RESOLUTION NO. 71,108-N.S.

ADOPTING A REVISED CONFLICT OF INTEREST CODE FOR THE CITY OF BERKELEY AND RESCINDING RESOLUTION NO. 69,152–N.S.

WHEREAS, the Political Reform Act, Government Code Sections 81000, et seq., requires every state or local government agency to adopt and promulgate a Conflict of Interest Code; and

WHEREAS, state law as specified in the Political Reform Act (the Act) requires the City Council as the code-reviewing body to direct the review of its conflict of interest code; and

WHEREAS, the Act further requires that such direction must occur by July 1 of each even numbered year; and

WHEREAS, the Act additionally requires the submission of a revised conflict of interest code for approval by the code-reviewing body or the notification of said body that no changes are necessary; and

WHEREAS, the Fair Political Practices Commission has adopted a regulation, 2 Cal. Adm. Code Section 18730, which contains the terms of a standard model Conflict of Interest Code, which can be incorporated by reference, and which may be amended by the Fair Political Practices Commission after public notice and hearings to conform to amendments to the Political Reform Act; and

WHEREAS, the City of Berkeley may incorporate in its Conflict of Interest Code, by reference, regulation, 2 Cal. Adm. Code Section 18730; and

WHEREAS, on October 1, 1982, the City Council of the City of Berkeley adopted Resolution No. 51,425–N.S., Conflict of Interest Code, for the City of Berkeley; and

WHEREAS, the Code has been amended over time to incorporate changes in regulations, departmental organizational structure, changes in job classifications, and the addition and deletion of certain boards and commissions; and

WHEREAS, the most recent amendment to the City of Berkeley's Conflict of Interest Code, as set forth in Resolution No. 69,152–N.S, adopted October 29, 2019, requires minor amendments to reflect the current organizational structure of departments, their designated positions and level of disclosure; and

WHEREAS, the Council has determined that the attached Conflict of Interest Code accurately sets forth the current organizational structure of departments, their designated positions, and the respective categories of financial interests which should be made reportable and those boards and commissions which should be designated and the respective categories of financial interests which should be made reportable by their members; and

WHEREAS, state law allows the City to accept Form 700 electronically and designate the electronic filing as the filing of record, and the City has the ability to accept and process electronic filings.

NOW THEREFORE, BE IT RESOLVED, by the Council of the City of Berkeley as follows:

<u>Section 1.</u> That Resolution No. 69,152–N.S. is hereby rescinded.

<u>Section 2.</u> That the City of Berkeley Conflict of Interest Code is hereby adopted, as attached, and includes the following:

- (a) With the additions noted below, the terms of 2 Cal. Adm. Code Section 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference and made a part of the Conflict of Interest Code of the City of Berkeley except that: (1) the term "investment" shall apply to financial interests in non-profit organizations as well as business entities; (2) the term "interest in real property" includes interests in berths at the Berkeley Marina regardless of when they expire; (3) the terms "non-profit organization" and "business entity" are limited to the jurisdiction in the same manner as the term "investment," i.e., they apply only if the non-profit organization, the business entity, or any parent, subsidiary or otherwise related entity has an interest in real property in the jurisdiction, or does business or plans to do business in the jurisdiction, or has done business in the jurisdiction at any time during the two years prior to the time any statement or other action is required under this Code.
- (b) Designated Positions with Reporting Requirements.

Elected officials, legislative assistants, members of boards and commissions appointed by the City Council, consultants and City employees holding designated positions shall be considered designated positions subject to reporting requirements under the Conflict of Interest Code, and shall disclose financial interests as set forth in the appendices listing individual disclosure categories.

Section 3. Filing of Statements

Persons holding designated positions shall file Statements of Economic Interests with the City of Berkeley on Fair Political Practices Commission forms, in conformance with the individual disclosure categories and State guidelines, when requested by the City Clerk. The City Clerk will retain custody of the statements and make the statements available for public inspection and reproduction. City Employees and Legislative Assistants in designated positions shall file electronic Statements of Economic Interests (e-file). The electronic filing will be the filing of record for City Employees and Legislative Assistants. City Commissioners may file in paper or file electronic Statements of Economic Interests (e-file). Statements filed electronically by Commissioners will be the filing of record.

Section 4. Late Filings and Failure to File Statements

Any violation of any provision of this Code is subject to the administrative, criminal and civil sanctions provided in the Political Reform Act, Government Code Sections 81000 et seq. The following additional regulations shall apply to City of Berkeley (a) employees, (b) legislative assistants, (c) members of boards and commissions appointed by the City Council or by an existing City commission, and (d) consultants:

a. City Employees

If a City employee fails to e-file an Assuming Office or Annual Statement of Economic Interests within thirty days after the City Clerk has given specific written notice of non-filing, they shall be suspended without pay until such time as the required disclosure form has been e-filed. The employee's supervisor shall comply with the provisions of the appropriate Memorandum of Understanding or City of Berkeley Personnel Rules and Regulations in imposing such suspension, in addition, the City Clerk shall impose late filing fees in accordance with Government Code Section 91013 and 91013.5. Prior to resuming active employment with the City of Berkeley, all outstanding statements must be filed and any outstanding fine, payable under Government Code Section 91013, shall be paid. No person shall resume active employment with the City of Berkeley if there are outstanding statements or fines.

b. Legislative Assistants

If a legislative assistant fails to e-file an Assuming or Annual Statement of Economic Interests within thirty days after the City Clerk has given specific written notice of non-filing, the legislative assistant shall be terminated until such time as the required disclosure form has been e-filed, in addition, the City Clerk shall impose late filing fees in accordance with Government Code Sections 91013 and 91013.5. Prior to being reappointed by a Councilmember, all outstanding statements must be filed and any outstanding fine, payable under Government Code Section 91013, shall be paid. No person shall resume employment as a legislative assistant if there are outstanding statements or fines.

Members of Boards and Commissions

If a member of any non-elected board or commission specified in the Code fails to file an Assuming Office Statement of Economic Interests within thirty days after their date of appointment, the commissioner's term shall expire. Similarly, if an Annual Statement of Economic Interests is not filed within thirty days after the City Clerk has given specific written notice of non-filing, the member's term on the commission shall expire. The City Clerk shall notify the commissioner that their term has expired and the appointing councilmember that a vacancy exists on the commission; in addition, the City Clerk shall impose late filing fees in accordance with Government Code Sections 91013 and 91013.5.

If the commissioner has not taken the Oath of Office and not attended any meetings, the commissioner is deemed not to have accepted the appointment and is not subject to filing obligations.

Prior to being reappointed to any commission, all outstanding filings for all commission appointments must be filed and any outstanding fines, payable under Government Code Section 91013, shall be paid. No person shall be appointed to any commission if there are outstanding statements or fines; and no person shall be appointed to any commission

for a period of one year if terminated from any commission more than once for failure to file statements.

d. Consultants

If a consultant, as defined in 2 Cal. Code Regs. Section 18701, fails to file any Statement of Economic Interests within thirty days after the City Clerk has given specific written notice of non-filing, they shall be advised by the City's project manager that no further payments shall be made by the City of Berkeley under the contract until such statement has been received by the City Clerk. The City Clerk shall also impose late filing fees in accordance with Government Code Sections 91013 and 91013.5.

Section 5. Interest and Positions in Non-Profit Organizations - Disclosure and Disqualification

Whenever a disclosure category requires disclosure of specific financial interests or positions in business entities, disclosure of the equivalent financial interests or positions in non-profit organizations is required. Disqualification shall be required as to a disclosed interest or position in a non-profit organization whenever disqualification would have been required as a result of an equivalent financial interest or position in a business entity.

Section 6. Berkeley Housing Authority

The Berkeley City Clerk Department serves as the designated filing official for Berkeley Housing Authority (Authority) Form 700 Economic Disclosure Statements. The Authority is responsible for collecting these statements from all individuals required to submit them. For individuals specified under Government Code Section 87200, such as Board Members and the Executive Director, the Authority will forward the original filings to the City Clerk Department while retaining a copy for their own records. The City Clerk Department will ensure compliance with the filing obligations for the 87200 filers. Regarding other filers who do not fall under Government Code Section 87200, such as the Finance Manager, Management Analyst, Administrative Assistant, Accountant, Housing Specialist, and General Counsel, the Authority will retain the original statements and enforce the associated filing requirements internally. Additionally, the Authority is responsible for overseeing the administration of the Oath of Office for its members.

