutions to the crisis that consider the City's lack of land and the expense of constructing new housing units. Accessory Dwelling Units (ADUs), in this respect, fit the bill. They're resource-efficient, density-friendly and support multi-generational housing. Some experts project that we could build 4,000 ADUs in Berkeley if we eased regulations and, at the meeting on July 24, we will vote on more changes that will open the door for the construction of many more of these units in Berkeley. Some of the reforms include excluding ADUs from rent control to encourage their construction and allowing existing structures to be rebuilt in place to provide much-needed housing.

## Agenda Item 8 -Attachment 1

When the City wonders what can be done to chip away at an ostensibly intractable issue, paving the way for ADU construction must be one of the primary answers.

# *Pathways Success*

HOUSING OUR HOMELESS





## Agenda Item 8 -Attachment 1

I'm extremely happy to report that the Pathways Project, a program dedicated to addressing homelessness in Berkeley, is already making a real impact. The STAIR Navigation Center, which opened just a couple of weeks ago, has filled all 45 of its beds with previously homeless residents of Berkeley.

Of course, the ultimate goal is not just to provide temporary housing solutions, but to provide a pathway toward permanent housing. To that end, the STAIR Center's seen two of its former residents already move out into permanent housing, with three more to follow next week. The program's outreach to encampments has become an extremely successful way to find clients interested in transitioning to permanent housing. It's gratifying to see our theoretical hopes of the potential of the Navigation Center fulfilled so swiftly, and gives me hope that we can use this model to continue to tackle homelessness, one project at a time.

# *Emergency Preparedness*

PREPARING FOR THE WORST-CASE SCENARIOS

As it stands, only roughly half of City of Berkeley employees receive the necessary training to respond to emergency situations. At the July 24 meeting, the Council will consider a proposal authored by Councilmember Davila and I to train all remaining employees in emergency and disaster preparedness.

I believe this to be an urgent matter on which we need to take action. The state of California, more so than perhaps any other state, finds itself in the crosshairs of a potential catastrophe. Earthquakes, floods, and fires constantly threaten our way of being. We need every City employee to understand how to respond in these situations, because in the unlikely event of an emergency, every single one of us must harbor the potential to save a life as a first responder.

# *Helping Our Constituents*

SERVING THE COMMUNITY

We receive dozens of phone calls a week from concerned constituents. Some can be dealt with on the phone, while others require more in-depth resolution. From March of 2017 to July of 2018, our office received more than 440 constituent complaints that required we intervene with the City bureaucracy. The most common of these contacts involved:

- Planning issues (75),
- Nuisances, health, trash or environmental concerns (65),
- Infrastructure, parking or traffic (51),
- Police or safety (51),
- Housing (27), and
- Homelessness (20)

We spend a lot of time writing policy and solving bureaucratic issues, but the core of our work falls under the confines of good old customer service. I take pride in the fact that my office responds to each and every constituent request to the best of our ability.

# *Fiscal Responsibility*

## MANAGING OUR CITY FINANCES

Unfunded pension liabilities continue to be a pressing financial concern for local governments, draining resources from needed services and creating financial instability. On June 26, 2018, the Council tackled this issue proactively by establishing a trust fund to begin to combat unfunded pension liabilities. The trust will set aside funds to help pay down unfunded liabilities, prepare for and help cushion unexpected state (CALPERS) pension rate increases and market losses and improve the City's credit rating. Creating the trust is an alternative to sending the funds to CalPERS that allows for greater local control over the assets and investment portfolio management. Also, our investment returns are typically less volatile than those of CalPERS.

At the same time, the Council voted to continue increasing general reserve funds. We now have the largest reserve in the City's history, further cushioning us from likely future financial downturns. Earlier this year, we adopted strict policies limiting how and when reserve funds can be used. These actions, I believe, represent best practices in financial management.

# *Copy Central*

## SMALL BUSINESS OF THE MONTH

## Agenda Item 8 -Attachment 1



Next month, we're celebrating one of Berkeley's longest-running businesses, Copy Central, at our District 4 Small Business of the Month celebration on August 7. Copy Central started here and has served the Berkeley community since 1983, thriving to this day. It's a go-to place for printing in bulk orders, and I've had nothing but positive interactions with the staff at their multiple Berkeley locations. Stay tuned for details on a reception we'll host at their location on 1971 Shattuck, replete with free snacks and beverages.

Paid for by Kate Harrison for City Council 2018. FPPC # 1392842. Want to opt-out of campaign emails but still receive council updates? [Click here.](#)

Acknowledgement that this is a campaign email. So why was it sent by a city staff member?

Sent via [ActionNetwork.org](https://www.actionnetwork.org). To update your email address, change your name or address, or to stop receiving emails from Kate Harrison, Berkeley City Council, please [click here](#).

Using a Council email list to promote her re-election

# CITY COUNCIL DISTRICT 4



City Council District 4

---

## District 4 Councilmember, Kate Harrison



|                                    |                                    |                                    |                                     |
|------------------------------------|------------------------------------|------------------------------------|-------------------------------------|
| <a href="#"><u>Initiatives</u></a> | <a href="#"><u>Legislation</u></a> | <a href="#"><u>In the News</u></a> | <a href="#"><u>Office Hours</u></a> |
|------------------------------------|------------------------------------|------------------------------------|-------------------------------------|

Please do not hesitate to contact my office with any concern, recommendation, or question you have.

Phone: [510 981 7140](tel:5109817140)

Email: [KHarrison@cityofberkeley.info](mailto:KHarrison@cityofberkeley.info)

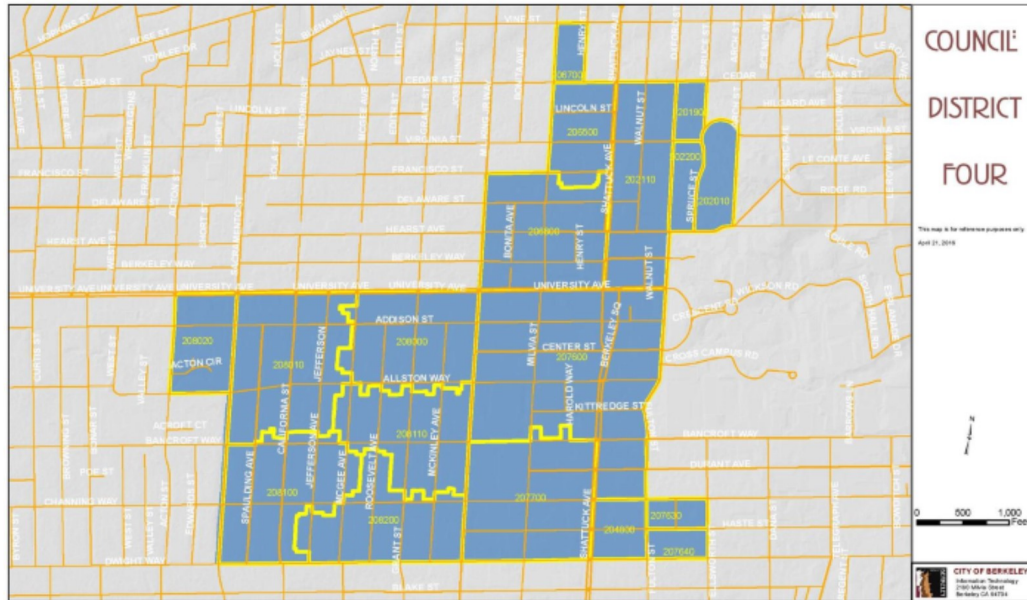
Staff:

Sandy Barnard - [SBarnard@cityofberkeley.info](mailto:SBarnard@cityofberkeley.info)

Christopher Naso - [CNaso@cityofberkeley.info](mailto:CNaso@cityofberkeley.info)

*Sign-Up for our Monthly [Newsletter!](#)*

# Agenda Item 8 - Attachment 2



|                              |                                  |                                |                                       |                                   |                                |                                |                                   |
|------------------------------|----------------------------------|--------------------------------|---------------------------------------|-----------------------------------|--------------------------------|--------------------------------|-----------------------------------|
| <a href="#">Services A-Z</a> | <a href="#">Council Meetings</a> | <a href="#">Municipal Code</a> | <a href="#">Online Service Center</a> | <a href="#">Online Video Page</a> | <a href="#">Public Records</a> | <a href="#">Records Online</a> | <a href="#">Homeless Services</a> |
|------------------------------|----------------------------------|--------------------------------|---------------------------------------|-----------------------------------|--------------------------------|--------------------------------|-----------------------------------|

5/10/2019

City Council District 4 - City of Berkeley, CA

## **Agenda Item 8 - Attachment 2**

City of Berkeley - Central Administrative Offices, 2180 Milvia St, Berkeley, CA 94704

(510) 981-CITY/2489 or 311 from any landline in Berkeley

TTY: (510) 981-6903



START ORGANIZING

LOGIN OR SIGNUP

## Sign-Up for Councilmember Harrison's District 4 Monthly Newsletter!

Stay up to date with recent achievements we have made on the Berkeley City Council in areas such as enhancing infrastructure, parks, and our downtown, improving access to affordable housing, and ensuring peace and justice in this community. Learn about upcoming meetings, community events, and local opportunities where you have a chance to get involved and share your input.

91 Actions Taken

Only 9 more until our goal of 100

### SIGN-UP FOR OUR NEWSLETTER

Not in the US?

ADD YOUR NAME

FORM BY



**TARA SREEKRISHNAN**  
Cupertino, California

SPONSORED BY



**KATE HARRISON**

You may receive updates from *Kate Harrison*, the sponsor of this form.

You may receive updates from *Tara Sreekrishnan*, the creator of this form.

Edit Subscription Preferences





Action Network is an open platform that empowers individuals and groups to organize for progressive causes. We encourage responsible activism, and do not support using the platform to take unlawful or other improper action. We do not control or endorse the conduct of users and make no representations of any kind about them.

This website uses cookies for personalisation. [Click here to learn more or change your cookie settings.](#) By continuing to browse and submitting your information, you agree to our use of cookies.

Maps powered by [Mapbox](#).

## GET HELP

Full documentation, knowledge base, and tutorial videos are available [here](#).

## GET IN TOUCH

Send us an email with your name and your message at [support@actionnetwork.org](mailto:support@actionnetwork.org) and we'll get back to you as soon as possible.

## WANT TO PARTNER WITH US?

Action Network partners get access to our full range of tools, including the ability to upload activists and subscribe them to your list, create custom-branded email and page wrappers, and more. Join organizations large and small who've made the switch!

[Learn more about our partnerships →](#)

## GET AROUND

[Home](#)

[Our Toolset](#)

[Partnerships](#)

## ORGANIZE

[Create Petition](#)

[Create Event](#)

[Create Ticketed Event](#)

## Agenda Item 8 - Attachment 2

- FAQ
- Find A Group
- Help & Videos
- Trainings
- Billing FAQ
- API Documentation
- SQL Mirror Documentation
- oEmbed Documentation
- Found a bug?
- Contact Us
- Release Notes
- Renewable Energy
- Translators
- Blog
- Privacy Notice
- Cookies Notice
- Terms Of Service
- Acceptable Use Policy

- Create Form
- Create Fundraiser
- Create Letter Campaign
- Create Email
- Create Report
- Create Query
- Search & Add Activists

Add Files

Create Group

### SOCIALIZE WITH US



## Agenda Item 8 - Attachment 3

**Soichet, Emma**

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**From:** James E Hendry <jameshendry@sbcglobal.net>  
**Sent:** Thursday, May 02, 2019 10:39 PM  
**To:** FCPC (Fair Campaign Practices Commission)  
**Cc:** Brown, Farimah F.; kate@kateharrisonconsulting.com  
**Subject:** Response to alleged FCPC complaint -- Kate Harrison for Berkeley City Council  
**Attachments:** FCPC Complaint -- Response.docx

Dear Ms. Soichet:

Attached please find the response of Kate Harrison for Berkeley City Council 2018 to the alleged complaint filed by Ms. Menard. Separately, we have invoices and cancelled checks from Mr. Rosen showing these payments for campaign work came from campaign funds. Please feel free to contact me with any questions you may have. Thank you.

## Agenda Item 8 - Attachment 3

Ms. Emma Soichet  
Secretary, Berkeley Fair Campaign Practices Commission (FCPC)  
c/o City Attorney's Office  
2180 Milvia St. Berkeley, CA 94704  
via e-mail

RE: Alleged Complaint of Non-Compliance with Berkeley Election Reform Act (BERA) received by City Attorney's Office on October 25, 2018

Dear Ms. Soichet,

This note responds to allegations asserted in the above complaint.

As a threshold matter, the complaint is essentially hearsay, as the complainant alleges that another person or persons (unnamed) received a communication sent to an e-mail allegedly gathered from the city. However, as the name is deleted from these e-mails, we are unable to discern (given the multitude of potential sources) how this person received this e-mail. It is entirely possible that the recipient either voluntarily subscribed to our e-mail list, was forwarded it by someone else, or that his/her e-mail came from other non-City generated sources including electoral databases which provide candidates with voter-provided e-mails. Instead, the complaint alleges the use of a City financed e-mail distribution list, which, as also discussed below, does not exist. Accordingly, we are forced into trying to prove a negative. We believe this in and of itself renders the so-called evidence moot and the complaint without merit, as there is no evidence provided to support the allegations, and allegations do not rise to an actionable complaint. We ask that the matter be closed on this basis.

If you do decide to continue to pursue this complaint, we request that you defer consideration until we can provide you with a list of all of Councilmember Harrison's office expenditures since she took office. These will provide definitive proof that no City resources are used to support the mass email service account. We are requesting City of Berkeley staff to provide Ms. Harrison with this documentation, if necessary, to resolve this matter.

As a courtesy, we are also responding to the specific issues raised in the complaint.

The first part of the complaint alleges that Ms. Harrison used a city-generated or maintained email distribution list. The City of Berkeley does not provide lists to Councilmembers to communicate with constituents. Ms. Harrison has also never used City resources to pay to send emails.

As noted above, we are in the process of requesting the City of Berkeley prepare a listing of all of Councilmember Harrison's office expenditures since she took office to demonstrate conclusively that no public funds were used in mass email communications.

Ms. Harrison has generated her own mailing lists. Having lived in Berkeley for 42 years, Ms. Harrison has a wide acquaintance of neighbors and persons involved in City politics and had already acquired, well before running for the City Council the first time, an extensive e-mail list. This list has been supplemented by her recent campaigns. Sources include door to door canvassing, house parties, local

## Agenda Item 8 - Attachment 3

political clubs and organizations, and e-mail lists from Ms. Harrison's previous campaigns for City Council and the Alameda County Democratic Central Committee. As a candidate, Ms. Harrison is also provided with a list of emails from the PDI voter database (purchased with campaign funds) to use for campaign purposes. As a result, persons (such as the unnamed person cited in the complaint) could easily have been added to this e-mail list and received campaign emails by virtue of providing their e-mails to the Registrar of Voters, which supplies these lists to private database firms.<sup>1</sup> If the un-named person or persons who received campaign emails did not sign up directly with the campaign, they likely received emails due to their inclusion on the Registrar list. This is a common confusion among voters, who may have provided an email many years ago to the Registrar, and even at that time may not have realized that campaigns are provided with those emails for use for political purposes.

Email communication platforms such as Action Network or Constant Contact (both used extensively by elected officials and campaigns) are required to have a standard footer associated with the account. That standard footer here is "Kate Harrison, Berkeley City Council." The standard footer on the account does not mean that it was an email paid for by the City, as implied in the complaint. In fact, the opposite is true. There have been no emails sent by Kate Harrison as a candidate or a Councilmember that has ever been paid for with City funds.

All of Ms. Harrison's city staff are part-time. Staff of elected officials often also commonly work, on their own-time, on the official's campaign. While working on Council business, they are paid with City funds. While working on campaign issues, they are paid from campaign funds (or volunteer their time). Mr. Rosen worked both in the office part time and under contract as a campaign staff person in his time away from City employment. We attach as Attachment 1 samples of his invoices as a campaign worker, the cancelled checks and corresponding reporting of those expenses. Mr. Rosen sent many emails in his capacity as a campaign employee. Those emails all reflect that they were properly sent from campaign@electkateharrison.com. In addition, they properly reflect that the content of the email was related to work being done regarding the campaign, and therefore they all properly have the footer "paid for by Kate Harrison for Berkeley City Council," with the associated FPPC number.

Mr. Rosen apparently made a mistake on one occasion when he was working in his capacity as a City employee after he had left the campaign by sending out an email that contained that same footer, "paid for by Kate Harrison for Berkeley City Council." Specifically, Mr. Rosen was sending an email to District 4 constituents from a different segmented email list kept on Action Network. This was a simple mistake and nothing more. Mr. Rosen's work on the office newsletter was a proper use of City resources, and is in the normal course of my office's Council work, before, during and after any election. His failure to remove the recital about campaigns was an innocent oversight that does not merit concern, and does not render the newsletter a campaign communication.

Throughout her career, Ms. Harrison has diligently sought the highest standards of integrity and transparency and is disappointed by this unfounded complaint. As discussed above, the complaint is

<sup>1</sup> As also noted in the mailers attached to the complaint, Ms. Harrison provides an easy opportunity for all recipients to opt-out of receiving these messages.

## **Agenda Item 8 - Attachment 3**

based on hearsay – from an unidentified individual or individuals. The complaint is entirely unsupported, and therefore it does not state an actionable matter. Finally, as the Commission’s procedures note, in deciding if a complaint occurred, the FCPC may consider “the history or absence of other campaign violations” as well as “whether the probable violation was deliberate, negligent or inadvertent.”<sup>2</sup> Ms. Harrison’s campaigns to date have received no violations and as discussed above the complaint raises no substantive issues.

Thank you for your consideration of this matter.

Sincerely,

James Hendry  
Assistant Treasurer

Cc: Farimah Brown, City Attorney

Kate Harrison, Councilmember District 4

<sup>2</sup> Procedures for the FCPC, Section VI. C.I. (b) and (d).

## Agenda Item 8 - Attachment 4

**Soichet, Emma**

---

**From:** James E Hendry <jameshendry@sbcglobal.net>  
**Sent:** Tuesday, May 07, 2019 10:33 AM  
**To:** FCPC (Fair Campaign Practices Commission)  
**Cc:** Brown, Farimah F.; kate@kateharrisonconsulting.com  
**Subject:** Re: Response to alleged FCPC complaint -- Kate Harrison for Berkeley City Council  
**Attachments:** FCPC Complaint -- Follow-up Response.docx

Dear Ms. Soichet:

Attached please find the response of Kate Harrison for Berkeley City Council 2018 to your follow-up questions.

## Agenda Item 8 - Attachment 4

May 6, 2019

Ms. Emmamuelle Soichet  
Secretary, Berkeley Fair Campaign Practices Commission (FCPC)  
c/o City Attorney's Office  
2180 Milvia St. Berkeley, CA 94704  
via e-mail

RE: Alleged Complaint of Non-Compliance with Berkeley Election Reform Act (BERA) received by City Attorney's Office on October 25, 2018 – Follow-up

Dear Ms. Soichet,

This letter responds to your second request (on May 3, 2019), for additional information concerning your investigation into the alleged complaint. We believe, for the reasons set forth in our prior communications, that there is no probable cause or substance to this complaint and we reiterate our request, set forth in our May 2, 2019 letter, that the matter be closed. As we also stated in that letter, we will be able to provide definitive evidence to refute the alleged violation set forth in the complaint. Specifically, we have asked for the City's records showing all office expenditures made by Councilmember Harrison's office since her election in 2017, which will show that no City funds were ever used by Ms. Harrison's office to produce, maintain or distribute campaign email. In the meantime, we ask that you accept our word that this is the truth.

Unless there is a reason that you cannot accept our word with respect to the issue of Ms. Harrison's office expenditures, we do not believe a continuance is necessary. Instead, given that we have now had an extensive exchange of information — including the complete and comprehensive answers set forth below — we believe that you have enough information to immediately close this matter. If you do not agree, please provide a response to this letter that details why further review is necessary and in what ways our responses as set forth below and in our May 2, 2019 letter are not sufficient to make a “no probable cause” finding at this time, with sufficient time to allow us to review any response prior to any item being presented to the Commission.

To further assist you in making a “no probable cause” finding, our responses to your most recent (May 3) questions follow organized by the questions asked in your email.

1. Question: Your letter requests that the commission defer consideration of this complaint until you are able to provide documentation of all of Ms. Harrison's office expenditures, and states that you "are requesting City of Berkeley staff to provide Ms. Harrison" this documentation. To clarify, have you already made this request to City staff? If so, do you have an estimated date for when this information will be provided to the Commission?

Answer: We requested those documents immediately after you confirmed that you would be reviewing whether any actionable allegations had been raised. As you know, on the evening of April 25th, you indicated that there might *not* be an actionable complaint against the campaign. One day later (on April 26<sup>th</sup>), you then stated that you would investigate the complaint. Although a meeting with the campaign was planned for April 26, you asked to delay that meeting. Instead, you provided detailed questions for the campaign on April 30, 2019. We promptly reviewed those questions, compiled information immediately available to the campaign, and requested documentation from the City's



## Agenda Item 8 - Attachment 4

finance department regarding Ms. Harrison's City expenditures. Unfortunately, we cannot control how long the City might take to produce the requested account information, but we hope to have those documents very soon. That said, we believe you should rely on the truthfulness of our reply — that no City funds were used to send campaign emails and asked that you continue the hearing only if you felt that was not adequate. Please consider the supporting documents to be an extra formality.

2. Question: In your letter, you state that "no City resources are used to support the mass email service account," but it's unclear what account you are specifically referring to.

Answer: There is a single mass email account used by Kate Harrison. It is the ActionNetwork account. At no time has that account been paid using City funds.

3. Question: You also state that "no public funds were used in mass email communications." I want to make sure that I understand the scope of this response. My question was whether any City funds have been used to generate or maintain email distribution lists used by Ms. Harrison or to send mass emails on her behalf.

Answer: No City funds have been used to generate or maintain Kate Harrison's email distribution lists. Ms. Harrison, like all City Council members and the Mayor, composes newsletters using staff time. Some Councilmembers use their small City "supply" budgets to pay to maintain their email lists and/or distribute newsletters, but Councilmember Harrison does not. As stated above, Ms. Harrison has never spent any city or other public funds to pay for the email service she uses.

4. Question: Was your answer that no City funds have been used for any of these purposes, for any email distribution lists or communications? For instance, no City funds have ever been paid to the Action Network or similar platforms, or to purchase email lists.

Answer: Correct. Please see answer above.

5. Question: As a follow up, can you please confirm that that the Action Network is the only platform that Ms. Harrison has used for both district- and campaign-related email communications since she took office in 2017?

Answer: Yes.

6. Relatedly, I understand from your letter that communications to district constituents are sent from a "different segmented email list" on the Action Network than campaign emails. Does that mean that the email lists are part of the same account?

Answer: The email lists are segregated within one ActionNetwork account. There is no separate charge incurred under ActionNetwork for the use of segregated email lists. Ms. Harrison opened this ActionNetwork account when she first ran for office in 2016/2017. She paid for that account using campaign funds. She continued to pay for that account after she was elected using remaining campaign funds from the 2017 election, as fully allowed under campaign law before public financing was enacted. At that time, Ms. Harrison created a segregated email list in her ActionNetwork account. No additional ActionNetwork charge was incurred for the segregated email list.

## Agenda Item 8 - Attachment 4

When Ms. Harrison ran for re-election in 2018, she opted into public financing. She properly liquidated the remaining funds in the bank account and re-designated the 2016/2017 campaign committee and bank account for the 2018 campaign. As shown on the campaign disclosure forms, we paid for ActionNetwork using campaign funds. Because, as stated above, no separate charge was billed by ActionNetwork for the segregated email list, we had no charge to pass on to the City for sending out newsletters.

After Ms. Harrison was re-elected in 2018, she returned all unused campaign funds to the City of Berkeley. At that point, she began to pay for ActionNetwork using her own personal funds.

7. Question: Can you please verify the monthly costs for the platform's services for that account or, if the lists are held in separate accounts, for each account? I ask because the Form 460s on file for the Kate Harrison for City Council 2018 committee show payments to "Action Network" for "monthly fee[s]." If the campaign committee has paid for all of the email services since Ms. Harrison took office, this would be another way of demonstrating that the city did not contribute.

