

City of Berkeley

**Program Year 2012
(July 1, 2012 – June 30, 2013)
Consolidated Annual Performance
and Evaluation Report (CAPER)**

**Prepared by the City of Berkeley
Housing and Community Services Department
for the U.S. Department of Housing and Urban Development**

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I. EXECUTIVE SUMMARY

In PY 2012, the City of Berkeley received federal entitlement funds of \$2,315,008 in Community Development Block Grant (CDBG), \$573,619 in HOME, and \$254,641 in Emergency Solutions Grant (formerly known as Emergency Shelter Grant, or ESG) funds. An additional \$80,551 in ESG funds were also awarded in PY2012, bringing the total to \$3,223,819. An additional \$163,252 in program income from CDBG and HOME was received during the period and added to the resources available. The City used its adopted Public Participation Plan to encourage community input into funding priorities and proposed funding allocations.

Using these federal funds, the City funded a variety of housing, homeless, and community development activities. In PY 2012, the City continued to invest a significant amount of City General Funds into related priorities. Activities to further goals identified in the Consolidated Plan are described in *Section II: Three to Five Year Plan Assessment of Progress* of this document. Steps taken to affirmatively further fair housing and implement the current Analysis of Impediments to Fair Housing Choice are described in *Section III: Assessment of Annual Progress*.

So far, the City has achieved 52% of its production goal for new construction affordable housing for the Consolidated Plan period and has exceeded its production goal for rehabilitated affordable housing by nearly three times.¹ Due reductions in HOME, decreases in other affordable housing funding sources, and the needs of Berkeley's existing housing stock, it is unlikely that the City will be able to meet the new construction goal by 2014. The City also exceeded its other housing goals for the current year.

In PY 2012, the City exceeded its goals in maintaining emergency shelter and transitional housing capacity. YEAH! added 5 shelter beds to its seasonal shelter for youth, and no shelter or transitional beds were lost citywide. The City's supportive housing inventory remained the same during this year, with no new or lost units.

Finally, the City continued a variety of anti-poverty, employment, and community development programs in PY 2012. Staff prepared a progress report and evaluation of the Community Workforce Agreement, which was adopted two years ago and established a 30% local hiring goal for all publicly funded development projects of \$1 million or more.

¹ Housing goals in the Consolidated Plan were taken from the City's current Housing Element and cover the period from 2007 to 2014, a longer period than that of the Consolidated Plan (2010 – 2014). See Tables 4 and 5 for details.

Summary of Resources and Distribution of Funds

In PY 2012, the City's entitlement funds from CDBG, HOME, and ESG totaled \$3,143,268. **Table 1** presents the status of formula grants in PY 2012.

Table 1: Status of Formula Grants in PY 2012

	CDBG	HOME	ESG
PY 2012 Entitlement	2,315,008	573,619	254,641
PY 2011 Carryover	578,314	1,661,577	80,551
Program income	141,727	21,525	0
Committed	2,496,582	89,987	335,274
Expended	2,047,029	74,603	24,571

Source: PR01 and PR02 Reports.

In PY 2012, the City continued to target funds to the area described in the Consolidated Plan under Neighborhood Strategy Area, south and west Berkeley. The competitive application for community agency funding indicated that south and west Berkeley are priority areas. Proposers are asked how they serve these areas, and applications that serve south and west Berkeley receive extra points in the competitive scoring process.

See **Attachment A: Summary of CDBG, ESG, and HOME Allocations by Project** for more detail.

II. THREE - FIVE YEAR PLAN ASSESSMENT OF PROGRESS

1. Three - Five Year goals and objectives

Accomplishments during PY 2012 are summarized below, along with the priorities identified in the *2010 – 2014 Consolidated Plan*.

Following are the housing goals and objectives identified in the *Consolidated Plan* (goals in the *Plan* appear in **bold** below) and accomplishments during PY2012:

- **Create new affordable housing through acquisition, rehabilitation, and new construction.** The City continued to work with projects in the Housing Trust Fund pipeline during PY2012, but no new units were created.
- **Continue a priority for low income households in allocation of resources.** In PY 2012, the majority of CDBG beneficiaries (44%) were extremely low income and 27% were low income.
- **Preserve existing housing, particularly existing affordable housing.** In PY 2012, staff have worked to implement \$1 million in a State of California CalHome grant awarded last year for senior and disabled home rehab loans. Repayments from loans made within two years will form a revolving loan fund to be used for future home rehab loans.
- **Provide supportive services that help low income people obtain and retain housing.** In PY 2012, the City contracted with community based organizations to provide a variety of housing and homeless programs, and provided mental health, aging, supportive housing, and paratransit services. The City also implemented county-wide outcome measures that focus on obtaining and retaining housing.
- **Promote energy efficiency and sustainable practices in all housing.** The City's Housing Trust Fund guidelines promote energy efficiency. The City continued to operate the Residential Energy Conservation Ordinance (RECO), and Energy Upgrade California in PY 2012.
- **Increase housing resources for the development of new and rehabilitation of older affordable housing.**
- **Homeownership Opportunities.** The City continued to participate in the Alameda County Mortgage Credit Certificate program in PY 2012. One Berkeley buyer purchased a home this year, and four past buyers refinanced their homes (necessitating a reissuance of their certificate).

Continued operation of the following programs was key to making progress on housing goals in PY 2012:

- **Housing Trust Fund (HTF)** combines multiple sources of funding available for housing acquisition, rehabilitation, and development, including HOME, CDBG, City General Funds, and local Inclusionary Housing In-Lieu Fees and Condominium Conversion Fees. HTF guidelines prioritize low income households, promote energy efficiency and sustainability, and encourage supportive services that help people

obtain and retain housing. PY 2012 Housing Trust Fund activities are described in detail under *III. Assessment of Annual Progress, 2. Affordable Housing* later in this report.

- **Senior and Disabled Rehabilitation Loan program** provides low cost loans and project management services to rehabilitate homes owned by seniors and people with disabilities.
- **Housing Retention Program**, supported by City General Funds, coordinates assistance with back rent payments and social services reaching Berkeley's diverse population.
- **Mortgage Credit Certificate**. The City's participation in Alameda County's Mortgage Credit Certificate program provides access to interest rate savings for local homebuyers.
- **The City's Mental Health and Aging** Divisions provide health and social services for people with mental illness and seniors, respectively, supporting them to obtain and retain housing.

In PY 2012, the City continued to work on the homeless priorities in the *Consolidated Plan* (priorities from the *Plan* appear in **bold** below):

- **Increase permanent affordable housing opportunities for people who are homeless or at-risk of homelessness, with a goal of 90 new units in the next five years.** The Consolidated Plan established a goal of 18 new units per year. Unfortunately, this program year the City learned that it would not obtain 5 new housing subsidies through the AC Impact grant obtained by Abode Services in the previous Continuum of Care application process, as reported in the previous CAPER, due to new HUD regulations. Under the new regulations, community based organizations like Abode Services can only administer rental assistance in the form of masterleasing, not tenant-based rental subsidies as had been anticipated. The City did not have the infrastructure to masterlease 5 units, and Abode did not have the capacity to extend its masterleasing program to Berkeley. The 5 subsidies will serve people in other parts of the County instead.
- **Sustain and increase supportive services that help people who are homeless or at-risk of homelessness obtain and maintain permanent housing.** In PY 2012, the City of Berkeley continued to fund a variety of supportive services working with people who are homeless or at risk of homelessness.
- **Sustain existing emergency and transitional housing resources, and ensure they link to permanent housing opportunities.** In PY 2012, all emergency and transitional housing continued operations at the same level as last year, except YEAH! which added 5 beds to its seasonal shelter for youth, up to a total of 25. The City and other partners in Alameda County continue to use the outcome measures developed by Everyone Home to evaluate the rate of permanent housing placements.
- **Utilize the Homeless Management Information System (HMIS) and outcome reporting to research and evaluate homeless needs and programs.** City funded

agencies providing homeless services continued to use HMIS this year. Everyone Home's report analyzing HMIS outcomes for program's countywide was distributed via information report to the City Council and therefore available to all members of the community.

- **Continue participation in Everyone Home and collaboration efforts with other jurisdictions to address homelessness as a regional issue.** In PY 2012, the City continued to require outcomes reporting for homeless services programs, resulting in Berkeley community agencies contributing data to the countywide outcomes data reporting effort. The third annual outcomes data report was released by Everyone Home this spring. City staff also participated in the Continuum of Care application rating and ranking process led by Everyone Home, by working with other jurisdictions in the process design group.

The following programs specifically were instrumental in making progress on homeless goals:

- **Community agencies** continued to operate emergency, transitional, and permanent supportive housing and related services. The City provided \$2.8 million to sustain homeless programs in PY 2012, as detailed in the Annual Action Plan. Of this, CDBG was 9%, CSBG 6%, ESG 5%, and City General Fund 80%.
- **Shelter Plus Care.** The City continued to operate its successful supportive housing program in partnership with a variety of social service agencies.
- **HMIS.** Berkeley Continuum of Care programs continued to participate in HMIS in PY 2012, and the City continued its financial support of this countywide program. City staff continued to participate in the administration of HMIS through Everyone Home's Data and Evaluation Committee.
- **Outcomes.** City staff and community agencies continued to work together on evaluating programs using outcomes, and participate in Everyone Home's initiative to implement countywide benchmarks.
- **Everyone Home.** Berkeley continued its financial support and participation in Everyone Home, including membership on the Leadership Board and multiple committees

In the Consolidated Plan, the City established the following community development priorities:

- Public facilities;
- Public services; and
- Economic development and anti-poverty programs.

In PY 2012, the City allocated CDBG and ESG funds for eight public and community facilities projects: interior renovations at Alzheimer's Services of the East Bay, exterior improvements at the Bay Area Hispano Institute, elevator repaid at the Berkeley Food and Housing Project, interior improvements at Building Opportunities for Self-Sufficiency's McKinley Transitional House, an ADA accessible bathroom at the Fred Finch Youth Center's Transitional House for Homeless Youth, interior improvements at Lifelong Medical Care's Dental Clinic, Housing and Community Services staffing for rehabilitation activities, and Rebuilding Together's community facilities improvement program.

The City's anti-poverty strategy continues to be closely tied to the funding of over 60 community agencies to provide services as described above to enable people in poverty to attain self-sufficiency, support at-risk youth to succeed in school and graduate, and protect the health and safety of low income people. Services are targeted toward people with disabilities, child care for working parents, and job seekers.

In PY 2012, additional actions to reduce poverty included:

- Continued the City's **First Source local hiring policy** and worked closely with local workforce development programs to coordinate outreach to potential employers and to low-income, at-risk residents to ensure access to employment opportunities on publicly funded projects.
- Continued to implement the **Community Workforce Agreement** ordinance adopted in PY 2010. This agreement includes a local hire goal of 30% for publicly funded construction projects of \$1,000,000 or more. Coordinated efforts with trades training programs, Berkeley Unified School District and unions to develop a pathway for Berkeley residents to access union jobs and apprenticeships on the CWA-eligible projects.
- The YouthWorks **Employment Program** provided positive and meaningful youth-focused activities, addressing youth unemployment, crime and poverty, by teaching fundamental life (including Financial Literacy) and workplace skills, to help youth explore, prepare for, transition, and ultimately succeed in the adult world of work.
- **Berkeley Youth Alternatives** (BYA) received WIA funds to serve Berkeley, Albany, Emeryville and Alameda youth for year-round workforce development activities. YouthWorks and BYA collaborated on outreach, intake and referrals to reach the most eligible youth possible in order to reduce duplication of services.
- Continued to focus on **South and West Berkeley at-risk transition age youth** for internships, job training and employment opportunities. Placed these older youth in

jobs in Public Works Graffiti abatement, Public Library, Environmental Health, Public Health Tobacco Education & Preparedness and with local non-profit organizations.

- Continued the innovative strategic planning process titled “**2020 Vision for Youth**” in order to address the achievement gap, and providing leadership and coordination between City departments and local youth-serving agencies in this forum.
- As part of the **East Bay Green Corridor** Partnership, continued to strengthen partnerships and programs that effectively address the workforce development needs of green industries, and provide opportunities for Berkeley residents to gain employment in green sectors. Provided on-the-job training opportunities for graduates of the Green Energy Training Services. The partnerships include coordination with training programs, employers and community colleges.
- Continued implementation of the **California Enterprise Zone program** in West Berkeley which dates from December 2009. Provided outreach and additional training to businesses in the Zone and workforce development programs.

In the Consolidated Plan, the City established the following Non-homeless Special Needs priorities:

- Housing that is affordable given income sources commonly available to seniors and people with disabilities;
- Home rehabilitation for maintenance and for accessibility;
- Supportive services that include enough flexibility in type, intensity, and duration to support people to stay stably housed;
- Services that help people who are eligible to access entitlements such as SSI and Medi-Cal, to increase their housing and service options; and
- Education and employment programs which help people increase their income.

In PY 2012, the City continued numerous programs targeting people who are not homeless and have special needs:

- **Senior and Disabled Rehabilitation Loan program** provides low cost loans and project management services to rehabilitate homes owned by seniors and people with disabilities, which allows people with special needs and fixed incomes to remain stably and safely housed.
- **Community agencies providing senior services** were funded with \$72,239 in City General Funds for three programs: Lifelong Medical Care’s Primary Geriatric Care, J-Sei’s senior services and Alzheimer’s Services of the East Bay’s daytime services.
- **City’s Aging Services Division** continued to operate three senior centers. Two provide daily classes and activities and a daily hot lunch program, while the third is home to the supportive services/ case management program and a meal delivery program.

- **Community agencies serving people with disabilities** received \$1.2 million, a combination of CDBG and City General Funds, for seven programs as detailed in the Annual Action Plan.
- **Community agencies providing employment services** were funded at \$258,448 in CDBG and General Funds for four programs, as detailed in the Annual Action Plan.

b. Provide a breakdown of the CPD formula grant funds spent in attaining the goals and objectives.

Table 2: HUD Community Planning and Development Funds, by Goals and Objectives in PY 2012

Priority Area	Funding Allocated**	Spent in PY 2012
Housing Development Projects (excluding non-homeless special needs projects)	\$1,193,237	\$626,210
Community Development Projects		
Public Facilities	\$393,921	\$158,480
Public Services (excluding homeless, employment and housing support Services)	\$104,772	\$104,772
Homeless Services (including HMIS)	\$569,024	\$287,040
Non-Homeless Special Needs Projects	\$1,415,940	\$1,097,296
Planning & Administration	735,628	\$684,009
Total	\$5,837,663	\$3,083,143

*See Outcomes Chart in **Attachment B** for details.

c. If applicable, explain why progress was not made towards meeting the goals and objectives.

Not applicable

2. Describe the manner in which you would change your program as a result of your experiences.

No changes are proposed as a result of the activities in PY 2012. By awarding funding competitively and continually monitoring results, the City is able to make minor adjustments as needed from time to time. The goals and objectives as identified last year in the Consolidated Plan are still appropriate.

III. ASSESSMENT OF ANNUAL PROGRESS

1. Affirmatively Furthering Fair Housing

a. Actions taken to affirmatively further fair housing.

During PY 2012, the City affirmatively furthered fair housing by:

- Funding the community agency East Bay Community Law Center (EBCLC) to provide fair housing outreach and education;
- Continuing to require all City-funded affordable housing developments to create and implement affirmative marketing plans; and
- Providing technical assistance to community agencies in June 2013 regarding fair housing requirements.

b. Summary of impediments to fair housing choice in the Analysis of Impediments (AI).

A variety of factors may form impediments to fair housing choice in Berkeley. There are several issues which relate primarily, although not exclusively, to people with disabilities. There is evidence that discrimination based on source of income, which includes Section 8 and Shelter Plus Care, has disproportionate impact on minorities and people with disabilities. Although Berkeley has made substantial investments into affordable and supportive housing, there is still, as in the rest of the nation, more people with disabilities in need of affordable and supportive housing than there is housing available, which can limit opportunities for people with disabilities to integrate into the community and stay out of institutional settings and homelessness.

According to HUD statistics, discrimination against people with disabilities is the most frequently reported fair housing complaint in Berkeley, as it is in the rest of the nation. It is unclear whether this type of discrimination is the most common or the most commonly reported. Berkeley has a very active disability community, and people have numerous places to turn for assistance with this type of discrimination. Another impediment to fair housing choice identified frequently by survey respondents was a lack of sufficient accessible housing units, including accessible units for families.

Other impediments relate more to racial or ethnic status. While promotion of fair housing choice encourages the development of affordable housing in areas with low concentrations of minorities, in Berkeley, most areas with low concentrations of minorities are predominately in the hills, which for the most part are zoned single family and also not in close proximity to public transit, which include bus routes and the BART system, grocery stores, libraries, and other services. Low income housing tax credits, a major funding source for new housing development, are only available to developments located in close proximity to public transit, grocery stores, libraries, and other services. Therefore, little City-assisted housing is built in these areas. Since people of color are more likely to have lower incomes, the limited dispersion of affordable housing may have a greater impact on minorities.

The City's 2010-2014 Consolidated Plan and Analysis of Impediments to Fair Housing Choice identified the housing and services needs of the Latino population as an issue for further analysis because the Latino population increased by 22 percent from the 1990 Census to 2010. In PY 2012, we completed an analysis of the 2005-2009 CHAS data on housing problems by race and income level that was released in January 2012. There are four housing problems in the CHAS data: 1) housing unit lacks complete kitchen facilities; 2) housing unit lacks complete plumbing facilities; 3) household is overcrowded; and 4) household is cost burdened. The available data do not show a pattern of disparate housing problems among Latino households. Latinos were underrepresented among those with housing problems in all income ranges except 50 to 80 percent of area median income, where they were only slightly overrepresented (14% of all households with housing problems, compared to 11% of all households citywide). The number of Latino households in the 50 to 80 percent of area median income bracket is also small, 135 people.

Potentially affecting all residents, there may be a lack of knowledge by housing consumers and providers of Fair Housing Laws, discriminatory practices, and enforcement agencies and procedures.

c. Identify actions taken to overcome effects of impediments identified in the AI.

Table 3: Actions Taken To Implement Berkeley's Analysis of Impediments to Fair Housing Choice in PY 2012

Actions Identified in the PY 2012 Action Plan and AI	Action undertaken in PY 2012
Address "source of income" discrimination (Shelter Plus Care and Section 8)	Shelter Plus Care and Section 8 program outreach to property owners/managers; ongoing services for property owner/managers. Continued to encourage Inclusionary Rental Housing property managers to accept Shelter Plus Care and Section 8.
Encourage dispersion of affordable housing development.	Made the list of housing opportunity sites in the City available to the public online as part of the Housing Element. Includes the address, lot size, zoning, and General Plan designation of sites throughout the City http://www.ci.berkeley.ca.us/ContentDisplay.aspx?id=23512

Table 3: Actions Taken To Implement Berkeley’s Analysis of Impediments to Fair Housing Choice in PY 2012

Actions Identified in the PY 2012 Action Plan and AI	Action undertaken in PY 2012
Fund outreach and education about fair housing.	In PY2013, EBCLC provided four trainings and conducted outreach to 167 stakeholders (community based agency staff, Berkeley Housing Authority, property owners and property managers). EBCLC provided fair housing services to 56 Berkeley residents (93% of goal). Of the people served, 70% had fair housing issues related to disability, 9% age, 4% gender, 2% marital status, 7% national origin, 5% race, 2% sexual orientation and 2% source of income (SSI, SSDI). Of the 56 served, all 56 received fair housing counseling, and 19 fair housing issues were investigated. Of the 56 served, all 56 received fair housing counseling, 27 cases were mediated and 19 fair housing issues were investigated.
Evaluate opportunities for fair housing testing and auditing.	Included these activities in the East Bay Community Law Center (EBCLC) contract. EBCLC conducted one test for gender discrimination (a California Housing Protected Class). Two testers conducted the investigation. The information provided was different but was not controlled due to each tester meeting with a different agent. EBCLC provided the owner with a letter describing Fair Housing laws and inviting them to a training in 2014.
Include fair housing requirements, including affirmative marketing plans, in City contracts.	Continued to include affirmative marketing plans in Housing Trust Fund Development Loan Agreements.
Collaborate with other jurisdictions and housing and service providers under the umbrella of Everyone Home on projects that increase fair housing choice.	Everyone Home completed the final report about its Property Management Guidelines this year and distributed it to the City. Berkeley-based SAHA was a key participant in the process. This pilot led to a few ideas for increasing access to permanent housing for people who are homeless, which the City will continue to pursue under the umbrella of Everyone Home.
Work with other jurisdictions through Everyone Home to provide unified and comprehensive sources of information regarding housing resources.	Continued to fund countywide 211 program operated by Eden I&R. Continued to include a link on the City website to AC Choices, an online affordable housing database sponsored by Alameda County Behavioral Health Care Services, Everyone Home, Eden Information & Referral and Bonita House: http://www.ci.berkeley.ca.us/ContentDisplay.aspx?id=16346

Table 3: Actions Taken To Implement Berkeley’s Analysis of Impediments to Fair Housing Choice in PY 2012

Actions Identified in the PY 2012 Action Plan and AI	Action undertaken in PY 2012
Support programs which increase opportunities for people with disabilities to live in a way that is integrated into the community.	Continued Measure E funding to Easy Does It EDI provided services and outreach to 224 people: 130 residents received 2,634 attendant services, 147 residents received 1,058 transportation services, and 124 residents received 551 repair services.
Continue to fund the creation of new affordable housing through acquisition, rehabilitation, and new construction.	See detailed description of Housing Trust Fund activities under section 2, below.
Continue to encourage use of universal design in Housing Trust Fund funded projects.	Continued to encourage the use of universal design in Housing Trust Fund funded projects, by retaining discussion of universal design in the HTF guidelines.
Support the rehabilitation of existing owner and tenant occupied units.	Continued operation and funding for rehabilitation programs: CESC Major Home Repairs, Rebuilding Together, Senior and Disabled Home Loans, and the Center for Independent Living Residential Access Program.
Support other strategies to increase affordable housing opportunities.	Continued enforcement of the Inclusionary Housing Ordinance for condominiums, Affordable Housing Mitigation Fee for multifamily rentals, Condominium Conversion Ordinance, and Density Bonus program.
Continue to provide housing and community services planning notifications in English, Spanish, and Chinese based on past evaluation of language needs.	Per Citizen Participation Plan, continued to provide housing and community services planning notifications in English, Spanish, and Chinese based on past evaluation of language needs.
Continue to promote the use of Language Line by Department of Health, Housing & Community Services staff as needed with Limited English Proficient (LEP) people seeking services.	Language Line services are available for more than 200 languages. Language Line information was distributed to front desk staff again after staffing changes.
Use socially responsible banking criteria to procure the City’s banking services.	The City Council considered socially responsible banking criteria developed by the Human Welfare and Community Action Commission to select a vendor for banking services, Wells Fargo.
Consider financial literacy projects for funding.	Applications for two financial literacy projects were reviewed but not recommended for funding in PY 2012. The Human Welfare and Community Action Commission continued to be concerned with this issue.

Table 3: Actions Taken To Implement Berkeley’s Analysis of Impediments to Fair Housing Choice in PY 2012

Actions Identified in the PY 2012 Action Plan and AI	Action undertaken in PY 2012
<p>The Analysis of Impediments found evidence that Latinos in Berkeley were underserved, including lower rates of educational achievement and higher rates of housing problems than other groups, based on 2000 Census data. In PY 2012, the City will: (1) Analyze new Census data to determine how population and housing needs have changed since 2000, if HUD releases a special CHAS data set in PY 2012; (2) Review outreach efforts of nonprofit housing developers and homeless service providers to determine what improvements are needed; (3) Research best practices and provide technical assistance regarding needed documentation and outreach efforts to increase Latino participation.</p>	<p>The City analyzed the CHAS data in PY 2012. The results are summarized above.</p>

2. Affordable Housing

Evaluate progress in meeting its specific affordable housing objectives, including:

a. Comparison of proposed numeric goals (from the consolidated plan and annual action plan) with the actual number of extremely low income, low income, and moderate income renter and owner households assisted during the reporting period.

The City of Berkeley's Consolidated Plan included affordable housing production goals that were originally established in the City's Housing Element for the period 2007 – 2014. The same goals were used for the sake of consistency, although the time periods of these mandatory plans differ. Progress on these goals is described below.

**Table 4: Housing Trust Fund Affordable Housing
New Construction Goals 2007 – 2014**

	2007 - 2014 Goal	Number Completed Prior to PY 2012 *	Number Completed in PY 2012	Percentage of Goal Completed
Extremely low income	43	36	0	84%
Very low income	129	46	0	36%
Low income	43	29	0	67%
Total affordable	215	111	0	52%

* The units completed prior to PY 2012 were in Oxford Plaza and Harmon Gardens.

**Table 5: Housing Trust Fund Affordable Housing
Rehabilitation Goals 2007 – 2014**

	2007 - 2014 Goal	Number Completed Prior to PY 2012 *	Number Completed in PY 2012	Percentage of Goal Completed
Extremely low income	7	84	0	1200%
Very low income	21	40	0	190%
Low income	7	13	0	186%
Total affordable	35	137	0	391%

* Units prior to PY 2012 were in Allston House, Erna P. Harris Court, Regent House, and Amistad House.

The City will significantly exceed its rehabilitation goals during this Consolidated Plan period, preserving decent, safe, high-quality affordable housing. However, the City will not meet its new construction goals in the Consolidated Plan period for several reasons. The new construction goal was very ambitious. It was based on the achievements of the seven years prior, a period of economic boom that produced more new affordable housing in Berkeley than at any time in decades.

With the onset of economic recession in 2008, the rate of construction of all housing types slowed considerably. While the easing of recessionary market trends in the last two years has brought renewed vigor to the market-rate housing sector, financing the development of affordable housing remains difficult. At the federal level, reductions in HOME, CDBG, and, especially, project-based Housing Choice Vouchers has significantly diminished the City's ability to leverage funds for the development of new construction projects. Similarly, the loss of regularly available funding from the State (through the Transit-Oriented Development, Infill Infrastructure, and Multi-family Housing Programs, among others) has created prohibitively large funding gaps for new construction. And although the Low-Income Housing Tax Credit program has fully recovered from its recessionary stall, that source alone cannot fund new construction in a high-priced market such as Berkeley. In any event, securing 9% Low-Income Housing Tax Credits remains an intensely competitive process, and it is not unusual for developers to submit applications for 9% credits in multiple funding rounds before securing an allocation.

Housing Trust Fund

The majority of affordable housing activities in Berkeley are conducted through the Housing Trust Fund (HTF), in which the City pools related funding. See ***Attachment I: June 30, 2011 Housing Trust Fund Summary*** for more detail on sources in the HTF.

The City is still working from a Request for Proposals (RFP) issued in late 2009 for predevelopment loan assistance and an HTF Notice of Funding Availability (NOFA)/RFP issued on January 15, 2010. Although not limited to rehabilitation, the 2010 NOFA was intended to target Berkeley's older affordable housing developments. The City received a strong response: four requests for predevelopment funding and 10 proposals for development funds. Though only \$1.4 million was available through the NOFA, the total value of the requests was approximately \$8 million.

In December 2010, Council approved staff's recommendation to hold the current HTF funding round open for all projects which had submitted applications and allow City staff to continue to work with these pipeline projects and bring additional projects' funding recommendations forward through December 31, 2011. In PY 2011, Council again extended the pipeline of projects' timeline, this time to December 31, 2012.

The City's recent funding history includes:

PY 2010: Funding reservations approved by City Council for six projects.