Section 7. Revisions to the City's Conflict of Interest Code

The City Clerk will review the City's Conflict of Interest Code on an biennial basis and if changes are required will submit a revised code for Council approval, or if no changes are necessary so notify the City Council, by the applicable deadline specified in the Political Reform Act.

The foregoing Resolution was adopted by the Berkeley City Council on November 28, 2023 by the following vote:

Ayes: Bartlett, Hahn, Harrison, Humbert, Kesarwani, Robinson, Taplin, Wengraf,

and Arreguin.

Noes: None.

Absent: None.

Jesse Arreguin, Mayor

Attest:

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AUDITOR'S DEPARTMENT APPENDIX

Audit Manager 1 Auditor I, II 1 City Auditor 1 Deputy City Auditor for Payroll Management 1 Senior Auditor 1

Disclosure Categories

Category 1

All designated employees shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

CITY ATTORNEY'S OFFICE APPENDIX

Designated Employees

Disclosure Categories

Administration

Assistant City Attorney	1
Assistant to the City Attorney	1
City Attorney	1
Deputy City Attorney I, II, III, IV	1
Paralegal	1

Disclosure Categories

Category 1

All designated employees shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

CITY CLERK DEPARTMENT APPENDIX

Designated Employees	Disclosure Categories
Assistant City Clerk	1
City Clerk	1
Deputy City Clerk	1

Disclosure Categories

Category 1

All designated employees assigned to this category shall disclose all business entities, and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

CITY MANAGER'S DEPARTMENT APPENDIX

Designated Employees	Categories
ADMINISTRATION	
Administrative Hearing Examiner	1
Assistant to the City Manager	1
City Manager	1
Community Services Specialist II	2
Deputy City Manager	1
Digital Communications Coordinator	1
Homeless Services Coordinator	1
Program Manager I, II	1
Senior Management Analyst	1
ANIMAL CARE SERVICES	
Animal Services Manager	1
Registered Veterinary Technician	1
CODE ENFORCEMENT GROUP	
Code Enforcement Officer	1
Code Enforcement Supervisor	1
DIVERSITY, EQUITY AND INCLUSION DIVISIO	N
Diversity, Equity, and Inclusion Officer	1
OFFICE OF BUDGET AND FISCAL MANAGEME	NT
Associate Management Analyst	1
Budget Manager	1
Senior Management Analyst	1

Designated Employees

Disclosure Categories

OFFICE OF ECONOMIC DEVELOPMENT

Assistant Management Analyst	1
Civic Arts Coordinator	1
Community Development Project Coordinator	1
Economic Development Project Coordinator	1
Manager of Economic Development	1
Senior Economic Development Project Coordinator	1

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities, and non-profit organizations in which they have an investment or in which they are a director officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells or otherwise provides goods or services of the type utilized by the division or program to which the designated employee is assigned.

Category 3

Designated employees assigned to this category shall disclose business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells or otherwise provides goods or services of the type utilized by any division or program in the Department of Health, Housing and Community Services.

DEPARTMENT OF INFORMATION TECHNOLOGY APPENDIX

Designated Employees	Categories
Application Programmer Analyst II	1
Customer Service Manager	1
Database Administrator	1
Director of Information Technology	1
Information Security Manager	1
Information Systems Manager	1
Program Manager I, II	1
Senior Information Systems Specialist	1
Senior Management Analyst	1
Senior Systems Analyst	1

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities, and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

FINANCE DEPARTMENT APPENDIX

Designated Employees Disclosure Categories ADMINISTRATION Director of Finance 1 Senior Systems Analyst 1 **Systems Accountant** 1 **ACCOUNTING DIVISION Accounting Manager** 1 2 **Senior Accountant** TREASURY DIVISION Revenue Development Specialist II 1 Revenue Development Supervisor 1 Treasury Manager 1 **REVENUE COLLECTIONS DIVISION** Revenue Collection Manager 1 **GENERAL SERVICES DIVISION** Buyer 1 1 Contract Administrator 1 General Services Manager

Senior Buyer

FINANCE DEPARTMENT APPENDIX (CONTINUED)

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) is a financial institution or is otherwise eligible under state law to have city funds, including pension, retirement and deferred compensation funds, deposited with it or invested in it;
- (b) is of the type which has provided services, including but not limited to professional advice on investment, bond, tax or legal matters, to the Finance Department within the previous two years;
- (c) is subject to a City of Berkeley licensing requirement; or
- (d) provides real property sales or management services or owns or operates real property of the type leased or purchased by the City of Berkeley within the previous two years.

FIRE AND EMERGENCY SERVICES DEPARTMENT PPENDIX

Designated Employees

Disclosure Categories

FIRE - OFFICE OF THE	CHIEF
Administrative & Fiscal Services Manager	1
Associate Management Analyst	3
Communications Specialist	4
Fire Chief	1
Principal Program Manager	1
TRAINING	
Assistant Fire Chief	1
Fire Captain I, II	2, 3
OPERATIONS	
Assistant Fire Chief	1
Battalion Chief	1
Deputy Fire Chief	1
Fire Captain I, II	2, 3
FIRE PREVENTION	N
Assistant Management Analyst	2, 4
Deputy Fire Marshal	1
Fire and Life Safety Plans Examiner	2, 4
Fire Marshal	1
Fire Prevention Inspectors	2

Designated Employees

Disclosure Categories

SPECIAL OPERATIONS DIVISION OFFICE OF EMERGENCY SERVICES

Emergency Services Coordinator	4
Program Manager I, II	1

EMERGENCY MEDICAL SERVICES

Assistant Fire Chief	1
Emergency Medical Services Quality Improvement & Education Coordinator	2, 3
Fire Captain I, II	2, 3
Paramedic Supervisor	2, 3

WILDLAND URBAN INTERFACE

Assistant Fire Chief	1
Fire Prevention Inspectors	2

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property, and all sources of income, including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose any interest in commercial, industrial, or institutional real property; in residential rental property consisting of three or more units; or any other property subject to fire inspection. In addition, designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) owns, manages, or operates real property of the type subject to fire inspection; or
- (b) is in the building and construction industry, including but not limited to architects, developers, contractors, sub-contractors, materials suppliers or consultants.

Disclosure Categories (Continued)

Category 3

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells, leases or otherwise provides goods, equipment, or services of the type utilized by the Fire and Emergency Services Department.

Category 4

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells, leases or otherwise provides goods, equipment or services of the type utilized by the division or program of the Fire and Emergency Services Department to which the designated employee is assigned.

HEALTH, HOUSING AND COMMUNITY SERVICES DEPARTMENT APPENDIX

Disclosure Categories

1

1

8

1

3

1

1, 6

Accounting Office Specialist Supervisor 4 Administrative & Fiscal Services Manager 1 Associate Management Analyst 2 Community Services Specialist I 4, 6 Community Services Specialist III 4, 6, 8

Epidemiologist	
Health Officer	
Health Services Program Specialist	
Principal Program Manager	

Deputy Director of Health, Housing & Community

Director of Health, Housing & Community Services

Public Health Program Physician/Deputy Health Officer

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Program Manager I, II	1

Senior Health Management Analyst	4, 6
Senior Health Services Program Specialist	4, 6

Senior Management Analyst	2
Supervising Public Health Nurse	4, 6

AGING SERVICES DIVISION

Manager of Aging Services	1
Mental Health Clinical Supervisor	4, 6
Senior Citizen Center Director	4, 6
Senior Health Services Program Specialist	4, 6
Transportation Services Coordinator	4, 6

Designated Employees

Services

Health.	Housing	and	Community	/ Services	Depar	tment A	ppendix
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Designated Employees