Answer: The monthly costs for the platform began at \$10/month and then increased to \$15 during the time Ms. Harrison used it for the campaign, as shown in the Form 460s as referenced in your question.

Following Ms. Harrison's return of all unused campaign funds from the 2018 campaign to the City of Berkeley, Ms. Harrison has paid for all emails she has sent from personal funds.

8. Question: How is/are the Action Network account/s (or the accounts related to any other email communication platforms Ms. Harrison uses) currently paid for?

Answer: They are not paid for with City or campaign funds. They are currently paid for from Ms. Harrison's personal funds.

### Conclusion

Ms. Harrison has never used City resources to pay for a "mass email service" account — which is the question we understood to be at issue as an alleged violation under BERA. We expect to have the City's records to conclusively establish this fact shortly. Nonetheless, even without the definitive proof of the City's records, we feel compelled to emphasize that you have received the campaign's statement regarding this fact in writing numerous times, and for that reason, we reiterate our request that you dismiss this action.

## **Agenda Item 8 - Attachment 4**

Thank you for your consideration of these further responses, which together with our May 2 letter provide a complete response to the allegations.

Sincerely,

James Hendry  
Assistant Treasurer

Cc: Farimah Brown, City Attorney

Kate Harrison, Councilmember District 4

**Recipient Committee  
Campaign Statement  
Cover Page**

(Government Code Sections 84200-84216.5)

For Official Use Only

Date Stamp

E-Filed  
01/29/2019  
21:32:59

Filing ID:  
176093872

**Statement covers period**  
from 01/01/2018  
through 06/30/2018

**Date of election if applicable:**  
(Month, Day, Year)  
11/06/2018

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees – Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee
  - State Candidate Election Committee
  - Recall  
*(Also Complete Part 5)*
- General Purpose Committee
  - Sponsored
  - Small Contributor Committee
  - Political Party/Central Committee
- Primarily Formed Ballot Measure Committee
  - Controlled
  - Sponsored  
*(Also Complete Part 6)*
- Primarily Formed Candidate/Officeholder Committee  
*(Also Complete Part 7)*

**2. Type of Statement:**

- Preelection Statement
- Semi-annual Statement
- Termination Statement  
*(Also file a Form 410 Termination)*
- Amendment (Explain below)
- Quarterly Statement
- Special Odd-Year Report
- Supplemental Preelection Statement - Attach Form 495

Added 2 expenses to Schedule E (\$4.03 total), corrected amount of 1 expense previously reported, rec onciled Vantiv fees.

**3. Committee Information**

I.D. NUMBER  
1392842

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Kate Harrison for City Council 2018

STREET ADDRESS (NO P.O. BOX)

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94709</u> | <u>(510)593-9591</u> |

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

|      |       |          |                 |
|------|-------|----------|-----------------|
| CITY | STATE | ZIP CODE | AREA CODE/PHONE |
|------|-------|----------|-----------------|

OPTIONAL: FAX / E-MAIL ADDRESS  
kateha76@gmail.com

**Treasurer(s)**

NAME OF TREASURER  
Erin Diehm

MAILING ADDRESS

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94703</u> | <u>(857)472-1953</u> |

NAME OF ASSISTANT TREASURER, IF ANY

James Hendry

MAILING ADDRESS

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94709</u> | <u>(415)867-9596</u> |

OPTIONAL: FAX / E-MAIL ADDRESS  
erindiehm@hotmail.com

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 01/29/2019  
Date

By Erin Diehm  
Signature of Treasurer or Assistant Treasurer

Executed on 01/29/2019  
Date

By Kate Harrison  
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**CALIFORNIA  
FORM 460**

Page 2 of 33

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
 Kate Harrison  
 OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
 City Council Member District 4  
 RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
 Berkeley CA 94709

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

|                      |              |                                                                     |
|----------------------|--------------|---------------------------------------------------------------------|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|----------------------|--------------|---------------------------------------------------------------------|

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

|                       |                     |
|-----------------------|---------------------|
| OFFICE SOUGHT OR HELD | DISTRICT NO. IF ANY |
|-----------------------|---------------------|

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |

*Attach continuation sheets if necessary*

**Campaign Disclosure Statement  
Summary Page**

**Agenda Item 8 - Attachment 5**

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

|                                                   |                                |
|---------------------------------------------------|--------------------------------|
| Statement covers period<br>from <u>01/01/2018</u> | <b>CALIFORNIA<br/>FORM 460</b> |
| through <u>06/30/2018</u>                         |                                |
| Page <u>3</u> of <u>33</u>                        | I.D. NUMBER<br><u>1392842</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**Contributions Received**

|                                                              | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|--------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 1. Monetary Contributions ..... <i>Schedule A, Line 3</i>    | \$ <u>3,825.99</u>                                         | \$ <u>3,825.99</u>                         |
| 2. Loans Received ..... <i>Schedule B, Line 3</i>            | <u>0.00</u>                                                | <u>0.00</u>                                |
| 3. SUBTOTAL CASH CONTRIBUTIONS ..... <i>Add Lines 1 + 2</i>  | \$ <u>3,825.99</u>                                         | \$ <u>3,825.99</u>                         |
| 4. Nonmonetary Contributions ..... <i>Schedule C, Line 3</i> | <u>0.00</u>                                                | <u>0.00</u>                                |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... <i>Add Lines 3 + 4</i> | \$ <u>3,825.99</u>                                         | \$ <u>3,825.99</u>                         |

**Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections**

|                            | 1/1 through 6/30 | 7/1 to Date |
|----------------------------|------------------|-------------|
| 20. Contributions Received | \$ _____         | \$ _____    |
| 21. Expenditures Made      | \$ _____         | \$ _____    |

**Expenditures Made**

|                                                                    | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|--------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 6. Payments Made ..... <i>Schedule E, Line 4</i>                   | \$ <u>14,451.04</u>                                        | \$ <u>14,451.04</u>                        |
| 7. Loans Made ..... <i>Schedule H, Line 3</i>                      | <u>0.00</u>                                                | <u>0.00</u>                                |
| 8. SUBTOTAL CASH PAYMENTS ..... <i>Add Lines 6 + 7</i>             | \$ <u>14,451.04</u>                                        | \$ <u>14,451.04</u>                        |
| 9. Accrued Expenses (Unpaid Bills) ..... <i>Schedule F, Line 3</i> | <u>0.00</u>                                                | <u>0.00</u>                                |
| 10. Nonmonetary Adjustment ..... <i>Schedule C, Line 3</i>         | <u>0.00</u>                                                | <u>0.00</u>                                |
| 11. TOTALEXPENDITURES MADE ..... <i>Add Lines 8 + 9 + 10</i>       | \$ <u>14,451.04</u>                                        | \$ <u>14,451.04</u>                        |

**Expenditure Limit Summary for State  
Candidates**

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy) | Total to Date |
|--------------------------------|---------------|
| ____/____/____                 | \$ _____      |
| ____/____/____                 | \$ _____      |

**Current Cash Statement**

|                                                                                           |                     |
|-------------------------------------------------------------------------------------------|---------------------|
| 12. Beginning Cash Balance ..... <i>Previous Summary Page, Line 16</i>                    | \$ <u>4,288.36</u>  |
| 13. Cash Receipts ..... <i>Column A, Line 3 above</i>                                     | <u>3,825.99</u>     |
| 14. Miscellaneous Increases to Cash ..... <i>Schedule I, Line 4</i>                       | <u>40,300.00</u>    |
| 15. Cash Payments ..... <i>Column A, Line 8 above</i>                                     | <u>14,451.04</u>    |
| 16. <b>ENDING CASH BALANCE</b> ..... <i>Add Lines 12 + 13 + 14, then subtract Line 15</i> | \$ <u>33,963.31</u> |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

*If this is a termination statement, Line 16 must be zero.*

|                                                              |                |
|--------------------------------------------------------------|----------------|
| 17. LOAN GUARANTEES RECEIVED ..... <i>Schedule B, Part 2</i> | \$ <u>0.00</u> |
|--------------------------------------------------------------|----------------|

**Cash Equivalents and Outstanding Debts**

|                                                                          |                |
|--------------------------------------------------------------------------|----------------|
| 18. Cash Equivalents ..... <i>See instructions on reverse</i>            | \$ <u>0.00</u> |
| 19. Outstanding Debts ..... <i>Add Line 2 + Line 9 in Column B above</i> | \$ <u>0.00</u> |

**Schedule A  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A

Amounts may be rounded  
to whole dollars.

|                         |            |                            |
|-------------------------|------------|----------------------------|
| Statement covers period |            | <b>CALIFORNIA FORM 460</b> |
| from                    | 01/01/2018 |                            |
| through                 | 06/30/2018 | Page <u>4</u> of <u>33</u> |

SEE INSTRUCTIONS ON REVERSE

|                                                          |                            |
|----------------------------------------------------------|----------------------------|
| NAME OF FILER<br><br>Kate Harrison for City Council 2018 | I.D. NUMBER<br><br>1392842 |
|----------------------------------------------------------|----------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/02/2018         | Audel Davis<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | -50.00                      | -50.00                                                 | G2018 \$0.00                          |
| 01/10/2018         | Joan Baumrind<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Artist/Teacher<br>Richmond Adult School                                                       | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/11/2018         | Ellen Brotsky<br>Berkeley, CA 94707                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/11/2018         | Donald Lathbury<br>Berkeley, CA 94709                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self-employed (Berkeley, CA)                                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/12/2018         | Lisa Bullwinkel<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Event Producer<br>Self-employed (Berkeley, CA)                                                | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 150.00                      |                                                        |                                       |

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 3,450.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 375.99
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 3,825.99

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>5</u> of <u>33</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/12/2018         | Bobbie Steinhart<br>Berkeley, CA 94707                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 01/15/2018         | Margaret Wilkinson<br>Berkeley, CA 94703                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| 01/15/2018         | Rob Wrenn<br>Berkeley, CA 94705                                                                 | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 01/16/2018         | Joshua Sperry<br>Berkeley, CA 94705                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Union Representative<br>ESC Local 20 IFPTE                                                    | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 01/17/2018         | James Chang<br>Berkeley, CA 94704                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Rent Board Commissioner<br>City of Berkeley                                                   | 50.00                       | 50.00                                                  | S2017 \$150.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee



**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>6</u> of <u>33</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/18/2018         | David Ushijima<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self (ATC, Berkeley CA)                                                         | -50.00                      | -50.00                                                 | G2018 \$0.00                          |
| 01/19/2018         | Jerry Fillingim<br>Berkeley, CA 94708                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Social Worker<br>California Nurses Association                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/21/2018         | Katherine Hommel<br>Berkeley, CA 94704                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Massage therapist<br>Harbor Bay Club                                                          | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/21/2018         | James McFadden<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Physicist<br>UC Berkeley                                                                      | 50.00                       | 50.00                                                  | S2017 \$240.00<br>G2018 \$50.00       |
| 01/23/2018         | Lynne Cameron<br>Berkeley, CA 94707                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 150.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>7</u> of <u>33</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/23/2018         | Cindy Shamban<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/23/2018         | Ian Shepherd<br>Berkeley, CA 94707                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 01/24/2018         | Robert Marsh<br>Berkeley, CA 94703                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Engineer<br>Inveneo Inc.                                                                      | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/25/2018         | Priscilla Birge<br>Berkeley, CA 94708                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/25/2018         | Stephen Levine<br>Berkeley, CA 94709                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Researcher<br>Self (Berkeley, CA)                                                             | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

# Agenda Item 8 - Attachment 5

## Schedule A (Continuation Sheet) Monetary Contributions Received

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                           |                            |
|---------------------------|----------------------------|
| Statement covers period   | <b>CALIFORNIA FORM 460</b> |
| from <u>01/01/2018</u>    | Page <u>8</u> of <u>33</u> |
| through <u>06/30/2018</u> |                            |

|                                                          |                            |
|----------------------------------------------------------|----------------------------|
| NAME OF FILER<br><br>Kate Harrison for City Council 2018 | I.D. NUMBER<br><br>1392842 |
|----------------------------------------------------------|----------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/26/2018         | Julianna Dickey<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 01/26/2018         | Patricia Marsh<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/28/2018         | Sam Frankel<br>Berkeley, CA 94702                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 01/28/2018         | Marjorie Garlin<br>Berkeley, CA 94707                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | teacher<br>retired from BUSD                                                                  | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/28/2018         | Victor Garlin<br>Berkeley, CA 94707                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>9</u> of <u>33</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/28/2018         | Rita Maran<br>Berkeley, CA 94709                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$27.00<br>G2018 \$50.00        |
| 01/28/2018         | James McGrath<br>Berkeley, CA 94705                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 01/28/2018         | Nancy Schimmel<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Songwriter<br>Self (Nancy Schimmel,<br>Berkeley)                                              | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 01/28/2018         | Tony Wilkinson<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 01/29/2018         | Vincent Casalaina<br>Berkeley, CA 94704                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Video Producer<br>Self-employed (Berkeley)                                                    | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>10</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 01/29/2018         | Deborah Dille<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 01/31/2018         | Mary Flynn<br>Berkeley, CA 94707                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self (Mary Flynn Consulting, Berkeley, CA)                                      | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 01/31/2018         | Michael Lonergan<br>Berkeley, CA 94708                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 02/04/2018         | Joe Berry<br>Berkeley, CA 94707                                                                 | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | n/a (retired)<br>Teacher (City College SF)                                                    | 50.00                       | 50.00                                                  | S2017 \$27.00<br>G2018 \$50.00        |
| 02/04/2018         | William Kimmerer<br>Berkeley, CA 94708                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Scientist-researcher<br>San Francisco State University                                        | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
     (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>11</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 02/04/2018         | Margo Schueler<br>Berkeley, CA 94710                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 02/04/2018         | Leah Simon-Weisberg<br>Berkeley, CA 94703                                                       | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Lawyer<br>Centro Legal De La Raza                                                             | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| 02/05/2018         | Judith Shattuck<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 02/05/2018         | Melissa Weaver<br>Berkeley, CA 94708                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Scientist consulatant<br>Self (Melissa Weaver,<br>Unincorporated, Berkeley)                   | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 02/06/2018         | Shirley Dean<br>Berkeley, CA 94707                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>12</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 02/07/2018         | Vincent Casalaina<br>Berkeley, CA 94704                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Video Producer<br>Self-employed (Berkeley)                                                    | -50.00                      | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| 02/07/2018         | Vincent Casalaina<br>Berkeley, CA 94704                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Video Producer<br>Self-employed (Berkeley)                                                    | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| 02/07/2018         | Deborah Dille<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 02/07/2018         | Deborah Dille<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | -50.00                      | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 02/07/2018         | Jesse Townley<br>Berkeley, CA 94702                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Production Manager<br>Fat Wreck Chords                                                        | 50.00                       | 50.00                                                  | S2017 \$25.00<br>G2018 \$50.00        |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 50.00                       |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>13</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 02/10/2018         | David Fielder<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 02/11/2018         | Mary Kay Lacey<br>Berkeley, CA 94705                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Attorney<br>Self (Mary Kay Lacey, Esq,<br>Berkeley)                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/12/2018         | Stephen Henry<br>Berkeley, CA 94705                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Attorney<br>Self (Stephen F. Henry<br>Esq., Berkeley)                                         | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/12/2018         | Gordon Wozniak<br>Berkeley, CA 94705                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/13/2018         | Paul Matzner<br>Berkeley, CA 94704                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Self (Paul Matzner,<br>Berkeley)                                                    | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>14</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 02/15/2018         | Therese Fitzpatrick<br>Berkeley, CA 94704                                                       | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | OD consultant<br>n/a (retired)                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/18/2018         | Sara Shumer<br>Berkeley, CA 94702                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 02/19/2018         | Sharon Ryals Tamm<br>Berkeley, CA 94707                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/23/2018         | John Selawsky<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Rent Board Commissioner<br>City of Berkeley                                                   | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 02/24/2018         | Jessica Abbe<br>Berkeley, CA 94708                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Self (Jessica Abbe,<br>Berkeley)                                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

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|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>15</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 02/24/2018         | Christopher McLeod<br>Berkeley, CA 94708                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Filmmaker<br>Earth Island Institute                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/26/2018         | Nico Calavita<br>Berkeley, CA 94707                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Professor (retired)<br>n/a                                                                    | 50.00                       | 50.00                                                  | S2017 \$150.00<br>G2018 \$50.00       |
| 02/26/2018         | Alejandro Soto-Vigil<br>Berkeley, CA 94703                                                      | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Program Manager<br>Alameda County of Mental Health Clients                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 02/28/2018         | Carrie Olson<br>Berkeley, CA 94703                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Operations Consultant<br>Self-employed (Carrie Olson, S.F.)                                   | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 03/02/2018         | Timothy Hansen<br>Berkeley, CA 94704                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Project Manager<br>Goldstone Management Inc.                                                  | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

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| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>16</u> of <u>33</u>    |

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|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 03/12/2018         | David Blake<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Book Editor & Designer<br>Jonquil Press                                                       | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 03/24/2018         | Annie Rosen<br>Berkeley, CA 94709                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Student<br>UC Berkeley                                                                        | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 03/26/2018         | Donald Goldmacher<br>Berkeley, CA 94707                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 03/28/2018         | Mary Krenn<br>Oakland, CA 94612                                                                 | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 04/17/2018         | Karen Weinstein<br>Berkeley, CA 94708                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Education advocate<br>Self-employed (Berkeley)                                                | 50.00                       | 50.00                                                  | S2017 \$27.00<br>G2018 \$50.00        |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

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| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>17</u> of <u>33</u>    |

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|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
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|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 05/14/2018         | John Gooding<br>Emeryville, CA 94608                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Milo Group of CA Inc.                                                           | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 05/15/2018         | Michael Colbruno<br>Oakland, CA 94610                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Managing Partner<br>Milo Group of California                                                  | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 05/18/2018         | Lewis Ames<br>Berkeley, CA 94703                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 05/28/2018         | Judith Shattuck<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 20.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 06/01/2018         | Kathy Kraas<br>Oak Park, CA 91377                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Fundraiser<br>Self (Thousand Oaks, CA)                                                        | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 220.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>18</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 06/01/2018         | Nancy Walker<br>Bethesda, MD 20816                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Adjunct Professor<br>George Washington University                                             | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 06/03/2018         | Lisa Bullwinkel<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Event Producer<br>Self-employed (Berkeley, CA)                                                | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| 06/04/2018         | Bruce Bagnell<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 0.00                                                   | G2018 \$50.00                         |
| 06/22/2018         | Miranda Ewell<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$75.00<br>G2018 \$50.00        |
| 06/23/2018         | Stephen Barton<br>El Cerrito, CA 94530                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>19</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 06/23/2018         | Beatrice Dong<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Clerk<br>Eastwind Books of Berkeley                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 06/23/2018         | Marcia Edelen<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Counselor<br>Self-employed (Berkeley)                                                         | 50.00                       | 50.00                                                  | S2017 \$77.00<br>G2018 \$50.00        |
| 06/24/2018         | Gail Brown<br>Berkeley, CA 94705                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 06/24/2018         | Lisa Bullwinkel<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Event Producer<br>Self-employed (Berkeley, CA)                                                | -50.00                      | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| 06/24/2018         | Judith Shattuck<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | -20.00                      | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 80.00                       |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>20</u> of <u>33</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 06/26/2018         | Christopher Flores<br>Oakland, CA 94610                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Engineer<br>Sensys Networks                                                                   | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 06/27/2018         | Claire Schoen<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Media Producer<br>Self (Berkeley, CA)                                                         | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 06/29/2018         | Bruce Bagnell<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | -50.00                      | 0.00                                                   | G2018 \$50.00                         |
|                    |                                                                                                 | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                               |                             |                                                        |                                       |
|                    |                                                                                                 | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                               |                             |                                                        |                                       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 50.00                       |                                                        |                                       |

\*Contributor Codes  
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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page 21 of 33                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (Dec 2017) | 61.45       |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Dec 2017) | 108.53      |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee                     | 10.00       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 179.98

**Schedule E Summary**

|                                                                                                                          |                 |                  |
|--------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|
| 1. Itemized payments made this period. (Include all Schedule E subtotals.) .....                                         | \$              | 14,387.01        |
| 2. Unitemized payments made this period of under \$100 .....                                                             | \$              | 64.03            |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....                   | \$              | 0.00             |
| 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... | <b>TOTAL \$</b> | <b>14,451.04</b> |



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page <u>22</u> of <u>33</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)      | CODE | OR | DESCRIPTION OF PAYMENT                                          | AMOUNT PAID |
|--------------------------------------------------------------------------|------|----|-----------------------------------------------------------------|-------------|
| CA Secretary of State, Political Reform Division<br>Sacramento, CA 95814 | FIL  |    |                                                                 | 50.00       |
| City of Berkeley<br>Berkeley, CA 94704                                   |      |    | Return public match for David Ushijima 12/21/17<br>contribution | 300.00      |
| The Adriel Hampton Group LTD<br>San Francisco, CA 94131                  | CNS  |    | Consulting                                                      | 1,000.00    |
| Dr. Don's Buttons<br>Glendale, AZ 85308                                  | CMP  |    | Buttons                                                         | 217.93      |
| Action Network<br>Washington, DC 20036                                   | WEB  |    | Monthly fee                                                     | 15.00       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,582.93

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>23</u> of <u>33</u>    |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (Jan 2018) | 12.76       |
| Michael Rosen<br>Oakland, CA 94612                                  | SAL  |    | Campaign support                | 675.00      |
| Ann's Catering<br>Berkeley, CA 94705                                | CMP  |    | Food for volunteer event        | 67.00       |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Jan 2018) | 37.23       |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Supplies                        | 5.78        |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 797.77

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2018  
through 06/30/2018

**CALIFORNIA  
FORM 460**

Page 24 of 33

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR                              | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|---------------------------------|------------------------|-------------|
| United States Postal Service (usps.com)<br>Berkeley, CA 94704       | CMP  | POBox                           |                        | 39.00       |
| Custom Ink<br>Fairfax, VA 22031                                     | CMP  | T-shirts                        |                        | 434.00      |
| Action Network<br>Washington, DC 20036                              | WEB  | Monthly fee                     |                        | 15.00       |
| Michael Rosen<br>Oakland, CA 94612                                  | SAL  | Campaign support                |                        | 800.00      |
| ActBlue<br>Somerville, MA 02144                                     | WEB  | Online donation fees (Feb 2018) |                        | 8.63        |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,296.63

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page <u>25</u> of <u>33</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Feb 2018) | 21.96       |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee (Apr)               | 15.00       |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (Mar 2018) | 2.36        |
| Michael Rosen<br>Oakland, CA 94612                                  | SAL  |    | Campaign support                | 800.00      |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Supplies                        | 21.06       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 860.38

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page 26 of 33                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| Chimes Printing<br>Richmond, CA 94801                               | CMP  |    | Forms                           | 75.38       |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Mar 2018) | 6.38        |
| Michael Rosen<br>Oakland, CA 94612                                  | SAL  |    | Campaign support                | 400.00      |
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Flyers                          | 492.13      |
| Timodesigns, Inc.<br>Oakland, CA 94610                              | PRO  |    | Graphic design work             | 450.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,423.89

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 01/01/2018 |                                |
| through                 | 06/30/2018 | Page <u>27</u> of <u>33</u>    |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee (May)               | 15.00       |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (Apr 2018) | 0.75        |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Apr 2018) | 3.70        |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Printer supplies                | 49.15       |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Labels                          | 44.29       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 112.89**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page <u>28</u> of <u>33</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR    | DESCRIPTION OF PAYMENT    | AMOUNT PAID |
|---------------------------------------------------------------------|------|-------|---------------------------|-------------|
| Michael Tigges<br>Oakland, CA 94611                                 | CMP  |       | Reimburse for voter roles | 1,300.00    |
| Timodesigns, Inc.<br>Oakland, CA 94610                              | PRO  |       | Campaign support          | 525.00      |
| United States Postal Service (usps.com)<br>Berkeley, CA 94704       | CMP  | POBox |                           | 39.00       |
| GreenDog Campaigns<br>San Rafael, CA 94901                          | CNS  |       | Consulting                | 2,000.00    |
| Trade Lithography<br>Richmond, CA 94804                             | LIT  |       | Lit.                      | 133.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,997.00

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page <u>29</u> of <u>33</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee (Jun)               | 15.00       |
| KnG Visual Solutions Inc<br>Richmond, CA 94804                      | CMP  |    | Signs                           | 1,879.68    |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (May 2018) | 2.55        |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Labels                          | 13.86       |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Labels                          | 32.13       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,943.22



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page <u>30</u> of <u>33</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (May 2018) | 5.94        |
| Alexandra Barnard<br>Alameda, CA 94501                              | SAL  |    | Campaign support                | 1,000.00    |
| Chimes Printing<br>Richmond, CA 94801                               | CMP  |    | Sign                            | 59.00       |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Printer supplies                | 100.48      |
| Staples<br>Berkeley, CA 94704                                       | OFC  |    | Labels                          | 26.90       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,192.32

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 01/01/2018 |                                |
| through                             | 06/30/2018 | Page <u>31</u> of <u>33</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |                                                                   |                                               |                                                               |
|-------------------------------------------------------------------|-----------------------------------------------|---------------------------------------------------------------|
| CMP campaign paraphernalia/misc.                                  | MBR member communications                     | RAD radio airtime and production costs                        |
| CNS campaign consultants                                          | MTG meetings and appearances                  | RFD returned contributions                                    |
| CTB contribution (explain nonmonetary)*                           | OFC office expenses                           | SAL campaign workers' salaries                                |
| CVC civic donations                                               | PET petition circulating                      | TEL t.v. or cable airtime and production costs                |
| FIL candidate filing/ballot fees                                  | PHO phone banks                               | TRC candidate travel, lodging, and meals                      |
| FND fundraising events                                            | POL polling and survey research               | TRS staff/spouse travel, lodging, and meals                   |
| IND independent expenditure supporting/opposing others (explain)* | POS postage, delivery and messenger services  | TSF transfer between committees of the same candidate/sponsor |
| LEG legal defense                                                 | PRO professional services (legal, accounting) | VOT voter registration                                        |
| LIT campaign literature and mailings                              | PRT print ads                                 | WEB information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| GreenDog Campaigns<br>San Rafael, CA 94901                          | CNS  |    | Consulting             | 1,000.00    |
|                                                                     |      |    |                        |             |
|                                                                     |      |    |                        |             |
|                                                                     |      |    |                        |             |
|                                                                     |      |    |                        |             |
|                                                                     |      |    |                        |             |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,000.00

**Schedule G  
Payments Made by an Agent or Independent  
Contractor (on Behalf of This Committee)**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 01/01/2018  
through 06/30/2018

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

NAME OF AGENT OR INDEPENDENT CONTRACTOR

Michael Tigges

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------------------|------|----|------------------------|-------------|
| Political Data Inc.<br>Norwalk, CA 90650                                        | CMP  |    | Voter roles            | 1,300.00    |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$ 1,300.00**

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

Schedule I
Miscellaneous Increases to Cash

Amounts may be rounded to whole dollars.