PY 2011: Funding reservations approved by City Council for three projects:

- 1) Savo Island (57 family units);
- 2) UA Cooperative Apartments (47 family units);
- 3) William Byron Rumford Senior Plaza (43 family units)

In addition, the City issued an RFP for affordable housing development at the City-owned Harper Street site, formerly under development as "Prince Hall Arms".

PY 2012: Funding reservations approved by City Council for three affordable housing projects:

- 1) Harper Crossings (42 senior units);
- 2) Grayson Street Apartments (23 special needs units); and
- 3) UA Homes (74 SRO units) .

PROJECTS WITH FUNDING RESERVATIONS APPROVED

UA HOMES

Resources for Community Development (RCD) secured City Council approvals for \$778,816 in HTF funds in 2010 and an additional \$134,000 in HTF funds in December 2012 for the rehabilitation of University Avenue Homes (UA Homes), a 74-unit single room occupancy building with five ground floor commercial spaces (four of which are not included in the rehabilitation program). The development scope, totaling \$16.5 million, includes renovating common area and service spaces, targeted replacements and upgrades of fixtures and finishes throughout the apartments, upgrades to the mechanical and plumbing systems, and energy efficient improvements such as window replacements, lighting repairs and upgrades, heater replacements, and installation of low-flow fixtures and water saving appliances. UA Homes received a low income housing tax credit award of \$7,030,523 in July 2012 (early PY 2013). The construction loan closing took place in December 2012 and construction is proceeding well. Completion is projected for October-November 2013.

SAVO ISLAND COOPERATIVE HOMES

Savo Island is a 57-unit affordable housing community located in South Berkeley. The property was built in 1979 on approximately 2.5 acres of land. Improvements consist of 8 two-story residential buildings, a single-story community building and leasing office, mature landscaping, and a central open space area. The total value of the rehabilitation project currently underway is approximately \$7.2 million, and includes the replacement of windows, siding and roofing; disabled access modifications; energy-efficiency upgrades; exterior site repair; and targeted interior improvements. The City's contribution includes a 2010 HTF allocation of \$300,000 and a 2012 HTF allocation of an additional \$135,000. The project is on-track to complete construction by August 31, 2013.

STRAWBERRY CREEK LODGE

Strawberry Creek Lodge (SCL) is a 150-unit senior property constructed in 1962 and primarily comprised of studio units. Though well-maintained over the years, SCL is now 50 years old and requires rehabilitation and seismic safety upgrades. In 2010, City Council awarded \$86,250 to Satellite Affordable Housing Associates (SAHA) for predevelopment expenses related to SCL's substantial renovation, and in 2012 Council approved a conditional HOME funding commitment of \$560,000. The project is now preparing tax-exempt bond, 4% tax credit, and state tax credit applications, for which it is highly likely to secure approvals. Construction commencement is projected for February 2014.

UNIVERSITY AVENUE COOPERATIVE

Resources for Community Development (RCD) worked with the UA Cooperative Board of Directors for many years to develop a rehabilitation and preservation strategy for this 47-unit residential development. To secure the resources required for a substantial renovation, the UA Coop Board approved (with broad resident support) a financing program including low income housing tax credits and acquisition of the project by a tax credit investor limited partnership. The total development cost is approximately \$10.7 million. The City committed \$33,100 in general funds to the project for predevelopment, \$890,000 in HOME funds in 2011, and \$275,000 in conditional HOME funds in 2012. In June 2013, RCD secured a 9% tax credit allocation and is currently on-track to close its loans and commence construction in December 2013.

BERKELEY HOUSING AUTHORITY (BHA) SCATTERED SITE PROJECT

In December 2010, HUD approved BHA's Section 18 application to dispose of its public housing portfolio, comprised of 61 scattered-site units. In March 2012, following an RFP process, BHA entered into a disposition and development agreement with a tax credit partnership affiliated with Related Companies of California (Related), which provided the terms by which Related would acquire, rehabilitate, and operate the public housing and 14 additional BHA-controlled units. The City provided an HTF general fund predevelopment loan of \$300,000 for the project in May 2010, specifically for relocation efforts. The City Council also approved an additional HTF reservation of \$400,000 for rehabilitation expenses. Related is now preparing its tax-exempt bond, 4% tax credit, and state tax credit applications, for which it is highly likely to receive approvals. Construction is expected to commence in early 2014.

WILLIAM BYRON RUMFORD SR. PLAZA

The South Berkeley Community Housing Development Corporation (SBCHDC) originally developed the William Byron Rumford, Sr. Plaza (WBR) as a new construction, 43-unit, mixed-income family development using low income housing tax credits, City general fund loans, and City-owned land. In 2009, a physical needs assessment (PNA) found that while the buildings are in generally good condition, the buildings' age demands a rehabilitation effort. SBCHDC submitted an application for HTF funding for WBR in 2010, but City staff did not recommend the project for funding at that time because of the group's lack of capacity to finance, develop and manage the rehabilitation. Since that time, SBCHDC has entered into a Development and Asset

Management Services Agreement with Resources for Community Development (RCD), a highly experienced developer/owner/manager, to create a strategy for the complete rehabilitation of the facility. The City has committed \$1.1 million of HOME funds to the project, as well as predevelopment funding for NEPA completion. The City also made a conditional HOME commitment to the project of \$300,000 in 2012. Additional project funds will come from low income housing tax credits, tax-exempt bonds, the Federal Home Loan Bank funds, HOPWA, and project-based Section 8 vouchers. Total project costs are estimated at \$14.6 million. RCD and SBCHDC are planning a 2014 tax credit application.

HARPER CROSSINGS (FORMER PRINCE HALL ARMS PROJECT)

Development of the site at Harper Street was particularly badly impacted by the recession. The intended development program, known as Prince Hall Arms, proved infeasible despite the City's good faith effort to support the program. The City finally acquired fee simple ownership to the site in 2011 and expedited an RFP for a new development program. Among 8 proposals, a review panel unanimously recommended SAHA's submission in April 2012. The City's Housing Advisory Commission confirmed the recommendation, which was followed by City Council approval in July 2012. In December 2012, City Council approved an HTF funding reservation of \$2.3 million. SAHA intends to develop the property as 42 units of senior housing, and is preparing applications for 9% tax credits, Transit-Oriented Development funding (State), and Infill Infrastructure Grant funding (State). It has already secured a loan from the Federal Home Loan Bank. Construction commencement is projected for Fall 2014.

GRAYSON STREET APARTMENTS

SAHA submitted an HTF application for the acquisition of a vacant property site at 2748 San Pablo Avenue in West Berkeley. The site was previously entitled for the construction of 23 market-rate condominium residential units with retail and parking on the first floor, but the owner was unable to finance that program. In December 2012, Council approved an HTF reservation of \$1,095,000 so that SAHA could purchase the property. Acquisition closing is scheduled for August 2013, and SAHA plans to develop the property as tax-credit funded, special needs housing. Total project costs are estimated at \$10 million, and SAHA plans to submit a 2014 tax credit application.

PROJECTS COMPLETED IN PY2012

There were no HTF rehabilitation or construction projects completed in PY2012. Two (2) affordable housing projects are on track to complete renovations in PY2013: Savo Island Cooperative Homes and UA Homes Apartments.

Other Housing Goals

Table 6: Other Housing Goals by Housing Program Type

Housing Program	Need	Year 3 Goal	Year 3 Actual	Years 1 – 3 Total	5-year Goal	% of 5 Year goal achieved
Homeownership	11,993 ₂	1	1	2	3	67%
Home rehab and accessibility improvements	2,498 ₃	467	175	877	2,335	40%
Housing Code Enforcement	25,751 ₄	300	400	1,529	1,500	102%
Fair Housing	44,938 ₅	60	56	154	300	51%
Total	87,426	828	632	2,562	4,353	59%

Notes: These goals were established in the *2010-2014 Consolidated Plan*.

Homeownership goal based on home purchases through the Mortgage Credit Certificate (MCC) program.

Home rehabilitation and accessibility improvements projection based on the accomplishments of previously funded programs: Senior and Disabled Home Rehabilitation, Rebuilding Together, Superweatherization, Center for Independent Living Residential Access for the Disabled, Community Energy Services Corporation (CESC) major and minor home repairs.

Housing Code Enforcement projection based on average new cases per year 2006 – 2008.

Fair Housing project based on historical Housing Rights Inc. contract.

₂ Need includes 100% of renter households with incomes below 80% of median in the 2000 Census

₃ Need includes all homeowner households in the 2000 Census with an income below 50% of median

₄ Need includes all renter households in Berkeley

₅ Need includes all households in Berkeley

Homeownership: Mortgage Credit Certificate (MCC). In PY 2012, one Berkeley resident purchased a home for \$485,000 using the MCC Program. In addition, four past Berkeley MCC homebuyers refinanced their home, which required reissuance of their certificates (RMCC). The current maximum purchase price in the MCC program is \$569,632 for existing units or \$592,756 for new units (never previously occupied).²

Neighborhood Stabilization Program

In May 2009, the Livermore NSP1 Program, a partnership of the cities of Berkeley, Fremont, Livermore, San Leandro and Union City, were successfully awarded funds from the State of California NSP 1 Program authorized under the Housing and Economic Recovery Act (HERA) of 2008. The State identified these partner cities as a “Tier 2” recipient eligible for \$2.23 million in funds. NSP funds are intended to address the problem of foreclosed properties in need of rehabilitation.

² Alameda County Housing & Community Development, Alameda County Mortgage Credit Certificate brochure. Access online on 8/8/2013 at

http://www.acgov.org/cda/hcd/mcc_program/documents/MCCBrochure8-29-05.pdf

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In PY2012, the Livermore NSP1 Program provided development financing to its program contractor Hello Housing to purchase and rehabilitate one foreclosed and vacant property located within the jurisdiction of San Leandro. Hello Housing is now researching opportunities to convert the home into special user housing for persons with disabilities. In addition, Hello Housing, in partnership with Housing Consortium of the East Bay (a housing developer serving disabled persons) completed the conversion and leasing of a single-family residence acquired through the program with the assistance of a Mental Health Services Act (MHSA) grant from the State into permanently affordable, services-supported housing for three persons with mental health related disabilities.

All of the properties purchased through the NSP1 program have been considered severely blighted, having serious code violations affecting health and safety of the homes. Through the program, those deficiencies have been rehabilitated and energy and water efficient features have been incorporated to enhance long-term affordability. Since the program began in January 2010, eleven (11) properties have been acquired and rehabilitated through the NSP1 program in the jurisdictions of Livermore, Fremont, San Leandro and Union City.

Home rehab and accessibility improvements:

Table 6 above includes the number of home rehab and accessibility improvements completed by Senior and Disabled Home Rehabilitation, Rebuilding Together, Center for Independent Living Residential Access for the Disabled, and Community Energy Services Corporation (CESC) major and minor home repairs.

Housing Code Enforcement: In PY 2012, Housing Code Enforcement/the Rental Housing Safety Program opened 226 new reactive (complaint driven) and 174 proactive cases, for a total of 400 new cases. The number of new cases fell from last year to this year because staff leave time reduced available staff levels.

Fair Housing: EBCLC provided fair housing services to 56 Berkeley residents (93% of goal). Of the 56 served, all 56 received fair housing counseling, 27 cases were mediated and 19 fair housing issues were investigated. For more details on EBCLC's fair housing work, see *Table 3: Actions Taken To Implement Berkeley's Analysis of Impediments to Fair Housing Choice in PY 2012*.

Other energy efficiency and sustainability programs

The City has a number of other energy efficiency initiatives that are aimed not just at low income residents, but at the City as a whole. The City of Berkeley is committed to promoting an integrated strategy to help Berkeley residents make their homes energy efficient with income qualified weatherization programs, the Residential Energy Conservation Ordinance (RECO) which requires energy upgrades at time of sale or remodel, promotion of Energy Upgrade California, and providing green house to moderate income households calls through the California Youth Energy Services program.

- **RECO** requires residential property owners to increase the energy and water efficiency in their buildings upon transfer of property ownership or when renovations are made. Compliance with RECO measures saves money, increases the comfort of residents and reduces the amount of greenhouse emissions in every home.
- **Energy Upgrade California** provides rebates funded through utility public benefit funds. The City provides outreach and technical assistance to promote all incentive programs available to single and multi-family properties.
- **Rising Sun** provides California Youth Energy Services program in partnership with the City of Berkeley. For seven weeks each summer, Rising Sun hires and trains youths to serve Berkeley moderate income census tracts with FREE Green House Calls. At each Green House Call, they check the home for efficiency, install free energy and water-saving equipment, and provide personalized recommendations for further savings.
- **Weatherization programs** for income qualified homes are provided by Spectrum Community Services.

b. Report the number of households served meeting the Section 215 requirements of affordable housing (essentially meeting the definitions in 24 CFR 92.252 and 92.254 for renters and owners, respectively).

No HOME projects were completed and leased up in PY 2012, so there are no new households to report in PY 2012. The City regularly monitors completed HOME developments for compliance; monitoring activities are described in *Section 3: Assessment of Annual Progress, 8. Monitoring.*

c. Description of efforts to address worst case needs (defined as low-income renters with severe cost burden, in substandard housing, or involuntarily displaced).

During PY 2012, the City of Berkeley funded and/or operated a number of programs to address worst case housing needs:

- **Housing Retention Program**, which combines emergency housing assistance (payment of back rent) with existing case management services;
- **Priority Home Partnership**, an extension of the Homelessness Prevention and Rapid Rehousing Program (HPRP) previously funded under the American Recovery and Reinvestment Act. PHP is now operated with ESG funding;
- **Problem Properties Task Force**, which brings together multiple City departments to address properties with multiple problems, including code violations,
- **Rebuilding Together**, which completes home repairs;
- **Rental Housing Safety Program and Housing Code Enforcement**, which includes proactive and reactive housing inspections; and

- **Senior and Disabled Home Rehabilitation Program**, which provides loans and project management services to home rehabilitation.

d. Description of efforts to address the accessibility needs of persons with disabilities.

During PY 2012, the City of Berkeley funded and/or operated a variety of programs which address the accessibility needs of people with disabilities:

- **Center for Independent Living**, which modifies homes for accessibility;
- **Measure E**, a local tax approved by Berkeley voters in 1998, provides funding for emergency attendant and transportation services to residents with severe physical disabilities, and incidental case management. Currently Measure E taxes provide approximately \$900,000 per year and the City contracts with Easy Does It (EDI). EDI provides emergency attendants, wheelchair adjustments, and transportation services to Berkeley residents who are severely physically disabled, maintains a voluntary disaster registry of disabled Berkeley residents, and provides case-management to help with the recruitment, selection, training, and retention of quality attendants;
- **Rebuilding Together**, which completes home repairs for people with disabilities;
- **Senior and Disabled Home Rehabilitation Program**, which provides loans and project management services to home rehabilitation;
- **City of Berkeley Department of Health, Housing & Community Services' Mental Health Division** provides services for people with mental illness, including helping people obtain and maintain permanent housing;
- **City of Berkeley Department of Health, Housing & Community Services' Paratransit Program**, funded by Alameda County Measure B funds and City General Funds and administered by HHCS' Aging Services Division, provides taxi scrip, wheelchair van scrip, Paratransit tickets, and subsidized taxi and van rides home from medical appointments;
- **City of Berkeley Public Works Department's Disability Services Specialist**, who provides information about City program, accessibility, and accommodations.

3. Continuum of Care

a. Identify actions taken to address the needs of homeless persons and persons with special needs who are not homeless but require supportive housing (including persons with HIV/AIDS). This description must include actions taken to implement a continuum of care strategy for homeless and new Federal resources obtained during the year.

The City of Berkeley participates in the Alameda County Continuum of Care. In 2006, the City adopted the Everyone Home Plan as its guiding policy on homelessness and special needs housing. The Everyone Home Plan is a countywide plan addressing homelessness and special needs housing, and functions as Alameda County's continuum of care strategy. Berkeley participants are deeply involved in Everyone Home's implementation: the Mayor serves as an Everyone Home Champion, City staff serve on the Leadership Board and multiple committees including the Jurisdictional Supportive Housing Committee, the Data and Evaluation Committee, and the Performance Management Committee. Berkeley-based housing developers and service providers and Berkeley community members also serve on the Leadership Board, the Data and Evaluation Committee, the Property Management Committee, and the Events Committee.

In PY 2012, the City provided a total of \$2,904,654 for homeless programs at 19 organizations:

- \$262,469 in CDBG,
- \$173,556 in CSBG,
- \$275,235 in ESG,
- \$2,173,394 in General Funds, and
- \$20,000 in other funds.

Through the coordination of Everyone Home, in PY 2012, the City of Berkeley, working in collaboration with other jurisdictions and many community agencies, successfully applied for renewed federal Continuum of Care (Supportive Housing Program (SHP)) funding. Alameda County received \$24.3 million to support 51 housing and services program renewals in Alameda County, many of them in Berkeley (see **Attachment H: SHP List of Projects Receiving Funding** for a complete list.). These funds leverage additional funds for homeless services, and are discussed below in the section on Leveraging Resources.

**Table 8: Homeless Needs Table: Individuals
(HUD's Consolidated Plan Continuum of Care
Housing Gap Analysis Table 1A)**

	From the Consolidated Plan					Year 2 Actual
	Needs	Currently Available	Gap	Year 3 Goal	Priority (H, M, L)	
Emergency Shelters*	279	279	0	279	M	284
Transitional Housing	59	59	0	59	M	59
Permanent Supportive Housing	351	**377	351	18	H	0
Total	698	724	351	356	N/A	343

* The Emergency Shelter goal was based on the existing capacity in PY2009. The Year 2 Actual includes 130 year round beds, 130 seasonal beds, and 24 winter hotel vouchers. These 24 adults had a total of 192 bed nights paid for by the Winter Motel Voucher program. The balance of singles and families served in the Winter Motel Voucher program varies from year to year based on need. The number of emergency shelter beds dipped in Year 1 of the plan due to a reconfiguration at the Berkeley Food and Housing Project. In Year 3 (PY2012), YEAH! added 5 beds in its seasonal emergency shelter for youth.

** Since "available units" are generally full, they are not counted against the need.

Notes: The goals above were established in the *2010-2014 Consolidated Plan*. Numbers for needs and annual goals for emergency shelter and transitional housing reflected continued operation of programs in PY2009. The number of transitional housing beds (needs, currently available, and goal) for individuals in the *2010-2014 Consolidated Plan* was based on the current inventory in PY2009 but reported incorrectly at 68 due to mathematical error. It has been corrected to 59.

**Table 9: Homeless Needs Table: Families
(HUD's Consolidated Plan Continuum of Care
Housing Gap Analysis Table 1A)**

	From the Consolidated Plan					Year 2 Actual
	Needs	Currently Available	Gap	Year 1 Goal	Priority (H, M, L)	
Emergency Shelters*	30	30	0	30	M	40
Transitional Housing**	104	104	0	104	M	106
Permanent Supportive Housing	29	***35	29	4	H	0
Total	163	169	29	116	N/A	146

Note: emergency shelter and transitional housing goals reflect the continued operation of existing programs.

* Emergency Shelters Year 3 Actual includes 6 emergency shelter beds and 34 Winter Motel Vouchers for families. The 34 families with motel vouchers spent a total of 218 nights in hotels. The balance of singles and families served in the Winter Motel Voucher program varies annually depending on need.

** Increase due to reconfiguration at BFHP starting in Year 1.

***includes the portion of Berkeley's tenant-based Shelter Plus Care certificates held by families in April 2009. Since both singles and families are eligible, number held by families will change over time. Since "available units" are generally full, they are not counted against the need, which reflects 2/3rds of the homeless families identified in the 2009 homeless count.

Note: The goals above were established in the 2010 – 2014 Consolidated Plan. Numbers for emergency shelters and transitional housing reflected continued operation of programs that existed at that time. Since the City adopted the Everyone Home Plan in 2006, the priority for new programs is for permanent supportive housing.

b. Identify actions taken to prevent homelessness and to help homeless persons make the transition to permanent housing and independent living.

Preventing and ending homelessness are central strategies for ending homelessness in the Everyone Home Plan.

The City continued two homeless prevention programs that were described in the Annual Action Plan:

- Continued operation of the **Housing Retention Program**, which provides up to \$1,500 in assistance with back rent for Berkeley residents experiencing a temporary financial setback in order to prevent homelessness.
- Continued operation of the **Priority Home Partnership Program**, launched in 2009 with American Recovery and Reinvestment Act (ARRA) **Homelessness Prevention and Rapid Rehousing (HPRP)** funds until the HPRP grant had been exhausted in August 2012. PHP is now supported by ESG funding.

In PY 2012, the City continued funding a wide range of homeless services and housing programs using federal and local resources. Associated staff activities include administering the contracts, paying out funds, and monitoring agency performance. In addition to a wide variety of services, City funding helps support the City's network of emergency shelter, transitional housing, and permanent housing (detailed in the Consolidated Plan):

- 138 year-round emergency shelter beds,
- Another 184 seasonal shelter beds,
- 172 transitional housing beds, and
- 271 units plus 141 rooms (in congregate housing including SROs) of permanent housing.

Every type of homeless service program in Berkeley plays a part in getting people who are homeless into permanent housing. The City, local housing and service providers, and jurisdictions across Alameda County have been working together since the adoption of the Everyone Home Plan locally and of the HEARTH Act nationally to emphasize exits to permanent housing as a primary goal.

In PY 2012, the City also continued to provide supportive housing through:

- Administration of 6 **Shelter Plus Care** grants, in partnership with numerous Berkeley housing and service providers, to serve more than 220 people in total during PY 2012.
- Operation of the locally-funded **Square One** program, which provides outreach, intensive services, and a housing subsidy to participants who are largely chronically homeless and have been living in commercial spaces in the city.

c. Identify new Federal resources obtained from the Homeless SuperNOFA.

Supportive Housing Program Grant Awards, PY 2012

Berkeley agencies continue to compete successfully for Supportive Housing Program Grants from the U.S. Department of Housing and Urban Development (HUD) in PY 2012. *Table 10* lists supportive housing and support services programs in Berkeley that received more than \$2 million in direct funding. HUD also awarded \$4 million to programs that benefit people who are homeless in Berkeley as well as in other parts of Alameda County.

Berkeley’s supportive services and housing programs obtain in-kind and money matches that leverage HUD’s grant awards through SHP. *Table 10* lists Supportive Housing Program awards.

**Table 10: Supportive Housing Program (SHP)
Renewal Awards to the City of Berkeley and Berkeley
Community Agencies in PY 2012**

Program	Funding
Bonita House - Channing Way Apartments	\$33,710
BFHP - Russell Street Residence	258,461
AHA - Peter Babcock House	37,363
RCD - Regent Street	76,976
BFHP - Transitional House	246,830
BFHP - North County Women's Center	143,705
BOSS - McKinley Family Transitional House	75,919
RCD - Ashby House	56,447
BOSS - Harrison House Family Services	117,187
Rubicon Berkeley Services	1,038,173
Total	2,084,771
Other Awards that serve Berkeley and other communities:	
InHOUSE (HMIS) - County-wide	\$391,907
BOSS - Self-Sufficiency Project	750,177
COB Shelter Plus Care Programs	2,860,869
Total	4,002,953

Source: Alameda County Housing and Community Development

4. Describe Other Actions in Strategic Plan or Action Plan Taken To:

a. Address obstacles to meeting underserved needs.

One of Berkeley’s major obstacles to meeting underserved needs is the limited amount of federal, state, and local resources available given the diversity of needs in the community and high cost of housing in the Bay Area. In PY 2012, the City of Berkeley continued to seek new resources to meet underserved needs.

b. Foster and maintain affordable housing.

Monitoring Housing Trust Fund developments is an important strategy for fostering and maintaining the City's portfolio of affordable housing. Regular monitoring will help identify possible problems earlier, so that appropriate preservation strategies can be developed. The City's monitoring plan is described in more detail below, under Monitoring.

The City's last Request for Proposals for the Housing Trust Fund emphasized the rehabilitation and preservation of the City's aging affordable housing stock. Six affordable housing developments currently have HTF commitments for rehabilitation: Berkeley Housing Authority's Public Housing, Savo Island Cooperative Homes, Strawberry Creek Lodge, University Avenue Cooperative, UA Homes, and the William Byron Rumford, Sr. Plaza.

c. Eliminate barriers to affordable housing.

The principal barrier to affordable housing in Berkeley continues to be the high cost of new development. In addition, reduced funding at the federal and state level contributes to the difficulty of building new affordable housing. Local barriers to affordable housing are the increased costs resulting from obtaining a balance between addressing neighborhood concerns and acting on applications in a timely way to complete and make available affordable units.

The recession has not alleviated the problems of housing affordability in the Bay Area housing market. While home prices are deflating in some neighborhoods, particularly in outlying communities of the Bay Area, which would make homes more affordable, persistent unemployment in the region may undercut effective demand for such housing, and place greater pressure on the rental market, thereby increasing market rents. In Berkeley's rent controlled units, once a tenant obtains a rental unit, future rent increases are regulated, but the initial rent is often relatively high.

In PY 2012, the City continued administering its Condominium Conversion Ordinance, which has fee revenue sources that may help the City increase the number of affordable units created in Berkeley through the Housing Trust Fund Program. In PY 2012, the Condo Conversion program generated \$170,200 for the Housing Trust Fund.

In the past, the City's Inclusionary Housing Ordinance resulted in the production of affordable rental and ownership units. Because 2009's *Palmer vs. City of Los Angeles* decision rendered the City's Inclusionary Housing Ordinance unenforceable in rental housing, during PY 2012, the City Council set the level for the City's Affordable Housing Mitigation Fee which was adopted in PY 2011.

d. Overcome gaps in institutional structures and enhance coordination.

As described in the Consolidated Plan, the City's lead entity for implementation of the Consolidated Plan was the Housing and Community Services Department. The Department merged with the City's Health Department to become the Department of Health, Housing & Community Services (HH&CS), and consists of seven divisions:

- The Office of the Director;
- Aging Services;
- Community Services and Administration;
- Environmental Health;
- Housing Services;
- Mental Health; and
- Public Health.

Merging the two departments has created more opportunities for collaboration—for example, between Mental Health and Housing Services on administering State Mental Health Services Act Housing Program funds, and between Aging Services and Community Services and Administration on the Shelter Plus Care and Paratransit programs. HH&CS continues to work closely with other City departments involved in housing and community services issues. HH&CS holds monthly coordinating meetings with Planning and Development, and works closely with the Police Department on Square One and the Problem Properties Task Force, as examples.

In PY 2012, Housing and Community Services Department staff continued to work with staff of other public agencies, such as the Berkeley Housing Authority and the Berkeley Rent Stabilization Board, as topics of mutual interest arise.

Most of the housing and community services programs described in the Consolidated Plan are delivered by nonprofit community based organizations. In PY 2012, the City contracted with a wide range of housing and service providers using CDBG, HOME, ESG, Community Services Block Grant (CSBG), General Fund, and other sources of funding. These organizations leverage significant financial and in-kind support from individual community members, foundations, and private organizations that help meet the needs identified in this plan. The City continued to work closely with these agencies.

In PY 2012, staff met regularly with staff of agencies in other Alameda County jurisdictions on the Everyone Home Leadership Board and in a variety of committees working to implement the Everyone Home Plan. Agencies routinely consulted include:

- Alameda County Housing and Community Development Department.
- Everyone Home.
- City of Oakland Department of Human Services.
- Alameda County Social Services Agency.
- Alameda County Behavioral Health Care Services.

In PY 2012, the City of Berkeley continued working with the Berkeley Unified School District (BUSD), the Planning and All-City Equity Taskforce Team, Berkeley Alliance, and other planning team members in the 2020 Vision planning process. Berkeley has one of the largest achievement gaps, meaning disparity of education performance between groups of students, along race lines in the State of California. The 2020 Vision aims to reduce disparate health and education outcomes for African American and Latino children and youth in Berkeley.

e. Improve public housing and resident initiatives.