Disclosure Categories

HOUSING AND COMMUNITY SERVICES DIVISION	
Associate Management Analyst	6
Associate Planner	8
Building Inspector I (Certified)	1
Community Development Project Coordinator	1
Community Services Specialist II & III	8
Homeless Services Coordinator	8
Manager of Housing and Community Services	1
Senior Community Development Project Coordinator	1
Senior Management Analyst	2
ENVIRONMENTAL HEALTH DIVISION	
Environmental Health Supervisor	1
Manager of Environmental Health	1
Registered Environmental Health Specialist	8
Senior Environmental Health Specialist	1
Vector Control Technician	8
MENTAL HEALTH DIVISION	
Assistant Manager of Mental Health Services	1
Community Services Specialist II	4
Health Services Program Specialist	2
Manager of Mental Health Services	1
Mental Health Clinical Supervisor	4, 6
Mental Health Program Supervisor	2
Supervising Mental Health Nurse	4, 6

Supervising Psychiatrist

1

Designated Employees

Disclosure Categories

PUBLIC HEALTH DIVISION

Community Services Specialist I	4, 8
Community Services Specialist III	4, 6
Epidemiologist	8
Health Nutrition Program Coordinator	4, 6
Health Services Program Specialist	3
Health Services Supervisor	1
Manager of Public Health Services	1
Senior Health Services Program Specialist	4, 6
Supervising Public Health Nurse	4, 6

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells or otherwise provides goods or services of the type utilized by any division or program in the Department of Health, Housing and Community Services.

Category 3

Designated employees assigned to this category shall disclose any interest in real property located within a Berkeley target area or neighborhood strategy area. In addition they shall also disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold

any position of management; and income including gifts, loans and travel payments; if the business entity, non- profit organization or source of income is:

- a) a building contractor or subcontractor of the type performing work under Berkeley Housing Rehabilitation Programs; or
- b) is from individuals who have applied for or received housing rehabilitation assistance from the City of Berkeley within the previous two years.

Category 4

Designated employees assigned to this category shall disclose business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells or otherwise provides goods or services of the type utilized by the division or program in the Department of Health, Housing and Community Services to which the designated employee is assigned.

Category 5

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- a) manufactures, distributes, sells installs or otherwise markets equipment for energy conservation or alternative energy development; or
- b) provides services, including but not limited to design, repair, advocacy or consultation services, in the areas of energy conservation or alternative energy development.

Category 6

Designated employees assigned to this category shall disclose all business entities or non-profit organizations in which they have an investment, or in which they are a director, officer, partner, trustee, employee or hold any position of management and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income is of the type which receives funds under contract with the City of Berkeley to:

- a) Serve the social welfare needs of the people of Berkeley; or
- b) Provide health services, including but not limited to emergency services, obstetric, gynecological or maternity care, care for chronic or communicable

diseases, and mental health; or

- c) Provide services to the aging; or
- d) Lease, own, or operate real property of the type suitable for the program or services under the supervision of the designated employee; or of the type suitable to be leased, owned, or operated by the City of Berkeley for health programs under the supervision of the designated employee.

Category 7

Designated employees assigned to this category shall disclose any interest in real property consisting in whole or in part of residential rental property. In addition, they shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of

management; and income, including gifts, loans and travel payments; if the business entity or source of income has an interest in, operates or manages residential rental property.

Category 8

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- a) is of the type whose contracts with the City of Berkeley are monitored by the Department of Health, Housing and Community Services or which receives funds under contract with the City of Berkeley to serve the social welfare needs of the people of the Berkeley; or
- b) is of the type which manufactures, distributes, sells or otherwise provides goods or services of the type utilized by the designated employee's division of the Department of Health, Housing and Community Services

Category 9

Designated employees assigned to this category shall disclose all business entities or non-profit organizations in which they have an investment, or in which they are a director, officer, partner, trustee, employee or hold any position of management; any interest in real property within one-fourth mile of any Berkeley Senior Center and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income is of the type which receives funds under contract with the City of Berkeley to:

Health, Housing and Community Services Department Appendix

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- a) Serve the social welfare needs of the people of Berkeley; or
- b) Provide health services, including but not limited to emergency services, care for chronic or communicable diseases, and mental health; or
- c) Provide services to the aging; or
- d) Lease, own, or operate real property of the type suitable for the program or services under the supervision of the designated employee; or of the type suitable to be leased, owned, or operated by the City of Berkeley for community services programs under the supervision of the designated employee.

HUMAN RESOURCES DEPARTMENT APPENDIX

Designated Employees	Disclosure Categories
Associate Human Resources Analyst (Recruitment / Exams)	2
Director of Human Resources	1
Employee Relations Manager	1
Equal Employment, Opportunity & Diversity Officer	1
Human Resources Manager	1
Occupational Health and Safety Officer	2, 3
Occupational Health and Safety Specialist	3
Senior Human Resources Analyst	2, 3
Training Officer	2
Worker's Compensation Analyst	3
BENEFITS DIVISION	
Associate Human Resources Analyst	3

Disclosure Categories

Category 1

Designated employees in this category shall disclose all business entities and non-profit organizations in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property and all sources of income, including gifts, loans and travel payments.

Disclosure Categories (Continued)

Category 2

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) is a labor union; or
- (b) is of the type which has provided the Human Resources Department within the previous two years with testing materials or services, or with services of any other kind including but not limited to consultant services or medical examination services; or
- (c) provides training, organizational development or facilitator services.

Category 3

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or are a director, officer, partner, trustee, employee or hold any position of management; and income including gifts, loans and travel payments; if the business entity, non-profit organization or source of income is of the type which provides insurance of any kind, including but not limited to workers' compensation, health, life or liability insurance or related services.

LIBRARY DEPARTMENT APPENDIX

Designated Employees	Categories
ADMINISTRATION SERVICES	
Administrative & Fiscal Services Manager	1
Deputy Director of Library Services	1
Director of Library Services	1
CIRCULATION SERVICES DIVISION	
Circulation Services Manager	1
CHILDREN'S / NEIGHBORHOOD SERVICES DIVISION Library Services Manager	DN 1
EQUITY, DIVERSITY, AND INCLUSION	
Program Manager II	1
MAINTENANCE DIVISION	
Senior Building Maintenance Supervisor	1
TECHNICAL SERVICES & INFOMATION TECHNOLO	GY
Library Information Systems Administrator	1
Library Services Manager	1

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

MAYOR AND COUNCIL DEPARTMENT APPENDIX

Designated Employees	Disclosure Categories
Mayor	1
City Councilmembers	1
Chief of Staff	1
Assistant to the Mayor	1
Legislative Assistant/Administrative Assistant	1

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

OFFICE OF THE DIRECTOR OF POLICE ACCOUNTABILITY APPENDIX

Designated Employees	Categories
Associate Management Analyst (Administrative Analyst)	1
Associate Management Analyst (Data Analyst)	1
Associate Management Analyst (Policy Analyst)	1
Director of Police Accountability	1
Office of the Director of Police Accountability Investigator	1
Police Accountability Board Member	1

Disclosure Category

Category 1

Designated employees assigned to this category shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization, or source of income:

- (a) manufactures, distributes, sells or otherwise markets weapons, ammunition, or other law enforcement equipment;
- (b) is of the type which offers law enforcement training courses considered by the Police Accountability Board and Office of the Director of Police Accountability; or
- (c) is a member of the Berkeley Police Department.

PARKS, RECREATION & WATERFRONT DEPARTMENT APPENDIX

Designated Employees	Categories		
OFFICE OF THE DIRECTOR			
Assistant Civil Engineer (Registered)	1		
Associate Civil Engineer	1		
Capital Improvement Programs Manager	1		
Deputy Director	1		
Director of Parks, Recreation and Waterfront	1		
Senior Management Analyst	1		
Supervising Civil Engineer	1		
PARKS DIVISION			
Building Maintenance Supervisor	2, 3		
Parks Superintendent	1		
Senior Building Maintenance Supervisor	2, 3		
Senior Forestry Supervisor	2, 3		
Senior Landscape Gardener Supervisor	2, 3		
RECREATION DIVISION			
Aquatics Coordinator	3		
Aquatics Facilities Supervisor	3		
Camp Manager	2, 3, 4		
Recreation and Youth Services Manager	1		
Recreation Coordinator	3		
Recreation Program Supervisor	1		
WATERFRONT DIVISION			
Harbormaster	3, 5		
Waterfront Manager	1		
Waterfront Supervisor	2, 5		

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property and all sources of income, including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income including gifts, loans and travel payments; if the business entity, non-profit organization or source of income is of the type which manufactures, distributes, sells, leases or otherwise provides the Parks, Recreation & Waterfront Department with:

- (a) goods of any kind, including but not limited to heavy equipment, vehicles, machinery, tools, fuel products or other equipment; or
- (b) services of any kind, including but not limited to building or construction services and consultant services.