Statement covers period
from 01/01/2018
through 06/30/2018

CALIFORNIA FORM 460
Page 33 of 33

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

Table with 4 columns: DATE RECEIVED, FULL NAME AND ADDRESS OF SOURCE, DESCRIPTION OF RECEIPT, AMOUNT OF INCREASE TO CASH. Rows include entries from City of Berkeley for Fair Elections match.

Attach additional information on appropriately labeled continuation sheets.

SUBTOTAL \$ 40,300.00

Schedule I Summary

- 1. Itemized increases to cash this period. \$ 40,300.00
2. Unitemized increases to cash of under \$100 this period. \$ 0.00
3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) \$ 0.00
4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) TOTAL \$ 40,300.00

**Recipient Committee  
Campaign Statement  
Cover Page**

(Government Code Sections 84200-84216.5)

Date Stamp

E-Filed  
10/01/2018  
16:46:18

Filing ID:  
174034652

|                                                                                                         |                                                                                       |
|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| <b>Statement covers period</b><br><b>from</b> <u>07/01/2018</u><br><br><b>through</b> <u>09/22/2018</u> | <b>Date of election if applicable:</b><br>(Month, Day, Year)<br><br><u>11/06/2018</u> |
|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees – Complete Parts 1, 2, 3, and 4.

- |                                                                                                                                                                                                                     |                                                                                                                                                                                  |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Officeholder, Candidate Controlled Committee<br><input type="checkbox"/> State Candidate Election Committee<br><input type="checkbox"/> Recall<br><i>(Also Complete Part 5)</i> | <input type="checkbox"/> Primarily Formed Ballot Measure Committee<br><input type="checkbox"/> Controlled<br><input type="checkbox"/> Sponsored<br><i>(Also Complete Part 6)</i> |
| <input type="checkbox"/> General Purpose Committee<br><input type="checkbox"/> Sponsored<br><input type="checkbox"/> Small Contributor Committee<br><input type="checkbox"/> Political Party/Central Committee      | <input type="checkbox"/> Primarily Formed Candidate/Officeholder Committee<br><i>(Also Complete Part 7)</i>                                                                      |

**2. Type of Statement:**

- |                                                                                             |                                                                               |
|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Preelection Statement                                   | <input type="checkbox"/> Quarterly Statement                                  |
| <input type="checkbox"/> Semi-annual Statement                                              | <input type="checkbox"/> Special Odd-Year Report                              |
| <input type="checkbox"/> Termination Statement<br><i>(Also file a Form 410 Termination)</i> | <input type="checkbox"/> Supplemental Preelection Statement - Attach Form 495 |
| <input checked="" type="checkbox"/> Amendment (Explain below)                               |                                                                               |

Moved details of reimbursements from Schedule G to E

**3. Committee Information**

I.D. NUMBER  
1392842

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Kate Harrison for City Council 2018

STREET ADDRESS (NO P.O. BOX)

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94709</u> | <u>(510)593-9591</u> |

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

|      |       |          |                 |
|------|-------|----------|-----------------|
| CITY | STATE | ZIP CODE | AREA CODE/PHONE |
|------|-------|----------|-----------------|

OPTIONAL: FAX / E-MAIL ADDRESS  
kateha76@gmail.com

**Treasurer(s)**

NAME OF TREASURER  
Erin Diehm

MAILING ADDRESS

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94703</u> | <u>(857)472-1953</u> |

NAME OF ASSISTANT TREASURER, IF ANY

James Hendry

MAILING ADDRESS

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94709</u> | <u>(415)867-9596</u> |

OPTIONAL: FAX / E-MAIL ADDRESS  
erindiehm@hotmail.com

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 10/01/2018  
Date

By Erin Diehm  
Signature of Treasurer or Assistant Treasurer

Executed on 10/01/2018  
Date

By Kate Harrison  
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**CALIFORNIA  
FORM 460**

Page 2 of 26

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
 Kate Harrison  
 OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
 City Council Member District 4  
 RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
 Berkeley CA 94709

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

|                      |              |                                                                     |
|----------------------|--------------|---------------------------------------------------------------------|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|----------------------|--------------|---------------------------------------------------------------------|

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

|                       |                     |
|-----------------------|---------------------|
| OFFICE SOUGHT OR HELD | DISTRICT NO. IF ANY |
|-----------------------|---------------------|

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |

*Attach continuation sheets if necessary*

**Campaign Disclosure Statement  
Summary Page**

**Agenda Item 8 - Attachment 5**

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

|                                                   |                                |
|---------------------------------------------------|--------------------------------|
| Statement covers period<br>from <u>07/01/2018</u> | <b>CALIFORNIA<br/>FORM 460</b> |
| through <u>09/22/2018</u>                         |                                |
| Page <u>3</u> of <u>26</u>                        | I.D. NUMBER<br><u>1392842</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**Contributions Received**

|                                                       | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|-------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 1. Monetary Contributions ..... Schedule A, Line 3    | \$ <u>2,285.00</u>                                         | \$ <u>6,110.99</u>                         |
| 2. Loans Received ..... Schedule B, Line 3            | <u>0.00</u>                                                | <u>0.00</u>                                |
| 3. SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2  | \$ <u>2,285.00</u>                                         | \$ <u>6,110.99</u>                         |
| 4. Nonmonetary Contributions ..... Schedule C, Line 3 | <u>0.00</u>                                                | <u>0.00</u>                                |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4 | \$ <u>2,285.00</u>                                         | \$ <u>6,110.99</u>                         |

**Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections**

|                            | 1/1 through 6/30 | 7/1 to Date |
|----------------------------|------------------|-------------|
| 20. Contributions Received | \$ _____         | \$ _____    |
| 21. Expenditures Made      | \$ _____         | \$ _____    |

**Expenditures Made**

|                                                             | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|-------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 6. Payments Made ..... Schedule E, Line 4                   | \$ <u>10,057.21</u>                                        | \$ <u>24,374.14</u>                        |
| 7. Loans Made ..... Schedule H, Line 3                      | <u>0.00</u>                                                | <u>0.00</u>                                |
| 8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7             | \$ <u>10,057.21</u>                                        | \$ <u>24,374.14</u>                        |
| 9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3 | <u>0.00</u>                                                | <u>0.00</u>                                |
| 10. Nonmonetary Adjustment ..... Schedule C, Line 3         | <u>0.00</u>                                                | <u>0.00</u>                                |
| 11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10      | \$ <u>10,057.21</u>                                        | \$ <u>24,374.14</u>                        |

**Expenditure Limit Summary for State  
Candidates**

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy)    | Total to Date |
|-----------------------------------|---------------|
| <u>  </u> / <u>  </u> / <u>  </u> | \$ _____      |
| <u>  </u> / <u>  </u> / <u>  </u> | \$ _____      |

**Current Cash Statement**

|                                                                                    |                     |
|------------------------------------------------------------------------------------|---------------------|
| 12. Beginning Cash Balance ..... Previous Summary Page, Line 16                    | \$ <u>34,170.26</u> |
| 13. Cash Receipts ..... Column A, Line 3 above                                     | <u>2,285.00</u>     |
| 14. Miscellaneous Increases to Cash ..... Schedule I, Line 4                       | <u>0.00</u>         |
| 15. Cash Payments ..... Column A, Line 8 above                                     | <u>10,057.21</u>    |
| 16. <b>ENDING CASH BALANCE</b> ..... Add Lines 12 + 13 + 14, then subtract Line 15 | \$ <u>26,398.05</u> |
| <i>If this is a termination statement, Line 16 must be zero.</i>                   |                     |
| 17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2                              | \$ <u>0.00</u>      |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

**Cash Equivalents and Outstanding Debts**

|                                                                   |                |
|-------------------------------------------------------------------|----------------|
| 18. Cash Equivalents ..... See instructions on reverse            | \$ <u>0.00</u> |
| 19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above | \$ <u>0.00</u> |

**Schedule A  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page 4 of 26                   |

SEE INSTRUCTIONS ON REVERSE

|                                                          |                            |
|----------------------------------------------------------|----------------------------|
| NAME OF FILER<br><br>Kate Harrison for City Council 2018 | I.D. NUMBER<br><br>1392842 |
|----------------------------------------------------------|----------------------------|

| DATE RECEIVED | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|---------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 07/13/2018    | Jeffrey Lindemann<br>Berkeley, CA 94703                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 07/13/2018    | Melissa Lunden<br>Berkeley, CA 94702                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Scientist<br>Aclima Inc.                                                                      | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 07/13/2018    | George Perezvelez<br>Berkeley, CA 94708                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 07/13/2018    | Patricia Wall<br>Berkeley, CA 94710                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Attorney<br>Homeless Action Center                                                            | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 07/15/2018    | Alan Carlson<br>Kensington, CA 94707                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 0.00                                                   | S2017 \$50.00<br>G2018 \$50.00        |

**SUBTOTAL \$** 250.00

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 2,200.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 85.00
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 2,285.00

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee



**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>5</u> of <u>26</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 07/16/2018         | Scott Scheffler<br>Berkeley, CA 94706                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 07/16/2018         | Marian Wolfe<br>Berkeley, CA 94708                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Housing Economist<br>Vernazza Wolfe Associates                                                | 50.00                       | 0.00                                                   | S2017 \$63.00<br>G2018 \$0.00         |
| 07/21/2018         | Linda Halpern<br>Berkeley, CA 94709                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 07/21/2018         | David Peattie<br>Berkeley, CA 94707                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Publisher<br>Self (Berkeley, CA)                                                              | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 07/21/2018         | Luci Riley<br>Richmond, CA 94803                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Nurse (R.N.)<br>Sutter Health                                                                 | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
     (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>6</u> of <u>26</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 07/22/2018         | Marge Sussman<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 07/23/2018         | Stephanie Thomas<br>Berkeley, CA 94707                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 07/24/2018         | Nara Dahlbacka<br>Oakland, CA 94618                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Vice President<br>Milo Group of California                                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 07/25/2018         | Alan Carlson<br>Kensington, CA 94707                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | -50.00                      | 0.00                                                   | S2017 \$50.00<br>G2018 \$50.00        |
| 07/28/2018         | Michael Katz<br>Berkeley, CA 94709                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Stripe                                                                              | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 150.00                      |                                                        |                                       |

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     (other than PTY or SCC)  
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 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>7</u> of <u>26</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 07/30/2018         | Anna DeLeon<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Musician/Attorney<br>Self (Berkeley, CA)                                                      | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 08/01/2018         | Stephen Most<br>Berkeley, CA 94703                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Filmmaker<br>Self (Berkeley, CA)                                                              | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/01/2018         | Lew Williams<br>Berkeley, CA 94707                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/03/2018         | Nicole Haskins<br>Venice, CA 90291                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Baller Dancer &<br>Choreographer<br>Smuin Contemporary Ballet                                 | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 08/06/2018         | Marian Wolfe<br>Berkeley, CA 94708                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Housing Economist<br>Vernazza Wolfe Associates                                                | -50.00                      | 0.00                                                   | S2017 \$63.00<br>G2018 \$0.00         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 150.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>8</u> of <u>26</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 08/07/2018         | Jonathan Walden<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Software engineer<br>Oracle                                                                   | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/11/2018         | Floyd Huen<br>Oakland, CA 94602                                                                 | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Physician<br>Self-employed (Oakland)                                                          | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 08/15/2018         | Lars Skjerping<br>Berkeley, CA 94706                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Legislative Aide<br>City of Berkeley                                                          | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/17/2018         | Anne Tamiko Omura<br>Oakland, CA 94609                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Lawyer<br>Eviction Defense Center                                                             | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/18/2018         | Howard Besser<br>Berkeley, CA 94705                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Professor<br>NYU                                                                              | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>9</u> of <u>26</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 08/18/2018         | Mary Ann Uribe<br>Berkeley, CA 94704                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/21/2018         | Boona Cheema<br>Berkeley, CA 94706                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 08/24/2018         | Rose Cohan<br>Berkeley, CA 94709                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/24/2018         | Michael Tigges<br>Oakland, CA 94611                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Data Manager<br>Self-employed (Oakland)                                                       | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/25/2018         | Jean Quan<br>Oakland, CA 94602                                                                  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self (Oakland)                                                                  | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>10</u> of <u>26</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 08/28/2018         | Gary McDole<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 0.00                                                   | S2017 \$50.00<br>G2018 \$50.00        |
| 08/30/2018         | Gary McDole<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | -50.00                      | 0.00                                                   | S2017 \$50.00<br>G2018 \$50.00        |
| 08/31/2018         | Robert Magarian<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 08/31/2018         | Barry Mahoney<br>Denver, CO 80210                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$150.00<br>G2018 \$50.00       |
| 08/31/2018         | Isobel Schneider<br>Berkeley, CA 94704                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Librarian<br>Berkeley Public Library                                                          | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 150.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>11</u> of <u>26</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 09/01/2018         | Jeffrey Kaplan<br>Berkeley, CA 94706                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 09/01/2018         | Judith Small<br>Berkeley, CA 94702                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 09/03/2018         | Erica Etelson<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Self (Berkeley)                                                                     | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 09/03/2018         | Debra Newman<br>Piedmont, CA 94611                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self-employed (San Francisco)                                                   | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 09/05/2018         | Cynthia Papermaster<br>Berkeley, CA 94703                                                       | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired Law librarian<br>n/a                                                                  | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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 IND – Individual  
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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>12</u> of <u>26</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 09/07/2018         | Wendy Constantine<br>Lafayette, CA 94549                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$60.00<br>G2018 \$50.00        |
| 09/08/2018         | William Bogert<br>Berkeley, CA 94709                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Application Development<br>Consultant<br>Kaiser IT                                            | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 09/10/2018         | Miriam Fabbri<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 09/10/2018         | Shelley Pate<br>Belmont, MA 02478                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 09/10/2018         | Stephen Pate<br>Belmont, MA 02478                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee



**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page <u>13</u> of <u>26</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 09/14/2018         | Polly Quick<br>Berkeley, CA 94707                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 09/15/2018         | Allan Miller<br>Berkeley, CA 94709                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 09/15/2018         | Kathryn Obenour<br>Berkeley, CA 94709                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Attorney<br>Self (Berkeley, CA)                                                               | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 09/20/2018         | Lucy Clarke<br>Berkeley, CA 94705                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Residential Designer<br>Self (Berkeley, CA)                                                   | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 09/21/2018         | Lawrence Rinder<br>Berkeley, CA 94705                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Director<br>UC Berkeley                                                                       | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 07/01/2018 |                                |
| through                 | 09/22/2018 | Page 14 of 26                  |
| I.D. NUMBER             |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT           | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|----------------------------------|-------------|
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee                      | 15.00       |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (June 2018) | 5.33        |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (June 2018) | 19.80       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 40.13

**Schedule E Summary**

|                                                                                                                          |                 |                  |
|--------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|
| 1. Itemized payments made this period. (Include all Schedule E subtotals.) .....                                         | \$              | 9,789.01         |
| 2. Unitemized payments made this period of under \$100 .....                                                             | \$              | 268.20           |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....                   | \$              | 0.00             |
| 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... | <b>TOTAL \$</b> | <b>10,057.21</b> |

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page 15 of 26                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Kickoff supplies       | 38.31       |
| Ann's Catering<br>Berkeley, CA 94705                                | CMP  |    | Food for kickoff       | 362.00      |
| Copy Central<br>Berkeley, CA 94704                                  | CMP  |    | Maps                   | 96.14       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Office supplies        | 19.11       |
| GreenDog Campaigns<br>San Rafael, CA 94901                          | CNS  |    | Consulting             | 1,000.00    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,515.56

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page <u>16</u> of <u>26</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT      | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|-----------------------------|-------------|
| Message Framers<br>Richmond, CA 94801                               | PRO  |    | Graphic design of templates | 310.00      |
| Message Framers<br>Richmond, CA 94801                               | PRO  |    | Photography                 | 500.00      |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Endorsement labels          | 29.97       |
| Cricket Wireless<br>Berkeley, CA 94704                              | PHO  |    | Activate and monthly fee    | 193.64      |
| Philz Coffee<br>Berkeley, CA 94709                                  | CMP  |    | Food for volunteers         | 25.01       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,058.62

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page <u>17</u> of <u>26</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT           | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|----------------------------------|-------------|
| Solano Stroll Association<br>Berkeley, CA 94707                     | CMP  |    | Registration fee                 | 275.00      |
| Google LLC<br>Mountain View, CA 94043                               | WEB  |    | Monthly fee                      | 10.00       |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee (Aug)                | 15.00       |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (July 2018) | 7.96        |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Clipboards                       | 14.84       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 322.80

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page <u>18</u> of <u>26</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| The Cheese Board Collective<br>Berkeley, CA 94709                   | CMP  |    | Food for volunteers    | 27.25       |
| Philz Coffee<br>Berkeley, CA 94709                                  | CMP  |    | Food for volunteers    | 26.45       |
| Peet's Coffee<br>Berkeley, CA 94709                                 | CMP  |    | Food for volunteers    | 14.95       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Printer supplies       | 57.89       |
| Alexandra Barnard<br>Alameda, CA 94501                              | SAL  |    | Campaign support       | 1,000.00    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,126.54

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page 19 of 26                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                                          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|-----------------------------------------------------------------|-------------|
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (July 2018)                                | 18.62       |
| Philz Coffee<br>Berkeley, CA 94709                                  | CMP  |    | Food for volunteers                                             | 18.40       |
| GreenDog Campaigns<br>San Rafael, CA 94901                          | CNS  |    | Consulting                                                      | 1,000.00    |
| James Hendry<br>Berkeley, CA 94709                                  | CMP  |    | Reimburse for purchase of sign hardware (Berkeley Ace Hardware) | 101.30      |
| United States Postal Service (usps.com)<br>Berkeley, CA 94704       | CMP  |    | POBox                                                           | 39.00       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,177.32

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2018  
through 09/22/2018

**CALIFORNIA  
FORM 460**

Page 20 of 26

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
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| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT        | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|-------------------------------|-------------|
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Labels & envelopes            | 18.50       |
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Walk pieces                   | 982.16      |
| Cricket Wireless<br>Berkeley, CA 94704                              | PHO  |    | Monthly fee (Sept 2018)       | 100.00      |
| Timodesigns, Inc.<br>Oakland, CA 94610                              | PRO  |    | Graphic design of walk pieces | 207.75      |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach                | 155.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,463.41



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page <u>21</u> of <u>26</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

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| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                                                                     | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|--------------------------------------------------------------------------------------------|-------------|
| Peet's Coffee<br>Berkeley, CA 94709                                 | CMP  |    | Food for volunteers                                                                        | 37.90       |
| Google LLC<br>Mountain View, CA 94043                               | WEB  |    | Monthly fee                                                                                | 10.00       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Printer supplies                                                                           | 84.11       |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee (Sep)                                                                          | 15.00       |
| Alexandra Barnard<br>Alameda, CA 94501                              | CMP  |    | Reimburse for purchase of website listing (Action Without Borders), clipboards (Walgreens) | 32.63       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 179.64

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page <u>22</u> of <u>26</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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|     |                                                               |     |                                           |     |                                                           |
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| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                                        | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------------------------------------|-------------|
| Erin Diehm<br>Berkeley, CA 94703                                    | CMP  |    | Reimburse for purchase of pop-up tent (Dick's Sporting Goods) | 114.69      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Copies of walk lists                                          | 10.61       |
| La Vals Pizza<br>Berkeley, CA 94709                                 | CMP  |    | Food for volunteers                                           | 30.71       |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (August 2018)                            | 10.13       |
| City of Berkeley<br>Berkeley, CA 94704                              | CMP  |    | Parking                                                       | 0.75        |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 166.89

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page <u>23</u> of <u>26</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Advertising                     | 450.00      |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach                  | 155.00      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Copies of walk lists and flyers | 81.18       |
| Tuk Tuk Thai<br>Berkeley, CA 94704                                  | CMP  |    | Food for volunteers             | 50.99       |
| Platano<br>Berkeley, CA 94704                                       | CMP  |    | Food for volunteers             | 58.74       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 795.91

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 07/01/2018  
through 09/22/2018

**CALIFORNIA  
FORM 460**

Page 24 of 26

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

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| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT             | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------------------|-------------|
| Guacamole<br>Berkeley, CA 94709                                     | CMP  |    | Food for volunteers                | 37.70       |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (August 2018) | 26.10       |
| Guacamole<br>Berkeley, CA 94709                                     | CMP  |    | Food for volunteers                | 52.44       |
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Walk piece                         | 315.73      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Walk sheets                        | 44.80       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$**

476.77

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page 25 of 26                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
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| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT             | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------------------|-------------|
| Molly Jones<br>Berkeley, CA 94705                                   | SAL  |    | Campaign support                   | 1,000.00    |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Labels (anticipate partial return) | 171.96      |
| La Vals Pizza<br>Berkeley, CA 94709                                 | CMP  |    | Food for volunteers                | 35.09       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Labels                             | 14.74       |
| Mo' Joe Cafe<br>Berkeley, CA 94702                                  | CMP  |    | Food for volunteers                | 54.81       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,276.60

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 07/01/2018 |                                |
| through                             | 09/22/2018 | Page 26 of 26                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT    | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------|-------------|
| Guacamole<br>Berkeley, CA 94709                                     | CMP  |    | Food for volunteers       | 35.72       |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Walk sheets               | 43.87       |
| I.B.'s Berkeley<br>Berkeley, CA 94704                               | CMP  |    | Food for volunteers       | 50.26       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Labels & printer supplies | 58.97       |
|                                                                     |      |    |                           |             |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 188.82

Recipient Committee Campaign Statement Cover Page

(Government Code Sections 84200-84216.5)

Date Stamp

E-Filed 01/29/2019 21:36:01

Filing ID: 176094000

Statement covers period from 09/23/2018 through 10/20/2018. Date of election if applicable: 11/06/2018

SEE INSTRUCTIONS ON REVERSE

1. Type of Recipient Committee: All Committees - Complete Parts 1, 2, 3, and 4.

- Officeholder, Candidate Controlled Committee (checked)
State Candidate Election Committee
Recall
General Purpose Committee
Sponsored
Small Contributor Committee
Political Party/Central Committee
Primarily Formed Ballot Measure Committee
Controlled
Sponsored
Primarily Formed Candidate/Officeholder Committee

2. Type of Statement:

- Preelection Statement (checked)
Semi-annual Statement
Termination Statement
Amendment (checked)
Quarterly Statement
Special Odd-Year Report
Supplemental Preelection Statement - Attach Form 495

Added 1 contribution to Schedule A (\$50). Added 1 expense to Schedule E (\$11.44 total), corrected amount of 1 expense previously reported, reconciled Vantiv fees.