The Berkeley Housing Authority (BHA), which has a Board appointed by the Mayor and confirmed by the City Council, accomplished the following in PY 2013:

Agency-wide

- High performer in the S8 Voucher Program under SEMAP for 3rd consecutive year.
- Continued staff development efforts: trainings in/by HUD VAWA training; County of Alameda “Organizational Underwriting of Non-profit Development Entities.”

Housing Authority-owned units

- Awarded \$300,000 City of Berkeley Housing Trust Fund allocation for predevelopment expenses;
- Modified the post-disposition project to include 4 2-bedroom units, including 2 accessible units, 43-3 bedroom units, and 28-4 bedroom units;
- Conducted a lottery to select, amongst current residents, which households would be leased one of the 2 bedroom units;
- LIPH/RHCP Resident Meetings re: relocation benefits, issuing vouchers: extensive outreach/dissemination of information (oral and written); and
- Public information campaign with LIPH/RHCP residents:
 - job training programs
 - health care services
 - emergency food programs
 - low income tax filing services
 - City of Berkeley Department of Parks, Recreation & Waterfront opportunities.

Relocation

As of June 30, 2013, 26 LIPH/RHCP families have chosen new homes; received relocation assistance from BHA; and completed successful moves. BHA and Overland Pacific and Cutler (OPC, a relocation firm) staff worked hard to minimize stress and anxiety throughout the process for the LIPH/RHCP residents. All 25 households that were Section 8 eligible received a voucher; all our families have been invited (on one or more occasions) to attend a briefing (an orientation to the Section 8 Program, where a voucher is provided and program rules are discussed). We understand that 8 of the 34 families are actively looking for new homes with Section 8 voucher assistance currently,

again with the assistance of OPC. Additionally BHA contracted with consultant Surlene Grant, tasked to serve as additional advocate for relocation process. BHA continues to keep residents apprised of re-housing options and their relocation benefits on a regular basis.

Project-based Section 8

- Renewed 2003 Master HAP Contract with Adeline Street Apartments for 18 Project-based units for disabled households (including HOPWA) for an additional 15 years.
- Continued work on 2012 Award of PB vouchers to:
 - BHA Scattered Sites (75)
 - Strawberry Creek Lodge (23)
 - Hearst Ave Apts. (8) (leased up in June 2013).
- Opened Project-based waitlist and received new applications for the following household types:
 - Over 62 years old
 - HOPWA units for people living with HIV/AIDS
 - 3- and 4-bedroom qualified families
 - Emancipated youth from the foster care system
- Conducted 2 workshops (study sessions) with BHA Board and community stakeholders regarding the Project-based Program.

Section 8

- Joined local housing authorities in conducting a market rent survey in response to lowered HUD FMRs;
- Implemented process for electronic payments of HAP to landlords via direct deposit
- Issued in excess of 110 vouchers to families on the new Tenant-based Waitlist from 2010 (however, halted temporarily due to Sequestration);
- Continued enhanced outreach to landlords, including those with “Below Market Rent” units to consider renting to families with S8 assistance;
- Enhanced case management services to those with VAWA challenges and disabled clients to avoid termination of assistance, and an increase in the homeless population; and
- Newsletter to all Section 8 participant households, including information from City of Berkeley Health, Housing and Community Development Department.

Community

- Celebrated Affordable Housing Week with a proclamation to by Honoring East Bay Housing Organizations [May 2013];
- Continued successful collaboration with the Berkeley Police Department and City Manager’s Office of Neighborhood Services regarding criminal activity/nuisance behavior; and
- Provided internships/mentorships to several local high school students placed at BHA’s office.

f. Evaluate and reduce lead based paint hazards.

Lead Poisoning Prevention Program. The City of Berkeley Public Health Division and the Alameda County Lead Poisoning Prevention Program worked together to increase awareness and knowledge about lead poisoning prevention in Berkeley including providing lead-safe painting outreach and education, in-home consultations, presentations, educational materials, and other services and resources. Rebuilding Together worked with the City of Berkeley and Alameda County Lead Poisoning Prevention Programs to increase awareness of lead issues among their clients and volunteers and to incorporate lead safe work practices into their activities.

The City of Berkeley Childhood Lead Poisoning Prevention Program collaborates with the Berkeley Housing and Community Services Department's State lead-certified Risk Assessor/Inspector, Project Designer, and Project Monitor. The Alameda County Lead Poisoning Prevention Program also has a HUD Lead Hazard Control grant to remediate lead hazards in approximately 10 qualifying Berkeley housing units with low-income families per year. Berkeley's program also provides case management services to families with children who have elevated blood lead levels. If the child is found to have two blood lead levels above 14.5 mg/dL, he/she will receive case management from a Public Health Nurse.

g. Ensure compliance with program and comprehensive planning requirements.

Compliance activities are detailed under the specific programs below.

h. Reduce the number of persons living below the poverty level.

In Program Year 2012 Berkeley funded various Public Services below the allowed cap of \$436,514. The City's Anti-Poverty Strategy relies on funds in the Public Services category of the CDBG Program.

The City's anti-poverty strategy continues to be closely tied to the funding of over 60 community agencies to provide services as described above to enable people in poverty to attain self-sufficiency, support at-risk youth to succeed in school and graduate, and protect the health and safety of low income people. Services are targeted toward people with disabilities, child care for working parents, and job seekers. In PY 2012, the City continued funding from federal entitlement sources job creation/job placement agencies such as Inter-City Services, the Women's Daytime Drop-In Center, Resources for Community Development's social services, and the Berkeley Food and Housing Project's Men's Overnight Shelter. With local funds, the City funded a large number of community service agencies that serve Berkeley's poorest residents, and who represent other key components of Berkeley's overall anti-poverty strategy for health care,

disabled services, senior and youth services, and workforce development. These services are in addition to the array of homeless services described earlier.

In PY 2012 the City continued its contract with Rubicon Workforce Services social enterprise program for landscaping services (which provides jobs for program participants) for five City facilities operated by the Health Department. Rubicon was also awarded \$1,038,173 from the federal Supportive Housing Program for its Rubicon Berkeley Services' operation of its Homeless One-Stop Center. Rubicon operates the North Cities One Stop Career Center, receiving Workforce Investment Act funding through the Alameda County Workforce Investment Board (ACWIB).

Inter-City Services continued to serve veterans as funded under the Governor's 15% Discretionary pool of Workforce Investment Act (WIA) funds. Berkeley Youth Alternatives (BYA) receives WIA funding through ACWIB to provide workforce development services to in-school and out-of-school youth. Workforce development is a focus area for increased coordination, including establishing methods to maximize and leverage resources.

In PY 2012, additional actions to reduce poverty included

- Continued the City's **First Source local hiring policy** and worked closely with local workforce development programs to coordinate outreach potential employers and to low-income, at-risk residents to ensure access to employment opportunities on publicly funded projects.
- Continued to implement the **Community Workforce Agreement** ordinance adopted in PY 2010. This agreement includes a local hire goal of 30% for publicly funded construction projects of \$1,000,000 or more. Coordinated efforts with trades training programs, Berkeley Unified School District and unions to develop pathways for Berkeley residents into union jobs and apprenticeships on the CWA-eligible projects.
- The **YouthWorks Employment Program** provided positive and meaningful youth-focused activities, addressing youth unemployment, crime and poverty, by teaching fundamental life (including Financial Literacy) and workplace skills, to help youth explore, prepare for, transition, and ultimately succeed in the adult world of work.
- **Berkeley Youth Alternatives (BYA)** received WIA funds to serve Berkeley, Albany, Emeryville and Alameda youth for year-round workforce development activities. YouthWorks and BYA collaborated on outreach, intake and referrals to reach the most eligible youth possible in order to reduce duplication of services.
- Continued to focus on **South and West Berkeley at-risk transition age youth** for internships, job training and employment opportunities. Placed these older youth in jobs in Public Works Graffiti abatement, Public Library, Environmental Health, Public Health Tobacco Education & Preparedness and with local non-profit organizations.
- Continued the innovative strategic planning process titled "**2020 Vision for Youth**" in order to address the achievement gap, and providing leadership and coordination between City departments and local youth-serving agencies in this forum.

- As part of the **East Bay Green Corridor Partnership**, continued to strengthen partnerships and programs that effectively address the workforce development needs of green industries, and provide opportunities for Berkeley residents to gain employment in green sectors. Provided on-the-job training opportunities for graduates of the Green Energy Training Services. The partnerships include coordination with training programs, employers and community colleges.
- Continued implementation of the **California Enterprise Zone program** in West Berkeley which dates from December 2009. Provided outreach & additional training to businesses in the Zone and workforce development programs.

5. Leveraging Resources

a. Identify progress in obtaining “other” public and private resources to address needs.

In addition to leveraging at the individual agency level, the City has historically matched the investment of CDBG, HOME, and ESG dollars with the investment of General Funds. In PY 2012, over two-thirds of the funding for community agency programs came from General Funds. Specifically, of a total of \$7.9 million invested in community agency contracts,

- \$1.4 million were federal funds, including Community Services Block Grant (CSBG).
- \$5.3 million were City General Funds
- \$992,201 came from other sources

b. How Federal resources from HUD leveraged other public and private resources.

Few if any agencies are largely dependent on City CDBG, ESG or HOME funding to maintain their operations. Most agencies providing community services are non-profit organizations which raise funds from a variety of sources including individual donations, foundation grants, and other governmental sources of funds besides those allocated by the City of Berkeley. Each application for City funding requires both an agency and a program budget so that the diversification of funding sources and leveraging can be evaluated.

In PY 2012, CDBG-, ESG- and HOME-funded agencies reported a total of \$18,105,283 in leveraged funding as detailed in the table below:

**Table 11: Funds Leveraged by
CDBG-, ESG-, and HOME- Funded Agencies
in PY 2012**

Source	Amount
Other Federal Funds	\$7,344,667
State Funds	\$3,389,966
Local Funds	\$230,255
Private Funds	\$6,848,757
Other Funds	\$291,638
Total Non-HUD Funds	\$18,105,283

6. Citizen Comments

The availability of the draft Consolidated Annual Performance and Evaluation Report (CAPER) was published in the *Oakland Tribune*, a local Alameda County daily, on September 11, 2013, informing the public that the CAPER would be available for review at the Berkeley Public Library Reference Desk and the Berkeley Housing & Community Services Department. Chinese and Spanish translations of the notice were included in the *Oakland Tribune* advertisement. The public comment period will run until September 25, 2013, a total of 15 days. The CAPER was discussed at the Housing Advisory Commission's September 12, 2013, meeting; and it was also placed on the City's Housing & Community Services Department website on September 11th at <http://www.ci.berkeley.ca.us/ContentDisplay.aspx?id=15574>. The CAPER was made available for review by the general public at the Housing & Community Services Department, at the Berkeley Public Library Reference Desk to be readily available to low income and minority populations.

No comments were received.

7. Self Evaluation

The City of Berkeley continued to make progress on housing and community services goals in PY 2012, despite the ongoing recession. The recession has reduced the amount of funding available for housing and community services from other public and private sources. The City has continued to implement successful strategies while adjusting others to the current climate. For example, the City continues to work at implementing the use of performance outcomes in homeless services contracts, partnering with other jurisdictions including Alameda County. Outcome measurement allows housing and service providers to better evaluate and adjust their services to align more tightly to their goals. At the same time, the City continues to emphasize rehabilitation in its affordable housing activities. Rehabilitation can generally be completed with fewer funding sources than new construction housing development.

8. Monitoring

Housing Trust Fund – Monitoring Housing Development

Background

The Health, Housing & Community Services Department (HHCS) is responsible for monitoring affordable housing developments funded with Housing Trust Fund (HTF) money to ensure ongoing compliance with federal regulations under the HOME and CDBG programs and other local requirements. The City's HTF Program pools funds from various sources—including federal HOME and CDBG, General Fund, Inclusionary Housing in-lieu fees, condominium conversion fees—to achieve the City's General Plan and Consolidated Plan goals of developing and preserving long-term affordable housing. To achieve this purpose, the City provides loan and grants to qualified developers to undertake activities which create, preserve and expand the City's affordable housing stock. The federal and local requirements are incorporated in the development loan agreements and regulatory agreements associated with each project. There are a total of forty-three rental properties and seven homeownership/cooperative properties in the City's HTF portfolio. Thirty projects have HOME-assisted units.

Accomplishments

In PY2012, HH&CS completed the 2011 monitoring cycle in December 2012 and initiated a new monitoring cycle in January 2013.

Monitoring Activities FY 2013: Using the *City of Berkeley Monitoring Procedures Manual (July 2008)* as a guide, staff implemented annual monitoring activities as follows:

- *July 1, 2012 through June 30, 2013* Staff completed 21 on-site monitoring visits during this period. The on-site monitoring visits included a physical inspection of the common areas and 20% of all the residential units on site. The City of Berkeley Asset Manager/Compliance Monitor (COB Monitor) also conducted reviews of a sample of the tenant files on site.

As in previous monitoring cycles, the housing inspectors did not find major structural problems and found the structures met health and safety standards. For the properties that resulted in findings with respect to health and safety issues, the owners have been responsive and addressed the issues within the deadlines provided.

In general, the newer developments remain in good shape, and owners have done well in maintaining the properties. The older properties (especially the developments that were rehabilitated or developed prior to 2000) are in need of repair and updating, and will require investment from the owners. This presents a challenge for the smaller developments (less than 20 units) where the rental income is barely enough to cover ongoing operations. Some of the more established

housing development groups have multiple properties in their portfolio and are able to utilize cash flow from the larger properties to cover costs of improvements for the smaller properties. The small, stand-alone projects require more time to complete the improvements they need to make and are limited by their budgets. The COB Monitor continues to prioritize these smaller, stand-alone projects.

The COB Monitor made sure the properties have a preventive maintenance schedule in place and that property management staff are implementing the preventive maintenance program as planned. The monitor also made sure property management staff are following the procedures for addressing tenant requests and work orders in a timely manner and that annual inspections are consistent and done on time.

The monitor found the projects are in compliance with respect to verifying eligibility of tenants. The project files contained information required to verify tenant eligibility and rent. File maintenance have improved overall.

- *Implementation of New Monitoring Protocols and Report Forms, January 2013:* In 2012, the COB Monitor worked with members of the East Bay HOME Collaborative to develop a streamlined reporting process that could be used by the five member jurisdictions (City of Berkeley, City of Oakland, City of Richmond, Alameda County and Contra Costa County) With assistance from a HUD technical assistance provider, the Collaborative developed monitoring protocols and tools that incorporated best practices from each participating city and/or county. Two reporting forms, Annual Compliance Report and Annual Financial Report, were developed and are currently being tested.

The COB Monitor rolled out the new forms in January 2013 and as of June 30, 2013, seven of the thirteen properties that were inspected from January through June 2013 have submitted completed reports. Five properties will submit their report in August. Upon evaluating the results of the initial round, the COB Monitor is recommending revisions to the monitoring protocol, including elimination of the Annual Financial Report form and replacing it with a simpler, more manageable one that could be incorporated into the Annual Compliance Report – Financial Reporting section.

For future monitoring cycles, the COB Monitor will collect Annual Compliance Reports on October 1st for projects ending on a fiscal year and April 1st for projects ending on a calendar year. This will provide project sponsors enough time to obtain their audited financial statements, which they will need to complete the Financial Reporting section of the Annual Compliance Report.

- *September 2012:* The East Bay HOME Collaborative developed and offered a two-day training for property managers, asset managers and project development staff of CHDO's on HOME program compliance and monitoring projects. The topics includes overview of basic HOME rules and requirements, marketing and tenant relations, maintaining affordability (including documenting income eligibility), physical

inspections/property maintenance and financial reporting. The training ended with an introduction of the East Bay HOME Collaborative's monitoring protocols and new reporting forms.

- *April 2013:* Alameda County Housing and Community Development staff, also members of the East Bay HOME Collaborative, provided a training on evaluating an organization's financial statements. The COB Monitor participated in the training and is currently using the information to develop assessment tools that the City of Berkeley can use when assessing the financial health of housing projects.

Monitoring During Construction

During PY 2012, the City continued to follow the protocol for monitoring during construction (City of Berkeley Housing Trust Fund Construction Policies and Procedures) that was described as follows in the *2010-2014 Consolidated Plan*:

Consistent with federal requirements and good lending practices, the City is very involved in monitoring funded developments during construction. Individual projects may require a varying degree of City staff involvement depending upon the following variables:

1. Project Size: In some cases, large projects may be managed by the Subrecipient (Developer/Owner) with the City in more of an oversight role. In smaller, City funded projects, the City staff may take on the role of construction manager.
2. Complexity of the Construction Activity: Staged construction of large projects may require different construction management skills than those required to oversee small projects. This may affect choices about who undertakes particular construction management activities.
3. Type of Sponsor: If a particular subrecipient or Developer/Owner is very experienced or has hired a professional construction manager, the City staff may wish to play an oversight, rather than direct role in the construction process.
4. Subrecipient Development Expertise and Process: If a particular subrecipient or Developer/Owner is new or is inexperienced with construction management, the City staff may want to play a substantive role in managing its initial construction activities.

Usually there are three levels of City staff involvement in the construction process (Intensive, Moderate, or Minimal). The level selected will depend on how much responsibility the City staff relinquishes to the property Developer/Owner, Subrecipient, and/or General Contractor. Usually in most large HTF affordable housing projects with experienced Developer/Owners, the City staff typically opts for "Moderate" involvement.

The three levels of staff involvement in the construction monitoring process are defined below:

1. **Intensive City Staff Involvement:** A city staff member is assigned or put on-site during all or much of the construction process to oversee construction (bid, hire,

oversee contractors, verify compliance, coordinate inspections, and mediate on-site disputes). Some or all of the following activities will be required of the project:

- A. Require the Developer/Owner or Contractor(s) to submit weekly or bi-weekly construction progress status reports.
 - B. Hold bi-weekly meetings with the architect, owner, and other funding agencies to discuss any construction problems, disputes and change orders.
 - C. Review all payment requests and releases the payments according to local disbursement policies.
 - D. Review all change order requests and the City's Project Manager will sign change order request as necessary and as outlined in the Development Loan Agreement.
 - E. Tract adherence to the budget as needed.
 - F. Conduct or schedules "as needed" and/or final inspections to ensure compliance with codes and federal regulatory requirements.
 - G. Document site and inspection visits and construction completion in the project files.
2. Moderate City Staff Involvement: A City staff member conducts periodic inspections throughout the construction stage and prepares documentation ensuring compliance with federal program rules. The City staff will:
- A. Require the Developer / Owner to submit monthly construction progress status reports.
 - B. Attend monthly construction draw meetings with the architect, owner, general contractor, and other funding agencies to discuss any construction problems, disputes and change orders.
 - C. Review all payment requests and releases the City payments according to local disbursement policies.
 - D. Review all change order requests and the City's Project Manager will sign change order request as necessary and as outlined in the Development Loan Agreement.
 - E. Tract adherence to the budget as needed.
 - F. Document site and inspection visits and construction completion in the project files.
3. Minimal City Staff Involvement: A City hires a third party to conduct periodic inspections throughout the construction phase and verify compliance with loan and federal program rules. The City staff will:
- A. Require the third party to submit periodic construction progress status reports.

- B. Meet with the architect, owner, general contractor, and third party on an as-needed basis to discuss, and assisting in resolving any construction problems, disputes, and change orders.
- C. Give the third party the authority to review all payment requests and change orders.
- D. Track adherence to the budget periodically.
- E. Review City payment requests and releases according to local disbursement policies.
- F. Document site and inspection visits and construction completion in the project files.

Prior to the start of construction, City staff will conduct a pre-construction conference of the parties who staff believes are essential to the construction process. This typically includes, but is not limited to the Developer/Owner (Project Manager and Construction Manager), the General Contractor's representative(s), Architect, and a local representative from the City's First Source Office. At the Pre-construction conference it is important that staff outline and review the City's "HTF Contracting and Draw Process" with both the Developer/Owner and the General Contractor.

Through the City's HTF Development Loan Agreement, the Borrower is required to permit and facilitate, and shall require the General Contractor to permit and facilitate, observation and inspection at the Project by the City and by public authorities (during reasonable business hours) for the purposes of determining compliance with its loan agreements. The City's Housing staff can conduct inspections, or a third party designated by the City staff, may also conduct such inspections. However, the City is ultimately responsible for the enforcement and administration of several of the federal requirements including federal prevailing wage and relocation regulations.

Community Agency Services Contract Monitoring

The City's community agency contract monitoring functions have been centralized in the City's Housing and Community Services Department. The City combined its Request for Proposal (RFP) processes for different services funding sources, and reduced the number and frequency of reports and invoices submitted to the City beginning in FY 2005 and continuing to present. This streamlined approach reduces administrative time for both community agencies and City staff.

Housing and Community Services Department staff both prepare and monitor more than 50 community agency contracts for services annually. Contracts include CDBG, CSBG, ESG, and General Funds. Services provided include a wide range: nutrition, child care, health care, benefits advocacy, legal and advocacy services, homeless services, disability services, employment training, and services for seniors and youth.

The City requires outcome reporting for all community agency contracts, and has drawn on outcome reporting information in the RFP process since November 2003. Categories

for outcome reporting include housing affordability and retention, income and employment, health, education, recreation, infrastructure, and community access. Berkeley analyzes agencies' performance and provides support for potential improvements in order to ensure and increase the effectiveness of its funding allocations. Both staff and commissions draw on performance information in order to make funding recommendations to City Council.

Staff provides technical assistance on accounting and program compliance to ensure that program goals are being met. Monitoring staff review status reports and invoices regularly and process payments. The frequency of on-site monitoring visits is determined by an Agency Risk Assessment tool. The level of risk, which is dependent on type and amount of funding, and prior concerns related to program delivery or fiscal and accounting systems, determines whether the agency will be visited every one, two or three years. In addition, however, staff frequently make informal visits to agencies, and desk audits of program and fiscal reports are performed on an annual basis. The monitoring staff works with the community organizations to resolve any program or accounting findings or other problems that may keep an organization from meeting its contractual obligations. In PY 2012 reporting on all contracts continued in the City's online reporting system called City Data Services (CDS). CDS data from PY2011 was recently used in July 2012 to develop a report for City Council on community agency performance. Work will continue in PY2013 to refine the system and use it for the next Request for Proposals round.

Community Facilities Improvements Administration and Monitoring

In the recent past, the City of Berkeley has allocated approximately \$260,000 in CDBG and ESG funding every year for community facilities improvements projects. Non-profit organizations and City Departments apply on an annual basis to access the funding, and anywhere from five to ten projects are underway every year. The CDBG Coordinator are involved in the direct implementation of improvements, including crafting the scope of work, bidding out the projects and providing construction management, particularly when City property is undergoing rehabilitation. The CDBG Coordinator is in charge of administering the contracts, and is responsible for labor compliance monitoring, including monitoring payrolls for compliance with Davis Bacon and Section 3.

The City's contract with the non-profit passes on all federal requirements, including insurance and bonding requirements attached to CDBG and ESG funding, and the contract scope of work includes detailed information on the steps that are required for the non-profits to follow to ensure compliance. The CDBG Coordinator supplies the non-profit with the appropriate Wage Decision at the time the project is put out to bid, and reviews the bid language and resulting choice of general contractor. The CDBG Coordinator reviews the contract between the non-profit and the general contractor to ensure that all local and federal requirements are passed on. The CDBG Coordinator holds pre-construction conferences with the non-profit and the general contractor to

review all federal requirements and solicit information from the general contractor related to subcontractors and information on salaries and wages of all trades used in the project. The CDBG Coordinator make site visits at various points in the construction process, to monitor performance and interview workers to complete the Record of Employee Interview form (HUD 11) required for Davis Bacon monitoring.

Progress payments are made with the use of a standard Payment Voucher Form, submitted to the CDBG Coordinator by the non-profit which includes a checklist of documentation required to process payments. The documentation, including detailed invoices, lien waivers, copies of checks issued (in the case of ESG funding) and payrolls, is reviewed and the request for payment is processed. Final payment of a 5% retainage is held for any outstanding payroll issues, the HUD Form 2516 – Contractor and Subcontractor Activity, and any other documentation required to close out the contract.

The CDBG Coordinator is responsible for submitting the Semi-Annual Labor Enforcement Report (HUD 4710) and the Annual Contractor and Subcontractor Activity Report (HUD 2516). These reports include both information from the community facility improvement projects and information from any other construction activity undertaken by the Housing Services staff in the Housing and Community Services Division.

Senior and Disabled Home Rehabilitation Loans Administration and Monitoring

In PY 2012, the Senior and Disabled Home Rehabilitation Loan program continued administration and monitoring as follows, also described in the PY 2011 Annual Action Plan:

The City of Berkeley allocates CDBG and CalHome funding every year to income qualified residents for residential rehab. The City Housing and Community Services Department staff fund between five and seven projects every year with a maximum loan allocation of \$80,000 per project. The Program Analyst reviews the homeowner's application and determines their eligibility. Approved applicants are referred to the Assistant Architect who meets with the property owner. The assistant and the client(s) determine the scope of work addressing code, health and safety violations, deferred maintenance and household energy efficient upgrades. A bid walk is scheduled when the scope of work is approved by the client and staff. The hand delivered bids are opened and recorded by the Program Analyst. The client selects the most responsible bid which typically is the lowest bid. Staff requests funds and develops the City loan documents and the owner/contractor agreement. Construction is supervised by the Assistant Architect, also responsible for all change orders, pay requests and notifications. Department staff reviews the work performed and submits confirmation the work was completed in accordance to the scope of work and the quality of work complies with the standards of the trades.

The City's contracts include insurance and permitting requirements, payment instructions, the construction drawings and the scope of work detailing the work to be executed. The payment schedules and change orders document the procedure employed to implement payments, changes to the scope of work and time schedules. All pre 1978 properties must employ lead-safe work practice and are tested when the work is completed to ensure any contamination during the construction process for the property has been remediated.

The Program Analyst processes the progress payments for work completed. The progress payments are based on 95% of the cost associated in the line item breakdown for the work completed. The progress payment includes the pay request, payment tabulation, lien waivers and approved permit signatures. All payments require the owner(s), Contractor, Assistant Architect and the Program Analyst signatures to approve the payment. The final 5% retainage payment is held for 35 days after the Notice of Completion has been recorded and any documentation that may be required to close out the contract. The property owner is sent a program evaluation form after all portions of the project are completed to assess the program. The feedback obtained from the evaluation form provides information to staff to refine the program to improve services offered to our property owners.

b. What is the status of your grant programs?

As of the last CDBG Timeliness test date, May 2, 2013, unexpended CDBG funds as a percent of the City of Berkeley's total PY 2012 allocation was 1.36, according to IDIS report PR56. This is less than the allowed 1.5 times our entitlement amount. The City has met all HOME commitment obligations by recently committing HOME funds to the William Byron Rumford, UA Cooperative, UA Homes, Strawberry Creek Lodge, and Related Companies projects.

• Are any activities or strategies falling behind schedule?

1. Activity 806 – James Kenney Recreation Center (2011)

The City of Berkeley allocated \$60,000 in CDBG funding to this project on May 17, 2011 to replace dilapidated windows and emergency exit doors. Funds were not expended immediately, however, since the scope of work is part of a larger renovation of the building. The renovation was initially expected to be funded with Regional Parks funding. However, other funding for the project was identified in the fall of 2012, and this has caused further delay in expending the CDBG funds. Staff has been working with the California Emergency Management Agency (CalEMA) and the Federal Emergency Management Agency (FEMA) to re-allocate a \$734,000 grant for non-structural seismic upgrades of another City building to Seismic Improvements for this Recreation Center, which is identified as an emergency disaster shelter. The City has tentative approval, from the funder, and the last requirement is a seismic evaluation by a structural engineer. This work is currently underway and the application to CalEMA and FEMA is

expected to be approved this summer.