Category 3

Designated employees assigned to this category, shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells, leases or otherwise provides goods, equipment, machinery, supplies or services of the type used by the division or program of the Parks, Recreation & Waterfront Department to which the designated employee is assigned.

Category 4

Designated employees assigned to this category shall disclose all interests in real property. In addition, they shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) owns, operates or manages real property; or
- (b) is a utility; or
- (c) is in the building or construction industry, including but not limited to architects, engineers, building construction contractors or subcontractors, materials suppliers, or consultants.

Disclosure Categories Continuous

Category 5

Designated employees assigned to this category shall disclose:

- (a) all interests in real property located on or within one-fourth mile of the Berkeley Waterfront, including any leasehold interest in berths at the Berkeley Marina; and
- (b) any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee or employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:
 - (i) is located on the Berkeley Waterfront;
 - (ii) has an interest in real property on the Berkeley Waterfront; or does business, has done business within the previous two years, plans to do business on the Berkeley Waterfront, including but not limited to architects, engineers, real estate developers, building contractors, lawyers and others involved in projects or proposals on the Berkeley Waterfront.

PLANNING AND DEVELOPMENT DEPARTMENT APPENDIX

Designated Employees

Designated Employees

BUILDING AND SAFETY DIVISION	
Assistant Building and Safety Manager	1
Building and Safety Manager	1
Building Inspector I, II (Certified)	2, 4
Building Plans Engineer	2, 4
Building Plans Examiner	2, 4
Community Services Specialist I, II	2, 4
Resilient Buildings Program Manager	1
Senior Building Inspector	2, 4
Senior Building Plans Engineer	2, 4
Supervising Building Inspector	2, 4
HOUSING CODE ENFORCEMENT DIVISION	
Assistant Inspector	1
Assistant Management Analyst	1
Housing Inspector I, II (Certified)	1
Senior Housing Inspector (Certified)	1
LAND USE PLANNING DIVISION	
Assistant Planner	1
Associate Planner	1
Land Use Planning Manager	1
Planning Technician	1
Principal Planner	1
Senior Planner	1

Designated Employees

Designated Employees

OFFICE OF ENERGY AND SUSTAINABLE DEVELOR	PMENT
Associate Management Analyst	2, 4
Community Services Specialist I, II, III	2, 4
Energy Program Manager	1
OFFICE OF THE DIRECTOR / ADMINISTRATIO	N
Administrative and Fiscal Services Manager	1
Assistant Management Analyst	1
Associate Management Analyst	1
Community Services Specialist II	2, 4
Deputy Director of Planning and Development	1
Director of Planning and Development	1
PERMIT SERVICE CENTER	
Permit Center Coordinator	1
Permit Specialist	2, 4
Senior Permit Specialist	2, 4
TOXICS MANAGEMENT DIVISION	
Hazardous Materials Manager	1
Hazardous Materials Specialist I, II	3

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities or non-profit organizations in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose all interests in real property. In addition, they shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income has an interest in real property or is in the building and construction industry, including but not limited to architects, developers, contractors, sub-contractors, materials suppliers or consultants.

Category 3

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) produces, uses, collects or disposes of hazardous and toxic materials; or
- (b) provides consulting services in the areas of source reduction and recycling, and the management, treatment and disposal of hazardous wastes and materials.

Category 4

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) manufactures, distributes, sells installs or otherwise markets equipment for energy conservation or alternative energy development; or
- (b) provides services, including but not limited to design, repair, advocacy or consultation services, in the areas of energy conservation or alternative energy development.

POLICE DEPARTMENT APPENDIX

Designated Employees	Disclosure Categories
Administrative & Fiscal Services Manager	1
Chief of Police	1
Deputy Police Chief	1
Police Captain	1

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

PUBLIC WORKS DEPARTMENT APPENDIX

Designated Employees	Categories	
ENGINEERING DIVISION		
Assistant Civil Engineer (Registered)	2, 4	
Assistant Management Analyst	3	
Assistant Public Works Engineer	2	
Associate Civil Engineer	2, 4	
Building Inspector	1, 6	
Chief of Party	2	
Engineering Inspector	2, 6	
Engineering Technician	2, 4	
Environmental Compliance Specialist	2, 4	
Junior Public Works Engineer	2	
Manager of Engineering	1, 4	
Senior Building Inspector	2, 6	
Senior Drafting Technician	2, 4	
Senior Engineering Inspector	2, 6	
Supervising Civil Engineer	1, 4, 6	
EQUIPMENT MAINTENANCE DIVISION		
Equipment Maintenance Superintendent	2, 4	
Senior Equipment Supervisor	3	
FACILITIES MANAGEMENT DIVISION		
ADA Program Coordinator	2, 4	
Building Maintenance Supervisor	3, 6	
Communications Supervisor	1	
Electrical Supervisor	1, 4	

Public Works Department Appendix

Page 2

Designated Employees Categories FACILITIES MANAGEMENT DIVISION (CONTINUOUS) Environmental Compliance Specialist 3, 7 Facilities Maintenance Superintendent 2,6 1 Lead Communications Technician 3, 6 Senior Building Maintenance Supervisor Senior Electrical Supervisor 1,4 OFFICE OF THE DIRECTOR Administrative & Fiscal Services Manager 1 2 Assistant Management Analyst **Associate Management Analyst** 2 Community Development Project Coordinator 1, 4 1 Deputy Director of Public Works Director of Public Works 1 **Disability Services Specialist** 2.4 Occupational Health and Safety Officer 2, 3 **Public Works Operations Manager** 1, 4 2 Senior Management Analyst Solid (Zero) Waste and Recycling Manager 1, 5 STREETS DIVISION 2, 4 **Assistant Management Analyst** 2 Public Works Maintenance Superintendent **Public Works Supervisor** 3 Senior Public Works Supervisor 3

Sewer Maintenance Assistant Supervisor

3

Public Works Department Appendix

Page 3

Designated Employees	Categories
TRANSPORTATION DIVISION	
Assistant Management Analyst	2
Assistant Planner	2, 4
Assistant Transportation Engineer	2, 4
Associate Civil Engineer	2, 4
Associate Planner	1
Associate Transportation Engineer	2, 4
Deputy Director of Public Works	1
Parking Meter Maintenance and Collection Supervisor	2
Parking Services Manager	2
Principal Planner	1
Senior Planner	1
Senior Public Works Supervisor	3
Supervising Traffic Engineer	1, 4
Traffic Maintenance Supervisor	2
ZERO WASTE DIVISION	
Associate Management Analyst	2
Environmental Compliance Specialist	1
Field Representative	2
Public Works (Zero Waste) Operations Manager	1, 4, 5
Recycling Program Manager	3, 5
Senior Solid (Zero) Waste Supervisor	2
Solid (Zero) Waste and Recycling Manager	1, 5
Solid (Zero) Waste Supervisor	3, 5

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property; and all sources of income, including gifts, loans and travel payments.

Category 2

Designated employees assigned to this category shall disclose entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income including gifts, loans and travel payments; if the business entity, non-profit organization or source of income is of the type which manufactures, distributes, sells, leases or otherwise provides goods or services of the type used by any division or program of the Public Works Department.

Category 3

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income including gifts, loans and travel payments; if the business entity, non-profit organization or source of income manufactures, distributes, sells, leases or otherwise provides goods, equipment, machinery, supplies or services of the type used by the division or program of the Public Works Department to which the designated employee is assigned.