3. Committee Information

I.D. NUMBER 1392842

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE) Kate Harrison for City Council 2018

STREET ADDRESS (NO P.O. BOX)

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94709 (510) 593-9591

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

CITY STATE ZIP CODE AREA CODE/PHONE

OPTIONAL: FAX / E-MAIL ADDRESS kateha76@gmail.com

Treasurer(s)

NAME OF TREASURER Erin Diehm

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94703 (857) 472-1953

NAME OF ASSISTANT TREASURER, IF ANY James Hendry

MAILING ADDRESS

CITY STATE ZIP CODE AREA CODE/PHONE Berkeley CA 94709 (415) 867-9596

OPTIONAL: FAX / E-MAIL ADDRESS erindiehm@hotmail.com

4. Verification

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 01/29/2019 Date

By Erin Diehm Signature of Treasurer or Assistant Treasurer

Executed on 01/29/2019 Date

By Kate Harrison Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on Date

By Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**CALIFORNIA  
FORM 460**

Page 2 of 21

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE  
 Kate Harrison  
 OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)  
 City Council Member District 4  
 RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) CITY STATE ZIP  
 Berkeley CA 94709

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| COMMITTEE NAME    | I.D. NUMBER                                                                       |
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)                                                      |
| CITY              | STATE ZIP CODE AREA CODE/PHONE                                                    |

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

|                      |              |                                                                     |
|----------------------|--------------|---------------------------------------------------------------------|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|----------------------|--------------|---------------------------------------------------------------------|

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

|                       |                     |
|-----------------------|---------------------|
| OFFICE SOUGHT OR HELD | DISTRICT NO. IF ANY |
|-----------------------|---------------------|

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |

*Attach continuation sheets if necessary*



**Campaign Disclosure Statement  
Summary Page**

**Agenda Item 8 - Attachment 5**

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

|                                                   |                                |
|---------------------------------------------------|--------------------------------|
| Statement covers period<br>from <u>09/23/2018</u> | <b>CALIFORNIA<br/>FORM 460</b> |
| through <u>10/20/2018</u>                         |                                |
| Page <u>3</u> of <u>21</u>                        | I.D. NUMBER<br><u>1392842</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**Contributions Received**

|                                                              | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|--------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 1. Monetary Contributions ..... <i>Schedule A, Line 3</i>    | \$ <u>1,992.97</u>                                         | \$ <u>8,103.96</u>                         |
| 2. Loans Received ..... <i>Schedule B, Line 3</i>            | <u>0.00</u>                                                | <u>0.00</u>                                |
| 3. SUBTOTAL CASH CONTRIBUTIONS ..... <i>Add Lines 1 + 2</i>  | \$ <u>1,992.97</u>                                         | \$ <u>8,103.96</u>                         |
| 4. Nonmonetary Contributions ..... <i>Schedule C, Line 3</i> | <u>0.00</u>                                                | <u>0.00</u>                                |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... <i>Add Lines 3 + 4</i> | \$ <u>1,992.97</u>                                         | \$ <u>8,103.96</u>                         |

**Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections**

|                            | 1/1 through 6/30 | 7/1 to Date |
|----------------------------|------------------|-------------|
| 20. Contributions Received | \$ _____         | \$ _____    |
| 21. Expenditures Made      | \$ _____         | \$ _____    |

**Expenditures Made**

|                                                                    | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|--------------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 6. Payments Made ..... <i>Schedule E, Line 4</i>                   | \$ <u>14,885.06</u>                                        | \$ <u>41,370.63</u>                        |
| 7. Loans Made ..... <i>Schedule H, Line 3</i>                      | <u>0.00</u>                                                | <u>0.00</u>                                |
| 8. SUBTOTAL CASH PAYMENTS ..... <i>Add Lines 6 + 7</i>             | \$ <u>14,885.06</u>                                        | \$ <u>41,370.63</u>                        |
| 9. Accrued Expenses (Unpaid Bills) ..... <i>Schedule F, Line 3</i> | <u>0.00</u>                                                | <u>0.00</u>                                |
| 10. Nonmonetary Adjustment ..... <i>Schedule C, Line 3</i>         | <u>0.00</u>                                                | <u>0.00</u>                                |
| 11. TOTALEXPENDITURES MADE ..... <i>Add Lines 8 + 9 + 10</i>       | \$ <u>14,885.06</u>                                        | \$ <u>41,370.63</u>                        |

**Expenditure Limit Summary for State  
Candidates**

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy) | Total to Date |
|--------------------------------|---------------|
| ____/____/____                 | \$ _____      |
| ____/____/____                 | \$ _____      |

**Current Cash Statement**

|                                                                                           |                     |
|-------------------------------------------------------------------------------------------|---------------------|
| 12. Beginning Cash Balance ..... <i>Previous Summary Page, Line 16</i>                    | \$ <u>24,213.78</u> |
| 13. Cash Receipts ..... <i>Column A, Line 3 above</i>                                     | <u>1,992.97</u>     |
| 14. Miscellaneous Increases to Cash ..... <i>Schedule I, Line 4</i>                       | <u>109.16</u>       |
| 15. Cash Payments ..... <i>Column A, Line 8 above</i>                                     | <u>14,885.06</u>    |
| 16. <b>ENDING CASH BALANCE</b> ..... <i>Add Lines 12 + 13 + 14, then subtract Line 15</i> | \$ <u>11,430.85</u> |
| <i>If this is a termination statement, Line 16 must be zero.</i>                          |                     |
| 17. LOAN GUARANTEES RECEIVED ..... <i>Schedule B, Part 2</i>                              | \$ <u>0.00</u>      |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

**Cash Equivalents and Outstanding Debts**

|                                                                          |                |
|--------------------------------------------------------------------------|----------------|
| 18. Cash Equivalents ..... <i>See instructions on reverse</i>            | \$ <u>0.00</u> |
| 19. Outstanding Debts ..... <i>Add Line 2 + Line 9 in Column B above</i> | \$ <u>0.00</u> |

**Schedule A  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A

Amounts may be rounded to whole dollars.

|                            |                           |                            |
|----------------------------|---------------------------|----------------------------|
| Statement covers period    |                           | <b>CALIFORNIA FORM 460</b> |
| from <u>09/23/2018</u>     | through <u>10/20/2018</u> |                            |
| Page <u>4</u> of <u>21</u> |                           | I.D. NUMBER<br>1392842     |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 09/27/2018         | Jamie Carlson<br>Kensington, CA 94707                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                        | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 09/29/2018         | Nanci Armstrong<br>Berkeley, CA 94703                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Business Owner<br>Self (Berkeley, CA)                                                      | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 09/30/2018         | Judith Grether<br>Berkeley, CA 94703                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                        | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 09/30/2018         | Jane Maxwell<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Technical writer<br>Self (Berkeley, CA)                                                    | 50.00                       | 50.00                                               | S2017 \$55.00<br>G2018 \$50.00     |
| 09/30/2018         | Holly Mines<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                        | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| <b>SUBTOTAL \$</b> |                                                                                              |                                                                                                                                                                         |                                                                                            | 250.00                      |                                                     |                                    |

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 1,600.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 392.97
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 1,992.97

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 09/23/2018 |                                |
| through                 | 10/20/2018 | Page 5 of 21                   |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 09/30/2018         | Alison Thomas<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/02/2018         | Jane Kitchel<br>Berkeley, CA 94705                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 10/05/2018         | Sue Koller<br>Berkeley, CA 94710                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Administrator<br>Tenderloin Housing Clinic                                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/05/2018         | Hampton Smith<br>San Francisco, CA 94114                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self-employed (San Francisco)                                                   | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 10/06/2018         | Audel Davis<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 0.00                                                   | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 09/23/2018 |                                |
| through                 | 10/20/2018 | Page <u>6</u> of <u>21</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/06/2018         | Tom Hunt<br>Berkeley, CA 94703                                                                  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Computer tech support consultant<br>Self (Berkeley)                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/06/2018         | Richard Raffanti<br>Berkeley, CA 94709                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Engineer<br>Self (Berkeley)                                                                   | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/09/2018         | Brad Wiblin<br>Berkeley, CA 94707                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Affordable Housing Developer<br>BRIDGE Housing                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/11/2018         | Dan Kalb<br>Oakland, CA 94618                                                                   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | City Councilmember<br>City of Oakland                                                         | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/11/2018         | Ernest Machen<br>Berkeley, CA 94705                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Electrician<br>Self (Berkeley)                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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     (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 09/23/2018 |                                |
| through                 | 10/20/2018 | Page <u>7</u> of <u>21</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/13/2018         | Daniella Thompson<br>Berkeley, CA 94709                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Self (Berkeley)                                                                     | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 10/13/2018         | Charlotte Toothman<br>Berkeley, CA 94707                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Self-employed (Berkeley)                                                        | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 10/14/2018         | Benjamin Bartlett<br>Berkeley, CA 94703                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Attorney<br>Tackett Mull LLP                                                                  | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/14/2018         | Michael Fischer<br>El Cerrito, CA 94530                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 10/14/2018         | Stephen Gilbert<br>Oakland, CA 94601                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
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     (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 09/23/2018 |                                |
| through                 | 10/20/2018 | Page <u>8</u> of <u>21</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/14/2018         | Lori Kossowsky<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/15/2018         | Michael Barnett<br>Oakland, CA 94618                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Physicist<br>Lawrence Berkeley National Lab                                                   | 50.00                       | 50.00                                                  | S2017 \$125.00<br>G2018 \$50.00       |
| 10/15/2018         | Dennis Blanchard<br>San Francisco, CA 94131                                                     | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$125.00<br>G2018 \$50.00       |
| 10/15/2018         | Evelyn Dilworth<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 10/15/2018         | Gen Fujioka<br>Berkeley, CA 94707                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Manager<br>Chinatown Community Development Center                                             | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
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 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 09/23/2018 |                                |
| through                 | 10/20/2018 | Page <u>9</u> of <u>21</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/15/2018         | Ellen Kelly<br>Albuquerque, NM 87110                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Lawyer<br>Robert Curtis Law Office                                                            | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 10/15/2018         | Barry Lynch<br>San Francisco, CA 94131                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$125.00<br>G2018 \$50.00       |
| 10/17/2018         | Paul Bontekoe<br>Berkeley, CA 94710                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Technology Manager<br>Wells Fargo Bank                                                        | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 10/17/2018         | Jeffrey Spahn<br>Berkeley, CA 94710                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Art dealer<br>Self (Berkeley)                                                                 | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 10/18/2018         | William Hickman<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 09/23/2018  
through 10/20/2018

**CALIFORNIA FORM 460**  
Page 10 of 21  
I.D. NUMBER  
1392842

NAME OF FILER

Kate Harrison for City Council 2018

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/19/2018         | Susan Fadley<br>Berkeley, CA 94708                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Self-employed (Berkeley CA)                                                         | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$100.00      |
| 10/20/2018         | Lindsay Vurek<br>Oakland, CA 94609                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
|                    |                                                                                                 | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                               |                             |                                                        |                                       |
|                    |                                                                                                 | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                               |                             |                                                        |                                       |
|                    |                                                                                                 | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                               |                             |                                                        |                                       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 100.00                      |                                                        |                                       |

\*Contributor Codes  
IND – Individual  
COM – Recipient Committee  
(other than PTY or SCC)  
OTH – Other (e.g., business entity)  
PTY – Political Party  
SCC – Small Contributor Committee



**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page 11 of 21                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Guacamole<br>Berkeley, CA 94709                                     | CMP  |    | Food for volunteers    | 41.46       |
| Maya Cafe & Taqueria<br>San Pablo, CA 94806                         | CMP  |    | Food for volunteers    | 61.94       |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach         | 155.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 258.40

**Schedule E Summary**

|                                                                                                                          |                 |                  |
|--------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|
| 1. Itemized payments made this period. (Include all Schedule E subtotals.) .....                                         | \$              | 14,786.54        |
| 2. Unitemized payments made this period of under \$100 .....                                                             | \$              | 98.52            |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....                   | \$              | 0.00             |
| 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... | <b>TOTAL \$</b> | <b>14,885.06</b> |

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page <u>12</u> of <u>21</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT       | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------------|-------------|
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Mailer                       | 1,206.12    |
| Molly Jones<br>Berkeley, CA 94705                                   | SAL  |    | Campaign support             | 1,000.00    |
| Timodesigns, Inc.<br>Oakland, CA 94610                              | PRO  |    | Graphic design of literature | 1,335.00    |
| Cricket Wireless<br>Berkeley, CA 94704                              | PHO  |    | Monthly fee (Oct)            | 100.00      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Walk sheets                  | 21.85       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,662.97

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 09/23/2018  
through 10/20/2018

**CALIFORNIA  
FORM 460**

Page 13 of 21

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Larry Levine Election Digest<br>Torrance, CA 90505                  | LIT  |    | Inclusion in mailer    | 325.00      |
| United States Postal Service (usps.com)<br>Berkeley, CA 94704       | CMP  |    | Stamps                 | 16.70       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Printer supplies       | 133.75      |
| Google LLC<br>Mountain View, CA 94043                               | WEB  |    | Monthly fee            | 10.00       |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee (Oct)      | 15.00       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 500.45

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page <u>14</u> of <u>21</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT           | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|----------------------------------|-------------|
| Berkeleyside<br>Berkeley, CA 94705                                  | PRT  |    |                                  | 500.00      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Flyers and walk sheets           | 31.35       |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Flyers                           | 30.70       |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (Sept 2018) | 12.83       |
| Berkeley Times<br>Berkeley, CA 94708                                | PRT  |    |                                  | 416.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 990.88

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page 15 of 21                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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|     |                                                               |     |                                           |     |                                                           |
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| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
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| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Copies of flyers       | 5.46        |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Office supplies        | 11.44       |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Labels                 | 22.91       |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach         | 155.00      |
| Berkeley Espresso<br>Berkeley, CA 94704                             | FND  |    | Food for fundraiser    | 100.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 294.81

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page 16 of 21                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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|     |                                                               |     |                                           |     |                                                           |
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| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT           | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|----------------------------------|-------------|
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | POS  |    | Stamps                           | 50.00       |
| Timodesigns, Inc.<br>Oakland, CA 94610                              | PRO  |    | Graphic design of literature     | 822.00      |
| Michael Flynn<br>Berkeley, CA 94707                                 | CMP  |    | Postage stamps                   | 99.50       |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Sept 2018) | 29.56       |
| Chimes Printing<br>Richmond, CA 94801                               | POS  |    | Mailer postage                   | 1,263.85    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,264.91

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page 17 of 21                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT                                                    | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------------------------------------------------|-------------|
| Timothy Etter<br>Berkeley, CA 94720                                 | CMP  |    | Reimburse for food for volunteers (Sliver Pizza 2468 Telegraph Ave 94704) | 76.48       |
| Fast Imaging Center<br>Berkeley, CA 94704                           | LIT  |    | Walk sheets, flyers, print endorsements on lit                            | 263.68      |
| Telegraph Media (East Bay Express)<br>Oakland, CA 94607             | PRT  |    |                                                                           | 310.00      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Walk sheets                                                               | 4.85        |
| Office Depot<br>Emeryville, CA 94608                                | CMP  |    | Labels                                                                    | 61.14       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 716.15

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page 18 of 21                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER)  | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|----------------------------------------------------------------------|------|----|------------------------|-------------|
| VoterlistPro<br>Riverside, CA 92507                                  | WEB  |    | Voter outreach         | 310.00      |
| Chimes Printing<br>Richmond, CA 94801                                | LIT  |    | Mailer & postage       | 2,520.10    |
| Molly Jones<br>Berkeley, CA 94705                                    | SAL  |    | Campaign support       | 1,000.00    |
| Sierra Club SF Bay Chapter SMO (FPPC #1306869)<br>Berkeley, CA 94702 | LIT  |    | Inclusion in mailer    | 500.00      |
| Chimes Printing<br>Richmond, CA 94801                                | LIT  |    | Walk piece             | 1,047.71    |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 5,377.81



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page 19 of 21                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

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|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Gravis Marketing<br>Winter Springs, FL 32708                        | CMP  |    | Voter outreach         | 300.00      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Walk sheets            | 28.32       |
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | POS  |    | Stamps                 | 50.00       |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach         | 155.00      |
| Facebook Inc.<br>Menlo Park, CA 94025                               | PRT  |    | Ads                    | 63.16       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 596.48

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 09/23/2018 |                                |
| through                             | 10/20/2018 | Page <u>20</u> of <u>21</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Fast Imaging Center<br>Berkeley, CA 94704                           | LIT  |    | Copies of letters      | 24.00       |
| Snapfish<br>San Francisco, CA 94104                                 | CMP  |    | Thank you notes        | 91.76       |
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | CMP  |    | Food for volunteers    | 7.92        |
|                                                                     |      |    |                        |             |
|                                                                     |      |    |                        |             |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 123.68

**Schedule I  
Miscellaneous Increases to Cash**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 09/23/2018  
through 10/20/2018

**CALIFORNIA FORM 460**  
Page 21 of 21  
I.D. NUMBER  
1392842

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

| DATE RECEIVED | FULL NAME AND ADDRESS OF SOURCE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | DESCRIPTION OF RECEIPT                      | AMOUNT OF INCREASE TO CASH |
|---------------|---------------------------------------------------------------------------|---------------------------------------------|----------------------------|
| 10/18/2018    | Office Depot<br>Emeryville, CA 94608                                      | Return extra labels (purchased on 10/12/18) | 61.18                      |
|               |                                                                           |                                             |                            |
|               |                                                                           |                                             |                            |
|               |                                                                           |                                             |                            |
|               |                                                                           |                                             |                            |
|               |                                                                           |                                             |                            |

Attach additional information on appropriately labeled continuation sheets.

**SUBTOTAL \$** 61.18

**Schedule I Summary**

- 1. Itemized increases to cash this period. .... \$ 61.18
- 2. Unitemized increases to cash of under \$100 this period. .... \$ 47.98
- 3. Total of all interest received this period on loans made to others. (Schedule H, Column (e).) ..... \$ 0.00
- 4. Total miscellaneous increases to cash this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Line 14.) ..... **TOTAL \$** 109.16

**Recipient Committee  
Campaign Statement  
Cover Page**

(Government Code Sections 84200-84216.5)

|                                                                                                         |                                                                                       |
|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| <b>Statement covers period</b><br><b>from</b> <u>10/21/2018</u><br><br><b>through</b> <u>12/31/2018</u> | <b>Date of election if applicable:</b><br>(Month, Day, Year)<br><br><u>11/06/2018</u> |
|---------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|

Date Stamp

E-Filed  
 01/29/2019  
 21:37:32  
  
 Filing ID:  
 176094033

**CALIFORNIA FORM 460**

Page 1 of 28

For Official Use Only

SEE INSTRUCTIONS ON REVERSE

**1. Type of Recipient Committee:** All Committees – Complete Parts 1, 2, 3, and 4.

- |                                                                                  |                                                                                                             |
|----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| <input checked="" type="checkbox"/> Officeholder, Candidate Controlled Committee | <input type="checkbox"/> Primarily Formed Ballot Measure Committee                                          |
| <input type="checkbox"/> State Candidate Election Committee                      | <input type="checkbox"/> Controlled                                                                         |
| <input type="checkbox"/> Recall<br><i>(Also Complete Part 5)</i>                 | <input type="checkbox"/> Sponsored<br><i>(Also Complete Part 6)</i>                                         |
| <input type="checkbox"/> General Purpose Committee                               | <input type="checkbox"/> Primarily Formed Candidate/Officeholder Committee<br><i>(Also Complete Part 7)</i> |
| <input type="checkbox"/> Sponsored                                               |                                                                                                             |
| <input type="checkbox"/> Small Contributor Committee                             |                                                                                                             |
| <input type="checkbox"/> Political Party/Central Committee                       |                                                                                                             |

**2. Type of Statement:**

- |                                                                                             |                                                                               |
|---------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------|
| <input type="checkbox"/> Preelection Statement                                              | <input type="checkbox"/> Quarterly Statement                                  |
| <input checked="" type="checkbox"/> Semi-annual Statement                                   | <input type="checkbox"/> Special Odd-Year Report                              |
| <input type="checkbox"/> Termination Statement<br><i>(Also file a Form 410 Termination)</i> | <input type="checkbox"/> Supplemental Preelection Statement - Attach Form 495 |
| <input type="checkbox"/> Amendment (Explain below)                                          |                                                                               |

**3. Committee Information**

I.D. NUMBER  
1392842

COMMITTEE NAME (OR CANDIDATE'S NAME IF NO COMMITTEE)  
Kate Harrison for City Council 2018

STREET ADDRESS (NO P.O. BOX)

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94709</u> | <u>(510)593-9591</u> |

MAILING ADDRESS (IF DIFFERENT) NO. AND STREET OR P.O. BOX

|      |       |          |                 |
|------|-------|----------|-----------------|
| CITY | STATE | ZIP CODE | AREA CODE/PHONE |
|------|-------|----------|-----------------|

OPTIONAL: FAX / E-MAIL ADDRESS

kateha76@gmail.com

**Treasurer(s)**

NAME OF TREASURER

Erin Diehm

MAILING ADDRESS

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94703</u> | <u>(857)472-1953</u> |

NAME OF ASSISTANT TREASURER, IF ANY

James Hendry

MAILING ADDRESS

|                 |           |              |                      |
|-----------------|-----------|--------------|----------------------|
| CITY            | STATE     | ZIP CODE     | AREA CODE/PHONE      |
| <u>Berkeley</u> | <u>CA</u> | <u>94709</u> | <u>(415)867-9596</u> |

OPTIONAL: FAX / E-MAIL ADDRESS

erindiehm@hotmail.com

**4. Verification**

I have used all reasonable diligence in preparing and reviewing this statement and to the best of my knowledge the information contained herein and in the attached schedules is true and complete. I certify under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed on 01/29/2019  
Date

By Erin Diehm  
Signature of Treasurer or Assistant Treasurer

Executed on 01/29/2019  
Date

By Kate Harrison  
Signature of Controlling Officeholder, Candidate, State Measure Proponent or Responsible Officer of Sponsor

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

Executed on \_\_\_\_\_  
Date

By \_\_\_\_\_  
Signature of Controlling Officeholder, Candidate, State Measure Proponent

**Recipient Committee  
Campaign Statement  
Cover Page — Part 2**

**CALIFORNIA  
FORM 460**

Page 2 of 28

**5. Officeholder or Candidate Controlled Committee**

NAME OF OFFICEHOLDER OR CANDIDATE

Kate Harrison

OFFICE SOUGHT OR HELD (INCLUDE LOCATION AND DISTRICT NUMBER IF APPLICABLE)

City Council Member District 4

|                                               |          |       |       |
|-----------------------------------------------|----------|-------|-------|
| RESIDENTIAL/BUSINESS ADDRESS (NO. AND STREET) | CITY     | STATE | ZIP   |
|                                               | Berkeley | CA    | 94709 |

**Related Committees Not Included in this Statement:** *List any committees not included in this statement that are controlled by you or are primarily formed to receive contributions or make expenditures on behalf of your candidacy.*

|                |             |
|----------------|-------------|
| COMMITTEE NAME | I.D. NUMBER |
|                |             |