2. Activity 763 – COB Aging Services Kitchen Improvements (2010)

Funding for the kitchen improvements has been used for fire suppression hoods and broken and dilapidated fridge and freezer components at the City's three senior centers. The hoods were replaced in September of 2011. The replacement of freezers and fridges took longer than expected, because an energy analysis was required to ensure that the replacement products would be sufficiently energy efficient. The replacement of the fridges and freezers was completed in June 2012. Funding in the amount of about \$14,000 remained unspent, and the City's process required Council approval to reallocate the funding. Council approved the use of the remaining CDBG funds for energy efficient lighting upgrades to the South Berkeley Senior Center and the project was put out to bid the first week in May 2013. We expect the installation of new lighting to be completed by September 30, 2013.

- *Are grant disbursements timely?*

During PY 2012, 73% of funds committed to activities were drawn. Of 30 projects funded in PY 2012, 19 are not fully drawn. Six of these are community facility improvement projects, and one is a Section 108 Loan repayment, delayed due to the HUD billing cycle.

- *Do actual expenditures differ from letter of credit disbursements?*

No

IV. CDBG

In addition to the narrative in this section, see the following attachments for more detail on CDBG activities:

- ***Attachment A: Summary of CDBG, ESG, and HOME Allocations by Project***
- ***Attachment B: Outcomes Achieved PY 2011***
- ***Attachment C: CDBG Activity Summary Report (GPR) for PY 2011 (IDIS PR03)***
- ***Attachment K: CDBG Financial Summary Report (IDIS PR26)***

All Grantees Receiving CDBG Funds Must Submit the Following Narrative Information:

1. Assessment of Relationship of CDBG Funds to Goals and Objectives

a. Assess use of CDBG funds in relation to the priorities, needs, goals, and specific objectives in the Consolidated Plan, particularly the highest priority activities.

Progress on high priority activities is discussed above in *Section 11. Three to Five Year Plan Assessment of Progress*. Projects funded by CDBG appear on the table below:

Table 12: CDBG-Funded Activities in PY2012

Activity	Allocation
Housing Projects	
Center for Independent Living: Residential Access Project for Disabled	140,219
COB HH&CS*: Loan Services	128,295
COB HH&CS: Senior and Disabled Rehab Program	171,600
Rehab Loans	150,000
COB HH&CS: Housing Development/Multi-Family Rehab	361,193
Housing Trust Fund	344,559
Community Energy Services Corp.: Home Safety & Repair Program	282,334
Rebuilding Together Safe Homes Project	98,279
CDBG Subtotal Housing Projects	1,676,479
Public Services (Cap = \$436,514)	
Berkeley Food & Housing Project (BFHP)	180,986
Men's Overnight Shelter	
Biotech Partners: Biotech Academy at Berkeley High	69,840
East Bay Community Law Center: Fair Housing Counseling	34,932
Inter-City Services: Employment, Education and Training	95,036
Resources for Community Development:	
Social Services at Special Needs Housing	9,828
Rising Sun Energy Center: Green Energy Training Services	50,852
Women's Daytime Drop-In Center:	
Housing Case Management and Safety Net Services	81,483
CDBG Subtotal Public Services	427,921
Public/Community Facilities Improvements	
Alzheimer's Services of the East Bay	47,670
Bay Area Hispano Institute for Advancement	18,450
Berkeley Food and Housing Project	52,406
COB HH&CS: Public Facilities Improvements	133,905
BOSS: McKinley Transitional House	30,582
Fred Finch Youth Center	10,240
Lifelong Medical Care Dental Clinic	36,093
Rebuilding Together: Community Facilities	24,575
CDBG Subtotal Public Facilities Improvements:	393,921
Planning and Administration (Cap = \$491,347)	
COB HH&CS: CDBG Planning & Administration	350,402
COB City Manager's Office: Support Costs	129,600
CDBG Subtotal Planning & Administration	480,002
Grand Total All CDBG Projects	2,978,322

See **Attachment B: Community Development Block Grant Program Goals, Objectives, and Outcomes** for a matrix of accomplishments in PY 2012.

b. Evaluate progress made toward meeting goals for providing affordable housing using CDBG funds, including the number and types of households served.

In PY2012, a variety of programs were funded with CDBG to provide 1) single family home repairs, including emergency repairs and access modifications (CIL Residential Access for the Disabled, Senior and Disabled Rehab Program, Community Energy Services Corporation (CESC), Rebuilding Together Safe Homes), 2) multi-family housing renovations, and 3) housing services (COB Loan Services, COB Multi-Family Rehab, and RCD Project Management for Rehab). A total of 264 households were served. Race/ethnicity, household type and income level of these households is shown in the table below.

**Table 13: Number and Type of Households Served
by CDBG-Funded Housing Programs in PY2012**

Race	Total	% of Total	Hispanic
White	96	36%	17
Black/African American	133	50%	--
Asian	18	7%	--
American Indian/Alaskan Native	4	2%	—
Native Hawaiian/Other Pacific Islander	2	1%	—
American Indian/Alaskan Native & White	1	0%	—
Asian & White	0	0%	—
Black/African American & White	0	0%	—
American Indian/Alaskan Native & Black/African American	0	0%	—
Other multi-racial	10	4%	4
Total	264	100%	21
Hispanic as a percentage of Total			8%
Household Type			
Female Headed Household	147	56%	
Elderly	192	73%	
Income Levels			
Extremely Low (<=30% AMI)	136	52%	
Low (>30% and <=50% AMI)	86	33%	
Mod (>50% and <=80% AMI)	41	16%	
Total Low-Mod	263	99.6%	
Non Low-Mod (> 80%AMI)	1	.4%	
Total Beneficiaries	264	100%	

Source: City of Berkeley Housing & Community Services Department.

2. Changes in Program Objectives

a. Identify nature of and reasons for any changes in program objectives and how the jurisdiction would change its program as a result of its experiences.

No changes in program objectives or the program have been identified as a result of activities conducted in PY 2011.

3. Assessment of Efforts in Carrying Out Planned Actions

a. Indicate how you pursued all resources indicated in the Consolidated Plan.

The housing, homeless, community development, and special needs goals and

objectives identified in the Consolidated Plan are important priorities for the City of Berkeley, so despite the persistent recession and its impact on many sources of funding, the City continued to pursue related resources. Specifically, the City:

- Continued its revenue contracts with Alameda County in order to provide nutrition programs for seniors through the Aging Services Division.
- Participated in the application for renewed Continuum of Care funding for homeless program.
- Continued its revenue contract with the State of California for Mental Health Services Act funding for mental health services.

b. Indicate how you provided certifications of consistency in a fair and impartial manner.

All requests for certifications of consistency are carefully reviewed by staff against the goals and objectives of the Consolidated Plan. If a proposed project is consistent with the goals and objectives of the Consolidated Plan, certification is provided. In PY 2012, no requests for certification were denied. In PY 2012, the City again provided Certifications of Consistency with the Consolidated Plan for the McKinney SHP application (spearheaded by staff of the Alameda County Housing and Community Development Department).

c. Indicate how you did not hinder Consolidated Plan implementation by action or willful inaction.

Implementing the housing, homeless, community development, and special needs goals of the Consolidated Plan using both federal and local resources has been and continues to be a high priority for the City of Berkeley. No City actions or willful inactions hindered Plan implementation.

4. Use of CDBG Funds for National Objectives

a. If CDBG funds were not used exclusively for activities benefiting low/mod persons, for slum/ blight activities, or to meet urgent community needs, explain why?

Not applicable

b. If you did not comply with certification to expend no less than 70% of your CDBG funding during the specified period on activities that benefit low/mod person, explain why?

Not applicable

5. Anti-displacement and Relocation -- for activities that involve acquisition, rehabilitation or demolition of occupied real property:

a. Steps actually taken to minimize the amount of displacement resulting from the CDBG-assisted activities.

In PY 2011, no permanent displacement occurred due to CDBG-assisted activities.

Overall, the City regulates demolition of dwelling units to protect the affordable housing supply and existing tenants. In general, the Zoning Adjustments Board (ZAB) may approve a use permit to demolish dwelling units only if the units are replaced by new construction or if the structure is hazardous, unusable or infeasible to repair. However, if elimination of a rent-controlled unit is proposed, the requirements are more stringent. In particular, the use permit may only be approved if the dwelling unit to be eliminated is neither occupied nor has a rent set at a level that is affordable to a very low, low, or moderate income household, if its elimination will not adversely affect the supply of housing, and if the applicant cannot make a fair return on investment by maintaining the dwelling unit. There is an exception to allow elimination of a controlled rental unit if (1) it is seriously deteriorated, (2) the replacement unit will be provided to a very-low or lower-income household, and (3) no tenant opposes the displacement.

The issue is further complicated by interpretation of other ordinances, including the Rent Stabilization and Eviction for Good Cause Ordinance, the Relocation Ordinance, and the Ellis Ordinance. Due to the restrictive nature of these exceptions and their interaction with other City ordinances, the controls on demolition of rent-controlled units can be a constraint to development.

Starting in PY 2010, the City reviewed possible revisions to the demolition controls that would provide better coordination designed to protect tenants and also continue to meet affordable housing needs. In PY 2011, the topic was referred to the Planning Commission to develop recommended changes, and in late PY 2012, the City Council reviewed potential changes to the demolition ordinance and provided policy direction for certain changes. The proposed new ordinance includes expanded protections for tenants of rent controlled units which are to be demolished. City Council will likely consider a revised ordinance during PY 2013.

b. Steps taken to identify households, businesses, farms or nonprofit organizations who occupied properties subject to the Uniform Relocation Act or Section 104(d) of the Housing and Community Development Act of 1974, as amended, and whether or not they were displaced, and the nature of their needs and preferences.

Applicants to the City's Housing Trust Fund are asked whether their proposed projects include any temporary or permanent relocation. If so, applicants must submit a detailed relocation plan. If a project proposing relocation receives a funding allocation, City staff will work closely with the project sponsor to ensure that the relocation complies with all applicable regulations, including the Uniform Relocation Act and the City's Relocation Ordinance.

In the event that any relocation was required, the City's Relocation Services staff would get involved. Staff provides information about the City's Relocation Ordinance and referrals to available services.

Two HOME-funded developments involving temporary relocation activities were undertaken in PY 2012. These developments include the renovations of both the Savo Island and UA Homes projects. These developments are not expected to include permanent relocation. Final summaries for the relocation activities undertaken for these projects will be included in the PY 2013 report since renovation activities will be concluded in PY 2013.

The Berkeley Housing Authority also worked on relocation from its public housing units during PY2012 as part of its transfer of the units to Related Companies of California for rehabilitation. The City participated in this project with a \$300,000 loan of General Funds to BHA and a \$400,000 loan of HOME funds.

For Week Ending:

30-Aug-13

Summary Stats:

	<u>Non PBV- Eligible Holds.</u>	<u>Eligible PBV Holds.</u>
Total Households Remaining	9	19
Move-Out Completed	24	7
Pending Relocations	3	0
Households to Move to Converted Two Bedroom Units	3	1
Actively Working With OPC to Relocate	5	0

Starting in March of 2012 through April of 2012, Overland, Pacific and Cutler (OPC) met with 63 households to present to them the housing options available based on their household composition at the time. Those options included a voluntary permanent relocation (targeted at over housed household but available to all households) with assistance under the Uniform Relocation Act and provision of a Section 8 Housing Choice Voucher (for those who income qualified). Upon completions of these meetings, OPC began to meet with households who desired to voluntarily permanently relocate to new housing of their choice. As households selected units they wanted tour or found a location, OPC worked with them to secure the housing of their choice. Later in 2012 BHA held a lottery to select the persons who would occupy units converted to two bedrooms; these households have been chosen and informed. OPC continues to work with the remaining nine households who are overhoused to relocate to new housing. Three of these nine households are pending final approvals to move to new housing of their choosing. Due to the City of Berkeley's Eviction for Just Cause Ordinance, BHA cannot involuntarily, permanently displace any households (meaning the cannot issue a

Notice to Vacate and require them to move); thus this project does not have any displaced persons.

c. Steps taken to ensure the timely issuance of information notices to displaced households, businesses, farms, or nonprofit organizations.

See above.

City of Berkeley Relocation Program

City staff provided relocation assistance to 54 tenants and 15 property owners, and apprised them of their rights and responsibilities regarding temporary relocation under Section 13.84 of the Berkeley Municipal Code, which provides protections for tenants who must temporarily relocate due to repairs necessary to bring the unit into code compliance.

In addition, the City Relocation staff completed the following activities:

- Participated in interagency emergency response with Fire Department and the Red Cross to assist 22 tenants displaced due to a fire in the Nash Hotel, a 43-unit residential hotel in downtown Berkeley.
- Provided technical assistance to Resources for Community Development regarding the temporary relocation of residents at UA Homes during renovations of the building.
- Coordinated relocation assistance to 3 elderly and disabled homeowners who received low-interest loans through the City of Berkeley Senior Rehab Program for critical structural repairs.
- Attended inter-departmental meetings to address problem properties, when tenants may be displaced due to City code enforcement action.
- Ongoing coordination with the Rent Stabilization Program to respond to landlord/tenant inquiries and mediation to resolve disagreements regarding the terms of relocation..

6. Low/Mod Job Activities -- for economic development activities undertaken where jobs were made available but not taken by low or moderate income persons:

No low/mod job creation activities were undertaken in PY 2012.

7. Program income received

a. Amount of program income reported that was returned to each individual revolving fund, e.g., housing rehabilitation, economic development, or other type of revolving fund.

The City of Berkeley does not have a CDBG revolving loan fund.

b. Amount repaid on each float-funded activity.

The City of Berkeley did not float-fund any activity.

c. All other loan repayments broken down by the categories of housing rehabilitation, economic development, or other

The City received \$141,727 in rehabilitation loan payments.

d. Amount of income received from the sale of property by parcel.

Income received in PY2012 was \$19,941. Combined with the income described above, there was a total of \$161,668.

9. Prior period adjustments -- where reimbursement was made this reporting period for expenditures (made in previous reporting periods) that have been disallowed, provide the following information:

Not applicable

10. Loans and other receivables *a. Principal balance for each float-funded activity outstanding as of the end of the reporting period and the date(s) by which the funds are expected to be received.*

There are no float-funded activity loans.

b. Total number of other loans outstanding and the principal balance owed as of the end of the reporting period.

Table 14: Outstanding CDBG Loans by Category

Category	# of loans	Principal Balance (6/30/13)
Senior and Disabled Home Rehabilitation Loans	46	\$973,171
Emergency Repair Loans	6	\$31,748
Rental Rehab Loans	13	\$468,875
Housing development Loans	13	\$3,954,026
Section 108 Guarantee Loans	5	\$11,087,793

c. List separately the total number of outstanding loans that are deferred or forgivable, the principal balance owed as of the end of the reporting period, and the terms of the deferral or forgiveness.

Table 15: Outstanding Deferred and Forgivable CDBG Loans

Category	# of loans	Principal Balance (6/30/11)	Terms
Senior and Disabled Home Rehabilitation Loans	46	\$973,171	All deferred loans. Principal is due at sale of property or change of ownership.
Emergency Repair Loans	6	\$31,748	All deferred loans. Due at sale of property or change of ownership.
Rental Rehab Loans	13	\$468,875	All deferred loans. All loans will be forgiven when the owners meet the affordable rental requirement for 15 years.
Housing Development Loans	13	\$3,954,026	All deferred loans. Principal is due in 55 years. May be forgiven if owners maintain the affordability status.
Section 108 Guarantee Loans	5	\$11,087,793	2 Converted loans – amortize for 10 years 3 non-converted loans – interest only until converted

d. Total number and amount of loans made with CDBG funds that have gone into default and for which the balance was forgiven or written off during the reporting period.

None.

e. A list of the parcels of property owned by the grantee or its subrecipients that have been acquired or improved using CDBG funds and that are available for sale as of the end of the reporting period.

None.

11. Lump sum agreements

a. Name of the financial institution.

Wells Fargo Bank

b. Date the funds were deposited.

All deposits are 3 days after the draw downs from IDIS.

c. Date the use of funds commenced.

The use of funds are commenced before the draw downs. The City uses a reimbursement system.

d. Percentage of funds disbursed within 180 days of deposit in the institution.

None. All funds are disbursed by the City before being drawn from IDIS to reimburse the City.

12. Neighborhood Revitalization Strategies – for grantees that have HUD-approved neighborhood revitalization strategies:

Not applicable.

V. HOME

In addition to the narrative in this section, see the following attachments for more detail about HOME activities:

- **Attachment A: Summary of CDBG, ESG, and HOME Allocations by Project**
- **Attachment D: HOME Annual Performance Report (HUD-40107)**
- **Attachment E: HOME Matching Liability Report (IDIS PR33)**
- **Attachment F: HOME Match Report (HUD-40107-A)**

All Grantees Receiving HOME Funds must submit the Following Narrative Information:

1. Assessment of Relationship of HOME Funds to Goals and Objectives

a. Assess the use of HOME funds in relation to the priorities, needs, goals, and specific objectives in the consolidated plan, particularly the highest priority needs.

Activities in relationship to priority needs identified in the Consolidated Plan are discussed above under *Section II. Three to Five Year Plan Assessment of Progress*.

Activities funded by HOME in PY 2012 appear in the following table.

Table 16: City of Berkeley HOME Funded Programs in PY 2012, by Program and Amount

Program	Amount
HOME Administration	59,514
Housing Trust Fund	535,630
Grand Total All HOME Projects	573,619

HOME activities are described in detail under *Section III: Assessment of Annual Progress, Part 2. Affordable Housing* above.

b. Evaluate progress made toward meeting goals for providing affordable housing using HOME funds, including the number and types of households served.

No HOME funded activities were completed in PY 2012, therefore there is no data to report.

2. HOME Match Report

a. Use HOME Match Report HUD-40107-A to report on match contributions for the period covered by the Consolidated Plan Program year.

See **Attachment F**

3. HOME MBE and WBE Report

a. Use Part III of HUD Form 40107 to report contracts and subcontracts with Minority Business Enterprises (MBEs) and Women's Business Enterprises (WBEs).

The amount of HOME funds spent on WBEs and MBEs is shown in **Attachment D: HOME Program Annual Performance Report**. Of 31 contractors and subcontractors awarded funding, thirteen were awarded to MBEs, amounting to 21% of the total funding. Nine WBEs were awarded funds, amounting to 65% of the total funding.

4. Assessments

a. Results of on-site inspections of rental housing.

The results of monitoring HOME-funded rental housing are described above under *Section III. Three to Five Year Plan Assessment of Progress, Part 8. Monitoring.*

b. HOME jurisdiction's affirmative marketing actions.

Berkeley's Housing Trust Fund Guidelines require that HTF recipients undertake affirmative market practices when leasing up their units. These requirements are incorporated directly into the City's Development Loan Agreements that are executed with developers to provide development funding.

c. Outreach to minority and women owned businesses.

Outreach to women- and minority-owned businesses is a requirement for agencies receiving federal funds. City staff provides agencies with guidance on how to engage MBE/WBEs and of City funding. In PY2012, nine women-owned businesses and thirteen minority-owned contractor were used on projects.

VI. ESG

All Grantees Receiving ESG Funds Must Submit the Following Narrative Information:

1. Assessment of Relationship of ESG Funds to Goals and Objectives

a. Identify actions to address emergency shelter and transitional housing needs of homeless individuals and families (including significant subpopulations such as those living on the streets).

In PY 2012, the City of Berkeley continued to fund a broad range of emergency shelter and transitional housing programs:

- Berkeley Food and Housing Project's (BFHP) Men's Overnight Assistance Project
- BFHP Women's Shelter
- Building Opportunities for Self-Sufficiency (BOSS) Harrison House Singles/Recovery Services
- BOSS Harrison House Family Shelter and Sankofa House Transitional Housing
- Fred Finch Youth Center's Turning Point
- Winter Shelter
- Women's Daytime Drop-In Center's Transitional House
- Youth Emergency Assistance Hostel (YEAH!)

The vast majority of PY2012 ESG funds were allocated to the Berkeley Food and Housing Project, which partners with the City of Berkeley to fund housing assistance payments as part of the Priority Home Partnership Program.

The City continues to use the Everyone Home Plan, adopted in 2006, as its guide for homeless policies. The Plan calls for sustaining existing safety net services, such as emergency shelter and transitional housing, while also emphasizing permanent housing as the ultimate solution to homelessness

b. Evaluate progress made in using ESG funds to address homeless and homeless prevention needs, goals, and specific objectives established in the consolidated plan.

Actions and progress on priority homeless needs are discussed above under *Section II. Three to Five Year Plan Assessment of Progress.*

c. Detail how ESG projects are related to implementation of comprehensive homeless planning strategy, including the number and types of individuals and persons in households served with ESG funds.

The Everyone Home Plan, a 15-year plan addressing homelessness and special needs housing, was adopted by the City in 2006 and continues to be the City's homeless strategy. Homeless programs funded with ESG appear on the following table.

Table 17: ESG-Funded Programs in PY 2012,

by Agency, Program, and Amount

Agency	Program	Amount
Berkeley Food & Housing Project	Priority Home Partnership Program – Rapid Rehousing	275,235
COB/HH&CS	Priority Home Partnership Program – Homeless Prevention	24,538
Alameda County Housing & Community Development Department	Homeless Management Information System	6,700
COB/HH&CS	Program Planning and Administration	28,719
Total		\$335,192

2. Matching Resources

a. Provide specific sources and amounts of new funding used to meet match as required by 42 USC 11375(a)(1), including cash resources, grants, and staff salaries, as well as in-kind contributions such as the value of a building or lease, donated materials, or volunteer time.

The City met the dollar for dollar match requirements for the ESG program by allocating General Funds to the Alameda County Network of Mental Health Clients (\$89,817), the City of Berkeley Housing Retention Program (\$63,573), the Dorothy Day House Berkeley Emergency Storm Shelter (\$16,206), the Youth Engagement Advocacy Housing (YEAH!) youth winter shelter (\$109,115), and the Family Violence Law Center’s Domestic Violence and Homeless Prevention Project (\$87,030). These programs received a total of \$365,741 in City General Funds in PY2012.

3. Activity and Beneficiary Data

a. Completion of Emergency Shelter Grant Program Performance reports showing ESGP expenditures by type of activity. Also describe any problems in collecting, reporting, and evaluating the reliability of this information.

In PY2012, ESG funds were used primarily for homeless prevention and rapid rehousing activities. Since this program, called Berkeley Priority Home Partnership, did not start until April 2013, only 2 persons were assisted in the period.

Table 18: ESG-Assisted Activity Beneficiary Data

Persons Assisted	Homeless Prevention	Rapid-Rehousing	Shelter	Total All Activities
Adults	1	1	0	2
Children	0	0	0	0
Don't Know/Refused	0	0	0	0
Missing Information	0	0	0	0
Total	1	1	0	2
Gender				
Gender	Total All Activities	Race/Ethnicity	Total	Hispanic
Male	1	White/Caucasian	1	1
Female	1	African/American/Black	1	0
Transgender	0	Asian	0	0
Unknown	0	American Indian/Alaskan Native	0	0
Total	2	Other Multi-Racial	0	0
		Total	2	1
Age				
Age	Total All Activities			
Under 18	0			
18-24	0			
Over 24	2			
Don't Know / Refused	0			
Missing Information	0			
Total	2			
Special Subpopulations Served				
Special Subpopulations Served	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters	Total
Veterans	0	0	0	0
Victims of Domestic Violence	0	0	0	0
Elderly	0	0	0	0
HIV/AIDS	0	0	0	0
Chronically Homeless	0	0	0	0
Persons with Disabilities:				
Severely Mentally Ill	0	1	0	1
Chronic Substance Abuse	0	0	0	0
Other Disability	0	0	0	0

4. Chronic Homelessness

a. Describe actions steps taken to address chronic homelessness.

All homeless housing and service programs in Berkeley may be serving people who are chronically homeless. The 2004 homeless count found that nearly two-thirds of people homeless in Berkeley met the chronically homeless definition. Although the 2009 count found that chronically homeless people were a much smaller proportion of the homeless population, most agencies have at least some experience serving people who are chronically homeless.

Berkeley has three City-operated programs serving primarily people who are chronically homeless:

- **The Shelter Plus Care COACH grant**, with 21 Shelter Plus Care certificates, targets people who are chronically homeless. The program combines the federal housing subsidy with services provided by Berkeley Mental Health and Lifelong Medical Care.
- **The Shelter Plus Care tenant-based rental assistance grant** in partnership with Alameda County prioritizes people who are chronically homeless, although it is not limited to serving the chronically homeless.
- **The Public Commons for Everyone Initiative Square One program**, which combines a locally funded housing subsidy with services provided by Berkeley Mental Health, Lifelong Medical Care, and the Homeless Action Center.

In addition, the City funds several programs operated by community based nonprofit organizations that, while not exclusive to the chronically homeless, serve substantial numbers and collect and report data on chronic homelessness under their City contracts. These programs include:

- Alameda County Network of Mental Health Clients (Berkeley Drop In Center);
- Berkeley Food and Housing Project (BFHP), Men's Overnight Shelter;
- BFHP, Women's Overnight Shelter;
- Building Opportunities for Self-Sufficiency (BOSS), Multi Agency Services Center;
- BOSS, Ursula Sherman Village Single Adult Shelter;
- Fred Finch Youth Center;
- Rubicon programs, Inc.;
- Toolworks/Lifelong Supportive Housing Services;
- Women's Daytime Drop In Center.

5. Homeless Discharge Coordination

As part of the government developing and implementing a homeless discharge coordination policy, ESG homeless prevention funds may be used to assist very-low income individuals and families at risk of becoming homeless after being released from publicly funded institutions such as health care facilities, foster care or other youth facilities, or corrections institutions or programs.

a. Explain how your government is implementing a homeless discharge coordination policy, and how ESG homeless prevention funds are being used in this effort.

Most systems that discharge people who may be at risk of homelessness are county-administered systems. Therefore, the City of Berkeley does not have a stand-alone discharge policy, but rather abides by the Alameda County discharge policy that is reported on annually in our countywide Continuum of Care application through Everyone Home. The following information on discharge policies comes from the countywide Continuum of Care application. The ESG funds received by the City in PY 2012 did not fund any specific discharge coordination activities, but all homeless agencies work with the mainstream systems as needed.

Foster Care:

Alameda County Social Services is a member of Everyone Home and is responsible for foster care in Alameda County. SSA and its community partners use multiple strategies to ensure youth exiting foster care do not enter homelessness. As a youth near emancipation a transition conference is held to bring together the youth, their Child Welfare Worker, and others in the youth's life to support the most successful emancipation possible. Youth eligible for the Independent Living Skills Program (ILSP) qualify for up to 24 months of supportive housing if they were in an out of home placement at age 18. The Family Finding & Engagement program helps youth transition to a family home whenever possible. The recent Fostering Connections to Success Act allows youth to extend foster care placement and services to age 21; Alameda County has seen an 80% uptake rate, meaning most are choosing to stay in care which yields more time to gain skills for successful transition, reducing the likelihood of an exit to homelessness.