Category 4

Designated employees assigned to this category shall disclose all interests in real property. In addition, they shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) owns, operates or manages real property; or
- (b) is a utility; or
- (c) is in the building or construction industry, including but not limited to architects, engineers, building construction contractors or subcontractors, materials suppliers, or consultants.

Disclosure Categories Continuous

Category 5

Designated employees assigned to this category shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity, non-profit organization or source of income is of the type which:

- (a) collects or hauls solid waste:
- (b) builds or operates any solid waste disposal facility, transfer or processing station or resource recovery facility;
- (c) engages in solid waste recycling activities; or
- (d) acts as a consultant to entities or organizations engaged in the above activities.

Category 6

Designated employees assigned to this category shall disclose any interest in real property located in an area of Berkeley in which the utility lines have not been undergrounded. In addition, they shall disclose business entities, or non-profit organizations, in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans and travel payments; if the business entity or source of income is a public utility company which does any work related to the provision of utility services, is a construction company or subcontractor of such company or has an interest in real property in an area of Berkeley in which the utility lines have not been undergrounded.

Category 7

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income including gifts, loans and travel payments; if the business entity, non-profit organization or source of income:

- (a) produces, uses, collects, stores, or disposes of hazardous or toxic materials; or
- (b) provides consulting services in the areas of source reduction or recycling, or the management, treatment or disposal of hazardous wastes or materials.

RENT STABILIZATION BOARD APPENDIX

DESIGNATED OFFICIALS - BOARD MEMBERS	CATEGORIES
Commissioners (Board Members)	1, 2, 3
DESIGNATED EMPLOYEES	CATEGORIES
Administrative and Fiscal Services Manager	2,3
Assistant Management Analyst	2, 3
Associate Management Analyst	2, 3
Associate Planner	2, 3
Community Services Specialist II, III	2, 3
Executive Director	1, 2, 3
General Counsel	1, 2, 3
Hearing Examiners	2, 3
Senior Hearing Examiner	2, 3
Senior Management Analyst	2, 3
Senior Planner	2, 3
Staff Attorneys II & III	1, 2, 3

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose:

- (a) All investment and interest in, and all sources of income from real property including but not limited to ownership, sale, management, transfer or exchange.
- (b) All investments in and sources of income, including gifts, loans and travel payments from entities which own, sell, manage, transfer or exchange real property.
- (c) Any management position including but not limited to a director, officer, partner or trustee in any business entity or non-profit organization subject to or potentially affected by the regulatory authority of the Rent Board.
- (d) The assuming office statement shall disclose all interests and dealings in real property, including but not limited to ownership, sale, management, transfer or exchange, and interests in entities whose primary purpose is the ownership, sale, management, transfer or exchange of real property, during the previous three years.

Category 2

Designated employees assigned to this category shall disclose:

- (a) All investments and interests in and all sources of income from real property, including but not limited to ownership, sale, management, transfer or exchange, subject to the regulatory authority of the Rent Board.
- (b) All investments and business positions in and sources of income, including gifts, loans and travel payments from entities which own, sell, manage, transfer or exchange real property, subject to the regulatory authority of the Rent Board.

Category 3

Designated employees assigned to this category shall disclose investments and business positions in business entities, non-profit organizations and sources of income, including gifts, loans and travel payments of the type which, within the past two years, have contracted with the City of Berkeley to provide services, supplies, materials, machinery or equipment to the Board.

BOARD OF LIBRARY TRUSTEES APPENDIX

Designated Officials

Members of the Board

Disclosure Category

Members of the Board shall disclose any interest in real property within a 1/2 mile of any Berkeley municipal library. In addition, members of the Board shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel-payments; if the business entity, non-profit organization, or source of income is of the type which:

- manufactures, repairs, distributes, sells, leases or otherwise markets library materials and equipment including, but not limited to, books, periodicals, art slides or prints, records, and cataloguing equipment or systems; or
- 2) has contracted with the Berkeley Library Department within the previous two years to provide any other kinds of goods or services to the Department.

EXHIBIT B-1 Page 42

CANNABIS COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose:

- 1) All interests in real property located in the City of Berkeley; or
- 2) Any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization or source of income is of the type which:
 - a) manufactures, distributes, sells, installs, or otherwise markets equipment or supplies for cultivation or consumption of the plant Cannabis sativa L. or any of its derivatives; or
 - b) operates any cannabis business or organization, including collectives and dispensaries; or
 - c) engages in activities or provides services that involve planting, cultivating, harvesting, transporting, dispensing, delivering, selling at retail or wholesale, manufacturing, compounding, converting, processing, preparing, storing, packaging, or testing, any part of the plant Cannabis sativa L. or any of its derivatives.

EXHIBIT B-2 Page 43

CIVIC ARTS COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities, and non-profit organizations in which they have an investment, or in which they are a director, officer, partner, employee, or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income:

- 1) has applied for, is receiving, or within the previous two years has received, funds through the City to advance the Arts in Berkeley; or
- has profited from the creation, production, sale, or display of any artistic endeavor; or
- 3) has provided services, goods, or equipment to artistic endeavors.

EXHIBIT B-3 Page 44

COMMISSION ON AGING APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization or source of income has applied for, is receiving or within the previous two years has received funds through the City of Berkeley to provide services to the aging. In addition, members of the Commission shall disclose any interest in real property located within 1/4 mile of any of the Berkeley Senior Centers.

EXHIBIT B-4 Page 45

COMMISSION ON DISABILITY APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities and non-profit organizations in which they hold_an investment or in which they are a director, officer, partner, trustee, employee or have any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income within the previous two years has provided services, goods, or equipment to disabled persons.

EXHIBIT B-5 Page 46

COMMISSION ON LABOR APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income:

- 1) is a union or other organization which represents or has sought to represent employees or management in collective bargaining; or
- 2) has applied for, is receiving, or within the previous two years has received funds through the City of Berkeley to provide services to organizations whose purpose is to serve the legal rights and economic interests of labor.

COMMUNITY HEALTH COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities or non-profit organizations in which they have an investment or are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity or non-profit organization provides goods or services relating in any way to the provision, administration or financing of health care or health planning, education and information.

EXHIBIT B-7 Page 48

DESIGN REVIEW COMMITTEE APPENDIX

Designated Officials

Members of the Committee

Disclosure Category

All members of the committee shall disclose any interest in real property consisting in whole or in part of a building located in any commercially zoned area in the City of Berkeley. In addition, members of the Committee shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income has an interest in real property consisting in whole or in part of a building located in a commercially zoned area; derives income from the sale of construction supply materials; or performs construction services or renovation services including landscaping, architectural, and interior decorating.

EXHIBIT B-8 Page 49

DISASTER AND FIRE SAFETY COMMISSION APPENDIX

Designated Officials

Members of the Commission

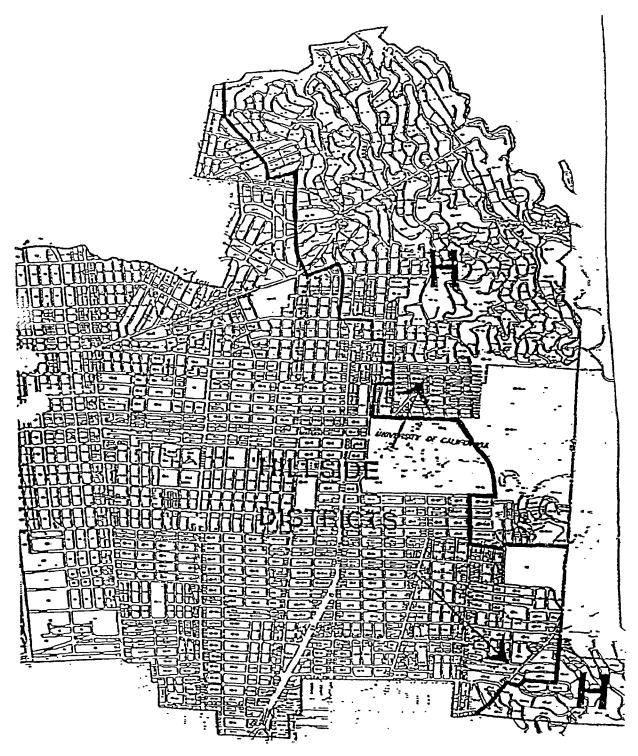
Disclosure Category

All members of the Commission shall disclose all interests in real property located in the City of Berkeley.