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
|                   |                                                                                   |

|                   |                                |
|-------------------|--------------------------------|
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)   |
|                   |                                |
| CITY              | STATE ZIP CODE AREA CODE/PHONE |
|                   |                                |

|                |             |
|----------------|-------------|
| COMMITTEE NAME | I.D. NUMBER |
|                |             |

|                   |                                                                                   |
|-------------------|-----------------------------------------------------------------------------------|
| NAME OF TREASURER | CONTROLLED COMMITTEE?<br><input type="checkbox"/> YES <input type="checkbox"/> NO |
|                   |                                                                                   |

|                   |                                |
|-------------------|--------------------------------|
| COMMITTEE ADDRESS | STREET ADDRESS (NO P.O. BOX)   |
|                   |                                |
| CITY              | STATE ZIP CODE AREA CODE/PHONE |
|                   |                                |

**6. Primarily Formed Ballot Measure Committee**

NAME OF BALLOT MEASURE

|                      |              |                                                                     |
|----------------------|--------------|---------------------------------------------------------------------|
| BALLOT NO. OR LETTER | JURISDICTION | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|                      |              |                                                                     |

**Identify the controlling officeholder, candidate, or state measure proponent, if any.**

NAME OF OFFICEHOLDER, CANDIDATE, OR PROPONENT

|                       |                     |
|-----------------------|---------------------|
| OFFICE SOUGHT OR HELD | DISTRICT NO. IF ANY |
|                       |                     |

**7. Primarily Formed Candidate/Officeholder Committee** *List names of officeholder(s) or candidate(s) for which this committee is primarily formed.*

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|                                   |                       |                                                                     |

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|                                   |                       |                                                                     |

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|                                   |                       |                                                                     |

|                                   |                       |                                                                     |
|-----------------------------------|-----------------------|---------------------------------------------------------------------|
| NAME OF OFFICEHOLDER OR CANDIDATE | OFFICE SOUGHT OR HELD | <input type="checkbox"/> SUPPORT<br><input type="checkbox"/> OPPOSE |
|                                   |                       |                                                                     |

*Attach continuation sheets if necessary*

**Campaign Disclosure Statement  
Summary Page**

**Agenda Item 8 - Attachment 5**

SUMMARY PAGE

Amounts may be rounded  
to whole dollars.

|                                                   |                                |
|---------------------------------------------------|--------------------------------|
| Statement covers period<br>from <u>10/21/2018</u> | <b>CALIFORNIA<br/>FORM 460</b> |
| through <u>12/31/2018</u>                         |                                |
| Page <u>3</u> of <u>28</u>                        | I.D. NUMBER<br><u>1392842</u>  |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**Contributions Received**

|                                                       | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|-------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 1. Monetary Contributions ..... Schedule A, Line 3    | \$ <u>2,925.00</u>                                         | \$ <u>11,028.96</u>                        |
| 2. Loans Received ..... Schedule B, Line 3            | <u>0.00</u>                                                | <u>0.00</u>                                |
| 3. SUBTOTAL CASH CONTRIBUTIONS ..... Add Lines 1 + 2  | \$ <u>2,925.00</u>                                         | \$ <u>11,028.96</u>                        |
| 4. Nonmonetary Contributions ..... Schedule C, Line 3 | <u>13.16</u>                                               | <u>13.16</u>                               |
| 5. TOTAL CONTRIBUTIONS RECEIVED ..... Add Lines 3 + 4 | \$ <u>2,938.16</u>                                         | \$ <u>11,042.12</u>                        |

**Calendar Year Summary for Candidates  
Running in Both the State Primary and  
General Elections**

|                            | 1/1 through 6/30 | 7/1 to Date |
|----------------------------|------------------|-------------|
| 20. Contributions Received | \$ _____         | \$ _____    |
| 21. Expenditures Made      | \$ _____         | \$ _____    |

**Expenditures Made**

|                                                             | Column A<br>TOTAL THIS PERIOD<br>(FROM ATTACHED SCHEDULES) | Column B<br>CALENDAR YEAR<br>TOTAL TO DATE |
|-------------------------------------------------------------|------------------------------------------------------------|--------------------------------------------|
| 6. Payments Made ..... Schedule E, Line 4                   | \$ <u>14,352.85</u>                                        | \$ <u>55,723.48</u>                        |
| 7. Loans Made ..... Schedule H, Line 3                      | <u>0.00</u>                                                | <u>0.00</u>                                |
| 8. SUBTOTAL CASH PAYMENTS ..... Add Lines 6 + 7             | \$ <u>14,352.85</u>                                        | \$ <u>55,723.48</u>                        |
| 9. Accrued Expenses (Unpaid Bills) ..... Schedule F, Line 3 | <u>0.00</u>                                                | <u>0.00</u>                                |
| 10. Nonmonetary Adjustment ..... Schedule C, Line 3         | <u>13.16</u>                                               | <u>13.16</u>                               |
| 11. TOTAL EXPENDITURES MADE ..... Add Lines 8 + 9 + 10      | \$ <u>14,366.01</u>                                        | \$ <u>55,736.64</u>                        |

**Expenditure Limit Summary for State  
Candidates**

**22. Cumulative Expenditures Made\***  
(If Subject to Voluntary Expenditure Limit)

| Date of Election<br>(mm/dd/yy) | Total to Date |
|--------------------------------|---------------|
| ____/____/____                 | \$ _____      |
| ____/____/____                 | \$ _____      |

**Current Cash Statement**

|                                                                                    |                     |
|------------------------------------------------------------------------------------|---------------------|
| 12. Beginning Cash Balance ..... Previous Summary Page, Line 16                    | \$ <u>11,430.85</u> |
| 13. Cash Receipts ..... Column A, Line 3 above                                     | <u>2,925.00</u>     |
| 14. Miscellaneous Increases to Cash ..... Schedule I, Line 4                       | <u>0.00</u>         |
| 15. Cash Payments ..... Column A, Line 8 above                                     | <u>14,352.85</u>    |
| 16. <b>ENDING CASH BALANCE</b> ..... Add Lines 12 + 13 + 14, then subtract Line 15 | \$ <u>3.00</u>      |
| <i>If this is a termination statement, Line 16 must be zero.</i>                   |                     |
| 17. LOAN GUARANTEES RECEIVED ..... Schedule B, Part 2                              | \$ <u>0.00</u>      |

To calculate Column B, add amounts in Column A to the corresponding amounts from Column B of your last report. Some amounts in Column A may be negative figures that should be subtracted from previous period amounts. If this is the first report being filed for this calendar year, only carry over the amounts from Lines 2, 7, and 9 (if any).

\*Amounts in this section may be different from amounts reported in Column B.

**Cash Equivalents and Outstanding Debts**

|                                                                   |                |
|-------------------------------------------------------------------|----------------|
| 18. Cash Equivalents ..... See instructions on reverse            | \$ <u>0.00</u> |
| 19. Outstanding Debts ..... Add Line 2 + Line 9 in Column B above | \$ <u>0.00</u> |

**Schedule A  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A

Amounts may be rounded to whole dollars.

|                                                                                |                            |
|--------------------------------------------------------------------------------|----------------------------|
| Statement covers period<br>from <u>10/21/2018</u><br>through <u>12/31/2018</u> | <b>CALIFORNIA FORM 460</b> |
|                                                                                | Page <u>4</u> of <u>28</u> |

SEE INSTRUCTIONS ON REVERSE

|                                                          |                            |
|----------------------------------------------------------|----------------------------|
| NAME OF FILER<br><br>Kate Harrison for City Council 2018 | I.D. NUMBER<br><br>1392842 |
|----------------------------------------------------------|----------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 10/22/2018         | Antoinette Betschart<br>Berkeley, CA 94708                                                   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                             | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/22/2018         | Raines Cohen<br>Berkeley, CA 94702                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Systems Analyst<br>Hearts of Space                                                         | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/22/2018         | Judith Epstein<br>Berkeley, CA 94709                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Independent Contractor<br>Self (Berkeley)                                                  | 25.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/22/2018         | John Erickson<br>Berkeley, CA 94703                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                             | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/22/2018         | Dina Ezzeddine<br>Berkeley, CA 94707                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Physician<br>Sutter East Bay Medical<br>Foundation                                         | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| <b>SUBTOTAL \$</b> |                                                                                              |                                                                                                                                                                         |                                                                                            | 225.00                      |                                                     |                                    |

**Schedule A Summary**

- Amount received this period – itemized monetary contributions.  
(Include all Schedule A subtotals.) ..... \$ 2,575.00
- Amount received this period – unitemized monetary contributions of less than \$100 ..... \$ 350.00
- Total monetary contributions received this period.  
(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Line 1.) ..... **TOTAL \$** 2,925.00

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>5</u> of <u>28</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/22/2018         | Susan Fadley<br>Berkeley, CA 94708                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Writer<br>Self-employed (Berkeley CA)                                                         | -50.00                      | 0.00                                                   | S2017 \$250.00<br>G2018 \$50.00       |
| 10/22/2018         | Mary Zelaya<br>Berkeley, CA 94709                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/23/2018         | Margaret Fouda<br>Berkeley, CA 94707                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/23/2018         | Eleanor Gardner<br>Oakland, CA 94612                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$27.00<br>G2018 \$50.00        |
| 10/24/2018         | Ellen Widess<br>Berkeley, CA 94707                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Attorney<br>Self-employed (Berkeley)                                                          | 50.00                       | 50.00                                                  | S2017 \$200.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 150.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
     (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee



**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>6</u> of <u>28</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/25/2018         | Robert Archibald<br>Napa, CA 94559                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Salesperson<br>Home Depot                                                                     | 50.00                       | 50.00                                                  | S2017 \$150.00<br>G2018 \$50.00       |
| 10/25/2018         | Emile Bjerkholt<br>Washington, DC 20001                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Consultant<br>Kaiser Associates                                                               | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 10/25/2018         | Austene Hall<br>Napa, CA 94559                                                                  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Cashier<br>Van Widen's Nursery                                                                | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 10/25/2018         | Bradán Litzinger<br>Berkeley, CA 94702                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Legislative Assistant<br>City of Berkeley                                                     | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/25/2018         | Katherine Mock<br>Berkeley, CA 94702                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Marketing Copywriter<br>Girl Scouts of Northern California                                    | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>7</u> of <u>28</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/26/2018         | Sarah Bjerkholt<br>Davis, CA 95616                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/26/2018         | Hassan Fouda<br>Berkeley, CA 94707                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/27/2018         | Sally Hindman<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Executive Director<br>Youth Spirit Artworks                                                   | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/28/2018         | Elisabeth Hahn<br>New York, NY 10024                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Art Advisor<br>Self-employed (NY, NY)                                                         | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/29/2018         | Tangerine Brigham<br>Oakland, CA 94609                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Deputy Director<br>LACDHS                                                                     | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>8</u> of <u>28</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/29/2018         | Erin Diehm<br>Berkeley, CA 94703                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$175.00<br>G2018 \$50.00       |
| 10/29/2018         | Bilal Ezzeddine<br>Berkeley, CA 94707                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/29/2018         | Matthew Hallinan<br>Berkeley, CA 94702                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Property Manager<br>Ballyhallinan Properties                                                  | 50.00                       | 50.00                                                  | S2017 \$150.00<br>G2018 \$50.00       |
| 10/29/2018         | John Katz<br>Soquel, CA 95073                                                                   | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Transportation Planner<br>San Francisco M.T.A.                                                | 50.00                       | 50.00                                                  | S2017 \$125.00<br>G2018 \$50.00       |
| 10/29/2018         | Osha Neumann<br>Berkeley, CA 94703                                                              | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Lawyer<br>East Bay Community Law Center                                                       | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>9</u> of <u>28</u>     |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 10/30/2018         | Mary Behm-Steinberg<br>Berkeley, CA 94702                                                    | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                        | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/30/2018         | Micky Duxbury<br>Berkeley, CA 94703                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Author/Writer<br>Self-employed (Berkeley)                                                  | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/30/2018         | Salah Elbakri<br>San Mateo, CA 94403                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Insurance Broker<br>Concise Insurance Services                                             | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/30/2018         | Basim Elkarra<br>Sacramento, CA 95835                                                        | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Trustee<br>Twin Rivers Unified School District                                             | 50.00                       | 50.00                                               | G2018 \$50.00                      |
| 10/30/2018         | Nancy Friedman<br>Oakland, CA 94610                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Psychotherapist<br>Self-employed (Oakland)                                                 | 25.00                       | 50.00                                               | S2017 \$150.00<br>G2018 \$50.00    |
| <b>SUBTOTAL \$</b> |                                                                                              |                                                                                                                                                                         |                                                                                            | 225.00                      |                                                     |                                    |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>10</u> of <u>28</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/30/2018         | Moni Law<br>Berkeley, CA 94703                                                                  | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Housing Counselor<br>City of Berkeley Rent Board                                              | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 10/30/2018         | Shariar Moghaddam<br>Morgan Hill, CA 95037                                                      | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/30/2018         | Andrea Prichett<br>Berkeley, CA 94710                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Teacher<br>Berkeley Unified School District                                                   | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/30/2018         | Gianna Ranuzzi<br>Berkeley, CA 94705                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 10/31/2018         | Andy Katz<br>Berkeley, CA 94704                                                                 | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Director<br>EBMUD                                                                             | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
to whole dollars.

|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>11</u> of <u>28</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 10/31/2018         | Nikita Schottman<br>Berkeley, CA 94709                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 10/31/2018         | Phoebe Sorgen<br>Berkeley, CA 94708                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | S2017 \$25.00<br>G2018 \$50.00        |
| 11/01/2018         | Christopher Krohn<br>Santa Cruz, CA 94060                                                       | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Educator & Councilmember<br>UCSC & City of Santa Cruz                                         | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/01/2018         | J.J. Noire<br>Berkeley, CA 94703                                                                | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Dog walker/Video<br>Production<br>Self (Berkeley)                                             | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/01/2018         | Elizabeth O'Malley<br>El Cerrito, CA 94530                                                      | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Musician<br>Self (El Cerrito)                                                                 | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 250.00                      |                                                        |                                       |

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**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
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|                         |            |                                |
|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>12</u> of <u>28</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 11/01/2018         | Rachel O'Malley<br>Santa Cruz, CA 95060                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Professor<br>San Jose State University                                                        | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/01/2018         | Peter Schorer<br>Berkeley, CA 94704                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/01/2018         | Alan Slater<br>Costa Mesa, CA 92627                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$150.00<br>G2018 \$50.00       |
| 11/01/2018         | Eugene Turitz<br>Berkeley, CA 94705                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/02/2018         | Judith Epstein<br>Berkeley, CA 94709                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Independent Contractor<br>Self (Berkeley)                                                     | 25.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 225.00                      |                                                        |                                       |

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       (other than PTY or SCC)  
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**Schedule A (Continuation Sheet)  
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**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
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|-------------------------|------------|--------------------------------|
| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>13</u> of <u>28</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 11/02/2018         | David Mundstock<br>Berkeley, CA 94704                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 11/02/2018         | Linda Olivenbaum<br>Berkeley, CA 94703                                                          | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Program Director<br>Cabot Community College                                                   | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 11/03/2018         | Hali Hammer<br>Berkeley, CA 94703                                                               | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Occasional Substitute<br>teacher/retired<br>Berkeley Unified School<br>District/retired       | 25.00                       | 50.00                                                  | S2017 \$27.00<br>G2018 \$50.00        |
| 11/03/2018         | Nancy Van House<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | S2017 \$50.00<br>G2018 \$50.00        |
| 11/04/2018         | Michael Flynn<br>Berkeley, CA 94707                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 225.00                      |                                                        |                                       |

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**Schedule A (Continuation Sheet)  
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**Agenda Item 8 - Attachment 5**

SCHEDULE A (CONT.)

Amounts may be rounded  
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| Statement covers period |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                    | 10/21/2018 |                                |
| through                 | 12/31/2018 | Page <u>14</u> of <u>28</u>    |

|                                                      |                        |
|------------------------------------------------------|------------------------|
| NAME OF FILER<br>Kate Harrison for City Council 2018 | I.D. NUMBER<br>1392842 |
|------------------------------------------------------|------------------------|

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER<br>(IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR<br>(JAN. 1 - DEC. 31) | PER ELECTION TO DATE<br>(IF REQUIRED) |
|--------------------|-------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------------|---------------------------------------|
| 11/04/2018         | Barbara Lubin<br>Berkeley, CA 94703                                                             | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Executive Director<br>Middle East Childrens<br>Alliance                                       | 50.00                       | 50.00                                                  | S2017 \$250.00<br>G2018 \$50.00       |
| 11/05/2018         | William Donner<br>Berkeley, CA 94703                                                            | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                                | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/05/2018         | Marianne Jensen<br>Berkeley, CA 94703                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Nurse practitioner<br>La Clinica de la Raza                                                   | 50.00                       | 50.00                                                  | G2018 \$50.00                         |
| 11/05/2018         | Patrick Sheahan<br>Berkeley, CA 94710                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | Architect<br>Self-employed (Berkeley)                                                         | 50.00                       | 50.00                                                  | S2017 \$100.00<br>G2018 \$50.00       |
| 11/06/2018         | Rhiannon Rhiannon<br>Berkeley, CA 94710                                                         | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                           | -25.00                      | 50.00                                                  | S2017 \$27.00<br>G2018 \$50.00        |
| <b>SUBTOTAL \$</b> |                                                                                                 |                                                                                                                                                                         |                                                                                               | 175.00                      |                                                        |                                       |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
       (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule A (Continuation Sheet)  
Monetary Contributions Received**

SCHEDULE A (CONT.)

Amounts may be rounded to whole dollars.

Statement covers period  
 from 10/21/2018  
 through 12/31/2018

**CALIFORNIA FORM 460**

Page 15 of 28

NAME OF FILER  
 Kate Harrison for City Council 2018

I.D. NUMBER  
 1392842

| DATE RECEIVED | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                                      | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | AMOUNT RECEIVED THIS PERIOD | CUMULATIVE TO DATE CALENDAR YEAR (JAN. 1 - DEC. 31) | PER ELECTION TO DATE (IF REQUIRED) |
|---------------|----------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------------------|------------------------------------|
| 11/07/2018    | Therese Pipe<br>Berkeley, CA 94709                                                           | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | retired<br>n/a                                                                             | 50.00                       | 50.00                                               | S2017 \$225.00<br>G2018 \$50.00    |
| 11/07/2018    | Rhiannon Rhiannon<br>Berkeley, CA 94710                                                      | <input checked="" type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC | not employed<br>n/a                                                                        | 50.00                       | 50.00                                               | S2017 \$27.00<br>G2018 \$50.00     |
|               |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                            |                             |                                                     |                                    |
|               |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                            |                             |                                                     |                                    |
|               |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC            |                                                                                            |                             |                                                     |                                    |

**SUBTOTAL \$** 100.00

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
 (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

# Agenda Item 8 - Attachment 5

## Schedule C Nonmonetary Contributions Received

Amounts may be rounded  
to whole dollars.

SCHEDULE C

|                                                                                    |                               |
|------------------------------------------------------------------------------------|-------------------------------|
| Statement covers period<br>from <u>10/21/2018</u><br><br>through <u>12/31/2018</u> | CALIFORNIA<br>FORM <b>460</b> |
| Page <u>16</u> of <u>28</u>                                                        |                               |
| I.D. NUMBER<br><br>1392842                                                         |                               |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

| DATE RECEIVED      | FULL NAME, STREET ADDRESS AND ZIP CODE OF CONTRIBUTOR (IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CONTRIBUTOR CODE *                                                                                                                                           | IF AN INDIVIDUAL, ENTER OCCUPATION AND EMPLOYER (IF SELF-EMPLOYED, ENTER NAME OF BUSINESS) | DESCRIPTION OF GOODS OR SERVICES | AMOUNT/ FAIR MARKET VALUE | CUMULATIVE TO DATE CALENDAR YEAR (JAN 1 - DEC 31) | PER ELECTION TO DATE (IF REQUIRED) |
|--------------------|----------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|----------------------------------|---------------------------|---------------------------------------------------|------------------------------------|
|                    |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                            |                                  |                           |                                                   |                                    |
|                    |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                            |                                  |                           |                                                   |                                    |
|                    |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                            |                                  |                           |                                                   |                                    |
|                    |                                                                                              | <input type="checkbox"/> IND<br><input type="checkbox"/> COM<br><input type="checkbox"/> OTH<br><input type="checkbox"/> PTY<br><input type="checkbox"/> SCC |                                                                                            |                                  |                           |                                                   |                                    |
| <b>Subtotal \$</b> |                                                                                              |                                                                                                                                                              |                                                                                            |                                  |                           |                                                   |                                    |

Attach additional information on appropriately labeled continuation sheets.