Health Care:

Alameda County has a high proportion of uninsured and medically indigent persons, many of whom are homeless and rely on county facilities such as Highland Hospital, the County hospital, and community health centers for urgent medical care of acute and chronic conditions. Highland Hospital employs a staff of discharge planners who seek to find exiting patients appropriate housing prior to discharge, Highland has a contract with the Crossroads Center, a shelter and services agency with respite care for patients with nowhere to go who need continued support and supervision. Alameda County also has a Frequent Users of Health Care Services Initiative which helps frequent inappropriate users of emergency care services get access to appropriate care and permanent housing.

Mental Health:

Alameda County Behavioral Health Care Services is a founding member of Everyone Home and employs a full-time Housing Director. BHCS operates the County psychiatric hospital and several in-patient crisis facilities each with discharge staff who make every effort not to discharge people into homelessness. The County ACCESS program connects all eligible in-patient users to community services, which include those that address housing issues. The BHCS Housing Office convenes a weekly meeting of all licensed, long-term facilities in the County to track openings. The BHCS Housing offices also makes referrals to licensed board and care facilities and to community mental health providers. BHCS has invested over \$20 million of Mental Health Services Act funding into housing development for persons with mental illness. In addition the Department spends \$5 million annually on Full Service Partnerships, which combine subsidies and services, \$1.5 million on emergency shelter, and \$200,000 annually on homelessness prevention.

Corrections:

At the Santa Rita County jail, case workers in inmate services utilize an on-line resource referral tool created by the Community Reentry Service Provider Network. Additional housing resources and wrap-around, post-release services are available for at risk populations including mothers and prisoners with HIV or dementia. For mothers there is the MOMS program which includes a transitional housing program not funded by HUD McKinney-Vento. For inmates reentering the unincorporated county there is a small pool of rapid rehousing funds and a housing specialist through Operation My Home Town. Those with HIV or dementia are eligible for temporary housing of up to 90 days. For persons leaving state custody that have to report to Probation, probation officers assess housing needs using a tool developed by the Continuum of Care and refer those who are homeless or at-risk to a housing specialist who helps with housing search and short- or medium-term rental assistance. All post release housing services are optional.

VII. PUBLIC PARTICIPATION

The availability of the draft Consolidated Annual Performance and Evaluation Report (CAPER) was published in the *Oakland Tribune*, a local Alameda County daily, on September 11, 2013, informing the public that the CAPER would be available for review at the Berkeley Public Library Reference Desk and the Berkeley Housing & Community Services Department. Chinese and Spanish translations of the notice were included in the *Oakland Tribune* advertisement. The public comment period will run until September 25, 2013, a total of 15 days. The CAPER was discussed at the Housing Advisory Commission's September 12, 2013, meeting; and it was also placed on the City's Housing & Community Services Department website on September 11th at <http://www.ci.berkeley.ca.us/ContentDisplay.aspx?id=15574>. The CAPER was made available for review by the general public at the Housing & Community Services Department, at the Berkeley Public Library Reference Desk to be readily available to low income and minority populations.

No comments were received.

See ***Attachment G: Public Notice on Availability of Draft CAPER*** for more documentation of public participation.

City of Berkeley

**Program Year 2012
(July 1, 2012 – June 30, 2013)
Consolidated Annual Performance
and Evaluation Report (CAPER)**

Attachments

Attachment A: PY2011 CDBG, ESG and HOME Funded Projects

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG)	PY2012 Allocation
HOUSING PROJECTS	
Center for Independent Living: Residential Access Project for Disabled	\$ 140,219
COB HHCS D*: Loan Services	\$ 128,295
COB HHCS D: Senior and Disabled Rehab Program	\$ 171,600
	Rehab Loans \$ 150,000
COB HHCS D: Housing Development/Multi-Family Rehab	\$ 361,193
	Housing Trust Fund \$ 344,559
Community Energy Services Corp.: Home Safety & Repair Program	\$ 282,334
Rebuilding Together Safe Homes Project	\$ 98,279
CDBG Subtotal Housing Projects	\$ 1,676,479
PUBLIC SERVICES (Cap = \$436,514)	
Berkeley Food & Housing Project Men's Overnight Shelter	\$ 180,986
Biotech Partners: Biotech Academy at Berkeley High	\$ 69,840
Housing Rights: Fair Housing Counseling	\$ 34,932
Resources for Community Development: Social Services at Special Needs Housing	\$ 9,828
Rising Sun Energy Center: Green Energy Training Services	\$ 50,852
Women's Daytime Drop-In Center: Housing Case Management and Safety Net Svcs.	\$ 81,483
CDBG Subtotal Public Services:	\$ 427,921
PUBLIC/COMMUNITY FACILITIES IMPROVEMENTS	
Alzheimer's Services of the East Bay: Interior and Exterior Improvements	\$ 47,670
Bay Area Hispano Institute for Advancement: Exterior Improvements	\$ 18,450
Berkeley Food and Housing Project: Elevator Repairs	\$ 92,406
BOSS McKinley House Interior Improvements	\$ 30,582
COB HHCS D: Public Facilities Improvements	\$ 133,905
Fred Finch Youth Transitional House Bathroom Renovation	\$ 10,240
Lifelong Medical Care: Dental Clinic Improvements	\$ 36,093
Rebuilding Together: Community Facilities	\$ 24,575
CDBG Subtotal Public Facilities Improvements:	\$ 393,921
PLANNING AND ADMINISTRATION (Estimated Cap = \$480,002)	
COB HHCS D: CDBG Planning & Administration	\$ 350,402
COB City Manager's Office: Support Costs	\$ 129,600
CDBG Subtotal Planning & Administration	\$ 480,002
CDBG Total	\$ 2,978,323
EMERGENCY SOLUTIONS GRANT (ESG)	
Berkeley Food & Housing Projects: Rapid Rehousing	\$ 216,178
COB HHCS D: Rapid Rehousing	\$ 19,302
Berkeley Food & Housing Projects: Homeless Prevention	\$ 59,057
COB HHCS D: Homeless Prevention	\$ 5,236
COB HHCS D: Administration	\$ 28,719
COB HHCS D: Homeless Management Information System	\$ 6,700
ESG Total	\$ 335,192

Attachment A: PY2011 CDBG, ESG and HOME Funded Projects**HOME INVESTMENT PARTNERSHIP PROGRAM (HOME)**

Home Administration (Program Income = \$21,525)	\$	59,514
CHDO Operating Expenses	\$	28,681
City of Berkeley Housing Trust Fund	\$	506,949
HOME Total	\$	595,144

**COB HHCS D = City of Berkeley Health, Housing & Community Services Department*

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012**

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
PY2012 HOUSING PROJECTS (CDBG)								
816	Center for Independent Living	Residential Access for the Disabled Program	Single Family Rehabilitation - 14A	\$ 140,219	\$ 140,219	H	Six clients will receive ramp installations and 26 clients will receive minor interior/exterior modifications, gaining increased accessibility and safety within their homes.	CIL installed 6 ramps/lifts and performed 27 interior modifications.
836	City of Berkeley/ Housing & Community Services Department	Housing Development/ Multi-Family Rehabilitation	Multi-Family Rehabilitation - 14B	\$ 361,193	\$ 245,186	H	Staff will provide services related to from 5 to 8 renovation projects that are funded through City's Housing Trust Fund (HTF).	Staff worked on five projects, William Byron Rumford, U.A. Homes, U.A. Cooperative, Savo Island, and the Harper Street Project (formerly Prince Hall Arms).
	City of Berkeley/ Housing & Community Services Department	Housing Trust Fund	Multi-Family Rehabilitation - 14B	\$ 344,559		H	Provide direct loans to non-profit housing rehabilitation projects, and coordinate with other state sources of financing such as MHP and MHSA.	PY2012 funding will be used for the Grayson Acquisition project. Funds will be drawn in PY2013.
834	City of Berkeley/ Housing & Community Services Department	Single-Family Housing Rehabilitation (Seniors and Disabled Home Rehabilitation Loan Program)	Single Family Rehabilitation - 14A	\$ 171,600	\$ 135,207	H	Four low-income senior or disabled Berkeley homeowners will receive funding for renovations to their homes, including seismic upgrades, electrical and plumbing; ADA accessibility; roof and gutter replacement; lead hazard reduction and healthy home improvements; window replacements; installation of energy efficient heating and cooking appliances.	This program served 4 homeowners in the period. \$135,207 in funding for staffing was expended during the period. Unexpended funds for staffing will be recaptured.
835	City of Berkeley/ Housing & Community Services Department	Single-Family Housing Rehabilitation Loans	Single Family Rehabilitation - 14A	\$ 150,000	\$ 45,000	H	The project provides actual loans to homeowners to accomplish the rehabilitation work.	Loans to homeowners are also made with CalHOME funds. Unexpended loan funds will be carried over into PY2013.
833	City of Berkeley/ Housing & Community Services Department	Loan Services	Single Family Rehabilitation - 14A	\$ 128,295	\$ 119,034	H	Continue servicing 255 active housing rehabilitation loans, economic loans, redevelopment loans, and housing trust fund loans.	In PY2012 city staff serviced a total of 255 loans of which 123 are individual loans and the rest are loans through the City's Housing Trust Fund and through the Office of Economic Development.
817	Community Energy Services Corporation	Home Safety & Repair Program	Single Family Rehabilitation - 14A	\$ 282,334	\$ 282,334	H	CESC's construction crew will make 25 major (\$2,000+) and 153 minor repairs for low-income Berkeley residents.	CESC made 26 major and 239 minor emergency and health and safety repairs for a total of 120 households.
818	Rebuilding Together	Safe Homes Project	Single Family Rehabilitation - 14A	\$ 98,279	\$ 98,279	H	Volunteers will be mobilized to make improvements to a minimum of 18 homes owned by senior and disabled low-income Berkeley residents.	Rebuilding together made repairs to 18 homes.

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012**

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
SUBTOTAL PY2012 Projects				\$ 2,286,521	\$ 1,455,259			
Carryover Housing Projects								
780	City of Berkeley/ Housing & Community Services Department	Housing Trust Fund	Multi-Family Rehabilitation - 14B	\$ 554,601		H	Provide direct loans to non-profit housing rehabilitation projects, and coordinate with other state sources of financing such as MHP and MHSA.	PY2012 funding will be used for the Grayson Acquisition project. Funds will be drawn in PY2013.
793	City of Berkeley/ Housing & Community Services Department	Single-Family Housing Rehabilitation Loans	Single Family Rehabilitation - 14A	\$ 150,000	\$ 23,304	H	The project provides actual loans to homeowners to accomplish the rehabilitation work.	Loans to homeowners are also made with CalHOME funds. Unexpended loan funds will be carried over into PY2013.
796	City of Berkeley/ Housing & Community Services Department	BHA Public Housing Improvements	Planned Repayment of Section 108 Loan - 19F	\$ 120,000	\$ 120,000	H	Debt service payment on Section 108 Loan Guarantee for public housing modernization.	Final payment was made.
751	Resources for Community Development	Erna P Harris Court Rehab Project	Multi-Family Rehab - 14B	\$ 400,000	\$ 400,000	H	RCD will have completed renovations to Erna P. Harris Court (35 units), including site drainage, windows and flooring, bathroom upgrades, kitchen cabinetry, mechanical and electrical system upgrades, and community building improvements.	Final \$10,000 draw was made in PY2012
SUBTOTAL Carryover Projects				\$ 1,224,601	\$ 543,304			
PUBLIC SERVICES (CDBG)								
819	Berkeley Food and Housing Project	Men's Overnight Shelter	Operating Costs of Homeless Programs - 03T	\$ 180,986	\$ 180,986	H	Berkeley Food and Housing project projected to serve 390 men with overnight shelter.	BFHP Served 366 men with shelter and case management services. Outcomes included: 98 clients obtained permanent housing, 40 exited with earned income, and the shelter maintained a 100% occupancy rate.
820	Biotech Partners	Biotech Academy at Berkeley High	Youth Services - 05D	\$ 69,840	\$ 69,840	H	Biotech projected to serve 43 students in educational workshops, place 15 in internships in the biotech field, and provide 38 youth with tutoring.	Biotech served 57 youth with educational workshops and internship placements in the biotech industry. 15 students were placed into and completed internships. 100% of Academy students graduated in June and are pursuing post-secondary education. One student is attending Columbia University and one is attending UC Berkeley.

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012**

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
821	East Bay Community Law Center	Fair Housing/ Subsidized Housing Support & Advocacy	Fair Housing Activities - 05J	\$ 34,932	\$ 34,932	H	EBCLC was contracted to provide fair housing counseling for 60 clients, complaint investigations for 20 clients, and 2 fair housing testing/audits.	EBCLC provided housing counseling for 56 clients, complaint investigations for 19 clients, and completed 1 audit/testing. 13 clients had their disputes resolved and 9 clients had rights protected, restored or acquired.
822	Resources for Community Development	Social Services at Special Needs Housing	Other Public Service - 05	\$ 9,828	\$ 9,828	H	Forty-three residents at Oxford Plaza will maintain permanent housing for 6 months or longer. At least 150 residents will participate in workshops and obtain enhanced skills or knowledge.	RCD staff provided 143 clients at the Oxford Plaza affordable housing development with case management and educational workshops. Outcomes included: 122 clients stably housed for 6 months or longer after housing placement.
823	Rising Sun Energy Center	Green energy training services	Employment Training - 05H	\$ 50,852	\$ 50,852	H	The GETS program anticipated training 15 Berkeley clients and placing 11 in jobs within 3 months of program exit.	GETS trained a total of 76 individuals, including 17 Berkeley residents, through its Basic and Advanced courses. Outcomes achieved were: 12 clients were employed within 3 months of program exit; 7 clients retained employment for 9 months or more after program exit, 15 clients who found a job earned 30% more than at enrollment; and 6 clients obtained a Berkeley Living Wage job.
824	Women's Day-Time Drop-In Center	Housing Case Management and Safety Net Services	Other Public Service - 05	\$ 81,483	\$ 81,483	H	WDDC will provide drop-in daytime shelter and case management services to homeless women and their children. Case management sessions were planned for 300 clients, 100 clients were expected to attend support groups, and 15 clients were expected to be prevented from becoming homeless.	The agency served a total of 1,364 women and children. Outcomes achieved: 142 clients obtained permanent housing; 384 clients who have housing at entry maintain/retain their housing; 179 clients exit to permanent or interim housing; 62 clients who entered with no income, exit with an income.
SUBTOTAL				\$ 427,921	\$ 427,921			
PUBLIC/COMMUNITY FACILITIES IMPROVEMENTS (CDBG and ESG Funded)								
827	Berkeley Food and Housing Project	Elevator Repair	Homeless Facilities - 03C	\$ 92,406	\$ 6,579	H	Funds will be used to repair an out-of-service elevator at this facility which provides shelter and transitional housing for homeless women and their children.	The vast majority of the work was completed in PY2012 but not billed until after July 2013. \$40,000 in ESG were also used for this project.
826	Bay Area Hispano Institute for Advancement	Exterior Siding Repair	Childcare Centers - 03M	\$ 18,450	\$ -	H	Funds will be used to replace exterior siding, gutters, a window and an exterior column at the James Kenney Recreation Facility, located at 1720 8th Street. BAHIA occupies a portion of the Recreation center and provides bilingual child development programs.	Project not started until PY2013.
829	Fred Finch Youth Center	Turning Point Bathroom Upgrade	Homeless Facilities - 03C	\$ 10,240	\$ -	H	Funds will be used to renovate and make ADA accessible a bathroom in their facility that serves as transitional housing for homeless youth.	Project not started until PY2013.

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012**

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
830	Lifelong Medical Care	Dental Clinic Flooring Replacement	Health Facilities - 03P	\$ 36,093	\$ -	H	Funds will be used to replace worn and dilapidated flooring at this clinic which serves primarily low-income Berkeley residents.	Project not started until PY2013.
825	Alzheimers Services of the East Bay	Exterior and Interior Improvements	Senior Centers - 03A	\$ 47,670	\$ -	H	Funds will be used for the replacement of dilapidated installed cabinetry and painting the exterior of the building they own, located at 2320 Channing Way that serves as an adult day health care center for seniors with Alzheimer's	Exterior painting was completed but not billed until after July 2013.
828	Building Opportunities for Self-Sufficiency	McKinley House Interior Improvements	Homeless Facilities - 03C	\$ 30,582	\$ -	H	Funds will be used to add an additional half-bathroom, and make final improvements to convert space formerly used for staff into a residence for a homeless family.	Project not started until PY2013.
832	City of Berkeley/ Housing & Community Services Department	Public Facility Improvements	Other Public Facilities Improvements - 03	\$ 133,905	\$ 115,519	H	Funds will be used for the City of Berkeley Health, Housing & Community Services Department for staffing that works directly on facilities improvements.	Staff worked on ASEB, BFHP, Rebuilding Together, Multi-Cultural Institute, Women's Daytime Drop-In Center, and the Aging Services Lighting Project.
831	Rebuilding Together	Community Facilities Project	Other Public Facilities Improvements - 03	\$ 24,575	\$ 24,575	H	Rebuilding Together will have completed work on 5 community facilities that were in need of repairs and rehabilitation services.	Rebuilding together completed repairs to 6 community facilities.
SUBTOTAL PY2011 Projects				\$ 393,921	\$ 146,673			
806	City of Berkeley Parks & Recreation Department	James Kenney Recreation Center Improvements	Parks, Recreational Facilities - 03F	\$ 60,000	\$ -	H	Funds are for the replacement of dilapidated siding, windows and doors.	Due to the expectation of additional Regional Parks funding as leverage for this project, the project was delayed and will be completed in PY2013.
808	Multi-Cultural Institute	Foundation Replacement	Other Public Facilities Improvements - 03	\$ 60,000	\$ -	H	Funds are for the replacement of a foundation and related electrical and plumbing work.	Due to a complication with the permitting and environmental review process, this project was delayed and will be completed in PY2013.
787	Women's Day-Time Drop-In Center	Homeless Drop-In Center Improvements	Homeless Facilities - 03C	\$ 40,000	\$ -	H	Funds were awarded to replace windows, insulation, and a door.	Due to the need to perform a physical needs inspection of the building and execute a new lease for this property, this project is delayed to PY2013.
763	City of Berkeley Aging Services Division	Kitchen Upgrades at Senior Centers	Senior Centers - 03A	\$ 74,000	\$ 8,091	H	Replace stove hoods to bring into compliance with code, and replace freezers and refrigerators at all three senior centers.	\$14,088 remaining after full scope was completed was reprogrammed in PY2012 to be used for energy efficient lighting upgrades at the South Berkeley Senior Center. Some work on this project was completed in PY2012, but the majority of the work was accomplished in August, 2013.
SUBTOTAL Prior Years' Carryover Projects				\$ 74,000	\$ 8,091			
EMERGENCY SHELTER GRANT (ESG) SERVICES								

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012**

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
843	City of Berkeley / Berkeley Food and Housing Project	Homeless Rapid Rehousing		\$ 235,563	\$ -	H	ESG funds will be used to implement a new program, Priority Home Partnership, to assist homeless clients to become rapidly re-housed, and clients on the brink of becoming homeless, to remain in their housing. ESG funds will be used for City of Berkeley staff to implement the program, and client subsidies will be subgranted to the Berkeley Food and Housing project, which will process financial assistance payments.	The start of the PHP program was delayed to accommodate the HUD requirement to coordinate with the Continuum of Care. County-wide policies and procedures were crafted in January, 2013, and the project began in April, 2013.
844	City of Berkeley / Berkeley Food and Housing Project	Homeless Prevention		\$ 64,292	\$ -	H	ESG funds will be used for City of Berkeley staff to implement the program, and client subsidies will be subgranted to the Berkeley Food and Housing project, which will process financial assistance payments.	1 client was provided homeless prevention assistance and 1 client was provided rapid re-housing assistance. Funds were not drawn until PY2013.
842	City of Berkeley/ Housing & Community Services Department	Homeless Management Information System		\$ 6,700	\$ -	H	ESG funds are used to support the ongoing implementation of the County-wide Homeless Management Information System called In-House.	HMIS staff continued to train members in Privacy and Security Certification and other ServicePoint training. The HMIS team conducted bi-monthly User Group and Policy Group meetings for all HMIS users. Funds will be expended in early PY2013.
SUBTOTAL				\$ 306,555	\$ -			
PLANNING & ADMINISTRATION (CDBG, HOME and ESG Funded)								
	City of Berkeley/ Housing & Community Services Department	Program Planning and Administration	21A	\$ 28,719	\$ 28,719	H	ESG funds for Planning and Administration	N/A
839	City of Berkeley	Support Costs	21A	\$ 129,600	\$ 129,600	H	CDBG funds for Planning and Administration	N/A
838	City of Berkeley/ Housing & Community Services Department	Program Planning and Administration	21A	\$ 350,402	\$ 342,437	H	CDBG funds for Planning and Administration	N/A
840	City of Berkeley / Housing & Community Services Department	Planning & Administration	HOME Administration	\$ 61,349	\$ 56,276	H	HOME funds for administration	N/A
SUBTOTAL				\$ 570,070	\$ 557,032			

**COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012**

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
HOME PARTNERSHIP FOR INVESTMENT PROGRAM								
748	Affordable Housing Associates	Harmon Gardens	Multi-Unit Rental	\$ 400,000	\$ 400,000	H	Acquisition and new construction of 16 units of affordable housing for formerly homeless or at-risk transition-age youth.	Project was completed in PY2011 and the units leased up. Retention on the project, held due to a wage restitution complaint, was paid in PY2012.
747	Resources for Community Development	U.A. Homes Rehabilitation	Multi-Unit Rental	\$ 778,816	\$ 778,816	H	Rehab of 74-unit SRO building for formerly homeless singles.	Resources for Community Development received a \$778,816 in an HTF commitment in 2010 and was approved for an additional \$134,000 of HTF funds in December 2012 to assist in the rehabilitation of the University Avenue Homes (UA Homes) apartments. UA Homes was approved for a Low Income Housing Tax Credit award of \$7,030,523 in July 2012 (early PY 2013). Construction loan closing took place in December 2012 and renovation activities started shortly thereafter. Rehabilitation and construction activities have progressed during 2013 and are anticipated to be completed in October-November 2013.
815	Resources for Community Development	William Byron Rumford Plaza	Multi-Unit Rental	\$ 1,100,000	\$ 12,900	H	William Byron Rumford, Sr. Plaza is a 43-unit mixed income family apartments that will undergo a substantial rehabilitation by RCD.	The City has committed \$1.1 million of HOME funds to the project, as well as predevelopment funding for NEPA completion. The City also made a conditional HOME commitment to the project of \$300,000 in PY2013. Additional project funds will come from low income housing tax credits, tax-exempt bonds, the Federal Home Loan Bank funds, HOPWA, and project-based Section 8 vouchers. Total project costs are estimated at \$14.6 million. RCD and SBCHDC are planning a 2014 tax credit application.
785	Resources for Community Development	U.A. Cooperative Apartments	Multi-Unit Rental	\$ 890,000	\$ 20,000	H	Resources for Community Development (RCD) has worked with the UA Cooperative Board to develop an acquisition (of the property improvements) and rehabilitation strategy in order to preserve this 47 unit residential development as a multi-family affordable housing project.	In June 2013, RCD was approved tax credit financing for the project. It is anticipated that RCD will close on its construction financing in December 2013 and start construction following the loan closing.
814	Savo Island	Savo Island Rehabilitation	Multi-Unit Rental	\$ 435,000	\$ 435,000	H	Savo Island is a 57-unit affordable housing community located in South Berkeley. Savo Island has an estimated total development cost of approximately \$7.2 million to complete a renovation of the buildings and property improvements.	Rehabilitation work began in October 2012 and includes the replacement of windows, siding, and roofing, handicap accessibility alterations, energy upgrades, exterior site repair, and targeted interior improvements. Project completion is expected August 31, 2013.
846	Resources for Community Development	CHDO General Operating Support (HOME funds)	CHDO Operating Funds	\$ 28,638	\$ 21,479	H	RCD received HOME CHDO reserve funding for general operating expenses.	RCD staff worked on several projects in PY2012 including U.A. Homes, U.A. Cooperative, and William Byron Rumford Plaza.

COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG), EMERGENCY SHELTER GRANT (ESG), AND HOME PROGRAM GOALS, OBJECTIVES,
AND OUTCOMES: PY2012

HUD Activity Number	Agency	Project	Activity Type/ HUD Matrix Code	Budgeted	Expended in Period	Priority Need	Planned Accomplishments PY 2011 (July 1, 2011 - June 30, 2012)	Actual Accomplishments in PY2011 (July 1, 2011 - June 30, 2012)
SUBTOTAL				3,632,454	\$ 1,668,195			

Source: City of Berkeley Housing & Community Services Department.



U.S. Department of Housing and Urban Development
Office of Community Planning and Development
Integrated Disbursement and Information System
CDBG Activity Summary Report (GPR) for Program Year 2012
BERKELEY

Date: 25-Sep-2013

Time: 19:50

Page: 1

PGM Year: 1998
Project: 0034 - Housing Trust Fund
IDIS Activity: 193 - PRINCE HALL ARMS-CLEARANCE & DEMOLITION

Status: Open
 Location: 3132 Mlk Jr Way Berkeley, CA 94703

Objective: Provide decent affordable housing
 Outcome: Affordability
 Matrix Code: Clearance and Demolition (04) National Objective: LMH

Initial Funding Date: 07/01/1998

Financing

Funded Amount: 181,575.00
 Drawn Thru Program Year: 181,575.00
 Drawn In Program Year: 0.00

Description:
 PROPOSED NEW CONSTRUCTION OF 44 SENIOR HOUSING UNITS.