In addition, members of the Commission shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee, or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income is of the type which:

- 1) manufactures, distributes, sells, leases, or otherwise provides goods or services that may be used in planning, preparing for or responding to a disaster or emergency as defined in BMC Ch. 2.88.020; or
- 2) provides consulting services in the areas of disaster management or disaster preparedness; or
- 3) offers disaster preparedness, fire service, or emergency medical services training courses; or
- 4) acts as a consultant to organizations engaged in fire inspection, fire prevention, code enforcement, chipper and debris removal, landscaping or any other fire preparedness service; or
- 5) provides architectural, engineering or construction work in the areas of seismic retrofitting, upgrades or disaster recovery.

EXHIBIT B-9 Page 50



ATTACHMENT A TO DISASTER AND FIRE SAFETY COMMISSION

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EXHIBIT B-9 Page 51

ELMWOOD BUSINESS IMPROVEMENT DISTRICT ADVISORY BOARD APPENDIX

Designated Officials

Members of the Board

Disclosure Category

All members of the Board shall disclose all interests in real property located in or within one mile of the Elmwood Business Improvement District as defined in Attachment A to this Appendix, and any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income; if the business entity, non-profit organization, or source of income is:

- 1) located in or within one mile of the Elmwood Business Improvement District; or
- 2) has an interest in real property located in or within one mile of the Elmwood Business Improvement District; or
- 3) does business, has done business within the previous two years, or plans to do business in or within one mile of the Elmwood Business Improvement District, including but not limited to architects, engineers, real estate developers, building contractors, lawyers and others involved in projects or proposals located in or within one mile of the Elmwood Business Improvement District.

EXHIBIT B-10 Page 52

ATTACHMENT A

ELMWOOD BUSINESS IMPROVEMENT DISTRICT ADVISORY BOARD APPENDIX

7.84.030 Boundaries of district.

The boundaries of the District are as specified in this section and in the District's Boundary Map attached to the ordinance codified in this chapter and on file with the office of the City Clerk.

Western Boundary. A line connecting the western edge of all parcels fronting on the western side of College Avenue in the C-E zoning district from 2832 College to 2992 College but including the City-owned Elmwood parking lot that is accessed from Russell Street, and addresses on Ashby from 2629 to 2648. The parcels along the western boundary are as follows:

Assessor's Book 053:

Block 1695: Parcels 11, 12-1, and 12-2.

Assessor's Book 052:

Block 1568: Parcels 3, 4-1, 5-1, 5-2, 6-2, 7, 9, 11-1, 12-1, 49, 50, 51, 52, 53, 55, and 56.

Block 1573: Parcels 2, 4-1, 6, 14, 15, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, and 103.

Eastern Boundary. A line connecting the eastern edge of all parcels fronting on the eastern side of College Avenue from 2887 College Avenue to 2999 College Avenue and 2701 Webster Street. The parcels along the eastern boundary are as follows:

Assessor's Book 053:

Block 1696: Parcel 15.

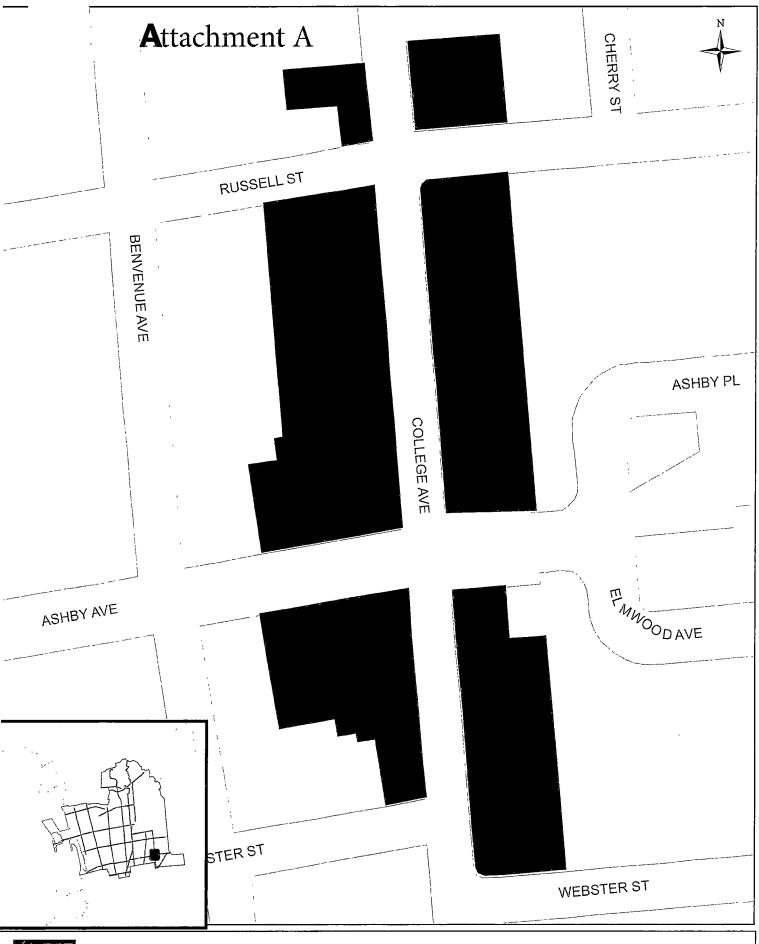
Assessor's Book 052:

Block 1567: Parcels: 16, 17, 18, 19, 20, 21, 22, 23, 24, 62, 63, 64, 66, 67, 68, 87, 88, 89, 90, and 91.

Southern Boundary. Webster Street.

Northern Boundary. A line connecting the northern edge of parcel 053-1695-011 (2832 College) on the western side of College and the northern edge of parcel 053-1696-015 (2887 College) on the eastern side of College. (Ord. 7317-NS § 3 (part), 2013)

EXHIBIT B-10 Page 53





ELMWOOD BUSINESS IMPROVEMENT DISTRICT

ENVIRONMENT AND CLIMATE COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee, or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income is of the type which:

- 1) is engaged in the building construction trades; or
- 2) manufactures, distributes, sells, installs, or otherwise markets equipment for energy conservation or alternative energy development; or
- provides services, including but not limited to design, repair, advocacy, financial, or consultation services, in the areas of energy conservation or alternative energy development; or
- 4) produces, uses, collects or disposes of hazardous and toxic materials; or
- 5) provides consulting services in the areas of source reduction and recycling, and the management, treatment and dispersal of hazardous wastes and materials.

EXHIBIT B-11 Page 55

FAIR CAMPAIGN PRACTICES COMMISSION APPENDIX OPEN GOVERNMENT COMMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income is required to file campaign reports under the Berkeley Election Reform Act of 1974, acts as a consultant on campaign matters, or is subject to regulation under the Lobbyist Registration Act.

HOMELESS SERVICES PANEL OF EXPERTS

Designated Officials

Members of the Commission

Disclosure Category

All members of the commission shall disclose all interests in real property located in the City of Berkeley.

In addition, members of the commission shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income:

- 1) is engaged in serving the housing or social welfare needs of the homeless in the City of Berkeley; or
 - a. (2) owns or manages real property in Berkeley; or
 - b. (3) derives income from the sale of construction materials, or performs construction or renovation services, including landscaping, architectural, and interior decorating.

EXHIBIT B-13 Page 57

HOUSING ADVISORY COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Board shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

EXHIBIT B-14

HUMAN WELFARE AND COMMUNITY ACTION COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities and non-profit organizations in which they have an investment or are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization or source of income is of the type which receives funds under contract with the City of Berkeley to serve the social welfare needs of the people of Berkeley.