### Schedule C Summary

|                                                                                                                                                      |                              |
|------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|
| 1. Amount received this period – itemized nonmonetary contributions.<br>(Include all Schedule C subtotals.) .....                                    | \$ <u>0.00</u>               |
| 2. Amount received this period – unitemized nonmonetary contributions of less than \$100 .....                                                       | \$ <u>13.16</u>              |
| 3. Total nonmonetary contributions received this period.<br>(Add Lines 1 and 2. Enter here and on the Summary Page, Column A, Lines 4 and 10.) ..... | <b>TOTAL \$</b> <u>13.16</u> |

\*Contributor Codes  
 IND – Individual  
 COM – Recipient Committee  
           (other than PTY or SCC)  
 OTH – Other (e.g., business entity)  
 PTY – Political Party  
 SCC – Small Contributor Committee

**Schedule E  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page 17 of 28                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Mailer                 | 2,730.60    |
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Walk Piece             | 337.58      |
| Fast Imaging Center<br>Berkeley, CA 94704                           | CMP  |    | Phone bank lists       | 42.72       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 3,110.90

**Schedule E Summary**

|                                                                                                                          |                 |                  |
|--------------------------------------------------------------------------------------------------------------------------|-----------------|------------------|
| 1. Itemized payments made this period. (Include all Schedule E subtotals.) .....                                         | \$              | 14,331.11        |
| 2. Unitemized payments made this period of under \$100 .....                                                             | \$              | 21.74            |
| 3. Total interest paid this period on loans. (Enter amount from Schedule B, Part 1, Column (e).) .....                   | \$              | 0.00             |
| 4. Total payments made this period. (Add Lines 1, 2, and 3. Enter here and on the Summary Page, Column A, Line 6.) ..... | <b>TOTAL \$</b> | <b>14,352.85</b> |

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>18</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT       | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------------|-------------|
| La Vals Pizza<br>Berkeley, CA 94709                                 | CMP  |    | Food for volunteers          | 91.77       |
| Timodesigns, Inc.<br>Oakland, CA 94610                              | PRO  |    | Graphic design of literature | 125.00      |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach               | 260.00      |
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Mailer                       | 1,940.99    |
| La Vals Pizza<br>Berkeley, CA 94709                                 | CMP  |    | Food for volunteers          | 24.31       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,442.07

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>19</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | CMP  |    | Food for volunteers    | 21.11       |
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | CMP  |    | Food for volunteers    | 2.19        |
| Staples<br>Berkeley, CA 94704                                       | CMP  |    | Labels                 | 22.91       |
| Chimes Printing<br>Richmond, CA 94801                               | LIT  |    | Mailer                 | 1,413.31    |
| Cricket Wireless<br>Berkeley, CA 94704                              | PHO  |    | Monthly fee (Nov 2018) | 100.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,559.52

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>20</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT    | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------|-------------|
| Facebook Inc.<br>Menlo Park, CA 94025                               | PRT  |    | Ads                       | 26.31       |
| Stacey Lautenslager<br>Livermore, CA 94551                          | PRO  |    | Graphic design of mailers | 1,397.50    |
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | CMP  |    | Food for volunteers       | 24.65       |
| Alexandra Barnard<br>Alameda, CA 94501                              | SAL  |    | Campaign support          | 500.00      |
| Staples (Pleasant Hill)<br>Pleasant Hill, CA 94523                  | CMP  |    | Labels                    | 55.34       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,003.80

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>21</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Autumn Press<br>Berkeley, CA 94710                                  | CMP  |    | Door hangers           | 250.00      |
| Facebook Inc.<br>Menlo Park, CA 94025                               | PRT  |    | Ads                    | 136.36      |
| Google LLC<br>Mountain View, CA 94043                               | WEB  |    | Monthly fee            | 10.00       |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee            | 15.00       |
| Cracked<br>Berkeley, CA 94704                                       | CMP  |    | Food for volunteers    | 24.94       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 436.30



**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>22</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|------------------------|-------------|
| Peet's Coffee<br>Berkeley, CA 94709                                 | CMP  |    | Coffee for volunteers  | 14.95       |
| Cracked<br>Berkeley, CA 94704                                       | CMP  |    | Food for volunteers    | 26.38       |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach         | 155.00      |
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | CMP  |    | Food for volunteers    | 17.42       |
| Nickan Fayyazi<br>Berkeley, CA 94709                                | SAL  |    | Distribute lit         | 60.00       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 273.75

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>23</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT         | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|--------------------------------|-------------|
| Molly Jones<br>Berkeley, CA 94705                                   | SAL  |    | Campaign support               | 500.00      |
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fee (Oct 2018) | 37.14       |
| New Solidarity<br>Oakland, CA 94609                                 | POS  |    | Mailer postage                 | 1,219.46    |
| VoterlistPro<br>Riverside, CA 92507                                 | WEB  |    | Voter outreach                 | 275.00      |
| Cornerstone<br>Berkeley, CA 94704                                   | CMP  |    | Election night food & drinks   | 200.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 2,231.60

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page <u>24</u> of <u>28</u>    |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| James Hendry<br>Berkeley, CA 94709                                  | CMP  |    | Reimburse for copies            | 116.89      |
| Safeway (1550 Shatuck)<br>Berkeley, CA 94709                        | CMP  |    | Food for volunteers             | 11.00       |
| Michael Tigges<br>Oakland, CA 94611                                 | SAL  |    | Campaign support & PDI charges  | 1,575.15    |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Oct 2018) | 69.73       |
| Lillian Wollman<br>Berkeley, CA 94720                               | SAL  |    | Distribute lit.                 | 60.00       |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 1,832.77

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

|                                     |            |                                |
|-------------------------------------|------------|--------------------------------|
| Statement covers period             |            | <b>CALIFORNIA<br/>FORM 460</b> |
| from                                | 10/21/2018 |                                |
| through                             | 12/31/2018 | Page 25 of 28                  |
| NAME OF FILER                       |            | I.D. NUMBER                    |
| Kate Harrison for City Council 2018 |            | 1392842                        |

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR               | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------|------|------------------|------------------------|-------------|
| United States Postal Service (usps.com)<br>Berkeley, CA 94704       | CMP  |                  |                        | 1.00        |
| United States Postal Service (usps.com)<br>Berkeley, CA 94704       | CMP  |                  |                        | 1.00        |
| Facebook Inc.<br>Menlo Park, CA 94025                               | PRT  | Ads              |                        | 118.33      |
| Google LLC<br>Mountain View, CA 94043                               | WEB  | Monthly fee      |                        | 10.00       |
| Molly Jones<br>Berkeley, CA 94705                                   | SAL  | Campaign support |                        | 250.00      |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$ 380.33**

**Schedule E  
(Continuation Sheet)  
Payments Made**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 10/21/2018  
through 12/31/2018

**CALIFORNIA  
FORM 460**

Page 26 of 28

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

| NAME AND ADDRESS OF PAYEE<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT          | AMOUNT PAID |
|---------------------------------------------------------------------|------|----|---------------------------------|-------------|
| ActBlue<br>Somerville, MA 02144                                     | WEB  |    | Online donation fees (Nov 2018) | 9.93        |
| Action Network<br>Washington, DC 20036                              | WEB  |    | Monthly fee                     | 15.00       |
| Vantiv LLC<br>Symmes Township, OH 45249-1384                        | WEB  |    | Online donation fees (Nov 2018) | 35.14       |
|                                                                     |      |    |                                 |             |
|                                                                     |      |    |                                 |             |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

**SUBTOTAL \$** 60.07

**Schedule G**  
**Payments Made by an Agent or Independent Contractor (on Behalf of This Committee)**

Amounts may be rounded to whole dollars.

Statement covers period  
 from 10/21/2018  
 through 12/31/2018

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

NAME OF AGENT OR INDEPENDENT CONTRACTOR

James Hendry

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

- |     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------------------|------|----|------------------------|-------------|
| FedEx Office<br>Berkeley, CA 94704                                              | CMP  |    | Copies of flyers       | 116.89      |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$ 116.89**

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

**Schedule G  
Payments Made by an Agent or Independent  
Contractor (on Behalf of This Committee)**

Amounts may be rounded  
to whole dollars.

Statement covers period  
from 10/21/2018  
through 12/31/2018

SEE INSTRUCTIONS ON REVERSE

NAME OF FILER

Kate Harrison for City Council 2018

I.D. NUMBER

1392842

NAME OF AGENT OR INDEPENDENT CONTRACTOR

Michael Tigges

**CODES:** If one of the following codes accurately describes the payment, you may enter the code. Otherwise, describe the payment.

|     |                                                               |     |                                           |     |                                                           |
|-----|---------------------------------------------------------------|-----|-------------------------------------------|-----|-----------------------------------------------------------|
| CMP | campaign paraphernalia/misc.                                  | MBR | member communications                     | RAD | radio airtime and production costs                        |
| CNS | campaign consultants                                          | MTG | meetings and appearances                  | RFD | returned contributions                                    |
| CTB | contribution (explain nonmonetary)*                           | OFC | office expenses                           | SAL | campaign workers' salaries                                |
| CVC | civic donations                                               | PET | petition circulating                      | TEL | t.v. or cable airtime and production costs                |
| FIL | candidate filing/ballot fees                                  | PHO | phone banks                               | TRC | candidate travel, lodging, and meals                      |
| FND | fundraising events                                            | POL | polling and survey research               | TRS | staff/spouse travel, lodging, and meals                   |
| IND | independent expenditure supporting/opposing others (explain)* | POS | postage, delivery and messenger services  | TSF | transfer between committees of the same candidate/sponsor |
| LEG | legal defense                                                 | PRO | professional services (legal, accounting) | VOT | voter registration                                        |
| LIT | campaign literature and mailings                              | PRT | print ads                                 | WEB | information technology costs (internet, e-mail)           |

\* Payments that are contributions or independent expenditures must also be summarized on Schedule D.

| NAME AND ADDRESS OF PAYEE OR CREDITOR<br>(IF COMMITTEE, ALSO ENTER I.D. NUMBER) | CODE | OR | DESCRIPTION OF PAYMENT | AMOUNT PAID |
|---------------------------------------------------------------------------------|------|----|------------------------|-------------|
| Political Data Inc.<br>Norwalk, CA 90650                                        | CMP  |    | PDI email expenses     | 75.15       |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |
|                                                                                 |      |    |                        |             |

Attach additional information on appropriately labeled continuation sheets.

**TOTAL\* \$ 75.15**

\* Do not transfer to any other schedule or to the Summary Page. This total may not equal the amount paid to the agent or independent contractor as reported on Schedule E.

## Agenda Item 8 - Attachment 6

**Soichet, Emma**

---

**From:** Kate Harrison <kateha76@gmail.com>  
**Sent:** Friday, May 03, 2019 4:09 PM  
**To:** FCPC (Fair Campaign Practices Commission)  
**Subject:** Fwd: Michael Rosen  
**Attachments:** Harrison\_Rosen\_105.pdf; ATT00001.htm; Harrison\_Rosen\_104.pdf; ATT00002.htm; Harrison\_Rosen\_103.pdf; ATT00003.htm; Harrison\_Rosen\_102.pdf; ATT00004.htm; Harrison\_Rosen\_101.pdf; ATT00005.htm

Dear Emma,

In response to your request for Me Rosen's invoices, please see attached.

To reiterate, no City funds have ever been spent to send e-mail communications.

We did already request the records of our office expenditures from City Finance and they should be back to us within a week.

We will answer your other questions under separate cover.



## Agenda Item 8 - Attachment 6

12/20/17

Invoice #101

TO: Kate Harrison for City Council 2018 (FPPC#1392842)  
2043 Lincoln Avenue  
Berkeley, CA 94703

FROM: Michael Rosen  
530 24<sup>th</sup> St., apt #108  
Oakland, CA 94612

FOR: Campaign support

I wrote and edited a campaign fundraising email.

5 hours x \$ 25 = \$ 125

Please make check payable to: Michael Rosen

KATE HARRISON FOR CITY COUNCIL 2018  
2043 LINCOLN ST  
BERKELEY, CA 94709

2001  
90-203/211

Dec 27, 2017

Pay to the  
Order of Michael Rosen

\$ 125.00

One hundred twenty-five and 100/100



Mechanics Bank  
www.mechbank.com

for Invoice #101

Erin Dielen

⑆ 21 10 203615 0200 1 0421 10548 ⑈

CHECK HERE IF MOBILE DEPOSIT

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE

● \_\_\_\_\_ ●

Security Features: exceed industry standards and include:  
• Multiple layers of security  
• Microprint  
• Watermark  
• Color-shifting ink  
• Full-body security  
• UV security  
• Paper made from 100% recycled fibers

Do not sign:  
• In the presence of anyone other than the intended recipient  
• In the presence of anyone other than the intended recipient  
• In the presence of anyone other than the intended recipient  
• In the presence of anyone other than the intended recipient

x Michael Rosen

## Agenda Item 8 - Attachment 6

2/3/18

Invoice #102

TO: Kate Harrison for City Council 2018 (FPPC#1392842)  
2043 Lincoln Avenue  
Berkeley, CA 94703

FROM: Michael Rosen  
530 24<sup>th</sup> St., apt #108  
Oakland, CA 94612

FOR: Campaign work

Coordinating fundraising efforts, writing talking points, handling the back end, speaking with donors, etc.

\$800 monthly stipend - \$125 for services already paid = **\$675**

Please make check payable to: Michael Rosen

Agenda Item 8 - Attachment 6

\* *Michael R*

UNIVERSITY MICROFILMS  
SERIALS ACQUISITION  
300 N ZEEB RD  
ANN ARBOR MI 48106-1500



KATE HARRISON FOR CITY COUNCIL 2018  
 2043 LINCOLN ST  
 BERKELEY, CA 94709

2008  
 99-2307211

Feb 3 2018  
 BHK

Pay to the order of Michael Rosen \$ 675.00  
 Six hundred seventy-five and no/100th Dollars

For Invoice #102

Mechanics Bank  
 www.mechanicsbank.com

12102036102008 0121054818

*Erin Decker*



## Agenda Item 8 - Attachment 6

3/2/18

Invoice #103

TO: Kate Harrison for City Council 2018 (FPPC#1392842)  
2043 Lincoln Avenue  
Berkeley, CA 94703

FROM: Michael Rosen  
530 24<sup>th</sup> St., apt #108  
Oakland, CA 94612

FOR: Campaign work

Coordinating fundraising efforts, writing talking points, handling the back end, speaking with donors, etc.

**\$800** = monthly stipend

Please make check payable to: Michael Rosen

Agenda Item 8 - Attachment 6

Date Description Debit Credit Balance Date Range All Transactions

KATE HARRISON FOR CITY COUNCIL 2018  
 2043 LINCOLN ST  
 BERKELEY, CA 94709

2011  
 94-2031211

March 4, 2018

Pay to the Order of Michael Rosen \$ 800.00

Eight hundred and 10/100

Mechanics Bank

FOR INV #103 (for Feb 2018) Gwen Nielsen

⑆121102036102011 042110548⑈

CHECK HERE IF MOBILE DEPOSIT  
 DO NOT WRITE, STAMP OR SIGN ABOVE THIS LINE  
 RESERVED FOR FINANCIAL INSTITUTION USE

121102036  
 042110548  
 KATE HARRISON FOR CITY COUNCIL 2018

- Security Features exceed industry standards and include:
- Microprint security and green numbers on each machine's use
  - The security strip pattern on back is visible to the naked eye
  - Microprint on the front and back of the paper
  - The words "SECURITY DOCUMENT" across the back
  - Photo take Deposit icon visible on front and back
- Do not cash if:
- Any of the features listed above are missing or appear altered
  - Faded ink on any of the words "SERIAL" or "NUMBER"
  - Green numbers and colored spots appear on both front and back

## Agenda Item 8 - Attachment 6

4/8/18

Invoice #104

TO: Kate Harrison for City Council 2018 (FPPC#1392842)  
2043 Lincoln Avenue  
Berkeley, CA 94703

FROM: Michael Rosen  
530 24<sup>th</sup> St., apt #108  
Oakland, CA 94612

FOR: Campaign work

- Website design
- Event coordination
- Website copy
- Supporter email interactions
- Fundraising

**\$800** = monthly stipend

Please make check payable to: Michael Rosen

Agenda Item 8 - Attachment 6

DATE DESCRIPTION DEBIT CREDIT BALANCE All transactions

KATE HARRISON FOR CITY COUNCIL 2018  
 2043 LINCOLN ST  
 BERKELEY, CA 94709

2012  
 \$9,200/7/11


April 8 2012  
 FSE

Pay to the Order of Michael Rosen \$ 800.00  
 Eight hundred and 00/100

Mechanics Bank  
 www.mechbank.com

Invoice #104  
 for for march

⑆121102036⑆02012 042110548⑈





## Agenda Item 8 - Attachment 6

4/18/18

Invoice #105

TO: Kate Harrison for City Council 2018 (FPPC#1392842)  
2043 Lincoln Avenue  
Berkeley, CA 94703

FROM: Michael Rosen  
530 24<sup>th</sup> St., apt #108  
Oakland, CA 94612

FOR: Campaign work

- Flier design
- Event coordination
- Web design
- Supporter email interactions
- Fundraising

**\$400** = prorated monthly stipend

Please make check payable to: Michael Rosen

Agenda Item 8 - Attachment 6

KATE HARRISON FOR CITY COUNCIL 2018  
2043 LINCOLN ST  
BERKELEY, CA 94709

2014  
99-2331211

April 18 2018

Pay to the Order of Michael Rosen \$ 400.00

Four hundred and no/100 Dollars

Mechanics Bank  
www.mechbank.com

For Invoice # 105 Greg Quisenberry

⑆ 12102036102014 0421105481⑆

*attached*

## Agenda Item 8 - Attachment 7

May 8, 2019

Dear Emma,

Please find attached the printouts of City expenditures for the period since I was elected, representing District 4 City expenditures from which e-mail services might come (but didn't in my case). The relevant pages are pages 1 and 2 for professional services from which a couple of other offices pay for e-mail services. I also attach 2 pages for Books and subscriptions, <sup>(3 and 4)</sup> printing and binding to demonstrate that those other accounts had no expenditures in past years and an unrelated expenditure in 2019 under printing and binding on page 7.

I wanted to make sure those documents were included in the record and reflected in your Staff report. The Assistant Treasurer, James Henry, will send you a PDF of these documents this evening so that they can be permanently attached to the record.

Thank you,  
Katie Harrison

05/08/2019 11:37  
SBarnard

City of Berkeley, CA - Production  
G/L ACCOUNT - MASTER INQUIRY

P 1  
glactinq

Org code: 01100055 GEN FUND MYR CONCIL DISTRICT 4 Type: E  
Object code: 612990 PROF SVCS - MISCELLANEOUS Status: A  
Project code: Budgetary: Y

Fund 011 GF - DISCRETIONARY  
DEPARTMENT 11 MAYOR AND COUNCIL  
DIVISION 104 DISTRICT 4  
SUB-DIVISION 000 UNDEFINED  
PROGRAM 0000 UNDEFINED  
FUTURE 1 000 UNDEFINED  
ACTIVITY 411 LEGISLATIVE

Full description: PROF SVCS - MISCELLANEOUS Short desc: PROF MISC  
Reference Acct: Auto-encumber? (Y/N) N

| PER  | CURRENT YEAR MONTHLY AMOUNTS |             |              | BUDGET |
|------|------------------------------|-------------|--------------|--------|
|      | ACTUAL                       | ENCUMBRANCE | BUD TRANSFER |        |
| 00   | .00                          | .00         | .00          | .00    |
| 01   | .00                          | .00         | .00          | .00    |
| 02   | .00                          | .00         | .00          | .00    |
| 03   | .00                          | .00         | .00          | .00    |
| 04   | .00                          | .00         | .00          | .00    |
| 05   | .00                          | .00         | .00          | .00    |
| 06   | .00                          | .00         | .00          | .00    |
| 07   | .00                          | .00         | .00          | .00    |
| 08   | .00                          | .00         | .00          | .00    |
| 09   | .00                          | .00         | .00          | .00    |
| 10   | .00                          | .00         | .00          | .00    |
| 11   | .00                          | .00         | .00          | .00    |
| 12   | .00                          | .00         | .00          | .00    |
| 13   | .00                          | .00         | .00          | .00    |
| Tot: | .00                          | .00         | .00          | .00    |

| CURRENT YEAR TOTAL AMOUNTS |     |                   |     |
|----------------------------|-----|-------------------|-----|
| Actual (Memo)              | .00 | Original Budget   | .00 |
| Encumbrances               | .00 | Budget Tranfr In  | .00 |
| Requisitions               | .00 | Budget Tranfr Out | .00 |
| Total                      | .00 | Carry Fwd Budget  | .00 |
| Available Budget           | .00 | Carry Fwd Bud Tfr | .00 |
| Percent Used               | .00 | Revised Budget    | .00 |
| Inceptn to SOY             | .00 | Inceptn Orig Bud  | .00 |
|                            |     | Inceptn Revsd Bud | .00 |
| Encumb-Last Yr             | .00 | BASELINE          | .00 |
| Actual-Last Yr             | .00 | DEPT ENTRY        | .00 |
| Estim-Actual               | .00 | DEPT HEAD         | .00 |
|                            | .00 | PROPOSED          | .00 |
|                            |     | ADOPTED           | .00 |

05/08/2019 11:37  
SBarnard

City of Berkeley, CA - Production  
G/L ACCOUNT - MASTER INQUIRY

P 2  
glactinq

| PER  | LAST YEAR MONTHLY AMOUNTS |             |        |
|------|---------------------------|-------------|--------|
|      | ACTUAL                    | ENCUMBRANCE | BUDGET |
| 00   | .00                       | .00         | .00    |
| 01   | .00                       | .00         | .00    |
| 02   | .00                       | .00         | .00    |
| 03   | .00                       | .00         | .00    |
| 04   | .00                       | .00         | .00    |
| 05   | .00                       | .00         | .00    |
| 06   | .00                       | .00         | .00    |
| 07   | .00                       | .00         | .00    |
| 08   | .00                       | .00         | .00    |
| 09   | .00                       | .00         | .00    |
| 10   | .00                       | .00         | .00    |
| 11   | .00                       | .00         | .00    |
| 12   | .00                       | .00         | .00    |
| 13   | .00                       | .00         | .00    |
| Tot: | .00                       | .00         | .00    |

| ----- PRIOR YEARS TOTAL AMOUNTS ----- |     |                   |      |
|---------------------------------------|-----|-------------------|------|
| 2018 Actual                           | .00 | 2018 Orig Budget  | .00  |
| 2018 Closed @ YE                      | .00 | 2018 Bud Tfr In   | .00  |
| 2018 Encumbrance                      | .00 | 2018 Bud Tfr Out  | .00  |
| 2018 Memo Bal                         | .00 | 2018 C Fwd Budget | .00  |
| 2017 Actual                           | .00 | 2018 Revsd Budget | .00  |
| 2016 Actual                           | .00 |                   |      |
| 2015 Actual                           | .00 | 2017 Orig Budget  | .00  |
| 2014 Actual                           | .00 | 2017 Revsd Budget | .00  |
| 2013 Actual                           | .00 | 2016 Orig Budget  | .00  |
| 2012 Actual                           | .00 | 2016 Revsd Budget | .00  |
| 2011 Actual                           | .00 |                   |      |
| 2010 Actual                           | .00 | 2018              | 0.00 |
| 2009 Actual                           | .00 | 2017              | 0.00 |
|                                       |     | 2016              | 0.00 |

| ----- FUTURE YEAR AMOUNTS ----- |             |                  |        |
|---------------------------------|-------------|------------------|--------|
| PER                             | 2020 BUDGET |                  | BUDGET |
| 00                              | .00         | 2020 BASELINE    | .00    |
| 01                              | .00         | 2020 DEPT ENTRY  | .00    |
| 02                              | .00         | 2020 DEPT HEAD   | .00    |
| 03                              | .00         | 2020 PROPOSED    | .00    |
| 04                              | .00         | 2020 ADOPTED     | .00    |
| 05                              | .00         | 2020 Revised     | .00    |
| 06                              | .00         | 2021 Estimate    | .00    |
| 07                              | .00         | 2022 Estimate    | .00    |
| 08                              | .00         | 2023 Estimate    | .00    |
| 09                              | .00         | 2024 Estimate    | .00    |
| 10                              | .00         |                  |        |
| 11                              | .00         | 2020 Memo Bal    | .00    |
| 12                              | .00         | 2020 Encumbrance | .00    |
| 13                              | .00         | 2020 Requisition | .00    |
| Tot:                            | .00         |                  |        |

----- ACCOUNT NOTES -----

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City of Berkeley, CA - Production  
G/L ACCOUNT - MASTER INQUIRY

P 1  
glactinq

Org code: 01100055 GEN FUND MYR CONCIL DISTRICT 4 Type: E  
Object code: 643110 SUPPLIES - BOOKS AND SUBSCRIP Status: A  
Project code: Budgetary: Y

Fund 011 GF - DISCRETIONARY  
DEPARTMENT 11 MAYOR AND COUNCIL  
DIVISION 104 DISTRICT 4  
SUB-DIVISION 000 UNDEFINED  
PROGRAM 0000 UNDEFINED  
FUTURE 1 000 UNDEFINED  
ACTIVITY 411 LEGISLATIVE

Full description: SUPPLIES - BOOKS AND SUBSCRIP Short desc: BOOK SUBSC  
Reference Acct: Auto-encumber? (Y/N) N

| PER  | CURRENT YEAR MONTHLY AMOUNTS |             |              | BUDGET |
|------|------------------------------|-------------|--------------|--------|
|      | ACTUAL                       | ENCUMBRANCE | BUD TRANSFER |        |
| 00   | .00                          | .00         | .00          | .00    |
| 01   | .00                          | .00         | .00          | .00    |
| 02   | .00                          | .00         | .00          | .00    |
| 03   | .00                          | .00         | .00          | .00    |
| 04   | .00                          | .00         | .00          | .00    |
| 05   | .00                          | .00         | .00          | .00    |
| 06   | .00                          | .00         | .00          | .00    |
| 07   | .00                          | .00         | .00          | .00    |
| 08   | .00                          | .00         | .00          | .00    |
| 09   | .00                          | .00         | .00          | .00    |
| 10   | .00                          | .00         | .00          | .00    |
| 11   | .00                          | .00         | .00          | .00    |
| 12   | .00                          | .00         | .00          | .00    |
| 13   | .00                          | .00         | .00          | .00    |
| Tot: | .00                          | .00         | .00          | .00    |

| CURRENT YEAR     |     | TOTAL AMOUNTS     |     |
|------------------|-----|-------------------|-----|
| Actual (Memo)    | .00 | Original Budget   | .00 |
| Encumbrances     | .00 | Budget Tranfr In  | .00 |
| Requisitions     | .00 | Budget Tranfr Out | .00 |
| Total            | .00 | Carry Fwd Budget  | .00 |
| Available Budget | .00 | Carry Fwd Bud Tfr | .00 |
| Percent Used     | .00 | Revised Budget    | .00 |
| Inceptn to SOY   | .00 | Inceptn Orig Bud  | .00 |
|                  |     | Inceptn Revsd Bud | .00 |
| Encumb-Last Yr   | .00 | BASELINE          | .00 |
| Actual-Last Yr   | .00 | DEPT ENTRY        | .00 |
| Estim-Actual     | .00 | DEPT HEAD         | .00 |
|                  | .00 | PROPOSED          | .00 |
|                  |     | ADOPTED           | .00 |

05/08/2019 10:47  
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G/L ACCOUNT - MASTER INQUIRY