Proposed Accomplishments

Housing Units : 37

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
1111		
1998	CONSTRUCTION EXPECTED TO BEGIN IN SUMMER, 1999.	
1999	EXISTING BUILDING DEMOLISHED. SPONSORS NOT ABLE TO DEVELOP PROJECT	WITHIN TIMEFRAME FOR USE OF HOUSING CREDITS.
2000	EXISTING BUILDING DEMOLISHED. SPONSORS NOT ABLE TO DEVELOP PROJECT	WITHIN TIMEFRAME FOR USE OF LOW INCOME HOUSING CREDITS. REMAINING CDBG AND HOME FUNDS WERE RECAPTURED. CITY WORKING WITH OWNER TO REVISE PROJECT AND FIND NEW DEVELOPER.
2001	EXISTING BUILDING DEMOLISHED. SPONSORS NOT ABLE TO DEVELOP PROJECT	WITHIN TIMEFRAME FOR USE OF LOW INCOME HOUSING CREDITS. REMAINING CDBG AND HOME FUNDS WERE RECAPTURED. CITY WORKING WITH OWNER TO REVISE PROJECT AND FIND NEW DEVELOPER.
2002	EXISTING BUILDING DEMOLISHED. SPONSORS NOT ABLE TO DEVELOP PROJECT	WITHIN TIMEFRAME FOR USE OF LOW INCOME HOUSING CREDITS. REMAINING CDBG AND HOME FUNDS WERE RECAPTURED. CITY WORKING WITH OWNER TO REVISE PROJECT AND FIND NEW DEVELOPER.
2003	PROJECT IS ACTIVELY BEING REVIVED WITH EXTENSIVE EFFORTS UNDERWAY	TO RAISE NEW FUNDING COMMITMENTS AND FORM NEW PARTNERSHIP.
2004	PROJECT WAS REORGANIZED WITH NEW FINANCING BEING WORKED OUT. PERMITS	NEED TO BE RENEWED (RE-OBTAINED) AND NEW ENVIRONMENTAL REVIEW CARRIED OUT.
2005	FUNDING FOR INITIAL PROJECT LOST-NEW PROJECT BEING PROPOSED. CDBG	BUDGET CHANGED FROM \$271,588 TO \$181,575 WITH THE \$90,013 DIFFERENCE PLACED IN ACTIVITY # 581 PRINCE HALL ARMS RELOCATION. TENANTS WERE RELOCATED AND BUILDING DEMOLISHED IN 1999-2000. PRINCE HALL ARMS CONTINUES WORK ON MODIFYING USE PERMITS AND OBTAINING ADDITIONAL FINANCING TO BUILD 42 UNITS OF AFFORDABLE SENIOR HOUSING.
2006	DURING PY2006 HOUSING STAFF CONTINUED WITH WORK WITH THE DEVELOPER.	THE PROJECT SHOULD RECEIVE APPROVAL IN THE FALL OF 2007 OF ITS APPLICATION FOR A MODIFICATION OF ITS CURRENT USE PERMIT. THIS WILL BE A MAJOR STEP NECESSARY FOR COMPLETING ITS OTHER FUNDING APPLICATIONS.
2007	THIS PROJECT CONTINUES TO MOVE FORWARD. MODIFICATION OF USE PERMIT	WAS APPROVED IN DECEMBER 2007. THE PROJECT HAS RECEIVED A PRELIMINARY COMMITMENT OF HUD 231(D) FINANCING. STAFF CONTINUES TO REVIEW THE FINANCING SCENARIOS. DEVELOPER IS ALSO LOOKING INTO FUNDING THROUGH THE LIHTC PROGRAM.
2008	PRINCE HALL ARMS REMAINS IN THE PERMIT PROCESS. IN PY2008 STAFF WORKED	WITH THE DEVELOPER TO PREPARE FOR PERMANENT FINANCING SUBMISSION AND FOR SUBMISSION OF A TCAC APPLICATION. IN THE SPRING OF 2009, CITY STAFF AND THE DEVELOPER BECAME AWARE OF A LAWSUIT INVOLVING A DISPUTE REGARDING THE OWNERSHIP OF THE PROPERTY.
2009	Current owners defaulted on several loans and liens were placed on the property. A foreclosure sale was held on 2/10/2010 and a private investor acquired title to the property. The City was poised to initiate its own foreclosure in April, but non-profit developer Satellite Housing entered into a purchase agreement with the private owner and City Council voted to reserve Housing Trust funds for Satellite to acquire the property, free of all liens. This activity will remain open until it can be associated to a future IDIS activity in order to report accomplishments. \$1,000 will be recaptured.	
2010	In July of 2010, City Council approved an HTF funding reservation in the amount of \$497,000, to assist Satellite Housing, a Berkeley-based not-for-profit, to gain clear title to the site of the former Prince Hall Arms Apartments development project. Although Satellite was able to negotiate an agreement with Buckley Real Estate to purchase the site clear of several existing liens, a legal dispute concerning the actual ownership of the site made acquiring clear title impossible. In the hope that this dispute might be resolved, the City, on three occasions, postponed a foreclosure sale scheduled for the property. The final foreclosure sale date of July 1, 2011 was stayed on June 29th as a result of a related legal action brought by Buckley Real Estate. A hearing on the complaint is scheduled for September 29, 2011. The City continues to plan for an affordable housing development on this property, once these legal issues are resolved.	
2011	In January 2012 the City released an RFP for affordable housing development proposals for the City-owned site on Harper Street, previously the site of the proposed Prince Hall Arms development. The review panel convened in April 2012, reviewed all the proposals and developed a unanimous recommendation to proceed with Satellite Housing's proposal. In July 2012 Council approved a resolution to negotiate with Satellite Housing to develop (a) a more refined financing plan, including working with the Berkeley Housing Authority regarding a potential allocation of project-based Section 8, and (b) a plan for site control of the 3135 Harper Street site sufficient to allow it to apply for Low Income Housing Tax Credits in March 2013, and to return to Council prior to December 31, 2012 when the current Housing Trust Fund round will close.	

2012 The City of Berkeley has been in frequent communication with the HUD Region IX office for the past 18 months regarding this project. In our latest communication from March 15, 2013 we responded to a request from HUD to provide additional information on the status of the project, and indicated that the project continues to move forward as the Harper Street Project. In December 2012, City Council approved an HTF funding reservation of \$2.3 million for this project. Satellite Affordable Housing Associates intends to develop the property as 42 units of senior housing, and is preparing applications for 9% tax credits, Transit-Oriented Development funding (State), and Infill Infrastructure Grant funding (State). It has already secured a loan from the Federal Home Loan Bank. Construction commencement is projected for Fall 2014.

PGM Year: 2009
Project: 0019 - S - MCKINLEY TH REHAB - BOSS
IDIS Activity: 723 - S - MCKINLEY TH HOUSE REHAB - BOSS

Status: Completed 8/7/2012 12:00:00 AM
Location: 2111 McKinley Ave Berkeley, CA 94703-2735

Objective: Create suitable living environments
Outcome: Sustainability
Matrix Code: Homeless Facilities (not operating costs) (03C) **National Objective:** LMC

Initial Funding Date: 08/19/2010

Financing
 Funded Amount: 15,747.07
 Drawn Thru Program Year: 15,747.07
 Drawn In Program Year: 0.00

Description:
 THIS PROJECT WILL FUND RENOVATIONS TO MCKINLEY TRANSITIONAL HOUSE FOR HOMELESS FAMILIES. RENOVATIONS WILL TRANSFORM OFFICE AND MEETING SPACE INTO AN ADDITIONAL UNIT FOR CLIENTS

Proposed Accomplishments

Public Facilities : 24

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	6	6
Black/African American:	0	0	0	0	0	0	8	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	1	0
Other multi-racial:	0	0	0	0	0	0	4	3
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	20	9
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	20

Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	20
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2010	In PY2010, BOSS completed 95% of renovations to one unit in this 7-unit transitional house for homeless families. \$691 in CDBG funds remain in this activity and accomplishments will be reported in PY2011.	
2011	Remaining renovations were completed. \$21,93 in CDBG funds remains unspent and will be recaptured.	

PGM Year: 2010
Project: 0032 - Erna P. Harris Court - RCD
IDIS Activity: 751 - Erna P. Harris Court

Status: Completed 10/15/2012 12:00:00 AM
Location: 1330 University Ave Berkeley, CA 94702-1767

Objective: Provide decent affordable housing
Outcome: Sustainability
Matrix Code: Rehab; Multi-Unit Residential (14B) National Objective: LMH

Initial Funding Date: 09/02/2010

Financing
Funded Amount: 400,000.00
Drawn Thru Program Year: 400,000.00
Drawn In Program Year: 10,000.00

Description:
Planned rehab of this 35-unit development for disabled and formerly homeless people will include site drainage, bathroom upgrades, mechanical upgrades, new windows, painting, flooring, accessibility improvements and kitchen renovations.

Proposed Accomplishments

Housing Units : 35

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	11	1	11	1	0	0
Black/African American:	0	0	23	0	23	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	34	1	34	1	0	0

Attachment C

Female-headed Households: 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	26	26	0
Low Mod	0	8	8	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	34	34	0
Percent Low/Mod		100.0%	100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2010	RCD completed 85% of the total construction scope for the rehabilitation project by the end of PY2010. Almost all of the interior rehab of the individual units is completed, except for the office, community room, and 2 units above the community room. Twenty-eight of the 35 units are currently finished and occupied. Some exterior stucco repair and installation of handrails remains outstanding, along with exterior painting and landscaping. \$334,110 of \$400,000 has been drawn through PY2010; the remaining funds will be drawn in PY2011.	
2011	The rehabilitation/renovation of the Erna P. Harris Apartments was completed in November 2011. The comprehensive rehabilitation included the construction of a site drainage system, bathroom upgrades, new windows, interior painting, new flooring, insulation, accessibility improvements, and replacement of kitchen cabinets and countertops.	

PGM Year: 2010
Project: 0035 - COB Aging Kitchen Improvements
IDIS Activity: 763 - COB Aging Services Kitchen Improvements

Status: Open Objective: Create suitable living environments
 Location: 1901 Hearst Ave 2939 Ellis 1900 6th Berkeley, CA 94709-2127 Outcome: Sustainability
 Matrix Code: Senior Centers (03A) National Objective: LMC

Initial Funding Date: 01/21/2011

Financing

Funded Amount: 74,000.00
 Drawn Thru Program Year: 59,911.50
 Drawn In Program Year: 0.00

Description:

Funds will be used to replace refrigerators and make other improvements to the kitchens at the North, South and West Berkeley Senior Centers.

Proposed Accomplishments

Public Facilities : 3

Actual Accomplishments

<i>Number assisted:</i>	Owner		Renter		Total		Person		
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic	
White:	0	0	0	0	0	0		0	
Black/African American:	0	0	0	0	0	0	0	0	
Asian:	0	0	0	0	0	0	0	0	
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0	
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0	
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0	Attachment C

Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0

Female-headed Households: 0 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2010	In PY2010, CDBG funds were used to upgrade hoods at the West, South and North Berkeley Senior Centers to bring them into compliance with the local code. Specifications for the remaining scope of work, replacement of refrigerator and freezer components, have been drawn up, and this component of the project is expected to be put out to bid in early PY2011. \$8,091 has been drawn on the project in PY2010.	
2011	The remaining scope of work, replacement of refrigerator and freezer components, was completed in PY2011. \$14,088.50 remains on this project and may be drawn in PY2012 for additional eligible rehab activities at the South and North Berkeley Senior Centers.	
2012	The original scope for this project (upgrades to fridges, freezers, and fire suppression stove hoods at West, South and North Berkeley Senior Centers) was accomplished and \$14,088 remained. This amount was reprogrammed in PY2012 to be used for energy efficient lighting upgrades at the South Berkeley Senior Center. Some work on this project was completed in PY2012, but the majority of the work was accomplished in August, 2013. The project will be billed and reported fully in PY2013.	

PGM Year: 2011
Project: 0004 - SENIOR AND DISABLED REHAB PROGRAM
IDIS Activity: 793 - COB - SENIOR AND DISABLED REHAB PROGRAM

Status: Open Objective: Create suitable living environments
Location: 2161 N Valley St Berkeley, CA 94702-1926 Outcome: Sustainability
Matrix Code: Rehab; Single-Unit Residential (14A) National Objective: LMH

Initial Funding Date: 09/19/2011

Financing Description: CITY STAFF CARRY OUT REHABILITATION OF HOMES FOR LOW-INCOME SENIORS AND THE DISABLED.

Funded Amount: 294,442.09
Drawn Thru Program Year: 209,964.92
Drawn In Program Year: 23,304.00

Proposed Accomplishments

Housing Units : 5

Attachment C

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	2	0	0	0	2	0	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	2	0	0	0	2	0	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	2	0	2	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	2	0	2	0
Percent Low/Mod	100.0%		100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	This program served 2 homeowners in the period. \$54,438 in Loans were expended during the period. \$144,442 in funding for staffing was expended during the period. Unexpended funds for staffing (\$27,158) will be recaptured. Unexpended loan funds (\$107,781) will be carried over into PY2012.	
2012	CDBG funds in the amount of \$23,304 were used for one project in PY2012. Remaining funds (\$84,477) will be carried over into PY2013.	
PGM Year:	2011	
Project:	0007 - PUBLIC HOUSING IMPROVEMENTS - BHA	
IDIS Activity:	796 - BHA - 108 Loan Repayment	
Status:	Completed 6/30/2013 12:00:00 AM	Objective:
Location:	,	Outcome:

Initial Funding Date: 09/19/2011

Financing

Funded Amount: 120,000.00
 Drawn Thru Program Year: 120,000.00
 Drawn In Program Year: 120,000.00

Description:

REPAYMENT OF SECTION 108 LOAN FOR IMPROVEMENTS TO 75 UNITS OF PUBLIC HOUSING AT SCATTERED SITES THROUGHOUT BERKELEY.

Proposed Accomplishments

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
Total:	0	0	0	0	0	0	0	0
Female-headed Households:					0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2011
Project: 0016 - PUBLIC FACILITIES - COB
IDIS Activity: 806 - COB - James Kenney Recreation Center Improvements

Status: Open
 Location: 1720 8th St Berkeley, CA 94710-1870

Objective: Create suitable living environments
 Outcome: Availability/accessibility
 Matrix Code: Parks, Recreational Facilities (03F) National Objective: LMC

Initial Funding Date: 09/19/2011

Financing

Funded Amount: 60,000.00
 Drawn Thru Program Year: 0.00
 Drawn In Program Year: 0.00

Description:

CDBG funds will be used to replace exterior siding and emergency exit doors at this Recreation Facility in West Berkeley.

Proposed Accomplishments

Public Facilities : 1

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2011	Work has not started on this project. Use permit and environmental review were the causes of the delay. Work is expected to be completed in PY2012.	
2012	Due to a complication with the permitting and environmental review process, this project was delayed and will be completed in PY2013.	

PGM Year: 2012
Project: 0001 - RESIDENTIAL ACCESS FOR THE DISABLED - CIL
IDIS Activity: 816 - CIL - RESIDENTIAL ACCESS FOR THE DISABLED

Status: Completed 6/30/2013 12:00:00 AM
Location: 2121 Woolsey St Berkeley, CA 94705-1830

Objective: Create suitable living environments
Outcome: Availability/accessibility
Matrix Code: Rehab; Single-Unit Residential (14A) National Objective: LMH

Initial Funding Date: 09/10/2012

Financing
Funded Amount: 140,219.00
Drawn Thru Program Year: 140,219.00
Drawn In Program Year: 140,219.00

Description:
CIL'S PROGRAM WORKS TO REMOVE BARRIERS TO HOUSING FOR LOW-INCOME, DISABLED RESIDENTS BY INSTALLING RAMPS, LIFTS AND MAKING OTHER INTERIOR AND EXTERIOR MODIFICATIONS TO HOMES.

Proposed Accomplishments

Housing Units : 26

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	5	0	7	1	12	1	0	0
Black/African American:	6	0	4	0	10	0	0	0
Asian:	3	0	2	0	5	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	1	0	3	1	4	1	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	15	0	16	2	31	2	0	0

Attachment C

Female-headed Households: 9 13 22

Income Category:

	Owner	Renter	Total	Person
Extremely Low	7	12	19	0
Low Mod	4	2	6	0
Moderate	4	2	6	0
Non Low Moderate	0	0	0	0
Total	15	16	31	0
Percent Low/Mod	100.0%	100.0%	100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	CIL installed 6 ramps/lifts and performed 27 interior modifications. CIL continued to provide necessary access modifications for 31 mostly low income residents of Berkeley who have disabilities to enable them to continue to live in their homes.	

PGM Year: 2012
Project: 0005 - HOME SAFETY AND REPAIR PROGRAM - CESC
IDIS Activity: 817 - CESC - HOME SAFETY AND REPAIR

Status: Completed 6/30/2013 12:00:00 AM
Location: 1417 Carleton St Berkeley, CA 94702-2306
Objective: Create suitable living environments
Outcome: Sustainability
Matrix Code: Rehab; Single-Unit Residential (14A) **National Objective:** LMH

Initial Funding Date: 09/10/2012
Financing
 Funded Amount: 282,334.00
 Drawn Thru Program Year: 282,334.00
 Drawn In Program Year: 282,334.00
Description:
 CESC PERFORMS REPAIRS TO IMPROVE THE SAFETY, HABITABILITY AND ENERGY EFFICIENCY TO ENSURE THE ABILITY OF LOW-INCOME HOMEOWNERS TO CONTINUE TO OCCUPY THEIR HOMES.

Proposed Accomplishments

Housing Units : 153

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	23	5	4	0	27	5	0	0
Black/African American:	61	0	15	0	76	0	0	0
Asian:	4	0	3	0	7	0	0	0
American Indian/Alaskan Native:	2	0	2	0	4	0	0	0
Native Hawaiian/Other Pacific Islander:	2	0	0	0	2	0	0	0
American Indian/Alaskan Native & White:	1	0	0	0	1	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	3	2	2	1	5	3	0	0

Attachment C

Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	96	7	26	1	122	8	0	0
Female-headed Households:	67		20		87			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	52	23	75	0
Low Mod	36	3	39	0
Moderate	8	0	8	0
Non Low Moderate	0	0	0	0
Total	96	26	122	0
Percent Low/Mod	100.0%	100.0%	100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	CESC performed health and safety repairs to 122 households during the period. CESC serviced 13% more clients than planned with 49% more units of service than expected. Many clients were leveraged with services offered by the City of Berkeley's Home Loan Program and 13 of our clients were leveraged internally with our Energy Saving Assistance Program energy efficiency offerings.	

PGM Year: 2012
Project: 0006 - SAFE HOME PROJECT - REBUILDING TOGETHER
IDIS Activity: 818 - Rebuilding Together SAFE HOME PROJECT

Status: Completed 6/30/2013 12:00:00 AM
Location: 2714 McGee Ave Berkeley, CA 94703-1821

Objective: Create suitable living environments
Outcome: Sustainability
Matrix Code: Rehab; Single-Unit Residential (14A) **National Objective:** LMH

Initial Funding Date: 09/10/2012

Financing
Funded Amount: 98,279.00
Drawn Thru Program Year: 98,279.00
Drawn In Program Year: 98,279.00

Description:
 REBUILDING TOGETHER'S SAFE HOME PROJECT PROVIDES HOME REPAIR, ACCESS MODIFICATIONS, AND SAFETY UPGRADES UTILIZING PROFESSIONAL VOLUNTEERS TO MAKE IMPROVEMENTS TO LOW-INCOME HOUSEHOLDS.

Proposed Accomplishments

Housing Units : 18

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	7	1	0	0	7	1	0	0
Black/African American:	9	0	0	0	9	0	0	0
Asian:	2	0	0	0	2	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0

Attachment C

Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	18	1	0	0	18	1	0	0

Female-headed Households: 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	14	0	14	0
Low Mod	4	0	4	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	18	0	18	0
Percent Low/Mod	100.0%		100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
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2012 Rebuilding together mobilized volunteers to make repairs to 18 homes.

PGM Year: 2012

Project: 0007 - MEN'S OVERNIGHT SHELTER - BFHP

IDIS Activity: 819 - BFHP - MEN'S OVERNIGHT SHELTER

Status: Completed 6/30/2013 12:00:00 AM

Location: 1931 Center St Berkeley, CA 94704-1105

Objective: Create suitable living environments

Outcome: Sustainability

Matrix Code: Operating Costs of Homeless/AIDS Patients Programs (03T)

National Objective: LMC

Description:

BFHP PROVIDES EMERGENCY SHELTER, FOOD, AND ACCESS TO COUNSELING AND CASE MANAGEMENT TO ADULT HOMELESS MEN IN DOWNTOWN BERKELEY.

Initial Funding Date: 09/10/2012

Financing

Funded Amount: 180,986.00

Drawn Thru Program Year: 180,986.00

Drawn In Program Year: 180,986.00

Proposed Accomplishments

People (General) : 550

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	90	17
Black/African American:	0	0	0	0	0	0	246	3
Asian:	0	0	0	0	0	0	4	0

Attachment C

American Indian/Alaskan Native:	0	0	0	0	0	0	4	2
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	4	1
American Indian/Alaskan Native & White:	0	0	0	0	0	0	1	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	7	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	5	0
Other multi-racial:	0	0	0	0	0	0	5	1
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	366	24

Female-headed Households: 0 0 0 0 0 0 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	298
Low Mod	0	0	0	35
Moderate	0	0	0	27
Non Low Moderate	0	0	0	6
Total	0	0	0	366
Percent Low/Mod				98.4%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	BFHP Served 366 men with shelter and case management services. Outcomes included: 98 clients obtained permanent housing, 40 exited with earned income, and the shelter maintained a 100% occupancy rate.	

PGM Year: 2012
Project: 0008 - BIOTECH ACADEMY - BIOTECH PARTNERS INC.
IDIS Activity: 820 - BIOTECH PARTNERS INC. - BIOTECH ACADEMY

Status: Completed 6/30/2013 12:00:00 AM
Location: 800 Dwight Way Berkeley, CA 94710-2428

Objective: Create suitable living environments
Outcome: Sustainability
Matrix Code: Youth Services (05D) **National Objective:** LMC

Initial Funding Date: 09/10/2012

Financing
 Funded Amount: 69,840.00
 Drawn Thru Program Year: 69,840.00
 Drawn In Program Year: 69,840.00

Description:
 BIOTECH PARTNERS WILL PROVIDE AT-RISK JUNIORS AND SENIORS AT BERKELEY HIGH SCHOOL WITH TUTORING, COUNSELING, JOB SEARCH ASSISTANCE, AND INTERNSHIP PLACEMENTS.

Proposed Accomplishments

People (General) : 43

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	26	11
Black/African American:	0	0	0	0	0	0	14	0
Asian:	0	0	0	0	0	0	9	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	1	0
Black/African American & White:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	6	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	57	11
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	17
Low Mod	0	0	0	8
Moderate	0	0	0	9
Non Low Moderate	0	0	0	23
Total	0	0	0	57
Percent Low/Mod				59.6%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	Biotech served 57 youth with educational workshops and internship placements in the biotech industry. 15 students were placed into and completed internships. 100% of Academy students graduated in June and are pursuing post-secondary education. One student is attending Columbia University and one is attending UC Berkeley.	

PGM Year: 2012

Project: 0016 - Fair Housing

IDIS Activity: 821 - EBCLC - Fair Housing Services

Status: Completed 6/30/2013 12:00:00 AM

Location: 2921 Adeline St Berkeley, CA 94703-2502

Objective: Create suitable living environments

Outcome: Sustainability

Matrix Code: Fair Housing Activities (if CDGS, then subject to 15% cap) (05J)

National Objective: LMC

Initial Funding Date: 09/10/2012

Financing

Funded Amount: 34,932.00

Description:

East Bay Community Law Center will provide fair housing counseling and testing services.

Attachment C

Drawn Thru Program Year: 34,932.00

Drawn In Program Year: 34,932.00

Proposed Accomplishments

People (General) : 60

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	17	1
Black/African American:	0	0	0	0	0	0	26	0
Asian:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	1	0
Asian White:	0	0	0	0	0	0	1	0
Black/African American & White:	0	0	0	0	0	0	2	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	8	6
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	56	7
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	47
Low Mod	0	0	0	7
Moderate	0	0	0	2
Non Low Moderate	0	0	0	0
Total	0	0	0	56
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	EBCLC provided housing counseling for 56 clients, complaint investigations for 19 clients, and completed 1 audit/testing. 13 clients had their disputes resolved and 9 clients had rights protected, restored or acquired.	

PGM Year: 2012
Project: 0009 - SOCIAL SERVICES AT SPECIAL NEEDS HOUSING - RCD
IDIS Activity: 822 - RCD - Social Services in Affordable Housing

Status: Open Objective: Create suitable living environments
Location: 2220 Oxford St Berkeley, CA 94704-1389 Outcome: Sustainability
Matrix Code: Public Services (General) (05)

National Objective: LMC Attachment C

Initial Funding Date: 09/10/2012

Description:

RCD STAFF PROVIDE CASE MANAGEMENT AND SOCIAL SERVICES BROKERAGE AT Affordable Housing sites in Berkeley.

Financing

Funded Amount: 9,828.00
 Drawn Thru Program Year: 7,371.00
 Drawn In Program Year: 7,371.00

Proposed Accomplishments

People (General) : 120

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	20	5
Black/African American:	0	0	0	0	0	0	81	0
Asian:	0	0	0	0	0	0	10	0
American Indian/Alaskan Native:	0	0	0	0	0	0	12	12
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	1	1
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	1	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	17	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	143	18
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	103
Low Mod	0	0	0	21
Moderate	0	0	0	19
Non Low Moderate	0	0	0	0
Total	0	0	0	143
Percent Low/Mod				100.0%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	RCD staff provided 143 clients at the Oxford Plaza affordable housing development with case management and educational workshops. Outcomes included: 122 clients stably housed for 6 months or longer after housing placement. \$2,457 remains undrawn on the project and will be drawn in PY2013.	

PGM Year: 2012
Project: 0015 - GREEN ENERGY TRAINING - RISING SUN ENERGY CENTER
IDIS Activity: 823 - Rising Sun - GETS Program

Status: Completed 6/30/2013 12:00:00 AM
Location: 1900 Addison St Berkeley, CA 94704-2648

Objective: Create economic opportunities
Outcome: Availability/accessibility
Matrix Code: Employment Training (05H) National Objective: LMC

Initial Funding Date: 09/10/2012

Financing

Funded Amount: 50,852.00
Drawn Thru Program Year: 50,852.00
Drawn In Program Year: 50,852.00

Description:

RISING SUN WILL TRAIN LOW-INCOME BERKELEY RESIDENTS IN ECOLITERACY, JOB READINESS, BASIC CONSTRUCTION, AND ENERGY EFFICIENCY ASSESSMENTS. THE PROGRAM ALSO OFFERS INTERNSHIPS.

Proposed Accomplishments

People (General) : 15

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0	3	2
Black/African American:	0	0	0	0	0	0	12	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	2	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	17	2
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	17
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	17
Percent Low/Mod				100.0%

Moderate	0	0	0	5
Non Low Moderate	0	0	0	1
Total	0	0	0	1,109
Percent Low/Mod				99.9%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefiting
2012	The agency served a total of 1,364 women and children. Outcomes achieved: 142 clients obtained permanent housing; 384 clients who have housing at entry maintain/retain their housing; 179 clients exit to permanent or interim housing; 62 clients who entered with no income, exit with an income.	

PGM Year: 2012
Project: 0011 - PUBLIC FACILITIES - COB
IDIS Activity: 825 - Alzheimer's Services - Improvements

Status: Open
Location: 2320 Channing Way Berkeley, CA 94704-2202

Objective: Create suitable living environments
Outcome: Sustainability
Matrix Code: Senior Centers (03A) **National Objective:** LMC

Initial Funding Date: 09/10/2012

Description:
 CDBG funds will be used for interior cabinetry replacement and exterior painting.

Financing
 Funded Amount: 0.00
 Drawn Thru Program Year: 0.00
 Drawn In Program Year: 0.00

Proposed Accomplishments

Public Facilities : 1

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0

Female-headed Households:

0	0	0
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Attachment C

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefiting
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2012 Exterior painting was completed but not billed until after July 2013. Interior cabinetry work is underway and will be completed in PY2013.

PGM Year: 2012
Project: 0011 - PUBLIC FACILITIES - COB
IDIS Activity: 826 - BAHIA - Exterior Improvements

Status: Open
 Location: 1000 Camelia St Berkeley, CA 94710-1514

Objective: Create suitable living environments
 Outcome: Sustainability
 Matrix Code: Child Care Centers (03M) National Objective: LMC

Initial Funding Date: 09/10/2012

Description:
 CDBG funds will be used for exterior improvements including exterior siding and window replacement.

Financing

Funded Amount: 0.00
 Drawn Thru Program Year: 0.00
 Drawn In Program Year: 0.00

Proposed Accomplishments

Public Facilities : 1

Actual Accomplishments

Number assisted:	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0

Attachment C

Total: 0 0 0 0 0 0 0 0

Female-headed Households: 0 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years **Accomplishment Narrative** **# Benefitting**

2012 This project was not started until PY2013. Funds will be carried over.

PGM Year: 2012
Project: 0011 - PUBLIC FACILITIES - COB
IDIS Activity: 827 - Berkeley Food & Housing - Windows, Flooring

Status: Open
 Location: 2140 Dwight Way Berkeley, CA 94704-2015

Objective: Create suitable living environments
 Outcome: Sustainability
 Matrix Code: Homeless Facilities (not operating costs) (03C) National Objective: LMC

Initial Funding Date: 09/10/2012

Financing

Funded Amount: 52,406.00
 Drawn Thru Program Year: 6,578.50
 Drawn In Program Year: 6,578.50

Description:
 \$40,000 in ESG (PY2011) and \$52,406 in CDBG (PY2012) funds will be used to replace windows for energy efficiency, flooring for bedbug mitigation, and a dishwasher for health and safety at the women's shelter and transitional housing operated by Berkeley Food & Housing Project.