EXHIBIT B-15 Page 59

INDEPENDENT REDISTRICTING COMMISSION

Designated Officials

Members of the Commission

Disclosure Categories

Members of the Commission shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

EXHIBIT B-16

JOINT POWERS FINANCING AUTHORITY APPENDIX

Designated Officials

Members of the Authority

Disclosure Category

Members of the Authority shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

EXHIBIT B-17

LANDMARKS PRESERVATION COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose any interest in real property located in the City of Berkeley consisting in whole or in part of a building more than 40 years old. In addition, members of the Commission shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income has an interest in real property located in the City of Berkeley consisting in whole or in part of a building more than 40 years old.

LOAN ADMINISTRATION BOARD APPENDIX

Designated Officials

Members of the Board

Disclosure Category

All members of the Board shall disclose all business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

EXHIBIT B-19 Page 63

MENTAL HEALTH COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities and non-profit organizations in which they have an investment or are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income is of the type which has received mental health funds administered by the City of Berkeley.

In addition, members of the Commission shall disclose any interest in real property located within 1/4 mile of any clinical mental health site operated by the City of Berkeley or supported in whole or in part by funds administered by the City of Berkeley.

EXHIBIT B-20

PARKS, RECREATION AND WATERFRONT COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income is of the type which:

- 1) is engaged in park design, construction, maintenance or renovation or in the manufacture, distribution or repair of park, recreation or playground equipment; or
- 2) is related to the work in the field of early childhood development, education, childcare, recreational programs and activities; or
- 3) is located on the Berkeley Waterfront; or
- 4) has an interest in real property on the Waterfront; or
- 5) provides services, goods, or equipment related to the care, treatment or control of animals; or
- 6) does business, has done business within the previous two years, or plans to do business with any city owned animal shelter, including but not limited to architects, engineers, building contractors, lawyers and others involved in projects or proposals connected with the building or modification of any City owned animal shelter; or
- 7) all interests in real property within ¼ mile of any Berkeley park, mini-park or municipal recreation facility or within ¼ mile of any open space suitable for development into a park or mini-park; or
- 8) all interests in real property located on or within 1/4 mile of the Berkeley Waterfront, including any leasehold interest in berths at the Berkeley Marina; or
- 9) does business, has done business within the previous two years, or plans to do business on the Berkeley Waterfront, including but not limited to architects, engineers, real estate developers, building contractors, lawyers and others involved in projects or proposals on the Berkeley Waterfront.

EXHIBIT B-21

PEACE AND JUSTICE COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities, non-profit organizations, and financial institutions in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income:

- has applied for, is receiving or within the previous two years has received funds through the City of Berkeley to serve the goal of creating a world community in which the relations between people are based on equality and respect for human rights, or the abhorrence of exploitation and oppression; or
- 2) has profited from the manufacture, sale, development, or testing of any weapon, or any device, substance, chemical, or geological agent, which is designed or used principally as a means of individual or mass destruction; or
- 3) is from individuals who control or serve as directors of financial institutions.

PERSONNEL BOARD APPENDIX

Designated Officials

Members of the Board

Disclosure Category

All members of the Board shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or the source of income is:

- 1) a union or other organization which represents or has sought to represent employees in collective bargaining; or
- 2) a health plan, an insurance company, or a financial institution offering services of the type made available as fringe benefits to unrepresented employees of the City of Berkeley.

EXHIBIT B-23

PLANNING COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

POLICE ACCOUNTABILITY BOARD APPENDIX

Designated Officials

Members of the Board

Disclosure Category

All members of the Board shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income:

- 1) manufactures, distributes, sells or otherwise markets weapons, ammunition, or other law enforcement equipment; or
- 2) is of the type which offers law enforcement training courses considered by the Commission; and
- 3) is a member of the Berkeley Police Department.

EXHIBIT B-25 Page 69

REIMAGINING PUBLIC SAFETY TASK FORCE

Designated Officials

Members of the Task Force

Disclosure Categories

All members of the Task Force shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

SOLANO AVENUE BUSINESS IMPROVEMENT DISTRICT ADVISORY BOARD APPENDIX

Designated Officials

Board Members

Disclosure Category

All members of the Board shall disclose all interests in real property located on or within one mile of the Solano Avenue Business Improvement District as defined in Attachment A to this Appendix, and any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income; if the business entity, non-profit organization, or source of income is:

- 1) located in or within one mile of the Solano Avenue Business Improvement District; or
- 2) has an interest in real property located in or within one mile of the Solano Avenue Business Improvement District; and
- does business, has done business within the previous two years, or plans to do business in or within one mile of the Solano Avenue Business Improvement District, including but not limited to architects, engineers, real estate developers, building contractors, lawyers and others involved in projects or proposals located in or within one mile of the Solano Avenue Business Improvement District.

EXHIBIT B-27 Page 71

ATTACHMENT A

SOLANO AVENUE BUSINESS IMPROVEMENT DISTRICT ADVISORY BOARD APPENDIX

Boundaries of the Solano Avenue Business Improvement District

ZONE A

Includes all businesses on real estate parcels with frontage on Solano Avenue or in the C-SO zone between The Alameda and the Berkeley/Albany line.

Northern Boundary:

A line connecting the northern edges of all parcels fronting on the northern side of Solano Avenue or in the C-SO zone from the Albany Town Line to The Alameda. The parcels along the northern boundary are as follows:

Assessor's Map 62:

Block 2848: Parcels 13 and 14

Block 2870: Parcels 16,17-2, 18,19 and 20

Block 2872: Parcels 17,18,19, 20, 21, 22, 23, 24, 25 and 26

Block 2871: Parcels 7-2, 10, 11, 12-1, 15-1, 16-1, 19, 20, 21 and 22

Assessor's Map 61:

Block 2602: Parcels 2, 3-1, 3-2, 4, 5, 5-1, 6, 8, 9, 33-1, 35-4, 37, 38, 40-1, 43-1,44-1, 45, 46, 47, 48, 49, 50 and 51

Eastern Boundary

The western edge of The Alameda

Southern Boundary

1. A line connecting the southern edges of all parcels fronting on the southern side of Solano Avenue or in the C-SO zone from The Alameda to the Albany Town Line. The parcels along the southern boundary are as follows:

Assessor's Map 61

Block 2603: Parcels 1,3-3 and 4

Block 2611: Parcels 1-2, 4, 25-3, 25-4 and 28-1

Block 2612: Parcels 1 and 2

Block 2613: Parcels 1-1, 5-1 and 6

Block 2614: Parcels 1, 2, 3, 4 and 22 through 28

2. The northern edge of Solano Avenue from Tulare Avenue to a point approximately 100 feet west of Neilson Street.

EXHIBIT B-27

ATTACHMENT A

SOLANO AVENUE BUSINESS IMPROVEMENT DISTRICT ADVISORY BOARD APPENDIX

Western Boundary The Albany Town Line

ZONE B

Includes all businesses within the boundaries of the District on parcels not fronting on Solano Avenue between The Alameda and the Berkeley/Albany line or in the C-SO zone. The boundaries of the Zone B areas are as follows:

Area 1

A line around the three parcels immediately south of Zone A on the western edge of The Alameda. This area includes the following parcels:

Assessor's Map 61

Block 2603: Parcels 5, 6, and 7

Area 2

A line around the block enclosed by the eastern edge of The Alameda, the southern edge of Solano Avenue, the western edge of Contra Costa Avenue and the northern Edge of Los Angeles Avenue. This area includes the following parcels:

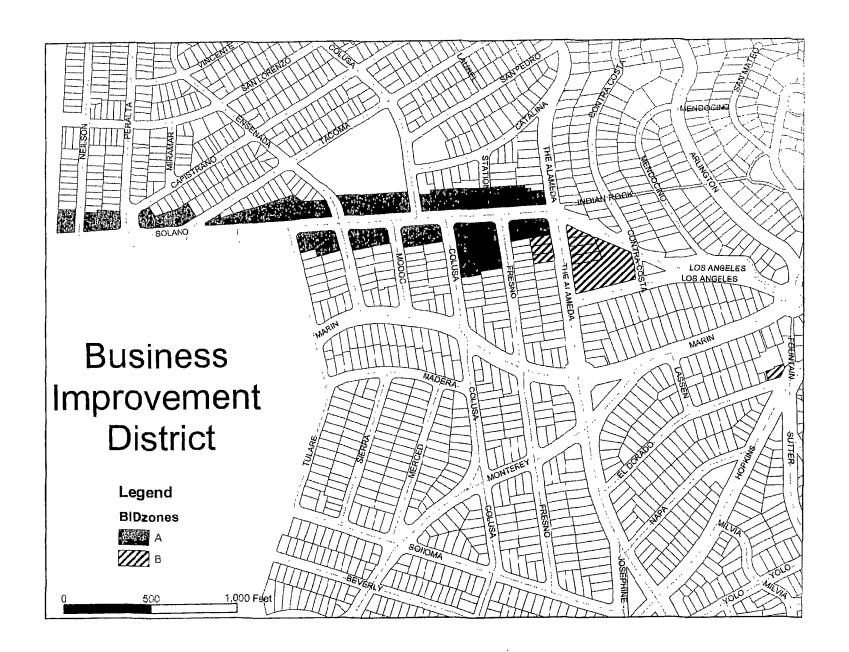
Assessor's Map 61

Block 2575: Parcels 40-1, 41, 42, 43 and 44

Area 3

A line around Parcel 3-7, Block 2571, Assessor's Map 61

EXHIBIT B-27 Page 73



SUCCESSOR AGENCY APPENDIX

Designated Officials and Employees Members of the Successor Agency 1 Staff of the Agency 1

Disclosure Categories

Category 1

Designated employees assigned to this category shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans and travel payments.

EXHIBIT B-28

SUGAR-SWEETENED BEVERAGE PRODUCT PANEL OF EXPERTS APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose business entities or non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization or source of income:

- 1) provides services related to youth food and nutrition programs or school-based food and nutrition programs; or
- 2) provides childhood nutrition education; or
- 3) employment by or income from Berkeley Unified School District; or
- 4) provides health care services that address diabetes, weight or other conditions that result from consumption of sugar; and
- 5) conducts public health research related to categories 1, 2 or 3 above.

EXHIBIT B-29 Page 76

TRANSPORTATION AND INFRASTRUCTURE COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

All members of the Commission shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all sources of income, including gifts, loans, and travel payments; and all interests in real property located in the City of Berkeley.

EXHIBIT B-30 Page 77

ZERO WASTE COMMISSION APPENDIX

Designated Officials

Members of the Commission

Disclosure Category

Members of the Commission shall disclose any business entity or non-profit organization in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; and income, including gifts, loans, and travel payments; if the business entity, non-profit organization, or source of income is of the type which:

- 1) collects or hauls solid waste; or
- 2) builds or operates any solid waste disposal facility, transfer or processing station or resource recovery facility; or
- 3) engages in solid waste recycling activities; and
- 4) acts as a consultant to entities or organizations engaged in the above activities.

EXHIBIT B-31

ZONING ADJUSTMENTS BOARD APPENDIX

Designated Officials

Members of the Board

Disclosure Categories

All members of the Board shall disclose all business entities and non-profit organizations in which they have an investment or in which they are a director, officer, partner, trustee, employee or hold any position of management; all interests in real property located in the City of Berkeley; and all sources of income, including gifts, loans, and travel payments.

EXHIBIT B-32 Page 79

CONSULTANTS APPENDIX¹

Designated Employees

Disclosure Categories

Consultants who make (not just recommend) governmental decisions, such as whether to approve a rate, rule, or regulation, whether to issue, deny, suspend, or revoke any permit, license, application, certificate or similar authorization, adopt or grant City approval to a plan, design, report, study, or adopt or grant City approval of policies, standards, or guidelines for the City or any subdivision thereof

Consultants who act in a staff capacity with the City, and in that capacity perform the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a designated position in the City's Conflict of Interest Code.

Disclosure required at the same level as the comparable designated position identified elsewhere in this Code.

Category 1

Designated consultants assigned to this category shall disclose:

- (a) All business entities or non-profit corporations in which they are a director, officer, partner, trustee, employee or hold a position of management; interests in real property, investments; and income, including gifts, loans and travel payments. ²
- (b) When the consultant is a corporation or partnership, only individuals from the firm that participate in City decisions or act in a staff capacity must file disclosure statements.

The chief executive officer may determine in writing that a particular consultant, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in the section. Such written determination shall include a description of the consultant's duties and, based upon that description, a statement of the extent of disclosure requirements. The chief executive officer's determination is a public record and shall be retained for public inspection in the same manner and location as this Conflict of Interest Code.

Only consultants who make a governmental decision or act in a staff capacity as defined in 2 Cal. Code Regs. Section 18701, as amended from time to time, shall be subject to economic disclosure requirements.

Consultants who make governmental decisions shall disclose pursuant to the broadest disclosure category in the Code subject to the following limitation:

RETIRED ANNUITANT APPENDIX

Designated Employees

Disclosure Categories

Retired Annuitants who perform the same or substantially all the same duties for the City that would otherwise be performed by an individual holding a designated position in the City's Conflict of Interest Code.

Disclosure Categories

*Disclosure required at the same level as the comparable designated position identified elsewhere in this Code.

EXHIBIT E - LISTING OF ALL BERKELEY CONFLICT OF INTEREST CODE DEPARTMENT, COMMISSION, CONSULTANT, AND RETIRED ANNUITANT APPENDICES

EXHIBIT	DEPARTMENT
A-1	Auditor Department
A-2	City Attorney Office
A-3	City Clerk Department
A-4	City Manager Office
A-5	Department of Information Technology
A-6	Finance Department
A-7	Fire and Emergency Services
A-8	Health, Housing & Community Services Department
A-9	Human Resources Department
A-10	Library Department
A-11	Mayor and Council
A-12	Office of the Director of Police Accountability
A-13	Parks, Recreation and Waterfront Department
A-14	Planning and Development Department
A-15	Police Department
A-16	Public Works Department
A-17	Rent Stabilization Board

EXHIBIT E - LISTING OF ALL BERKELEY CONFLICT OF INTEREST CODE DEPARTMENT, COMMISSION, CONSULTANT, AND RETIRED ANNUITANT APPENDICES

EXHIBIT	BOARDS & COMMISSIONS
B-1	Board of Library Trustees
B-2	Cannabis Commission
B-3	Civic Arts Commission
B-4	Commission on Aging
B-5	Commission on Disability
B-6	Commission on Labor
B-7	Community Health Commission
B-8	Design Review Committee
B-9	Disaster and Fire Safety Commission
B-10	Elmwood Business Improvement District Advisory Board
B-11	Environment and Climate Commission
B-12	Fair Campaign Practices Commission - Open Government Commission
B-13	Homeless Services Panel of Experts
B-14	Housing Advisory Commission
B-15	Human Welfare and Community Action Commission
B-16	Independent Redistricting Commission
B-17	Joint Powers Financing Authority
B-18	Landmarks Preservation Commission
B-19	Loan Administration Board
B-20	Mental Health Commission
B-21	Parks, Recreation and Waterfront Commission
B-22	Peace and Justice Commission
B-23	Personnel Board
B-24	Planning Commission

EXHIBIT E - LISTING OF ALL BERKELEY CONFLICT OF INTEREST CODE DEPARTMENT, COMMISSION, CONSULTANT, AND RETIRED ANNUITANT APPENDICES

EXHIBIT	BOARDS & COMMISSIONS CONTINEOUS
B-25	Police Accountability Board
B-26	Reimagining Public Safety Task Force
B-27	Solano Avenue Business Improvement District Advisory Board
B-28	Successor Agency
B-29	Sugar-Sweetened Beverage Product Panel of Experts
B-30	Transportation and Infrastructure Commission
B-31	Zero Waste Commission
B-32	Zoning Adjustments Board
EXHIBIT	CONSULTANT
C-1	Consultant
EXHIBIT	RETIRED ANNUITANT
D-1	Retired Annuitant