P 2  
glactinq

| PER  | LAST YEAR MONTHLY AMOUNTS |             |        |
|------|---------------------------|-------------|--------|
|      | ACTUAL                    | ENCUMBRANCE | BUDGET |
| 00   | .00                       | .00         | .00    |
| 01   | .00                       | .00         | .00    |
| 02   | .00                       | .00         | .00    |
| 03   | .00                       | .00         | .00    |
| 04   | .00                       | .00         | .00    |
| 05   | .00                       | .00         | .00    |
| 06   | .00                       | .00         | .00    |
| 07   | .00                       | .00         | .00    |
| 08   | .00                       | .00         | .00    |
| 09   | .00                       | .00         | .00    |
| 10   | .00                       | .00         | .00    |
| 11   | .00                       | .00         | .00    |
| 12   | .00                       | .00         | .00    |
| 13   | .00                       | .00         | .00    |
| Tot: | .00                       | .00         | .00    |

|                  |        | PRIOR YEARS       | TOTAL AMOUNTS |  |
|------------------|--------|-------------------|---------------|--|
| 2018 Actual      | .00    | 2018 Orig Budget  | .00           |  |
| 2018 Closed @ YE | .00    | 2018 Bud Tfr In   | .00           |  |
| 2018 Encumbrance | .00    | 2018 Bud Tfr Out  | .00           |  |
| 2018 Memo Bal    | .00    | 2018 C Fwd Budget | .00           |  |
| 2017 Actual      | .00    | 2018 Revsd Budget | .00           |  |
| 2016 Actual      | -30.00 |                   |               |  |
| 2015 Actual      | .00    | 2017 Orig Budget  | .00           |  |
| 2014 Actual      | .00    | 2017 Revsd Budget | .00           |  |
| 2013 Actual      | .00    | 2016 Orig Budget  | .00           |  |
| 2012 Actual      | .00    | 2016 Revsd Budget | .00           |  |
| 2011 Actual      | .00    |                   |               |  |
| 2010 Actual      | .00    | 2018              | 0.00          |  |
| 2009 Actual      | .00    | 2017              | 0.00          |  |
|                  |        | 2016              | 0.00          |  |

|      |             | FUTURE YEAR AMOUNTS |        |     |
|------|-------------|---------------------|--------|-----|
| PER  | 2020 BUDGET |                     | BUDGET |     |
| 00   | .00         | 2020 BASELINE       | .00    | .00 |
| 01   | .00         | 2020 DEPT ENTRY     | .00    | .00 |
| 02   | .00         | 2020 DEPT HEAD      | .00    | .00 |
| 03   | .00         | 2020 PROPOSED       | .00    | .00 |
| 04   | .00         | 2020 ADOPTED        | .00    | .00 |
| 05   | .00         | 2020 Revised        | .00    | .00 |
| 06   | .00         | 2021 Estimate       | .00    | .00 |
| 07   | .00         | 2022 Estimate       | .00    | .00 |
| 08   | .00         | 2023 Estimate       | .00    | .00 |
| 09   | .00         | 2024 Estimate       | .00    | .00 |
| 10   | .00         |                     |        |     |
| 11   | .00         | 2020 Memo Bal       | .00    |     |
| 12   | .00         | 2020 Encumbrance    | .00    |     |
| 13   | .00         | 2020 Requisition    | .00    |     |
| Tot: | .00         |                     |        |     |

----- ACCOUNT NOTES -----

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City of Berkeley, CA - Production  
G/L ACCOUNT - MASTER INQUIRY

P 1  
glactinq

Org code: 01100055 GEN FUND MYR CONCIL DISTRICT 4 Type: E  
Object code: 635110 PRINTING AND BINDING Status: A  
Project code: Budgetary: Y

Fund 011 GF - DISCRETIONARY  
DEPARTMENT 11 MAYOR AND COUNCIL  
DIVISION 104 DISTRICT 4  
SUB-DIVISION 000 UNDEFINED  
PROGRAM 0000 UNDEFINED  
FUTURE 1 000 UNDEFINED  
ACTIVITY 411 LEGISLATIVE

Full description: PRINTING AND BINDING Short desc: PRINTING  
Reference Acct: Auto-encumber? (Y/N) N

| ----- CURRENT YEAR MONTHLY AMOUNTS ----- |        |             |              |        |
|------------------------------------------|--------|-------------|--------------|--------|
| PER                                      | ACTUAL | ENCUMBRANCE | BUD TRANSFER | BUDGET |
| 00                                       | .00    | .00         | .00          | .00    |
| 01                                       | .00    | .00         | .00          | .00    |
| 02                                       | .00    | .00         | .00          | .00    |
| 03                                       | .00    | .00         | .00          | .00    |
| 04                                       | .00    | .00         | .00          | .00    |
| 05                                       | .00    | .00         | .00          | .00    |
| 06                                       | .00    | .00         | .00          | .00    |
| 07                                       | .00    | .00         | .00          | .00    |
| 08                                       | .00    | .00         | .00          | .00    |
| 09                                       | .00    | .00         | .00          | .00    |
| 10                                       | .00    | 442.84      | .00          | .00    |
| 11                                       | .00    | .00         | .00          | .00    |
| 12                                       | .00    | .00         | .00          | .00    |
| 13                                       | .00    | .00         | .00          | .00    |
| Tot:                                     | .00    | 442.84      | .00          | .00    |

*See next page (pg. 67)*

| ----- CURRENT YEAR TOTAL AMOUNTS ----- |         |                   |     |
|----------------------------------------|---------|-------------------|-----|
| Actual (Memo)                          | .00     | Original Budget   | .00 |
| Encumbrances                           | 442.84  | Budget Tranfr In  | .00 |
| Requisitions                           | .00     | Budget Tranfr Out | .00 |
| Total                                  | 442.84  | Carry Fwd Budget  | .00 |
| Available Budget                       | -442.84 | Carry Fwd Bud Tfr | .00 |
| Percent Used                           | .00     | Revised Budget    | .00 |
| Inceptn to SOY                         | .00     | Inceptn Orig Bud  | .00 |
|                                        |         | Inceptn Revsd Bud | .00 |
| Encumb-Last Yr                         | .00     | BASELINE          | .00 |
| Actual-Last Yr                         | .00     | DEPT ENTRY        | .00 |
| Estim-Actual                           | .00     | DEPT HEAD         | .00 |
|                                        | .00     | PROPOSED          | .00 |
|                                        |         | ADOPTED           | .00 |



| PER  | LAST YEAR MONTHLY AMOUNTS |             |        |
|------|---------------------------|-------------|--------|
|      | ACTUAL                    | ENCUMBRANCE | BUDGET |
| 00   | .00                       | .00         | .00    |
| 01   | .00                       | .00         | .00    |
| 02   | .00                       | .00         | .00    |
| 03   | .00                       | .00         | .00    |
| 04   | .00                       | .00         | .00    |
| 05   | .00                       | .00         | .00    |
| 06   | .00                       | .00         | .00    |
| 07   | .00                       | .00         | .00    |
| 08   | .00                       | .00         | .00    |
| 09   | .00                       | .00         | .00    |
| 10   | .00                       | .00         | .00    |
| 11   | .00                       | .00         | .00    |
| 12   | .00                       | .00         | .00    |
| 13   | .00                       | .00         | .00    |
| Tot: | .00                       | .00         | .00    |

|                  |        | PRIOR YEARS TOTAL AMOUNTS |        |
|------------------|--------|---------------------------|--------|
| 2018 Actual      | .00    | 2018 Orig Budget          | .00    |
| 2018 Closed @ YE | .00    | 2018 Bud Tfr In           | .00    |
| 2018 Encumbrance | .00    | 2018 Bud Tfr Out          | .00    |
| 2018 Memo Bal    | .00    | 2018 C Fwd Budget         | .00    |
| 2017 Actual      | .00    | 2018 Revsd Budget         | .00    |
| 2016 Actual      | 162.06 |                           |        |
| 2015 Actual      | .00    | 2017 Orig Budget          | .00    |
| 2014 Actual      | .00    | 2017 Revsd Budget         | .00    |
| 2013 Actual      | .00    | 2016 Orig Budget          | .00    |
| 2012 Actual      | .00    | 2016 Revsd Budget         | 180.00 |
| 2011 Actual      | .00    |                           |        |
| 2010 Actual      | .00    | 2018                      | 0.00   |
| 2009 Actual      | .00    | 2017                      | 0.00   |
|                  |        | 2016                      | 0.00   |

| PER  | FUTURE YEAR AMOUNTS |                  |     |     |
|------|---------------------|------------------|-----|-----|
|      | 2020 BUDGET         | BUDGET           |     |     |
| 00   | .00                 | 2020 BASELINE    | .00 | .00 |
| 01   | .00                 | 2020 DEPT ENTRY  | .00 | .00 |
| 02   | .00                 | 2020 DEPT HEAD   | .00 | .00 |
| 03   | .00                 | 2020 PROPOSED    | .00 | .00 |
| 04   | .00                 | 2020 ADOPTED     | .00 | .00 |
| 05   | .00                 | 2020 Revised     | .00 | .00 |
| 06   | .00                 | 2021 Estimate    | .00 | .00 |
| 07   | .00                 | 2022 Estimate    | .00 | .00 |
| 08   | .00                 | 2023 Estimate    | .00 | .00 |
| 09   | .00                 | 2024 Estimate    | .00 | .00 |
| 10   | .00                 |                  |     |     |
| 11   | .00                 | 2020 Memo Bal    | .00 | .00 |
| 12   | .00                 | 2020 Encumbrance | .00 | .00 |
| 13   | .00                 | 2020 Requisition | .00 | .00 |
| Tot: | .00                 |                  |     |     |

ACCOUNT NOTES

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05/08/2019 10:49  
SBarnard

City of Berkeley, CA - Production  
G/L ACCOUNT DETAIL

Org: 01100055 Object: 635110  
PRINTING AND BINDING

011-11-104-000-0000-000-411-635110-

| YEAR PER JOURNAL | EFF DATE | SRC T      | PO/REF2 | REFERENCE | AMOUNT     | P      | CHECK NO | WARRANT | VDR NAME/ITEM     | DESC     | COMMENTS |
|------------------|----------|------------|---------|-----------|------------|--------|----------|---------|-------------------|----------|----------|
| 2019 09          | 150      | 03/06/2019 | POE 4   | 21901530  | PO ENT/PRF | 442.84 | Y        | 0       | AUTUMN PRESS, INC | Business |          |

Total Amount: 442.84

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**Proposed change to BERA**

April 18, 2019

Dean Metzger

**Article 6. Limitations on Contributions**

**2.12.415 Persons other than candidate--Maximum permitted amount.**

A. No person other than a candidate shall make and no campaign treasurer shall solicit or accept any contribution which will cause the total amount contributed by such person with respect to a single election in support of or in opposition to such candidate to exceed two hundred fifty dollars. For purposes of this section single election is a primary, general, special, runoff or recall election. (Ord. 5895 § 1, 1988: Ord. 4700-NS § 600, 1974)

B. No person shall donate to more than 1 (one) candidate in any council district or for mayor in a single election. No candidate or campaign treasurer shall solicit or accept any contribution from a person who has contributed to another candidate in the same council district or for mayor in a single election. For purposes of this section, single election is a primary, general, special, runoff or recall election.

C. A candidate choosing to participate in the Public Financing law shall make and no campaign treasurer shall solicit or accept any contribution of more than \$50.00. For purposes of this section single election is a primary, general, special, runoff or recall election.

September 7, 2018

Fair Campaign Practices Commission,

I think there is a glitch in Berkeley public campaign financing that is being exploited and which needs remedying after the election. In District 4 there are two candidates, who both represent the same developer interests and basically have many of the same endorsements. The candidates are running a tag-team - endorsing each other - while getting public financing. What this allows them to do is get twice the public financing giving them a 2-1 money advantage over their opponent. In fact, there is nothing to prevent a group of wealthy individuals from sponsoring 3 identical candidates, all cross-endorsing each other resulting in a 3-1 money advantage over an opponent. If the opponent runs the same scam, then we break the public funding bank.

I think there are two parts to the solution. First, make it a stipulation of public financing not to endorse another candidate in your race. Second, make it so that donations don't get matching funds if they are given by a single donor who gives to two different candidates in the same race. Perhaps there are other needed changes to prevent this exploitation.

Perhaps there would be unforeseen consequences of these changes - but a discussion should be started, and changes made before the next election cycle.

James Mcfadden



To the members of the Fair Campaign Practices Commission:

2018 was the first election cycle in which candidates for Berkeley elected offices participated in the new Berkeley Fair Elections program. The Fair Elections program was adopted by voters who approved Measure X1 on the November 2016 ballot. The program provides candidates with 6-to-1 matching funds for candidates who agree to forego all contributions above \$50. The system was adopted with three goals in mind: (1) broadening the base of candidates who run for office, (2) encouraging grassroots and face-to-face connection with voters, and (3) limiting the influence of special-interest money on our local elections.

As the main proponents of this initiative, we as an organization reached out to the fourteen candidates for Berkeley city council to get their feedback on the program. Of these fourteen candidates, ten used public matching funds, while another two applied for public matching funds but were never qualified to receive funds. (More on that below.) We received responses from nine of the candidates.

#### **Overall Satisfaction with the Program**

Overall, the respondents had a positive view of the Berkeley Fair Elections program. This included progressives and moderates, incumbents and challengers, and winners and losers. In the 2018 race, every district was competitive, with at least one candidate besides the winner securing 30% of the vote. Four candidates maxed out on their matching contributions from the city, receiving \$40,000 from the city. Another candidate received just shy of the maximum, receiving \$39,450 from the city. The remaining participating candidates received between \$17,164 and \$30,269 in matching funds.

There were common responses from the candidates highlighting that the system performed as designed. Most candidates commented that the system allowed them to spend less time fundraising and more time engaging with voters. Many candidates also expressed the opinion that the low-contribution limit for matching funds (\$50 to receive \$300 in matching funds) encouraged them to engage with a broader segment of voters. Most candidates also expressed the view that the amount of the contribution limit (\$50) as well as the capped amount of public matching funds (\$40,000) was sufficient to run a credible campaign.

There were additional benefits from the system that we as proponents did not foresee — several candidates expressed the view that the public matching funds system allowed them to more easily plan and budget for their campaigns, knowing that they would likely max out on Berkeley's matching funds.

A few sample comments directly from candidates underscore the perceived benefits of the Fair Elections program. The sentiment of each of these comments represents a sentiment expressed by multiple other candidates as well.

*In terms of impacting the election at large, I think public matching funds helped to encourage a more diverse group of candidates into the field- people that wouldn't have been able to personally contribute a lot to their campaigns were still able to run viable*

*campaigns because of the matching funds. This helped draw in people who worked full time, were students, or didn't have a ton of savings run for office.*

-Candidate #1

*It meant most fundraising happened as a part of other events, during canvassing, going to different political clubs. Definitely spent more time with voters that could only give \$5-10. I got donations from a couple people living in vans, students finding coins in their couch, etc. When deciding what events or organizations to go to, the number of people was a greater consideration than wealth of average membership.*

-Candidate #2

*I was able to gather funds earlier in the campaign, leaving me more time to speak with voters. It allowed me to more easily turn away funds from large organizations or requests from other candidates/organizations for contributions (I didn't have to say no; it is built in). It allowed more people to enter the race which made it more competitive.*

-Candidate #3

Even a majority of the candidates who ultimately did not prevail had positive feedback about the program. Said one such candidate, "The 6 to 1 match got a lot of people excited as they were able to help the campaign significantly with even a couple of dollars." Said another such candidate, "[public matching funds] enabled me to reach out to a broader audience of potential and likely supporters, and provided a strong incentive for people to donate.... I was able to spend less time fundraising and more time focusing on talking to voters... I was running against an incumbent, and I think that public financing strongly helped to level the playing field and make it a much more competitive race." Another such candidate noted that they "absolutely support" public matching funds and expressed hope that "it can be expanded to other electoral office in the City."

### **The Financial Soundness of the Fair Elections Fund**

The amount of mandatory monetary allocation by the City to the system appears to be sufficient to fund the program. Under the fair elections law, \$500,000 is contributed to a fair elections fund every year until the fund reaches \$2 million, at which point the fund is replenished up to the cap. According to the City Clerk, of the \$1 million allocated to the public financing program since 2016, the fair elections fund has a balance of \$580,645. After subtracting administrative costs, the fair elections fund will have \$1,466,121 to distribute for the 2020 elections, which will be more expensive than the 2018 race owing to the addition of the mayor's race. The fair elections fund appears to be solvent and working as designed.

### **Minor Reforms to Improve the Program**

While most candidates expressed their overall support for the Fair Elections program, there were several areas that candidates identified as needing improvement. MapLight endorses three changes to the system in light of this feedback and MapLight's review of the system:

***Speed Up Disbursements in August and September.*** One common concern was the pace of disbursements. Candidates most need funds in August and September, as campaign season ramps up and campaigns begin to prepare for the opening of vote-by-mail. For those candidates who do not get a jump on fundraising in spring or summer, the current disbursement schedule is insufficient. MapLight recommends amending the schedule to provide bi-monthly or weekly disbursements to candidates in this critical period to ensure that candidates have timely access to funds when needed most.

The City Clerk has suggested delegating approval requirements to the City, so that City Staff reviews and verifies a candidate's qualifying contributions. MapLight endorses this amendment, which would speed up the time between a candidate's submission of qualifying contributions and their approval to participate in the system. Having the City verify qualifying contributions, rather than the Commission, would transmit what is largely an administrative and ministerial function to city staff. MapLight understands that in the 2018 election cycle, the Commission followed all of the Staff's recommendations on qualifying contributions, suggesting that the process is already largely administrative and handled by City Staff.

Additionally, review by City Staff would facilitate more disbursements in the critical August/September periods as it would not require scheduling additional meetings of the Commission to approval candidate qualifications and disbursements.

***Amend the Disqualification Rules.*** Several candidates expressed a desire to amend the law's strict disqualification rules. Two candidates were disqualified from receiving public funds owing to minor infractions (one candidate loaned his campaign \$100 prior to seeking public funds, rather than the statutory maximum \$50, and another candidate accepted an \$80 online contribution, which is above the \$50 limit.) Given the overall objective of encouraging more candidates to run for office — especially first-time candidates and political newcomers — MapLight believes the law should be amended to allow candidates to correct *de minimis* mistakes rather than face disqualification.

As one candidate expressed, “given how critical public financing is for many candidates, and how the intent of the system is to expand and democratize access to running, it seems like the penalties for minor errors should be re-evaluated, so that a small mistake would not completely dismantle a candidate's campaign overnight.”

The system could also be amended to allow candidates to loan themselves and/or contribute to their own campaigns in an amount up to \$250 (the limit is currently \$50). This would give candidates quick access to a small amount of seed money for early campaign necessities, such as opening bank accounts (often banks require a minimum initial deposit of \$100), creating a small batch of campaign fliers, buying food for an initial campaign kickoff, and making copies of city forms for matching funds contributions.

***Disclose Public Funds Received.*** As currently formatted, California Form 460, which is the form used for campaign contribution disclosures, does not show how much money in public matching funds a candidate has or has not received on the summary page. While an interested party can determine how much each candidate has received in matching funds, they must flip through multiple forms to verify a total amount received. To encourage transparency, MapLight suggests that the City Clerk publish a list of public funds disbursed to candidates at the close of each disclosure period on the City Website. In the long run, Form 460 should be amended to include a column for “public funds received” per period and cumulatively, but given that the is borrowed from the state this is impractical in the short term.

Overall, most candidates expressed the view that navigating the Fair Elections system was challenging. However, MapLight has no specific reforms to suggest in light of this common concern. Most candidates noted that the City Clerk's office was generous with its time and quick to respond to questions about the program. Given that the program is in its infancy, MapLight believes that in time candidates and treasurers will become more comfortable with the program.

**Other Changes Suggested by Candidates**

Other changes suggested by candidates, on which MapLight takes no position, include:

- Allowing candidates to loan their campaigns up to 50% of their expected initial disbursement to give them quick access to capital, rather than wait for disbursements from the City.

- Amending the law or regulations to clarify that payments for placement on a slate card do not count as "contributions" under the law and are thus a permissible use of public funds, even if the slate card is published by another committee.

- Changing the donation rules to address these issues described by a candidate:

"The City also wouldn't accept two donations from the same credit card, even if it was a joint card. (They accepted joint checks that were signed by one of the two people on the account). This infuriated a lot of people who tried to donate but couldn't because Paypal (which we used as receipts for the donation) had only one of the couples' names registered. We also had a similar experience, where Paypal indicated that a person's account was tied to their individual business (usually self-employed consultants) and thus their forms were not accepted by the City because they appeared to come from businesses instead of individuals. I'd estimate we lost out on \$200 worth of donations because of these issues- people tried multiple ways of donating only to be told we couldn't accept their money."

- Amending the law to enable successful candidates to keep excess funds while they are in office.

"The issue successful candidates have is that they do not have a committee with political funds available to use during their term. Many electeds have websites or continue communicating with voters in a political way, outside of the parameters of their office, and use the committee and leftover campaign donations to do so. There is no accommodation for this under the current public financing system."

- Amending the law to allow for electronic signatures. Unlike traditional campaign contributions, contributions under the Fair Elections program must be accompanied by supporting paperwork. Amongst the required paperwork, each donation must be accompanied by a donor's signature verifying that the donor is a Berkeley resident. Modern campaigning, however, relies extensively on credit cards, online donations and remote contributions. In light of this reality, the signature requirement proved onerous to some candidates. Some candidates have suggested amending the signature requirement to enable electronic signatures. Maplight takes no position on the issue. While electronic signatures may make the system administratively easier



for campaigns to run, the requirement of submitting an actual signature (or facsimile thereof) ensures that City money is not distributed without proper documentation.

MapLight looks forward to the FCPC's future consideration of amendments to the program and believes Berkeley can continue to lead the nation on this critical reform.

Sincerely,

Daniel G. Newman  
President & Co-Founder  
MapLight

Sam Ferguson  
Advisor  
MapLight

**Leslie, Tylene**

---

**From:** Igor Tregub <itregub@gmail.com>  
**Sent:** Thursday, April 18, 2019 4:31 PM  
**To:** Soichet, Emma  
**Subject:** Comments on Item 6

Dear Members of the FCPC,

Unfortunately I am unable to attend tonight's meeting. I'm in substantial agreement with the changes proposed by both MAPLight and city staff. Two suggestions that I didn't notice in the proposal are:

(1) Considering a framework that ensures that contributions from Berkeley residents doing major business with the city are not matched. In the 2018 election, there were several instances of developers residing in Berkeley contribution only \$100 (between themselves and their spouse) to allow a candidate to receive a total of \$700. That seems to me to be counter to the concept of encouraging grassroots campaigning or reducing the influence of money in elections. I recognize that absent a lobbyist registration ordinance this may require some thinking as to Implementation. Nevertheless I wanted to flag that as a major issue that came up last year, which I do not see either proposal addressing as of yet.

(2) There were credible claims made that in other districts (though not the one I ran in last year) some candidates used matching funds to coordinate their campaigns, such as through joint appearances on mailers or other literature. I believe this is supposed to be contrary to the spirit if not the letter of the ordinance. I suggest seeing if some clarity around either the language of the ordinance or around enforcement could be effected here.

Thank you for your consideration of my comments.

Igor

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Sent from Gmail Mobile



Fair Campaign Practices Commission

**DATE:** May 16, 2019  
**TO:** Fair Campaign Practices Commission  
**FROM:** Brad Smith  
**SUBJECT:** Officeholder Accounts

The purpose of this memo is to recommend amending BERA to prohibit Officeholder Accounts ([Section 18531.62. Elected State Officeholder Bank Accounts, Regulations of the Fair Political Practices Commission](#)) (Attachment 1) or, alternatively, amend BERA to mitigate unfair advantages incumbents have over challengers in terms of contributions to and expenses from an Officeholder Account fund.

**Definition of an Officeholder Account:** Funds in a single bank account at a financial institution in the State of California separate from any other bank account held by the officeholder to help pay for the cost of holding public office. Officeholder Account funds cannot be used to pay “campaign expenses.” This definition is drawn from [Section 18531.62 Elected State Officeholder Bank Accounts](#) (Attachment 1).

Contributions to or expenditures from an Officeholder Account are not subject to BERA’s reporting requirements. (The FPPC still requires the reporting of activity relating to an Officeholder Account.) If, however, a complaint is filed that an Officeholder Account is used for campaign contributions or to pay “campaign expenses,” BERA can be used to respond to the complaint. The legal arguments for these statements are contained in a memo signed by City Attorney Manuela Albuquerque to Aide to Mayor Shirley Dean, Barbara Gilbert, dated December 28, 1999 (Attachment 2) and a memo referenced in the December 28, 1999 memo signed by Secretary and Staff Counsel to the FCPC, Sarah Reynoso, to the FCPC dated December 9, 1991 (Attachment 3).