Proposed Accomplishments

Public Facilities : 1

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0

Attachment C

Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0

Female-headed Households: 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	In the fall of 2012, the Berkeley Food and Housing Project informed the City that an elevator serving the 2nd and 3rd floors of their building at 2140 Dwight Way had broken down and was in need of major repair. Funding originally reserved for the replacement of windows and flooring was repurposed to provide major repairs to the elevator to ensure that homeless families residing on the 2nd and 3rd floors had continued access to those floors. The elevator was repaired in PY2012, utilizing \$40,000 in ESG funds and \$6,578.50 in CDBG funds. The remaining CDBG funds for elevator repair will be drawn in PY2013.	

PGM Year: 2012
Project: 0011 - PUBLIC FACILITIES - COB
IDIS Activity: 828 - BOSS - McKinley House Renovations

Status: Open Objective: Create suitable living environments
Location: 2111 McKinley Ave Berkeley, CA 94703-2735 Outcome: Sustainability
Matrix Code: Homeless Facilities (not operating costs) (03C) National Objective: LMC

Initial Funding Date: 09/10/2012

Financing
Funded Amount: 30,582.00
Drawn Thru Program Year: 0.00
Drawn In Program Year: 0.00

Description:
CDBG funds will be used to finalize improvements begun in PY2010 at the McKinley transitional house for homeless families operated by Building Opportunities for Self-Sufficiency. Improvements will include firewalls, a half-bathroom and permit fees.

Proposed Accomplishments

Public Facilities : 1

Actual Accomplishments

<i>Number assisted:</i>	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0

Attachment C

American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0

Female-headed Households: 0 0 0 0

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
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2012 The project did not start in PY2012. Funds will be carried over.

PGM Year: 2012
Project: 0012 - COMMUNITY FACILITIES REHAB - REBUILDING TOGETHER
IDIS Activity: 831 - RBT - Community Facility Improvements

Status:	Completed 6/30/2013 12:00:00 AM	Objective:	Create suitable living environments
Location:	3318 Adeline St Berkeley, CA 94703-2709	Outcome:	Sustainability
		Matrix Code:	Public Facilities and Improvement (General) (03)
		National Objective:	LMC

Initial Funding Date: 09/14/2012

Financing

Funded Amount: 24,575.00
Drawn Thru Program Year: 24,575.00
Drawn In Program Year: 24,575.00

Description:
REBUILDING TOGETHER MOBILIZES VOLUNTEERS TO PROVIDE REHAB TO 5 - 10 PUBLIC FACILITIES IN BERKELEY.

Proposed Accomplishments

Public Facilities : 5

Actual Accomplishments

<i>Number assisted:</i>	Owner		Renter		Total		Person		Attachment C
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic	

White:	0	0	0	0	0	0	26,726	16,806
Black/African American:	0	0	0	0	0	0	11,218	24
Asian:	0	0	0	0	0	0	7,227	5
American Indian/Alaskan Native:	0	0	0	0	0	0	16	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	3,168	6
American Indian/Alaskan Native & White:	0	0	0	0	0	0	20	18
Asian White:	0	0	0	0	0	0	460	0
Black/African American & White:	0	0	0	0	0	0	898	99
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	1,738	484
Other multi-racial:	0	0	0	0	0	0	6,707	1,178
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	58,178	18,620
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	25,085
Low Mod	0	0	0	15,859
Moderate	0	0	0	9,991
Non Low Moderate	0	0	0	7,243
Total	0	0	0	58,178
Percent Low/Mod				87.6%

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	Rebuilding together completed repairs to 6 community facilities. Women's Daytime Drop-In Center, 2218 Acton Street, 94702; James Kenney Park, City of Berkeley Parks, Recreation & Waterfront, 94710; and Goals for Women, 3356 Adeline Street, 94703. BORG, 90 Bolivar Drive, 94710; AlaCosta Center, 1300 Rose St, 94702; Young Adult Program, 1730 Oregon St, 94703.	
PGM Year:	2012	
Project:	0011 - PUBLIC FACILITIES - COB	
IDIS Activity:	832 - COB - Public Facilities Project Management	
Status:	Completed 6/30/2013 12:00:00 AM	Objective: Create suitable living environments
Location:	2180 Milvia St Berkeley, CA 94704-1122	Outcome: Sustainability
		Matrix Code: Public Facilities and Improvement (General) (03)
		National Objective: LMC
Initial Funding Date:	09/14/2012	Description:
Financing		CITY STAFF WORK DIRECTLY ON PUBLIC FACILITIES IMPROVEMENT PROJECTS, INCLUDING WRITING SCOPES OF WORK, BIDDING OUT PROJECTS, AND MANAGING CONSTRUCTION.
Funded Amount:	115,519.00	
Drawn Thru Program Year:	115,519.00	
Drawn In Program Year:	115,519.00	

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	City of Berkeley Staff worked on ASEB, BFHP, Rebuilding Together, Multi-Cultural Institute, Women's Daytime Drop-In Center, and the Aging Services Lighting Project in PY2012. Of a total of \$133,905, \$115,591 was spent and \$18,386 was unspent and will be recaptured.	

PGM Year: 2012
Project: 0002 - LOAN SERVICING - COB
IDIS Activity: 833 - COB - LOAN SERVICES

Status: Completed 6/30/2013 12:00:00 AM
 Location: 1609 Kains Ave Berkeley, CA 94702-1313

Objective: Provide decent affordable housing
 Outcome: Sustainability
 Matrix Code: Housing Services (14J) National Objective: LMH

Initial Funding Date: 09/14/2012

Financing
 Funded Amount: 119,034.50
 Drawn Thru Program Year: 119,034.50

Description:
 THIS PROJECT SERVES ACTIVE HOUSING REHABILITATION LOANS. SERVICES INCLUDE ACCOUNTING, LOAN PAYMENT PROCESSING, AND PROCESSING DEEDS AND LIEN RELEASES.
 Attachment C

Drawn In Program Year: 119,034.50

Proposed Accomplishments

Housing Units : 90

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	47	9	0	0	47	9	0	0
Black/African American:	38	0	0	0	38	0	0	0
Asian:	4	0	0	0	4	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	1	0	0	0	1	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	90	9	0	0	90	9	0	0
Female-headed Households:	38		0		38			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	28	0	28	0
Low Mod	34	0	34	0
Moderate	27	0	27	0
Non Low Moderate	1	0	1	0
Total	90	0	90	0
Percent Low/Mod	98.9%		98.9%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
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2012	In PY2012 city staff serviced a total of 255 loans of which 123 are individual loans and the rest are loans through the City's Housing Trust Fund and through the Office of Economic Development. Funds in the amount of \$199,034.50 were spent. \$9,260 was unspent and will be recaptured.	
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PGM Year: 2012
Project: 0003 - SENIOR AND DISABLED REHAB PROGRAM
IDIS Activity: 834 - COB - SENIOR AND DISABLED REHAB PROGRAM

Status: Completed 6/30/2013 12:00:00 AM

Location: 2325 Valley St Berkeley, CA 94702-2133

Objective: Create suitable living environments

Outcome: Sustainability

Matrix Code: Rehab; Single-Unit Residential (14A)

National Objective: LMH

Attachment C

Initial Funding Date: 09/14/2012

Description:

This project funds City staff to carry out rehabilitation of homes for low-income seniors and the disabled.

Financing

Funded Amount: 135,207.09
 Drawn Thru Program Year: 135,207.09
 Drawn In Program Year: 135,207.09

Proposed Accomplishments

Housing Units : 4

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	3	1	0	0	3	1	0	0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	3	1	0	0	3	1	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	3	0	3	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	3	0	3	0
Percent Low/Mod	100.0%		100.0%	

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	Renovations were completed on three homes. CalHOME funds were also leveraged for these projects. \$135,207 was spent for staffing. The remaining \$36,393 was unspent and will be recaptured.	

PGM Year: 2012
Project: 0003 - SENIOR AND DISABLED REHAB PROGRAM
IDIS Activity: 835 - Rehab Loans SDRP

Attachment C

Status: Open
 Location: 2180 Milvia St Berkeley, CA 94704-1122

Objective: Provide decent affordable housing
 Outcome: Sustainability
 Matrix Code: Rehab; Single-Unit Residential (14A) National Objective: LMH

Initial Funding Date: 09/14/2012

Description:

This activity is funded to provide rehab loans to low-income seniors and the disabled who participate in the Senior and Disabled Rehab program operated by the City of Berkeley.

Financing

Funded Amount: 150,000.00
 Drawn Thru Program Year: 45,000.00
 Drawn In Program Year: 45,000.00

Proposed Accomplishments

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

Years	Accomplishment Narrative	# Benefitting
2012	\$45,000 was drawn in PY2012 for one project. Loans to homeowners are also made with CalHOME funds. Unexpended loan funds in the amount of \$105,000 will be carried over into PY2013.	

PGM Year: 2012
Project: 0004 - HOUSING DEVELOPMENT MULTI-FAMILY REHAB - COB
IDIS Activity: 836 - COB - Multi-Family Housing Development

Status: Completed 6/30/2013 12:00:00 AM
 Location: 2180 Milvia St Berkeley, CA 94704-1122

Objective: Provide decent affordable housing
 Outcome: Sustainability
 Matrix Code: Rehab; Multi-Unit Residential (14B) National Objective: LMH

Initial Funding Date: 09/14/2012

Financing

Funded Amount: 245,185.66
 Drawn Thru Program Year: 245,185.66
 Drawn In Program Year: 245,185.66

Description:

CITY STAFF ACTIVELY SEEK HOUSING DEVELOPMENT OPPORTUNITIES, FACILITATE DEVELOPMENT AND/OR REHABILITATION OF AFFORDABLE HOUSING.

Proposed Accomplishments

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:	0	0	0	0	0	0		0
Black/African American:	0	0	0	0	0	0	0	0
Asian:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native:	0	0	0	0	0	0	0	0
Native Hawaiian/Other Pacific Islander:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & White:	0	0	0	0	0	0	0	0
Asian White:	0	0	0	0	0	0	0	0
Black/African American & White:	0	0	0	0	0	0	0	0
American Indian/Alaskan Native & Black/African American:	0	0	0	0	0	0	0	0
Other multi-racial:	0	0	0	0	0	0	0	0
Asian/Pacific Islander:	0	0	0	0	0	0	0	0
Hispanic:	0	0	0	0	0	0	0	0
Total:	0	0	0	0	0	0	0	0
Female-headed Households:	0		0		0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low	0	0	0	0
Low Mod	0	0	0	0
Moderate	0	0	0	0
Non Low Moderate	0	0	0	0
Total	0	0	0	0

Percent Low/Mod

Annual Accomplishments

2012 City of Berkeley staff provide services related to renovation projects that are funded through City's Housing Trust Fund (HTF). Staff worked on five projects: William Byron Rumford, U.A. Homes, U.A. Cooperative, Savo Island, and the Harper Street Project (formerly Prince Hall Arms). Of the \$361,193 allocated to this project, \$245,186 was spent, and \$116,007 will be recaptured. The low expenditure rate can be attributed to a 5-month staffing vacancy.

PGM Year: 2012
Project: 0013 - PROGRAM PLANNING AND ADMINISTRATION - COB
IDIS Activity: 838 - CDBG Planning and Administration

Status: Completed 6/30/2013 12:00:00 AM Objective:
 Location: , Outcome:
 Matrix Code: General Program Administration (21A) National Objective:

Initial Funding Date: 10/01/2012 **Description:**
Financing Funds for planning and administration of CDBG activities.

Funded Amount: 342,436.63
 Drawn Thru Program Year: 342,436.63
 Drawn In Program Year: 342,436.63

Proposed Accomplishments

Actual Accomplishments

<i>Number assisted:</i>	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
Total:	0	0	0	0	0	0	0	0
Female-headed Households:					0			

Income Category:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	

Total 0 0 0 0

Percent Low/Mod

Annual Accomplishments

No data returned for this view. This might be because the applied filter excludes all data.

PGM Year: 2012
Project: 0013 - PROGRAM PLANNING AND ADMINISTRATION - COB
IDIS Activity: 839 - Support Costs

Status: Completed 6/30/2013 12:00:00 AM
 Location: ,

Objective:
 Outcome:
 Matrix Code: Indirect Costs (21B) National Objective:

Initial Funding Date: 10/01/2012

Financing **Description:** Funding for indirect costs that support the CDBG program.

Funded Amount: 129,600.00
 Drawn Thru Program Year: 129,600.00
 Drawn In Program Year: 129,600.00

Proposed Accomplishments

Actual Accomplishments

Number assisted:

	Owner		Renter		Total		Person	
	Total	Hispanic	Total	Hispanic	Total	Hispanic	Total	Hispanic
White:					0	0		
Black/African American:					0	0		
Asian:					0	0		
American Indian/Alaskan Native:					0	0		
Native Hawaiian/Other Pacific Islander:					0	0		
American Indian/Alaskan Native & White:					0	0		
Asian White:					0	0		
Black/African American & White:					0	0		
American Indian/Alaskan Native & Black/African American:					0	0		
Other multi-racial:					0	0		
Asian/Pacific Islander:					0	0		
Hispanic:					0	0		
Total:	0	0	0	0	0	0	0	0

Attachment C

Female-headed Households:

0

Income Category:

	Owner	Renter	Total	Person
Extremely Low			0	
Low Mod			0	
Moderate			0	
Non Low Moderate			0	
Total	0	0	0	0
Percent Low/Mod				

Annual Accomplishments

No data returned for this view. This might be because the applied filter excludes all data.

Total Funded Amount:	\$3,499,063.04
Total Drawn Thru Program Year:	\$3,114,017.87
Total Drawn In Program Year:	\$2,280,123.38

Annual Performance Report HOME Program

U.S. Department of Housing
and Urban Development
Office of Community Planning
and Development

ATTACHMENT D

OMB Approval No. 2506-0171
(exp. 05/31/2007)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410	Starting 7/1/2012	Ending 6/30/2013	9/30/2013

Part I Participant Identification

1. Participant Number M06-MC060202	2. Participant Name City of Berkeley		
3. Name of Person completing this report Kristen Lee		4. Phone Number (Include Area Code) 510-981-5427	
5. Address 2180 Milvia Street, 2nd Floor, Housing Department	6. City Berkeley	7. State CA	8. Zip Code 94704

Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period \$0	2. Amount received during Reporting Period \$22,369	3. Total amount expended during Reporting Period \$21,525	4. Amount expended for Tenant-Based Rental Assistance \$0	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5 \$844
--	--	--	--	--

Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)			f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	
A. Contracts					
1. Number	4	0	0	0	3
2. Dollar Amount	\$584,970	0	0	0	\$567,583
B. Sub-Contracts					
1. Number	27	0	9	1	14
2. Dollar Amount	\$284,806	0	\$128,504	\$2,538	\$120,542
	a. Total	b. Women Business Enterprises (WBE)	c. Male		
C. Contracts					
1. Number	4	1	3		
2. Dollar Amount	\$584,970	\$494,010	\$90,960		
D. Sub-Contracts					
1. Number	27	8	19		
2. Dollar Amounts	\$284,806	\$67,316	\$217,490		

Part IV Minority Owners of Rental Property

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number	0					
2. Dollar Amount	0					

Part V Relocation and Real Property Acquisition

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost	Minority Business Enterprises (MBE)				
Households Displaced	a. Total		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	f. White Non-Hispanic
1. Parcels Acquired	0						
2. Businesses Displaced	0						
3. Nonprofit Organizations Displaced	0						
4. Households Temporarily Relocated, not Displaced	0						
5. Households Displaced - Number	0						
6. Households Displaced - Cost	0						

IDIS - PR33

U.S. Department of Housing and Urban Development
 Office of Community Planning and Development
 Integrated Disbursement and Information System
 Home Matching Liability Report

DATE: 09-23-13
 TIME: 19:19
 PAGE: 1

BERKELEY, CA

Fiscal Year	Match Percent	Total Disbursements	Disbursements Requiring Match	Match Liability Amount
1998	25.0%	\$1,230,431.00	\$1,230,431.00	\$307,607.75
1999	25.0%	\$653,396.84	\$577,396.84	\$144,349.21
2000	25.0%	\$766,622.40	\$691,197.40	\$172,799.35
2001	25.0%	\$515,806.00	\$360,384.00	\$90,096.00
2002	25.0%	\$2,027,727.00	\$1,962,439.00	\$490,609.75
2003	25.0%	\$885,006.00	\$768,406.00	\$192,101.50
2004	25.0%	\$746,366.00	\$632,490.00	\$158,122.50
2005	25.0%	\$1,775,008.00	\$1,636,852.00	\$409,213.00
2006	25.0%	\$2,968,639.50	\$2,763,522.00	\$690,880.50
2007	25.0%	\$1,365,377.00	\$1,171,531.00	\$292,882.75
2008	25.0%	\$1,245,661.00	\$1,053,186.00	\$263,296.50
2009	25.0%	\$181,014.00	\$0.00	\$0.00
2010	25.0%	\$280,165.27	\$52,821.25	\$13,205.31
2011	25.0%	\$473,278.85	\$298,193.41	\$74,548.35
2012	25.0%	\$367,749.29	\$199,383.29	\$49,845.82

HOME Match Report

Match Contributions for Federal Fiscal Year (yyyy)	2012
--	------

Part I Participant Identification

1. Participant No. (assigned by HUD) M06-MC060202	2. Name of the Participating Jurisdiction City of Berkeley	3. Name of Contact (person completing this report) Kristen Lee
5. Street Address of the Participating Jurisdiction 2180 Milvia Street, 2nd Floor, Housing Department		4. Contact's Phone Number (include area code) 510-981-5427
6. City Berkeley	7. State CA	8. Zip Code 94704

Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	5,046,722
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	360,387
3. Total match available for current Federal fiscal year (line 1 + line 2)	\$	5,407,109
4. Match liability for current Federal fiscal year	\$	49,846
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)	\$	5,357,263

Part III Match Contribution for the Federal Fiscal Year

1. Project No. or Other ID	2. Date of Contribution (mm/dd/yyyy)	3. Cash (non-Federal sources)	4. Foregone Taxes, Fees, Charges	5. Appraised Land / Real Property	6. Required Infrastructure	7. Site Preparation, Construction Materials, Donated labor	8. Bond Financing	9. Total Match
U.A. Housing Inc.	4/30/2013	5,000						
SAHA - Grayson	4/24/2013	5,800						
SAHA - Grayson	5/22/2013	14,195						
SAHA - Grayson	5/29/2013	14,195						
SAHA - Harper St.	5/20/2013	28,165						
Berkeley Housing Auth	4/30/2013	223,309						
Berkeley Housing Auth	5/21/2013	69,723						
TOTAL		360,387						

**PUBLIC NOTICE
CITY OF BERKELEY
SEEKING PUBLIC COMMENT ON ITS
CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT
FOR PROGRAM YEAR 2012 (July 2012 through June 2013)**

Beginning Wednesday, September 11, 2013, the public can review and comment on the City of Berkeley's Consolidated Annual Performance and Evaluation Report (CAPER) for Program Year 2012 (July 1, 2012 to June 30, 2013). The CAPER is a report required by the U.S. Department of Housing and Urban Development (HUD) which tells HUD and the public how the City spent certain federal funds in the prior year. During the period covered by the CAPER, the City of Berkeley allocated approximately \$2.3 million in Community Development Block Grant (CDBG) funds to 22 projects involving housing, community development and public services; \$335,274 in Emergency Shelter Grant (ESG) funds to 4 projects; and \$573,619 million in HOME funds. The CAPER also shows how the activities funded support the goals written in the City's Program Year 2012 Annual Action Plan. The Annual Action Plan is also a required HUD document which shows how the City plans to use HUD funds. The City must complete the report and submit it to HUD, including City responses to all written public comments, by no later than 5:00 p.m., on Friday, September 27, 2013.

The draft CAPER will be available for public review at the following locations:

- The City of Berkeley's Health, Housing & Community Services Department, 2180 Milvia Street, Second Floor, from 9 a.m. – 5 p.m.;
- The Berkeley Public Library Reference Desk, 2090 Kittredge Street, 2nd floor, during normal business hours; and
- on the web at

<http://www.cityofberkeley.info/ContentDisplay.aspx?id=15574>

beginning September 11, 2013.

Submit written comments either to Kristen Lee (email: kslee@cityofberkeley.info) at the Health, Housing & Community Services Department 2180 Milvia Street, 2nd Floor, Berkeley, 94704. **Written comments must be received by no later than Wednesday, September 25, 2013 at 5:00 p.m.**

A partir del 11 de septiembre del 2013 la ciudadanía es invitada a revisar y comentar en el Informe de Evaluación y Funcionamiento Anual (CAPER-siglas en ingles) de la ciudad de Berkeley. El informe cubre el periodo desde el 1 de julio del 2012 hasta el 30 de junio del 2013 (Año Fiscal 2012). **El periodo para recibir comentarios del público terminará el miércoles, 25 de septiembre del 2013 a las 5 de la tarde.** Si usted necesita ayuda en español o si desea presentar su comentarios en español, usted puede comunicarse con Roxana Andrade llamando a 981-5402 o por correo electrónico a la dirección electrónica randrade@ci.berkeley.ca.us.

由二零一三年九月十一日開始至九月二十五日下午五時止，伯克萊市政府邀請公眾人士對二零一二年度（二零一二年七月一日至二零一三年六月卅十日）的綜合表現和評價報告書（CAPER）加以檢討及評論。評論請在九月二十五日下午五時前遞交。如需中文協助或用中文評論，請聯絡房屋部鄭女士：電話 981-4928
電郵 mcheng@ci.berkeley.ca.us

Publication Date: September 11, 2013

2012 Continuum of Care Project List

Renewal Projects

- | | |
|---|------------------|
| 1 Channing Way Apartments | \$33,710 |
| Bonita House, Inc. operates Channing Apartments, which provides permanent supportive housing for homeless adults with a dual diagnosis of a severe, persistent psychiatric disability and co-occurring substance use disorder for four individuals in Berkeley. | |
| 2 Concord House | \$71,524 |
| Concord House provides safe, service-enriched permanent housing to eight homeless adults disabled by HIV and AIDS in unincorporated Alameda County. On-site supportive services include: clinical and peer case management, health education and counseling, drug and alcohol counseling, benefits advocacy, conflict resolution and mediation, community building, and life skills development. | |
| 3 Lorenzo Creek | \$100,788 |
| The Lorenzo Creek Project provides permanent supportive housing for seven families with disabilities in Castro Valley. | |
| 4 Regent Street | \$76,967 |
| Developed by Resources for Community Development and operated by Building Opportunities for Self-sufficiency (BOSS), Regent House in Berkeley provides six units of permanent service-enriched housing for homeless single men and women who are disabled by HIV or AIDS. | |
| 5 Spirit of Hope I | \$44,962 |
| Located at the former Alameda Naval Air Station, Spirit of Hope I offers permanent housing for families and families with disabilities. Specifically, the project serves large families who are currently living on the streets or in shelter, and operates to create a healthy community through a village model of interdependence. | |
| 6 APC Permanent | \$188,290 |
| Alameda County Housing and Community Development is the grantee for Alameda Point Permanent, which provides 23 units of permanent housing at Alameda Point. | |
| 7 Russell Street Residence | \$258,461 |
| The Berkeley Emergency Food and Housing Project operates the Russell Street Residence, a permanent supportive housing project that serves 14 severely mentally disabled homeless individuals at a former board and care facility in South Berkeley. | |
| 8 Peter Babcock House | \$37,363 |
| Affordable Housing Associates (AHA) operates Peter Babcock House, which provides permanent supportive housing for five individuals living with HIV/ AIDS in Berkeley. | |
| 9 Walker House | \$212,473 |
| Walker House in Oakland, operated by the Ark of Refuge, provides permanent supportive housing for 10 homeless, medically fragile dual and triply diagnosed adults living with disabling HIV disease and/or other disabilities who have critical need of care and supervision. Supportive services include attendant care by nursing attendants, meals, registered nurse case management, medication management (to assist with complex medical regimens), and on-site drug counseling as well as a 24-hour supervision for medical emergencies and crisis intervention. | |
| 10 STAY Well Housing Project | \$539,714 |
| Stay Well, operated by Abode Services, is a permanent housing program for Transition Age Youth (TAY) ages 18 to 25 who have a diagnosed mental health disability. Abode Services works with landlords throughout the county, serving as the master tenant and then subleasing units to participants in the Stay Well Housing Program. | |
| 11 Families in Transition Project | \$249,815 |
| The City of Oakland's Department of Human Services leads this scattered-site transitional housing program for low-income, homeless families. The Families in Transition Program can house up to 10 families of up to 50 individuals for up to 24 months. | |
| 12 FESCO Transitional Co-Housing (Banyan House) | \$81,320 |

2012 Continuum of Care Project List

The Family Emergency Shelter Coalition, (FESCO), under the lead agency of Alameda County Housing and Community Development, operates a 24 bed transitional housing facility with on-site supportive services for eight families with children per year in the Cherryland district in unincorporated Alameda County. Services are provided and coordinated by FESCO.

- | | | |
|-----------|--|--------------------|
| 13 | Housing Stabilization Team | \$237,812 |
| | Building Opportunities for Self-Sufficiency's Housing Stabilization Team provides two levels of service to homeless people with disabilities and special needs: (1) rental subsidies for up to 18 months with case management and services; and (2) services provided on-site in SROs in Oakland to help residents who came from the streets or shelters stabilize in housing. | |
| 14 | Matilda Cleveland Transitional Housing Program | \$264,773 |
| | The City of Oakland operates the Matilda Cleveland Transitional Housing Program, which provides 14 units of transitional housing for women and their children in Oakland. Childcare, employment training and health care services are provided. | |
| 15 | Transitional House | \$246,830 |
| | Berkeley Food and Housing Project's (BFHP) Transitional House is an integrated support program that provides intensive case management and self-help peer group care combined with essential outside community services in Berkeley. The program offers 24 month housing to 10 disabled women with structured support services which helps clients move on to stable permanent housing and to achieve self-sufficiency. | |
| 16 | Southern Alameda County Housing/Jobs Linkages Program | \$1,140,942 |
| | This 7-agency collaborative program, led by Alameda County Housing and Community Development (HCD), provides transitional housing subsidies, job preparation and placement, case management and other support services to homeless families throughout Mid, South and Eastern Alameda County. The program serves approximately 47 families at a time. Partners include Abode Services, FESCO, ESP, Building Futures with Women and Children, SAVE, and Tri-Valley Haven. | |
| 17 | McKinley Family Transitional House | \$75,919 |
| | Building Opportunities for Self-Sufficiency operates McKinley House, a transitional house that serves homeless families for 3-18 months in Berkeley. McKinley House has seven bedrooms and can serve 24 adults and children with support services, skills building, and other services to move into stable, self-sufficient living. | |
| 18 | Bessie Coleman Court/Alameda Point Transitional Housing | \$195,928 |
| | Alameda Point Collaborative operates the Bessie Coleman Court/Alameda Point Transitional Housing, which provides 44 units of transitional housing for homeless families, with units targeting victims of domestic violence and families in recovery at Alameda Point. | |
| 19 | North County Women's Center | \$143,705 |
| | Berkeley Emergency Food and Housing Project's North County Women's Center offers Alameda County's homeless women and children a seamless, integrated system of care from streets to home. Since the Spring 2000 completion of expanded transitional housing, the combined emergency shelter, transitional housing, and daytime supportive services at their site in Berkeley provides the entire range of services homeless women and children need, in one location. | |
| 20 | Rosa Parks House | \$167,162 |
| | Building Opportunities for Self-Sufficiency operates Rosa Parks House, a transitional housing program in Oakland serving homeless individuals with mental disabilities and/or with HIV/AIDS. Rosa Parks provides transitional housing and supportive services (case management, mental health and recovery services and HIV/AIDS services) to 23 individuals. The program is designed to provide a structure for residents to achieve a level of personal and financial stability in order to move them along to a more permanent, independent living arrangement and to sustain that housing over time. | |
| 21 | Ashby House | \$56,447 |

2012 Continuum of Care Project List

Developed by Resources for Community Development and operated by the Veteran's Assistance Center, Ashby House, located in Berkeley, provides transitional service-enriched housing for up to 10 homeless veterans at a time seeking to sustain recovery, increase independence, achieve greater self-sufficiency and obtain permanent housing.

□

- | | | |
|-----------|---|--------------------|
| 22 | Oakland Housing Youth Housing Collaborative | \$713,102 |
| | The Oakland Youth Housing Collaborative, led by City of Oakland Dept. of Human Services, provides transitional housing and supportive services to homeless, runaway and at-risk youth in Oakland. Services provided include drop-in centers, vocational/ educational training, life skills training, emotional support and transitional housing. | |
| 23 | Turning Point | \$663,870 |
| | Turning Point, led by Fred Finch Youth Center, provides transitional housing units for youth in Berkeley. Services provided include outreach, life skills training, mental and physical health care, employment, childcare, skills training and transitional housing follow-up. | |
| 24 | Homeless Families Support Network | \$1,864,472 |
| | This Collaborative, led by the City of Oakland, provides 54 units of transitional housing for families at the Henry Robinson Multi-Service Center in downtown Oakland. Case management and a full complement of supportive services are provided at the Center and at the Network's service sites in downtown Oakland and the Fruitvale district. Partners include Henry Robinson Multi-Service Center, Anka Behavioral Care, Inc., and Oakland Homeless Families Program. | |
| 25 | Pacheco Court | \$97,978 |
| | Building Opportunities for Self-Sufficiency operates this transitional housing, which serves six individuals and four families with mental disabilities in unincorporated Hayward. Ongoing, individualized case management is provided in order to assist residents with their move towards more independent living and self-sufficiency. | |
| 26 | Bridget Transitional House | \$70,289 |
| | The Women's Daytime Drop-In Center operates Bridget Transitional House in Berkeley, which provides transitional housing and case management assistance for four single parent homeless women with one or two children. | |
| 27 | Bridgeway Apartments | \$42,973 |
| | Tri-City Homeless Coalition's Bridgeway Apartments are comprised of three units located in Union City and eight units in Fremont, seven of which are designated for SHP transitional housing participants. FESCO's Third Street Transitional Housing is a four-unit transitional housing complex located adjacent to FESCO's emergency shelter in Hayward. This project provides transitional housing while participants receive training in order to bridge their employment skills gap. | |
| 28 | Harrison House Family Services Program | \$117,187 |
| | Building Opportunities for Self-Sufficiency's Harrison House Family Services Program provides transitional housing and comprehensive on-site services to approximately 40 parents and 60+ children per year. Located in West Berkeley, the project provides adult and children's education, training and employment services, recovery support, housing advocacy, case management, meals, and more. | |
| 29 | South County Sober Housing | \$189,264 |
| | Building Opportunities for Self-Sufficiency operates South County Sober Housing, a transitional housing program in the Cherryland area of unincorporated Alameda County serving 18 homeless individuals who have chronic problems with alcohol and/or drugs or who are dually diagnosed (chronic alcohol and/or drug use with a co-occurring mental disability). The program is designed to provide a structure for residents to achieve a level of personal and financial stability in order to move them along to a more permanent, independent living arrangement and to sustain that housing over time. | |
| 30 | InHOUSE (Homeless Management Information System (HMIS)) | \$391,907 |

2012 Continuum of Care Project List

Information about Homelessness, Outcomes, and Service Engagement (InHOUSE) is Alameda County's Homeless Management Information System (HMIS). The data in the system is used to assess needs within the Alameda County homeless service system, enumerate the homeless, monitor efforts to end homelessness, and coordinate with multiple systems of care.

- | | |
|--|--------------------|
| 31 Rubicon Berkeley Services | \$1,038,173 |
| The Homeless One-Stop Center in Berkeley meets the employment and training needs of homeless individuals with multiple barriers to self-sufficiency. | |
| 32 Reciprocal Integrated Services for Empowerment (RISE) | \$160,183 |
| Alameda County Housing and Community Development is the lead agency of this six agency collaborative serving disabled homeless people, including veterans, with a range of integrated supportive services focused on assisting participants to obtain and maintain permanent housing. RISE serves 155 participants and their families annually at six partner agency sites in south county, and conducts frequent home visits to scattered residential sites when participants obtain housing. | |
| 33 Alameda Point - Multi Services Center | \$1,111,092 |
| The Alameda Point Support Services and Employment Project provides case management, employment training and placement, and comprehensive supportive services to more than 600 formerly homeless adults and children living at the former Alameda Naval Air Station. | |
| 34 Alameda County Health Housing and Integrated Services | \$549,672 |
| The Health, Housing and Integrated Services Network is an interagency partnership which, through the establishment of Integrated Services Teams, provides a full range of support services linked to permanent housing for homeless individuals. Services are provided to 400 tenants each year, the majority of whom has one or more special needs, including serious mental illness, substance use related problems, and HIV/AIDS. The Project provides support services at seven permanent housing sites located across Oakland and Berkeley. | |
| 35 Homeless Outreach for People Empowerment (HOPE) | \$274,929 |
| The City of Fremont's HOPE Project operates a mobile unit providing health, mental health, substance abuse/detoxification services, AIDS/HIV counseling, mediation, employment services and payee/conservatorship assistance to homeless people who are living on the streets or in places not suitable for human habitation in Southern and Eastern Alameda County. The HOPE Project provides services to 137 families and nearly 1,500 individuals. Partners include Alameda County Behavioral Health Care Services, Tri-City Homeless Coalition, Tri-Valley Haven and Tri-City Health Center. | |
| 36 Self-Sufficiency Project | \$750,177 |
| Building Opportunities for Self-Sufficiency's Self-Sufficiency Project (SSP) provides comprehensive services to homeless individuals and families with drug/alcohol problems. The project serves 525 singles and 60 families per year at multiple sites throughout Alameda County. Services include drug/alcohol recovery, mental/physical health care, training and employment service, housing advocacy, outreach, case management, and more. SSP is a partnership with Building Futures with Women and Children in San Leandro and works with over 40 other service providers to ensure that participants access all needed services. | |
| 37 One-Stop Homeless Employment Center | \$879,703 |
| The One-Stop Homeless Employment Center in Oakland provides comprehensive training and employment services for homeless persons with multiple employment barriers. The project will continue to provide innovative employment-related services to 500 homeless individuals annually. | |
| 38 Alameda County Shelter Plus Care Program - PRA | \$309,097 |
| The Alameda Point Shelter Plus Care PRA Program provides permanent housing and supportive services to 14 formerly homeless individuals with disabilities and their families at Alameda Point. | |
| 39 City of Berkeley Shelter Plus Care Program - Supportive Housing Network | \$136,258 |
| The City of Berkeley Shelter Plus Care Supportive Housing program serves 12 single adults who are homeless with serious and persistent mental illness. | |
| 40 Alameda County Shelter Plus Care Program - Lorenzo Creek | \$150,767 |

2012 Continuum of Care Project List

- Lorenzo Creek S+C SRA provides nine units of permanent supportive housing for homeless people disabled by serious mental illness, chronic alcohol and drug problems, or AIDS and Related Disorders, and their families in an accessible multifamily housing complex constructed using principles of universal design in Castro Valley. Participants receive rental subsidies and supportive services both on and offsite.
- 41 Alameda County Shelter Plus Care Program - TRA** **\$4,637,499**
 The Alameda County Shelter Plus Care (S+C) Program provides safe, secure housing for homeless people disabled by serious mental illness, chronic alcohol and other drug problems, and/or AIDS and related disorders. The Tenant-based Rental Assistance component currently assists 214 participants and is part of a comprehensive Shelter Plus Care Program which provides rental assistance and support services to more than 400 disabled, formerly homeless individuals and their families throughout Alameda County.
- 42 City of Berkeley Shelter Plus Care Program - TRA** **\$2,101,497**
 The Berkeley S+C Program is a partnership between the City's Housing Department, Berkeley Mental Health and nine community-based organizations (CBOs). The partner CBOs place individuals in housing, assign case managers to their applicants, and provide a variety of services before and after housing has been found. The Program provides rental assistance to 129 households.
- 43 Alameda County Shelter Plus Care Program - SRA** **\$1,122,164**
 The Alameda County Shelter Plus Care (S+C) Program provides safe, secure housing for homeless people disabled by serious mental illness, chronic alcohol and other drug problems, and/or AIDS and related disorders. The Sponsor-based Rental Assistance (SRA) component is comprised of 16 individual sponsors and currently assists 66 participants. The SRA component is part of a comprehensive Shelter Plus Care Program which provides rental assistance and support services to more than 400 disabled, formerly homeless individuals and their families throughout Alameda County.
- 44 City of Berkeley Shelter Plus Care Program-Pathways** **\$132,573**
 The City of Berkeley Shelter Plus Care Pathways program serves 11 single adults who are homeless and dually diagnosed with serious and persistent mental illness and a history of drug and/or alcohol dependency.
- 46 Alameda County Shelter Plus Care Program - SRO** **\$556,806**
 The Alameda County Shelter Plus Care (S+C) Program provides safe, secure housing for homeless people disabled by serious mental illness, chronic alcohol and other drug problems, and/or AIDS and related disorders. The Sponsor-based Rental Assistance (SRO) component currently assists 59 participants. The SRO component is part of a comprehensive Shelter Plus Care Program which provides rental assistance and support services to more than 400 disabled, formerly homeless individuals and their families throughout Alameda County.
- 47 Carmen Avenue Apartments** **\$36,166**
 Carmen Ave Apartments SHP project provides supportive services to seven homeless and disabled households located at the permanent housing site, Carmen Ave Apartments in Livermore, CA.. Supportive services focusing on the participant's individual service plan are for seven individuals and families with children at the site.
- 48 City of Berkeley Shelter Plus Care Program - COACH** **\$489,961**
 The Collaborative Opportunity to Address Chronic Homelessness (COACH) Project is a partnership between the City of Berkeley Housing and Community Services Department, the City's Homeless Outreach Team, the City's Mental Health Division and Division on Aging Services, the Berkeley Food and Housing Project, Building Opportunities for Self-Sufficiency (BOSS), the Homeless Action Center (HAC), and LifeLong Medical Care. The program serves chronically homeless adults living on the streets in Berkeley with a serious mental illness and/or a history of drug and alcohol dependency. The program also specifically targets individuals who meet the program criteria who are frequent users of crisis and emergency services.
- 49 Alameda County Shelter Plus Care Program - HOST** **\$496,138**

2012 Continuum of Care Project List

The Alameda County Shelter Plus Care (S+C) - Homeless Outreach and Stabilization Team (HOST) Project provides permanent housing, rental assistance and supportive services to chronically homeless, seriously mentally ill adults in Alameda County who have been previously unserved by the County's mental health system.

50 Alameda County Shelter Plus Care Program - FACT	\$392,278
Alameda County Shelter Plus Care - FACT provides permanent supportive housing, Tenant-based Rental Assistance and supportive services to chronically homeless adults with a history of criminal justice system involvement.	
51 Oakland Path Rehousing Initiative (OPRI)	\$421,837
The Oakland PATH Re-housing Initiative (OPRI) is a program that provides permanent housing combined with comprehensive social services for people with the greatest needs: disabled households living in shelters in Oakland and chronically homeless individuals living in Oakland encampments. OPRI serves 25 households, comprised of approximately 32 individuals, through a scattered site leasing model. Success of the program is measured according permanent housing stability, as well as increased self-sufficiency (increased/maintained income and access to essential services and mainstream resources).	
Total Renewal Projects	\$24,322,917

New Projects--Pending HUD Approval

52 Laguna Rental Assistance	\$123,000
ACBHCS is proposing that the continuum support a Sponsor-based Rental Assistance Program, to provide operating subsidies for Laguna Commons, a Supportive Housing project in Fremont. Laguna-RAP will help ensure that this latest development meet the needs of the most chronically disabled and those who will benefit from a supportive housing environment with a robust services program.	
53 CofC Planning Grant	\$250,000
Alameda County Housing and Community Development Department, the Collaborative Applicant on behalf of EveryOne Home, is submitting a request for funding new planning activities now eligible under the HEARTH regulations.	
54 New PH Bonus Project	\$779,304
Alameda County Housing and Community Development Department, is submitting a request to fund a new permanent housing tenant based rental assistance project, based on the Shelter Plus Care model, to serve chronically homeless individuals and families throughout Alameda County.	
Total New Project	\$1,152,304

GRAND TOTAL **\$25,475,221**

Housing Trust Fund Program - Summary of Funding Sources and Projects
For Period Ending: 6/30/13

		Gen.Fund/Cap. Imp. Fund	CDBG	Housing Mitigation	HOME	Inclusionary In Lieu Fees	Condo Conversion	HTF Total
FUNDING SOURCES								
Beginning Fund Balance 7/1/2011		586,845	1,453,320	221,304	3,760,483	-	149,818	6,306,770
PY11/FY12 Allocation-including CHDO Oper.		43,753	64,285		1,088,931			1,196,969
PY11/FY12 Program Income- As of 6/30/2012				85,277	21,360		170,200	276,837
Transfer for 3135 Harper St. fence		(6,580)						(6,580)
(A) Funds Available As Of	3/15/2013	624,018	1,517,605	306,581	4,870,774		320,018	7,773,996
COMMITTED FUNDS								
Total Expenditures as of 7/1/2011		30,000	850,259	-	460,000	-		1,475,259
FY 2012								
UA Home					778,816			778,816
Strawberry Creek Lodge		86,250						86,250
UA Coop - UA Housing/RCD		15,000						15,000
UA Coop - UA Housing/RCD		18,100						18,100
UA Coop - UA Housing/RCD					890,000			890,000
AHA CHDO operation					60,000			60,000
Oregon Park		15,000						15,000
Savo Island					435,000			435,000
William Byron Rumford					1,100,000			1,100,000
BHA-predevelopment		300,000						300,000
								-
(B) Funds Committed As Of	3/15/2013	464,350	850,259		3,723,816			5,173,425
FUND BALANCE AS OF = (A) - (B)	3/15/2013	159,668	667,346	306,581	1,146,958	-	320,018	2,600,571
FY13- projected funding sources(net of adm)		43,753	344,559		516,257			904,569
projected PI					27,000			27,000
FY13- CHDO Operating-5% Ent.				(28,638)	(28,638)			(57,276)
								-
(C)FY13- projected available fund		203,421	1,011,905	277,943	1,661,577		320,018	3,474,864
RESERVED PROJECTS								
Harper Crossing		119,841		177,000	1,688,913	*	251,961	2,237,715
Grayson Street Apartments		50,000	884,510	92,433			68,057	1,095,000
UA Homes					134,000			134,000
PROPOSED PROJECTS/CHDO								
Related Companies - BHA					400,000	*		400,000
RCD - CHDO		4,942			23,696	*		28,638
SAHA - CHDO		28,638						28,638
(D) Total Reserved Projects		203,421	884,510	269,433	2,246,609		320,018	3,923,991
Total Fund Available = (C)-(D)		-	127,395	8,510	(585,032)		-	(449,127)

*Projected to be funded with FY14 HOME Allocation of \$585,032

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$	
B. Total dollar amount of contracts awarded to Section 3 businesses	\$	
C. Percentage of the total dollar amount that was awarded to Section 3 businesses		%
D. Total number of Section 3 businesses receiving contracts		

2. Non-Construction Contracts:

A. Total dollar amount of all non-construction contracts awarded on the project/activity	\$	
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$	
C. Percentage of the total dollar amount that was awarded to Section 3 businesses		%
D. Total number of Section 3 businesses receiving non-construction contracts		

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contacts with community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting burden for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u., mandates that the Department ensure that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a data base and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian Housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F) or the number of new hires utilized on the Section 3 covered project (columns B, C and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit two copies of this report to the local HUD Field Office. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name forwarding the Section 3 report.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

Submit two (2) copies of this report to the HUD Field Office of Fair Housing and Equal Opportunity, Program Operations and Compliance Center Director, at the same time the performance report is submitted to the program office. For those programs where such a report is not required, the Section 3 report is submitted by January 10. Include only contracts executed during the reporting period specified in item 8. PHAs/HAs are to report all contracts/subcontracts.

* The terms "low-income persons" and "very low-income persons" have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 per centum of the median income for the area, as determined by the Secretary, with adjustments for

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.

9. Program Name: Enter the name of the HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e., supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New Hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: Enter the number of Section 3 residents that were employed and trained in connection with this award.

Part II: Contract Opportunities**Block 1: Construction Contracts**

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contacts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts - Self-explanatory

smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 per centum of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 per centum of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 per centum of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



PART I: SUMMARY OF CDBG RESOURCES

01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR	1,873,010.30
02 ENTITLEMENT GRANT	2,315,008.00
03 SURPLUS URBAN RENEWAL	0.00
04 SECTION 108 GUARANTEED LOAN FUNDS	0.00
05 CURRENT YEAR PROGRAM INCOME	176,839.76
05a CURRENT YEAR SECTION 108 PROGRAM INCOME (FOR SI TYPE)	0.00
06 RETURNS	0.00
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE	0.00
08 TOTAL AVAILABLE (SUM, LINES 01-07)	4,364,858.06

PART II: SUMMARY OF CDBG EXPENDITURES

09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION	1,688,086.75
10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOW/MOD BENEFIT	0.00
11 AMOUNT SUBJECT TO LOW/MOD BENEFIT (LINE 09 + LINE 10)	1,688,086.75
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	472,036.63
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS	120,000.00
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES	0.00
15 TOTAL EXPENDITURES (SUM, LINES 11-14)	2,280,123.38
16 UNEXPENDED BALANCE (LINE 08 - LINE 15)	2,084,734.68

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD

17 EXPENDED FOR LOW/MOD HOUSING IN SPECIAL AREAS	0.00
18 EXPENDED FOR LOW/MOD MULTI-UNIT HOUSING	255,185.66
19 DISBURSED FOR OTHER LOW/MOD ACTIVITIES	1,432,901.09
20 ADJUSTMENT TO COMPUTE TOTAL LOW/MOD CREDIT	0.00
21 TOTAL LOW/MOD CREDIT (SUM, LINES 17-20)	1,688,086.75
22 PERCENT LOW/MOD CREDIT (LINE 21/LINE 11)	100.00%

LOW/MOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS

23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION	PY: 2010 PY: 2011 PY: 2012
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOW/MOD BENEFIT CALCULATION	6,652,851.11
25 CUMULATIVE EXPENDITURES BENEFITING LOW/MOD PERSONS	6,652,851.11
26 PERCENT BENEFIT TO LOW/MOD PERSONS (LINE 25/LINE 24)	100.00%

PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS

27 DISBURSED IN IDIS FOR PUBLIC SERVICES	425,464.00
28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	425,464.00
32 ENTITLEMENT GRANT	2,315,008.00
33 PRIOR YEAR PROGRAM INCOME	151,776.14
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	0.00
35 TOTAL SUBJECT TO PS CAP (SUM, LINES 32-34)	2,466,784.14
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	17.25%

PART V: PLANNING AND ADMINISTRATION (PA) CAP

37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	472,036.63
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	0.00
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	0.00
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	0.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 +LINE 40)	472,036.63
42 ENTITLEMENT GRANT	2,315,008.00
43 CURRENT YEAR PROGRAM INCOME	176,839.76
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	0.00
45 TOTAL SUBJECT TO PA CAP (SUM, LINES 42-44)	2,491,847.76
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	18.94%

LINE 17 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 17

Report returned no data.

LINE 18 DETAIL: ACTIVITIES TO CONSIDER IN DETERMINING THE AMOUNT TO ENTER ON LINE 18

Plan Year	IDIS Project	IDIS	Activity Name	Matrix Code	National	Drawn Amount
2012	4	836	COB - Multi-Family Housing Development	14B	LMH	\$245,185.66
2010	32	751	Erna P. Harris Court	14B	LMH	\$10,000.00
Total						\$255,185.66

LINE 19 DETAIL: ACTIVITIES INCLUDED IN THE COMPUTATION OF LINE 19

Plan Year	IDIS Project	IDIS Activity	Voucher	Activity Name	Matrix	National	Drawn Amount
2011	4	793	5563842	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$23,304.00
2011	16	808	5563842	MCI - Foundation Replacement	03	LMC	\$17,387.00
2012	1	816	5485736	CIL - RESIDENTIAL ACCESS FOR THE DISABLED	14A	LMH	\$35,055.00
2012	1	816	5516256	CIL - RESIDENTIAL ACCESS FOR THE DISABLED	14A	LMH	\$35,055.00
2012	1	816	5537086	CIL - RESIDENTIAL ACCESS FOR THE DISABLED	14A	LMH	\$23,370.00
2012	1	816	5563842	CIL - RESIDENTIAL ACCESS FOR THE DISABLED	14A	LMH	\$23,370.00
2012	1	816	5576488	CIL - RESIDENTIAL ACCESS FOR THE DISABLED	14A	LMH	\$23,369.00
2012	2	833	5485736	COB - LOAN SERVICES	14J	LMH	\$21,220.97
2012	2	833	5516256	COB - LOAN SERVICES	14J	LMH	\$27,490.07
2012	2	833	5537086	COB - LOAN SERVICES	14J	LMH	\$20,872.68
2012	2	833	5563842	COB - LOAN SERVICES	14J	LMH	\$23,458.38
2012	2	833	5576488	COB - LOAN SERVICES	14J	LMH	\$18,862.32
2012	2	833	5591021	COB - LOAN SERVICES	14J	LMH	\$7,130.08
2012	3	834	5485736	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$23,624.06
2012	3	834	5516256	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$28,713.15
2012	3	834	5537086	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$21,835.34
2012	3	834	5563842	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$28,391.88
2012	3	834	5576488	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$23,889.67
2012	3	834	5591021	COB - SENIOR AND DISABLED REHAB PROGRAM	14A	LMH	\$8,752.99
2012	3	835	5485736	Rehab Loans SDRP	14A	LMH	\$30,000.00
2012	3	835	5516256	Rehab Loans SDRP	14A	LMH	\$15,000.00
2012	5	817	5485736	CESC - HOME SAFETY AND REPAIR	14A	LMH	\$70,584.00
2012	5	817	5516256	CESC - HOME SAFETY AND REPAIR	14A	LMH	\$70,584.00
2012	5	817	5537086	CESC - HOME SAFETY AND REPAIR	14A	LMH	\$47,055.00
2012	5	817	5563842	CESC - HOME SAFETY AND REPAIR	14A	LMH	\$47,055.00
2012	5	817	5576488	CESC - HOME SAFETY AND REPAIR	14A	LMH	\$47,056.00
2012	6	818	5485736	Rebuilding Together SAFE HOME PROJECT	14A	LMH	\$24,570.00
2012	6	818	5516256	Rebuilding Together SAFE HOME PROJECT	14A	LMH	\$24,570.00
2012	6	818	5537086	Rebuilding Together SAFE HOME PROJECT	14A	LMH	\$16,381.00
2012	6	818	5563842	Rebuilding Together SAFE HOME PROJECT	14A	LMH	\$10,919.00
2012	6	818	5576488	Rebuilding Together SAFE HOME PROJECT	14A	LMH	\$21,839.00
2012	7	819	5485736	BFHP - MEN'S OVERNIGHT SHELTER	03T	LMC	\$45,247.00
2012	7	819	5516256	BFHP - MEN'S OVERNIGHT SHELTER	03T	LMC	\$45,246.00
2012	7	819	5537086	BFHP - MEN'S OVERNIGHT SHELTER	03T	LMC	\$30,164.00
2012	7	819	5563842	BFHP - MEN'S OVERNIGHT SHELTER	03T	LMC	\$20,110.00
2012	7	819	5576488	BFHP - MEN'S OVERNIGHT SHELTER	03T	LMC	\$40,219.00
2012	8	820	5485736	BIOTECH PARTNERS INC. - BIOTECH ACADEMY	05D	LMC	\$17,460.00
2012	8	820	5516256	BIOTECH PARTNERS INC. - BIOTECH ACADEMY	05D	LMC	\$17,460.00
2012	8	820	5537086	BIOTECH PARTNERS INC. - BIOTECH ACADEMY	05D	LMC	\$11,640.00
2012	8	820	5563842	BIOTECH PARTNERS INC. - BIOTECH ACADEMY	05D	LMC	\$7,760.00
2012	8	820	5576488	BIOTECH PARTNERS INC. - BIOTECH ACADEMY	05D	LMC	\$15,520.00
2012	9	822	5576488	RCD - Social Services in Affordable Housing	05	LMC	\$7,371.00
2012	10	824	5485736	WDDC - Housing Case Management & Safety Net Svcs.	03T	LMC	\$20,371.00
2012	10	824	5516256	WDDC - Housing Case Management & Safety Net Svcs.	03T	LMC	\$20,371.00
2012	10	824	5537086	WDDC - Housing Case Management & Safety Net Svcs.	03T	LMC	\$13,581.00
2012	10	824	5563842	WDDC - Housing Case Management & Safety Net Svcs.	03T	LMC	\$9,053.00
2012	10	824	5576488	WDDC - Housing Case Management & Safety Net Svcs.	03T	LMC	\$18,107.00
2012	11	827	5591021	Berkeley Food & Housing - Windows, Flooring	03C	LMC	\$6,578.50
2012	11	832	5485736	COB - Public Facilities Project Management	03	LMC	\$24,060.96
2012	11	832	5516256	COB - Public Facilities Project Management	03	LMC	\$27,619.89
2012	11	832	5537086	COB - Public Facilities Project Management	03	LMC	\$18,239.83
2012	11	832	5563842	COB - Public Facilities Project Management	03	LMC	\$21,502.96
2012	11	832	5576488	COB - Public Facilities Project Management	03	LMC	\$17,633.48
2012	11	832	5591021	COB - Public Facilities Project Management	03	LMC	\$6,461.88
2012	12	831	5563842	RBT - Community Facility Improvements	03	LMC	\$20,479.00
2012	12	831	5576488	RBT - Community Facility Improvements	03	LMC	\$4,096.00
2012	15	823	5485736	Rising Sun - GETS Program	05H	LMC	\$12,713.00
2012	15	823	5516256	Rising Sun - GETS Program	05H	LMC	\$12,713.00
2012	15	823	5537086	Rising Sun - GETS Program	05H	LMC	\$8,475.00
2012	15	823	5563842	Rising Sun - GETS Program	05H	LMC	\$5,650.00
2012	15	823	5576488	Rising Sun - GETS Program	05H	LMC	\$11,301.00
2012	16	821	5485736	EBCLC - Fair Housing Services	05J	LMC	\$8,733.00
2012	16	821	5516256	EBCLC - Fair Housing Services	05J	LMC	\$8,733.00
2012	16	821	5563842	EBCLC - Fair Housing Services	05J	LMC	\$8,733.00
2012	16	821	5576488	EBCLC - Fair Housing Services	05J	LMC	\$8,733.00
Total							\$1,432,901.09