#### Contributions to Officeholder Accounts

Funds raised for Officeholder Accounts are not subject to any limitations, either from the FPPC or BERA. Neither is there a limit on the total amount the Officeholder Account fund may receive in contributions per year. Contributions to an elected official’s Officeholder Account may put that contributor in a more favorable light with the elected official than might otherwise be the case.

#### Expenditures from Officeholder Accounts

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BERA does not restrict how funds from Officeholder Accounts can be used. They cannot be used for “campaign expenses.”

There are a number of permissible expenditures from Officeholder Accounts that could put the elected official in a favorable light that are not available to a challenger for that office. For example, a look at the Consent Calendar of City Council Meeting Agendas will contain one or more items from one or more Councilmembers making a donation to nonprofit organizations “from the discretionary council office budget” of the Councilmember. This is an account funded from the General Fund, which incidentally was increased from \$50,938 in FY 2017 to \$113,526 in FY 2018 ([City of Berkeley Fiscal Years 2018 & 2019 Adopted Biennial Budget](#), Services and Materials, p. 200) (Attachment 4). The council office budget from which donations are being made is not the same as the Officeholder Account fund under discussion here, but serves as an example of the kind of expenditures that can be made from Officeholder Account funds that are not technically a “campaign expense,” but certainly would be seen favorably by those receiving the funds as well as individuals favorably disposed to the nonprofit organization receiving the funds. A person running against this incumbent would have to draw on their own resources to match the contributions given in the name of an elected official.

As long as political campaigns are not included, newsletters mailed to constituents related to events, information, or an officeholder’s position on matters before the Council are a permissible Officeholder Account expenditure. This keeps the incumbent’s name in front of the voter in a way unavailable to a challenger unless they pay for a newsletter and its distribution from their own resources.

Expenditures from Officeholder Account funds for flowers and other expressions of condolences, congratulations, or appreciation, while technically not a “campaign expense” increases the probability that the recipient will be favorably predisposed toward the elected official and as a possible future candidate for reelection or election to another office. Again, a challenger would have to draw on their own resources to express condolences, congratulations, or appreciation to their constituents.

### Recommendations

Prohibiting Officeholder Accounts seems to me to make the most sense. I believe only one Councilmember in Berkeley currently maintains an Officeholder Account, which therefore will require a grandfather clause in the ordinance. Berkeley will not be the first to prohibit Officeholder Accounts. The San Jose Municipal Code was amended to prohibit officeholder accounts in January 2008. ([Chapter 12.05 – ELECTIONS, San Jose, CA Code of Ordinances, p. 10](#))

#### Part 8 - OFFICEHOLDER ACCOUNTS

12.06.810 - Officeholder account prohibited.

No city officeholder, or any person or committee on behalf of a city officeholder may establish an officeholder account or an account established

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under the Political Reform Act, California Government Code Section 8100 et seq. as amended, for the solicitation or expenditure of officeholder funds. Nothing in this section shall prohibit an officeholder from spending personal funds on official or related business activities.

Another possibility is to amend BERA to mitigate possible advantages incumbents have with an Officeholder Account. The City of Oakland has done this ([Chapter 3.12 – The City of Oakland Campaign Reform Act, pp. 11-12](#)) (Attachment 5).

(Regulations of the Fair Political Practices Commission, Title 2, Division 6, California Code of Regulations.)

**§ 18531.62. Elected State Officeholder Bank Accounts.**

(a) Application and Definitions. For purposes of Section 85316(b) and this regulation, the following definitions apply:

- (1) "Officeholder" means an elected state officer.
- (2) "Officeholder controlled committee" means a committee formed pursuant to subdivision (c) of this regulation.
- (3) "Officeholder account" means the bank account established at a financial institution located in the State of California pursuant to Section 85316(b).
- (4) "Officeholder funds" means money in the officeholder account.

(b) Establishing the Officeholder Account: For purposes of Section 85316(b), an officeholder shall maintain officeholder funds in a single bank account separate from any other bank account held by the officeholder.

(c) Establishing the Officeholder Controlled Committee, Reporting and Recordkeeping:

(1) Formation: The officeholder shall establish a controlled committee by filing a statement of organization pursuant to Section 84101 if the officeholder receives \$2,000 or more in officeholder contributions in a calendar year.

(2) Committee Name: The controlled committee name shall include the officeholder's last name, the office held, the year the officeholder was elected to the current term of office, and the words "Officeholder Account." The statement of organization shall include the name, account number, and address of the financial institution where the committee established the officeholder account.

(3) Filing Requirements: The controlled committee shall file campaign statements and reports pursuant to Chapters 4 and 5, except Sections 85200 and 85201, of Title 9 of the Government Code at the same times and in the same places as it otherwise would be required to do for any other controlled committee formed by the officeholder for election to state office.

(4) Required Recordkeeping and Audits. The officeholder and treasurer shall be subject to recordkeeping requirements under Section 84104. The officeholder account and officeholder controlled committee shall be subject to audits under Chapter 10 of Title 9 of the Government Code. Any audit of the officeholder, or any of his or her controlled committees, under Section 90001 shall include all officeholder accounts and officeholder controlled committees maintained by the officeholder during the audit period as described in Regulation 18996(a)(1).

(d) Prohibitions:

(1) Officeholder funds may not be contributed or transferred to another state or local committee, including any other controlled committee of the officeholder, except as permitted in subdivisions (g) (2) and (g)(3).

(2) Officeholders may not use officeholder funds to pay "campaign expenses" as defined in Regulation 18525(a).

(3) The officeholder may not transfer or contribute funds from any other committee he or she controls to the officeholder account, except as permitted in subdivision (g)(2) and (g)(3).

(e) Contributions to the Officeholder Account:

(1)(A) Required Notices: In addition to the requirements of Regulation 18523.1, a written solicitation for contributions to the officeholder account shall include the following: "For purposes of the Political Reform Act's contribution limits, a contribution to an officeholder

account is also considered to be a contribution to all campaign committees for future elective state office the officeholder seeks during his or her current term of office.”

(B) In addition to the requirements of subparagraph (A) above, an officeholder who files a statement of intention to be a candidate for any elective state office during the officeholder's term of office shall provide notice of this filing to every person that has made a contribution to his or her officeholder account. The notice shall contain the language in subparagraph (A) and be transmitted or mailed within 10 days of filing the statement of intention to be a candidate.

(2) Cumulation: A contribution to the officeholder account shall also be deemed a contribution to the officeholder's controlled committee for election to elective state office for the purposes of Section 85316(b)(3) only under all of the following circumstances:

(A) The contributor makes the contribution between the day the election was held for the term of office for which the officeholder account was established and the end of that term of office;

(B) The officeholder maintains the controlled committee, established for a future term of elective state office, at any time during the period covered in subparagraph (A).

(3) Cumulation and Primary and General Elections: A person's contributions to the officeholder account, when combined with contributions from the same person for a primary and general election to the elective state office may not exceed the contribution limits applicable to the primary and general election.

(4) Multiple Officeholder Accounts: When an officeholder maintains more than one officeholder account in the same calendar year, he or she may not receive the following contributions to any of those accounts during that calendar year:



(A) Contributions from a single contributor that, when cumulated for all the accounts, exceed the maximum amount the contributor could give to the officeholder account having the highest per person contribution limit under Section 85316(b)(1).

(B) Contributions from all contributors that, when cumulated for all the accounts, exceed the maximum amount in total contributions the officeholder could receive in the officeholder account having the highest aggregate contribution limit under Section 85316(b)(2).

(f) Contributions Over the Limits:

(1) An officeholder shall return to the contributor the portion of any contribution to his or her officeholder account that exceeds the limits of Section 85301, 85302 (after cumulation) or 85316 (either alone or after cumulation) by the earlier of 14 days of receipt or 14 days of the date the officeholder files a statement of intention to be a candidate for elective state office pursuant to Section 85200.

(2) A contributor to the officeholder account does not violate the contribution limits applying to the officeholder's election to a future elective state office as otherwise provided under Section 85316(b)(3) if, when he or she makes the contribution, the officeholder has not filed a statement of organization to establish a controlled committee for election to a future elective state office.

(g) Terminating Officeholder Accounts and Committees.

(1) The officeholder may not accept contributions after the officeholder's term of office ends or the date he or she leaves that office, whichever is earlier.

(2) The officeholder may redesignate the officeholder account as an officeholder controlled committee for a future term of the same office by amending the statement of

organization for the committee to reflect the redesignation for the future term of office prior to the date the officer's term of office ends.

(3) An officeholder may redesignate officeholder funds in the redesignated officeholder account as officeholder funds for the new term of office, subject to the limitations in subdivision (e)(4).

(4) Once the officeholder's term of office ends or he or she leaves that office, whichever is earlier, the officeholder may only use his or her officeholder funds for the following purposes:

- (A) Paying outstanding officeholder expenses.
- (B) Repaying contributions to contributors to the officeholder account.
- (C) Making a donation to a bona fide charitable, educational, civic, religious, or similar tax-exempt, nonprofit organization, if no substantial part of the proceeds will have a material financial effect on the officeholder, a member of his or her immediate family, or his or her committee treasurer.

(D) Paying for professional services reasonably required by the officeholder controlled committee to assist in the performance of its administrative functions.

(5) The officeholder shall terminate the officeholder controlled committee within 90 days of the date the officer's term of office ends or he or she leaves that office, whichever is earlier. The Executive Director may for good cause extend the termination date or permit the candidate to reopen the account.

Note: Authority cited: Section 83112, Government Code. Reference: Sections 84104, 85316 and 90000-90007, Government Code.

**HISTORY**

1. New section filed 7-3-2007; operative 8-2-2007. Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2007, No. 27). For prior history, see Register 2007, No. 26.
2. Change without regulatory effect amending section filed 3-22-2016; operative 4-21-2016 pursuant to 2 CCR 18312(e). Submitted to OAL for filing pursuant to *Fair Political Practices Commission v. Office of Administrative Law*, 3 Civil C010924, California Court of Appeal, Third Appellate District, nonpublished decision, April 27, 1992 (FPPC regulations only subject to 1974 Administrative Procedure Act rulemaking requirements and not subject to procedural or substantive review by OAL) (Register 2016, No. 13).



Office of the  
City Attorney

**DATE:** December 28, 1999

**TO:** BARBARA GILBERT,  
Aide to Mayor Shirley Dean

**FROM:** MANUELA ALBUQUERQUE, City Attorney *MA*  
By: CAMILLE COUREY, Deputy City Attorney

**SUBJECT:** APPLICATION OF BERKELEY ELECTION REFORM ACT TO OFFICEHOLDER ACCOUNTS

ISSUE:

Does the Berkeley Election Reform Act (BERA) govern officeholder accounts?

CONCLUSION:

No. The BERA does not govern true officeholder accounts per se. However, the mere fact that an account may be designated an officeholder account does not insulate it from scrutiny under the BERA or other applicable local law if the officeholder account is not used strictly for officeholder purposes or if some action taken with respect to the officeholder account implicates campaign contributions and expenditures or other applicable local laws.

ANALYSIS:

Sarah Reynoso, former secretary and staff counsel to the Fair Campaign Practices Commission (FCPC), issued an opinion to the FCPC dated December 2, 1991, a copy of which is attached, stating that the BERA's contribution limit does not apply to contributions made to an officeholder account. The opinion reasons that the BERA's contribution limit applies only to "contributions" as defined in the BERA, i.e., which are made directly or indirectly in support of or in opposition to the nomination or election of one or more candidates to elective office. (See Berkeley Municipal Code (BMC) § 2.12.100.) Contributions to a true officeholder account are not made for the purpose of nominating or electing a candidate to office, but rather for the use of an officeholder in carrying out the duties of his or her office. Therefore, the contribution limit of the BERA is inapplicable to officeholder accounts.<sup>1</sup> For similar reasons, the BERA does not

<sup>1</sup> However, the opinion also provided that contributions to officeholder accounts still had to be reported on campaign statements because the State Fair Political Practices Commission (FPPC) Regulations broadly defined contributions as any contribution for "political purposes." Since officeholder expenses are for political purposes, they must be reported to the State.

Barbara Gilbert

Re: Application of Berkeley Election Reform Act To Officeholder Accounts

December 28, 1999

Page 2

apply to true officeholder accounts.

The BERA requires the filing of statements to report the amounts received and expended in municipal elections. (See BMC §§ 2.12.015, 2.12.030 through 2.12.050) Specifically, a "campaign statement" required to be filed under the BERA is an itemized report which provides the information required by Sections 2.12.245 through 2.12.325 of the BERA. (BMC § 2.12.080.) Sections 2.12.245 through 2.12.325 govern the reporting of contributions and expenditures. "Contributions" and "expenditures" are defined by the BERA as any amounts received or expended, respectively, in aid of or in opposition to the nomination or election of one or more candidates to elective office. (See BMC §§ 2.12.100 and 2.12.130.) Contributions to or expenditures from a true officeholder account are not subject to the BERA's reporting requirements because they are made for the purpose of carrying out the duties of elective office, and not for the purpose of aiding or opposing the nomination or election of one or more candidates to elective office.<sup>2</sup> Therefore, the BERA does not apply to true officeholder accounts.

However, the fact that an account may be designated as an officeholder account will not shield it from scrutiny under the BERA if the officeholder account is, in fact, being used for the receipt of contributions or the making of expenditures in aid of the nomination or election of a candidate for local elective office. Nor will BERA requirements, such as the \$250 contribution limit or the prohibition against contributions from businesses to candidates, be held inapplicable if contributions made initially to an officeholder account are transferred subsequently to a campaign account. Where the actions taken with respect to an officeholder account implicate campaign contributions and expenditures in municipal elections, the officeholder account will be scrutinized under the BERA and other applicable local law.

**Attachment**

cc: Fair Campaign Practices Commission  
Sherry Kelly, City Clerk

City Attorney Opinion Index: ILE.1. and IILG.

CCM

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<sup>2</sup> Again, however, the State FPPC still requires the reporting of activity relating to an officeholder account. (See footnote 1.)

CITY OF BERKELEY

DATE: December 9, 1991 Memorandum

TO: FCPC COMMISSIONERS

FROM: Sarah Reynoso,  Secretary & Staff Counsel

SUBJECT: APPLICABILITY OF BERA'S CONTRIBUTION LIMIT TO FUNDS RAISED FOR OFFICEHOLDER EXPENSES

BACKGROUND AND ISSUE

I received the attached letter from Richard N. Lerner, treasurer of Friends of Loni Hancock Committee ("Committee"), regarding the applicability of BERA's (Berkeley Election Reform Act) \$250 contribution limit to funds raised to cover officeholder expenses. The Committee would like to raise money to cover activities by the Mayor for which the City has not allocated funds, for example, distribution of a newsletter and international travel to visit Berkeley Sister Cities.

Thus, the issue presented to the Commission is as follows: Is BERA's \$250 contribution limit applicable to funds raised for officeholder expenses?

CONCLUSION

No. The BERA's contribution limitation is only applicable to money raised "in aid of or in opposition to the nomination or election" of a candidate. Since the Committee intends to raise these funds for activities unrelated to the nomination or election of the Mayor, they are not subject to the BERA's \$250 contribution limitation. However, such funds must be reported as contributions under the State Political Reform Act and their expenditure itemized on the disclosure forms.

ANALYSIS

The BERA prohibits candidates for elective office from soliciting or accepting a contribution of more than \$250 from any one contributor. (BERA section 2.12.415.) Thus, funds which fall within BERA's definition of a contribution, are subject to the \$250 limit. In order to determine whether funds raised for officeholder expenses are subject to the contribution limitation, BERA's definition of contribution must be reviewed.

The BERA defines contribution, in part, as follows:

"Contribution" means a gift, subscription, loan, advance, deposit, pledge, forgiveness of indebtedness, payment of a debt by a third party, contract, agreement, or promise of money or anything of value or other obligation, whether or not legally enforceable, made directly or indirectly in aid of or

FCPC COMMISSIONERS  
December 9, 1991  
Page 2

in opposition to the nomination or election of one or more candidates . . . . (Emphasis added.)

Thus, the plain language of the BERA requires that a contribution be solicited for purposes related to the nomination or election of a candidate for office to be subject to its contribution limitation. Since the Committee intends to raise funds for purposes unrelated to the Mayor's nomination or election for elective office, such funds do not fall within the BERA's definition and are therefore not subject to its \$250 limitation.

However, because the state Political Reform Act defines contribution to include any funds raised for political purposes, funds raised for officeholder expenses are considered contributions and must be reported on campaign disclosure forms.<sup>1/</sup> (Government Code section 82015.) Additionally, since the court's ruling in SEIU v. FPPC invalidated the state's \$1,000 contribution limit, funds raised for officeholder expenses are not subject to any limitation.

As a final precaution, the Committee should be advised that the FPPC has issued regulations concerning officeholder expenses and it should review them with respect to their interaction with the BERA.

Attachment

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<sup>1/</sup>I spoke with the FPPC's legal staff and confirmed that funds raised for officeholder expenses must be reported as contributions on the campaign disclosure forms.

**MAYOR AND CITY COUNCIL FINANCIAL SUMMARY**

|                        | FY 2015<br>Actual | FY 2016<br>Actual | FY 2017<br>Actual | FY 2018<br>Adopted | FY 2019<br>Adopted |
|------------------------|-------------------|-------------------|-------------------|--------------------|--------------------|
| <b>EXPENDITURES</b>    |                   |                   |                   |                    |                    |
| <b>By Type:</b>        |                   |                   |                   |                    |                    |
| Salaries and Benefits  | 1,660,661         | 1,760,619         | 1,624,043         | 1,833,734          | 1,880,031          |
| Services and Materials | 36,942            | 43,407            | 50,938            | 113,526            | 113,526            |
| Capital Outlay         | 1,953             | 7,674             | 4,936             |                    |                    |
| Internal Services      | 89,100            | 81,181            | 81,181            | 81,181             | 81,181             |
| Indirect Cost Transfer |                   |                   |                   |                    |                    |
|                        | <u>1,788,656</u>  | <u>1,892,881</u>  | <u>1,761,098</u>  | <u>2,028,441</u>   | <u>2,074,738</u>   |
| <b>By Division:</b>    |                   |                   |                   |                    |                    |
| Mayor's Office         | 515,095           | 558,137           | 511,184           | 554,389            | 566,917            |
| Council Offices        | 1,273,561         | 1,334,744         | 1,249,914         | 1,474,052          | 1,507,821          |
| Exiting Officials      |                   |                   |                   |                    |                    |
|                        | <u>1,788,656</u>  | <u>1,892,881</u>  | <u>1,761,098</u>  | <u>2,028,441</u>   | <u>2,074,738</u>   |
| <b>By Fund:</b>        |                   |                   |                   |                    |                    |
| General Fund           | 1,788,656         | 1,892,881         | 1,761,098         | 2,028,441          | 2,074,738          |
|                        | <u>1,788,656</u>  | <u>1,892,881</u>  | <u>1,761,098</u>  | <u>2,028,441</u>   | <u>2,074,738</u>   |

|                         |       |       |       |       |       |
|-------------------------|-------|-------|-------|-------|-------|
| <b>General Fund FTE</b> | 12.00 | 12.00 | 12.00 | 12.00 | 12.00 |
| <b>Total FTE</b>        | 12.00 | 12.00 | 12.00 | 12.00 | 12.00 |



Title 3 - MUNICIPAL ELECTIONS  
Chapter 3.12 - THE CITY OF OAKLAND CAMPAIGN REFORM ACT\*  
Article VIII. - Miscellaneous Provisions

Unified School District (OMC § 3.12.140, paragraphs A., B., and C.).

(Ord. 12158 (part), 1999)

**3.12.150 - Officeholder fund.**

A. Every elected city officeholder shall be permitted to establish one officeholder expense fund. All contributions deposited into the officeholder expense fund shall be deemed to be held in trust for expenses associated with holding the office currently held by the elected city officer. Contributions to the officeholder fund must be made by a separate check or other separate written instrument. Single contributions may not be divided between the officeholder fund and any other candidate committee. For District Councilmembers, City Auditor and School Board Directors total contributions to an officeholder fund shall not exceed twenty-five thousand dollars (\$25,000.00) per year in office. For Councilmember-At-Large and City Attorney, total contributions to an officeholder fund shall not exceed thirty thousand dollars (\$30,000.00) per year in office. For the office of the Mayor, total contributions to an officeholder fund shall not exceed fifty thousand dollars (\$50,000.00) per year in office.

B. Expenditures from an officeholder fund may be made for any political, governmental or other lawful purpose, but may not be used for any of the purposes prohibited in subsection (C)(1) through (5) of this section. Such allowable expenditures shall include, but are not limited to the following categories:

1. Expenditures for fundraising (including solicitations by mail) for the officeholder expense fund;
2. Expenditures for office equipment, furnishings and office supplies;
3. Expenditures for office rent;
4. Expenditures for salaries of part-time or full-time staff employed by the officeholder for officeholder activities;
5. Expenditures for consulting, research, polling, photographic or similar services except for campaign expenditures for any city, county, regional, state or federal elective office;
6. Expenditures for conferences, meetings, receptions, and events attended in the performance of government duties by (1) the officeholder (2) a member of the officeholder's staff; or (3) such other person designated by the officeholder who is authorized to perform such government duties;
7. Expenditures for travel, including lodging, meals and other related disbursements, incurred in the performance of governmental duties by (1) the officeholder, (2) a member of the officeholder's staff, (3) such other person designated by the officeholder who is authorized to perform such government duties, or a member of such person's household accompanying the person on such travel;
8. Expenditures for meals and entertainment directly preceding, during or following a governmental or legislative activity;
9. Expenditures for donations to tax-exempt educational institutions or tax exempt charitable, civic or service organizations, including the purchase of tickets to charitable or civic events, where no substantial part of the proceeds will have a material financial effect on the elected officer, any member of his or her immediate family, or his or her committee treasurer;

Title 3 - MUNICIPAL ELECTIONS  
Chapter 3.12 - THE CITY OF OAKLAND CAMPAIGN REFORM ACT\*  
Article VIII. - Miscellaneous Provisions

10. Expenditures for memberships to civic, service or professional organizations, if such membership bears a reasonable relationship to a governmental, legislative or political purpose;
  11. Expenditures for an educational course or educational seminar if the course or seminar maintains or improves skills which are employed by the officeholder or a member of the officeholder's staff in the performance of his or her governmental responsibilities;
  12. Expenditures for advertisements in programs, books, testimonials, souvenir books, or other publications if the advertisement does not support or oppose the nominations or election of a candidate for city, county, regional, state or federal elective office;
  13. Expenditures for mailing to persons within the city which provide information related to city-sponsored events, school district-sponsored events, an official's governmental duties or an official's position on a particular matter pending before the Council, Mayor, or School Board;
  14. Expenditures for expressions of congratulations, appreciation or condolences sent to constituents, employees, governmental officials, or other persons with whom the officeholder communicates in his or her official capacity;
  15. Expenditures for payment of tax liabilities incurred as a result of authorized officeholder expense fund transactions;
  16. Expenditures for accounting, professional and administrative services provided to the officeholder fund;
  17. Expenditures for ballot measures.
- C. Officeholder expense funds shall not be used for the following:
1. Expenditures in connection with a future election for any city, county, regional, state or federal elective office;
  2. Expenditures for campaign consulting, research, polling, photographic or similar services for election to city, county, regional, state or federal elective office;
  3. Membership in any athletic, social, fraternal, veteran or religious organization;
  4. Supplemental compensation for employees for performance of an act which would be required or expected of the person in the regular course or hours of his or her duties as a city official or employee;
  5. Any expenditure that would violate the provisions the California State Political Reform Act, including Government Code Sections 89506 and 89512 through 89519.
- D. No funds may be transferred from the officeholder fund of an elected city officeholder to any other candidate committee.
- E. Annual contributions received by or made to the officeholder fund shall be subject to the contribution limitations of Article III of this Act.
- F. Expenditures made from the officeholder fund shall not be subject to the voluntary expenditure ceilings of Article IV of this Act.